Energetic Intra-Cloud Lightning in the RELAMPAGO Field Campaign

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Abstract

A particular strength of lightning remote sensing is the variety of lightning types observed, each with a unique occurrence context and characteristically different emission. Distinct energetic intra-cloud (EIC) lightning discharges – compact intracloud lightning discharges (CIDs) and energetic intra-cloud pulses (EIPs) – produce intense RF radiation, suggesting large currents inside the cloud, and they also have different production mechanisms and occurrence contexts. A Low-Frequency (LF) lightning remote sensing instrument array was deployed during the RELAMPAGO field campaign in west central Argentina, designed to investigate convective storms that produce high-impact weather. LF data from the campaign can provide a valuable dataset for researching the lightning context of EICs in a variety of sub-tropical convective storms. This paper describes the production of an LF-CID dataset in RELAMPAGO, and includes a preliminary analysis of CID prevalence.

Geolocated lightning events and their corresponding observed waveforms from the RELAMPAGO LF dataset are used in the classification of EICs. Height estimates based on skywave reflections are computed, where pre-fit residual data editing is used to improve robustness against outliers. Even if EIPs occurred within the network, given the low number of very high peak current events and receiver saturation, automatic classification of EIPs may not be feasible using this dataset. The classification of CIDs, on the other hand, is straightforward and their properties, for both positive and negative polarity, are investigated. A few RELAMPAGO case studies are also presented, where high variability of CID prevalence in ordinary storms and high-altitude positive CIDs, possibly in overshooting tops, are observed.

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Energetic Intra-Cloud Lightning in the RELAMPAGO Field Campaign

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6 Key Points:

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7	• Classification and height estimation of Energetic Intra-Cloud Lightning is inves-
8	tigated using RELAMPAGO LF lightning waveforms
9	• A small number of high-peak current events and saturation of LF receivers hin-
10	ders the observation of Energetic In-Cloud Pulses in RELAMPAGO
11	• A catalog of RELAMPAGO Compact Intra-Cloud Discharges is produced to be
12	used in future study of their occurrence in different storm types

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13 Abstract

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Geolocated lightning events and their corresponding observed waveforms from the 26 RELAMPAGO LF dataset are used in the classification of EICs. Height estimates based 27 on skywave reflections are computed, where pre-fit residual data editing is used to im-28 prove robustness against outliers. Even if EIPs occurred within the network, given the 29 low number of very high peak current events and receiver saturation, automatic classi-30 fication of EIPs may not be feasible using this dataset. The classification of CIDs, on 31 the other hand, is straightforward and their properties, for both positive and negative 32 polarity, are investigated. A few RELAMPAGO case studies are also presented, where 33 high variability of CID prevalence in ordinary storms and high-altitude positive CIDs, 34 possibly in overshooting tops, are observed. 35

³⁶ 1 Introduction

Lightning remote sensing provides crucial information in the research of thunder-37 storms and associated phenomena, where its significance lies in the variety of lightning 38 types, often with a unique occurrence context and characteristically different electromag-39 netic emissions. Of these lightning types, cloud-to-ground (CG) lightning has been his-40 torically the most studied, because of a more direct impact on society and higher data 41 availability, and it has been associated with high-energy emissions in the upper atmo-42 sphere above thunderstorms (Inan et al., 2010), such as sprites (Franz et al., 1990) and 43 elves (Inan et al., 1991; Fukunishi et al., 1996). But interest on energetic intra-cloud (EIC) 44

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classes, i.e., compact intracloud discharges and energetic in-cloud pulses, has been growing in the last couple of decades, accompanied by a greater understanding of the physical process behind them and their connection to other lightning-related phenomena, such
as fast breakdown and Terrestrial Gamma-Ray Flashes (TGFs).

Compact Intracloud Discharges (CIDs), also known as Narrow Bipolar Events (NBEs) 49 or Narrow Bipolar Pulses (NBPs) based on their radio emission signatures, were first re-50 ported in the 1980s (Vine, 1980; Willett et al., 1989) and were remarked as strong emit-51 ters of HF-VHF radiation characterized by bipolar narrow electric field pulses (10-20 µs). 52 The term CID was coined later by Smith et al. (1999a), who associated the NBEs to other 53 classes of intracloud discharges and inferred their relatively small spatial extent of hun-54 dreds of meters. CIDs were also found to occur either in isolation from other discharges 55 in a storm or as the initiating event of an IC flash (Rison et al., 1999). Smith et al. (1999a) 56 also noted that the events were so different from other lightning phenomena, that a novel 57 type of discharge mechanism seemed to be required to explain them, while (Eack, 2004) 58 stated that even if the breakdown mechanism was the same, with streamers or lightning 59 leaders, the CID impulsive nature and high peak RF power made them distinct from con-60 ventional lightning. 61

Even after four decades of study, there is still no consensus on the mechanisms re-62 sponsible for CIDs, though that is quickly changing. A possible mechanism based on a 63 relativistic runaway electron avalanche (RREA), seeded by an extensive atmospheric shower 64 (EAS) of cosmic rays, was introduced by A. Gurevich et al. (2004) and A. V. Gurevich 65 and Zybin (2005). Following the same RREA-EAS theory, Watson and Marshall (2007) 66 used a modified transmission line model and an exponentially increasing current with 67 altitude to show agreement with electric field change measurements of CIDs. Nag and 68 Rakov (2010) then explained the radio signature of CIDs, particularly their secondary 69 peaks, with a bouncing wave model, where the current oscillates between the two ends 70 of the short channel associated with CIDs. In contrast, Arabshahi et al. (2014) showed 71 that thunderstorm electric fields and cosmic ray energies required to match measured 72 CIDs with the RREA-EAS model were not realistic. Finally, Rison et al. (2016) proposed 73 that CIDs are caused by a type of fast positive breakdown, a precursor mechanism they 74 suggest is associated with all ICs and possibly CG lightning flashes, which was supported 75 by Liu et al. (2019); Tilles et al. (2019) additionally observed fast negative breakdown 76 producing CIDs as well. 77

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Another distinct class of energetic ICs, Energetic In-cloud Pulses (EIPs), were iden-78 tified by Lyu et al. (2015). Suggested by the bimodal distribution of the impulse charge 79 moment change (iCMC) for high peak current lightning (Cummer et al., 2013), there was 80 already strong indication that other high peak current IC events, besides CIDs, might 81 be able to emit strong RF radiation. In contrast to CIDs, EIPs last an order of magni-82 tude longer and are not isolated, spatially or temporally, but instead are associated with 83 smaller discrete pulses within its associated time window, generally embedded in other 84 electrical activity during a storm. Furthermore, in an analysis of a sample of CIDs and 85 EIPs occurring over 44 days in the fall in the Southeastern USA, Lyu et al. (2015) in-86 ferred that while negative CIDs were generated at 16–19km altitude, considered to be 87 the strongest convection altitude during storms, between the upper positive and nega-88 tive screening charge layers in a standard tripole storm, the positive EIPs were produced 89 at 10–13km within a weaker convection region between the main negative and upper pos-90 itive charge layers. Both positive and negative EIPs have been associated with a differ-91 ent subset of Terrestrial Gamma-Ray Flashes (TGFs) (Lyu et al., 2015, 2016, 2018; Lyu 92 & Cummer, 2018), the strongest source of natural radiation on Earth occurring above 93 thunderstorms in the upper atmosphere; the EIP-TGF association indicates that they 94 may be linked by the same production mechanism. A link between elves, EIPs, and TGFs 95 has also been suggested (Liu et al., 2017). 96

The EIP production mechanism is associated with the propagation of negative lead-97 ers, upward leaders with +EIPs and more rarely downward leaders with -EIPs, though 98 it was originally not clear if EIPs were energetic leaders themselves. Recent radio inter-99 ferometry observations provide clarification on the leader-EIP-TGF connection, and sug-100 gest that EIPs are generated by the relativistic discharge responsible for an accompa-101 nying TGF, rather than by streamer or leader activity (Tilles et al., 2020). The EIP pro-102 duction mechanism is thus markedly different from that of CIDs, as also indicated by 103 the different temporal and spatial context in which they occur. 104

In this paper, EICs from different storms during the RELAMPAGO field campaign are investigated. Classification of EIC lightning types is described and validated, with supporting VHF and E-field change data available during the campaign. The prevalence of EICs and some of their properties during RELAMPAGO storms are discussed.

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¹⁰⁹ 2 Background

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2.1 RELAMPAGO Field Campaign

The Remote sensing of Electrification, Lightning, And Mesoscale/Microscale Pro-111 cesses with Adaptive Ground Observations (RELAMPAGO) field campaign was conducted 112 from November to mid-December 2018, parts of the campaign started earlier in 2018 and 113 extended through early 2019 in west central Argentina, in the vicinity of the Sierras de 114 Córdoba and near the city of Mendoza at the foothills of the Andes mountains. Primar-115 ily funded by the National Science Foundation, this campaign was an international col-116 laboration seeking to observe and investigate convective storms that produce high-impact 117 weather (Nesbitt, 2020). This region of Argentina is known to exhibit some of the most 118 intense storms in the world as well as the highest lightning flash rate per storm system 119 (Zipser et al., 2006; Cecil et al., 2015). An association of severe weather with storms oc-120 curring in this region is supported by radiometer observations (Cecil & Blankenship, 2012) 121 and public reports (Rasmussen et al., 2014). 122

The RELAMPAGO campaign incorporated a multitude of instrument types, par-123 ticularly during the intensive observation period between November 1 and December 15, 124 2018. Lightning-observing instrumentation included an array of four Very Low Frequency/Low 125 Frequency (VLF/LF) autonomous magnetic sensors (LFAMS or "LF instrument") de-126 ployed by the University of Colorado Boulder; an 11 station Lightning Mapping Array 127 (LMA; T. J. Lang et al., 2020) deployed by NASA's Marshall Space Flight Center, an 128 array of eight electric field mills (EFMs; Antunes de Sá et al., 2020) deployed by the Uni-129 versity of Colorado Boulder, and an array of 8 field change meters (CAMMA; Zhu et al., 130 2020) deployed by the University of Alabama Huntsville. Many other instruments were 131 deployed or operating during the campaign, including radars, hail pads, and soundings; 132 see Nesbitt (2020) for a full list of deployed instrumentation and an overview of the field 133 campaign. This paper makes use of the geolocated lightning data from the LF instru-134 ments (Antunes de Sa et al., 2021). Other RELAMPAGO datasets are also used in this 135 investigation on RELAMPAGO EICs, including the LMA (T. Lang, 2020) and CAMMA 136 datasets (Zhu et al., 2020; Carey et al., 2019a, 2019b). Unaffiliated datasets that observed 137 RELAMPAGO storms are also used, such as from NOAA's Geostationary Operational 138 Environmental Satellite R series (GOES-R) Advance Baseline Imager (ABI; GOES-R 139 Calibration Working Group & GOES-R Program Office, 2017) and Geostationary Light-140

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¹⁴¹ ning Mapper (GLM; GOES-R Series Program, 2019) instruments, and from the Earth

¹⁴² Networks Total Lightning Network (ENTLN; Heckman, 2014).

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2.2 RELAMPAGO LF Data Products

A brief overview of the deployed LF system and LF datasets that are used in this study to identify various lightning types is provided below. More detailed information about these datasets can be found in Antunes de Sa et al. (2021).

The LF instruments deployed in the RELAMPAGO campaign are based on the 100 147 kHz sampling rate VLF instrument described by (M. Cohen et al., 2010), with the proper 148 modifications for operating at 1 MHz sampling rate and collecting VLF/LF (3-400 kHz) 149 data. The instrument's antenna element consists of two air-core magnetic loop anten-150 nas, aligned with North-South (Channel 1) and East-West (Channel 2) direction. The 151 instrument continuously records radio signals arriving at the antennas, referred to as the 152 LF Level 0 (raw) dataset. Two data products have been released after hierarchical pro-153 cessing of the raw data. The Level 1 dataset (Deierling et al., 2019) is a station-specific 154 collection of lightning waveform data (radio atmospherics or sferics) extracted from the 155 Level 0 data. The Level 2 data product (Deierling et al., 2021) provides information on 156 geolocated lightning events and lightning flashes from the Level 1 sferic observations. A 157 detailed description of the instrument, RELAMPAGO deployment, and data process-158 ing can be found in the accompanying documentation to the data products at the ref-159 erences provided. 160

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2.2.1 Level 1 Data Product

The processing for this data product mainly consists of a peak magnitude search 162 for sferics across the raw data (quadrature addition of the two channels) with a peak stronger 163 than five times the raw data noise floor. Once a possible sferic has been identified, a data 164 window of 1.2 ms is extracted from both channels with the main peak centered at 200 165 μ s. Power-line noise at 50 Hz and harmonics is removed from the data using filtered us-166 ing a "Humstractor" algorithm (M. B. Cohen et al., 2010). Fig. 1 presents an illustra-167 tion of the propagation paths from a typical lightning emission, an example Level 1 sferic 168 from the LF1 receiver, and waveform features used in Section 3.1 for EIC classification. 169 170



Figure 1. Illustrations of the lightning emission propagation path towards the receiver, using a simplified flat-earth assumption, adapted from (Marshall et al., 2015) (top), an IC sferic received by LF1 during RELAMPAGO (bottom), and waveform features A through E used in the EIC parametrization suggested by (Lyu et al., 2015) (right). The paths illustrated and identified in the sferic include a ground wave, propagated directly between source and observer, and sky waves, ionospheric reflections. The ground-ionospheric path is only observed for intra-cloud, where the source height is larger than zero. Paths with more hops are also possible but are rarely observed due to stronger attenuation.

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2.2.2 Level 2 Data Product

The Level 2 data processing, summarized in Fig. 2, involves matching the Level 1 172 sferics, using cross-correlations, into lightning events and extracting time-of-arrival ob-173 servations for geolocation. Geolocation is accomplished using a linearized least-squares 174 filter, which assumes an unbiased gaussian distribution of time of arrival uncertainty of 175 10 µs, a spherical time-of-arrival model, and negligible model and linearization errors. 176 The time of arrival uncertainty is a best guess based on the station clock error correc-177 tion performed at an earlier stage. To ensure the linearization assumption, a low-precision 178 a priori is generated using the non-linear time-of-arrival model and subsequently fed into 179 the least squares filter. Peak current is estimated using peak magnitude observations of 180 an event and an attenuation model based on finite-difference time-domain (FDTD) sim-181 ulations of lightning propagation (Marshall, 2012), under the assumption that a known 182 peak radiated field a distance away from the source, e.g., 100 km, is proportional to the 183 source's peak current by a constant parameter (Orville, 1991). Peak current estimates 184 are set to infinity for events that saturated all observing receivers. A domain mask is used 185



Figure 2. Flowchart describing the geolocation data processing for generating the Level 2 data product. The gray ad-hoc processes are only necessary in handling specific issues with the RELAMPAGO dataset.

to discard geolocated events outside the observable region of the LF array, which varies
depending on which LF stations made an observation for a specific event. A quality measure is computed at the matching step for each event based on the minimum cross-correlation
score across its sferics. Additionally, geolocated events are clustered into lightning flashes
based on a spatiotemporal distance criteria of 10 km to the flash centroid and 0.3 s to
the last event of a flash.

- ¹⁹² **3 Event Processing**
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3.1 EIC Classification

Automatic classification of CID sferics was first demonstrated by Smith et al. (2002), 194 leveraging the fast rise and fall times of the CID pulse and temporal isolation from other 195 VLF/LF emissions from lightning processes. Smith et al. (2002) showed that in the two-196 parameter space of rise-plus-fall time and signal-to-noise ratio, the distinction between 197 the CID and non-CID population was strong enough to allow for a criterion-based clas-198 sification (See Fig. 14, Smith et al., 2002). Similarly, following the discovery of EIPs, Lyu 199 et al. (2015) proposed a CID/EIP classification scheme based on three time-domain pa-200 rameters: pulse width (related to rise-plus-fall time), peak ratio (ratio between opposite 201 polarity peaks in bipolar EIC pulses), and isolation ratio (related to signal-to-noise ra-202 tio). Lyu et al. (2015) manually identified CIDs and EIPs in 44 days of storms in the 203 southern United States during the fall season, and also found a distinction between the 204 lightning type populations. Note that Lyu et al. also implicitly used peak current and 205 CG-IC type as extra classification parameters, only classifying lightning events with a 206

National Lightning Detection Network (NLDN) peak current estimate higher than 200 kA
 and categorized by NLDN as IC lightning.

The three-parameter classification suggested by Lyu et al. (2015) is adopted in this 209 paper, with implementation details and changes described in this section. The RELAM-210 PAGO LF geolocated events data (Level 2 data), which provide time, location, and peak 211 current, in conjunction to the corresponding LF sferic observations for each event (Level 212 1 data) are used. The classification is applied directly to all of the LF data in RELAM-213 PAGO, without peak current or CG-IC type constraints, and peak current is used as a 214 fourth parameter in classification. The 200 kA requirement in Lyu et al. (2015) is restric-215 tive in order to collect only "highly energetic" ICs, and they acknowledge that the NLDN 216 peak current estimate, which is effectively a scaled and normalized peak radiated elec-217 tric field also used in the RELAMPAGO LF data, is not a well calibrated measurement 218 for IC lightning. 219

The classification parameters are derived from key features of the observed sferic waveform, per Lyu et al. (2015) and illustrated in Fig. 1: A preceding the initial peak and at 10% of its maximum value; B following the main peak and at 10% of its maximum; C following the overshoot peak and at 10% its maximum; D at 20 µs after B, and E approximately 500 µs preceding A. The parameters are then defined per Lyu et al. (2015) as follows: pulse width is the duration of the pulse, the time duration between A and C; the peak ratio is the ratio between the first peak in the sferic pulse (initial peak) and the second peak in the pulse (main peak), in the AB window; and isolation ratio is the sum of the preceding- and post-activity ratios. This activity ratio γ is defined in Eq. 1 with the top sum over points in the window AB, and the bottom sum over points in the window EA for preceding activity or over points in the window BD for post activity:

$$\gamma = 10 \times \log_{10} \left[\frac{\frac{1}{M} \left(\sum_{i=1}^{M} B_i^2 \right)}{\frac{1}{N} \left(\sum_{j=1}^{N} B_j^2 \right)} \right]$$
(1)

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Our specific implementation of the waveform feature extraction relies on positive identification of the initial, main and overshoot peaks, and includes basic quality control. The identification of the initial peak, which is the most important feature for successful EIC classification since all other features depend on it, actually starts in the Level 2 data processing. In the Level 1 data, the 1.2 ms extracted sferic has its highest peak centered at the 200th µs, but it often does not capture the initial peak of the sferic. For

identification of the initial peak only, the sferic is filtered by a lowpass IIR 12-order but-226 terworth filter with cutoff frequency at 10 kHz and the first peak in the window is se-227 lected to be the initial peak at the 200th µs, correctly capturing pulses with a weaker 228 groundwave. A cross-correlation score is computed for different sferic observations of the 229 same event, and bad matches, including those with poor alignment, are reflected in this 230 score for later quality control. The applied shifts to the Level 1 data are reported in the 231 Level 2 geolocation process. In the classification algorithm, with the applied shifts to the 232 sferics, the initial and main peaks are found to be the minimum and maximum peaks 233 respectively, or vice-versa for negative polarity pulses, in the 150-250 µs window of the 234 sferic. Both bipolar and unipolar pulses are captured, by setting the first peak to be the 235 initial peak but only if it's smaller (greater) than 10% of the second peak, which is al-236 ways true for bipolar pulses and only true for unipolar pulses with the initial peak be-237 ing greatest in magnitude. This also limits all unipolar pulses to a peak ratio of at most 238 10. Note that EICs are bipolar, with possible overshoots. A and B are then picked to 239 be the first point in time that satisfy the criteria in the previous paragraph, with B not 240 exceeding 100 µs from the main peak. The overshoot peak is found to be the next opposite-241 polarity peak within 30 μ s of B, and again C is picked in the 35 μ s window after the over-242 shoot peak. If the overshoot peak or C cannot be found, C is set to be the same as B. 243 For any other feature that cannot be found to satisfy the criteria, the classification is dis-244 carded. Note that the window limits are all within what is expected of EIC waveforms, 245 but it is biased against the slowest CG waveforms. Also note that E is set to the begin-246 ning of the sferic record, which is at most 200 µs before the initial peak, and the clas-247 sification is discarded if E is less than 100 µs before A to avoid overestimation of the iso-248 lation ratio. 249

With the classification parameters computed for every sferic observed for each event 250 (maximum of 4 sferics per event, from our 4 LF receivers), the parameter's averages are 251 used in the EIC classification. Because receiver saturation affects the observations, es-252 pecially for higher peak current events close to the stations, observations from saturated 253 sferics are not used in the parameter's averages. If all stations saturated, the parame-254 ter from LF4 was used due to that station's much higher saturation point. Other saturation-255 related issues include some underestimation of high peak currents or the inability to com-256 pute peak current for very strong events, which are reported in the LF level 2 data with 257

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an "infinite" peak current. In the worst-case, saturation can cause heavy distortion of
 the sferic waveform, preventing successful matching and geolocation.

In order to capture the strongest high peak-current events, possibly not captured 260 in the LF level 2 data, ENTLN pulse data (analogous to LF Level 2 events) with reported 261 peak-currents higher than 100 kA are matched to RELAMPAGO LF Level 1 data and 262 used in the classification of these events. Note that this relaxes the Level 2 event require-263 ment of having at least 3 sferic observations for an event, as one sferic is enough for an 264 ENTLN-based event to be classified. A large number of ENTLN events are actually in 265 the LF Level 2, some with under-estimated peak currents, some with similar peak cur-266 rents, and most with peak current set to infinity due to saturation. To avoid duplicat-267 ing the events, the matching ENTLN pulse information replaces those LF Level 2 event 268 entries. A match is considered when an ENTLN event is within 0.5 ms of sferics used in 269 an LF event entry, corrected for the propagation delay expected from the ENTLN-reported 270 source location to our LF receivers. About half of the ENTLN events are seen in the LF 271 data, with periods of higher LF loss such as November 10 and 11, and other times with 272 more matches. Of all the events to be classified, i.e., LF events with peak-currents higher 273 than 10 kA, only a small percentage, <1%, are taken from ENTLN 100 + kA. 274

3.2 EIC Height

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Given the geometry of the lightning emissions, ground and reflected skywaves (Fig. 1), it is possible to estimate the lightning source height for ICs. Although the reflection mechanism at the ionosphere is more complicated than a perfect reflection, the assumption is acceptable within the uncertainties discussed here. Smith et al. (1999a) derived a flat-earth model of the skywave reflection geometry with the 1-hop ground-skywave delays, Δt for source-ionosphere and $\Delta t'$ for source-ground-ionosphere, given by:

$$\Delta tc = \sqrt{d^2 + (2h_{\rm iono} - h_{\rm s})^2 - \sqrt{d^2 + h_{\rm s}^2}}$$

$$\Delta t'c = \sqrt{d^2 + (2h_{\rm iono} + h_{\rm s})^2 - \sqrt{d^2 + h_{\rm s}^2}},$$

(2)

where d is the great-circle distance between source and receiver, h_{iono} is the ionosphere reflection height, h_s is the source height, and c is the speed of light. This model is simple yet useful and has been used in CID height estimates (e.g., Wu et al., 2011, 2012), though a slightly more complicated spherical Earth method has also been used extensively (e.g., Smith et al., 2004; Zhang et al., 2016). The flat-earth assumption produces a model error below 300 m for the source height estimate, which is much smaller than
the uncertainty caused by the location precision of a few kilometers.

The skywave delay observations are extracted from the sferic records by finding the 283 two strongest positive and two strongest negative peaks after the waveform feature D. 284 The first of four peaks is checked to be followed by the opposite polarity peak within 20 µs. 285 The groundwave initial or main peak is then subtracted from the skywave peaks, accord-286 ing to the order in which they appear in the sferic, i.e., first peak of a skywave is sub-287 tracted by the initial peak and second peak of skywave, if it exists, is subtracted by the 288 main peak. At best, each sferic yields 4 observations, if none are discarded throughout 289 the process. 290

The source height and ionosphere height can then be estimated using the obser-291 vations, which form an over-determined system when more than 2 observations are ac-292 quired. A statistical linear least squares is employed in estimating the heights, with an 293 assumed normal observation uncertainty of 2 µs for each delay, estimated empirically from 294 the observation detection and timing errors. Note that the uncertainty in the observa-295 tion pairs from the same sferic are not independent, and violating that assumption leads 296 to slight underestimation of height uncertainty. An *a priori* is given to the filter with 297 source height 10 km, and ionosphere height between 88 km (night) and 73 km (day), with 298 a fast transition during twilight, based on ionosphere height estimates in (Fig., 6 Smith 299 et al., 2004) and the RELAMPAGO dataset. Since there is a large contribution of erroneously-300 detected skywaves which provide inaccurate height estimates, and the ionospheric height 301 can be reasonably constrained, a data editing scheme is employed based on the filter in-302 novation, i.e., the pre-fit residual (observation-minus-expected). The mean innovation 303 is computed for a reflection pair, minimizing the source height dependence, and if it is 304 larger than 7.5 times the observation uncertainty of $2 \,\mu s$ (or 15 μs , roughly equivalent to 305 ± 4.5 km), that observation pair is discarded. Observation pairs that, by themselves, yield 306 IC heights less than 5 km or higher than 24 km are also discarded. 307

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The innovation filter is highly successful in removing bad observations which could otherwise greatly affect the height estimate, since the filter is not robust to bad observations. Observations from all stations are weighted the same even though at least one station is likely to yield bad observations, e.g., depending on lightning location and the fact that one pair of observations in a sferic is smaller and sometimes unidentifiable. In-

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stead of removing stations, and only keeping the stronger reflection pair, the filter is able

- to utilize those observations when possible and increase estimate precision. For valida-
- tion, a plot of ionosphere height estimates from EICs during November 12, 2018 is shown
- in Fig. 3, where the method not only estimates a reasonable diurnal variation in the iono-
- ³¹⁷ sphere height, but also discards outliers and automatically selects the best observations
- to match the ionosphere height prior. Note that the innovation filtering has no direct
- ³¹⁹ impact on the source height estimate, except for the benefits of selecting the best ob-
- servations for its computations, and are allowed to vary significantly from its prior of 10 km according to the observation model, Eq. 2.



Figure 3. Plot of the CID and ionosphere height estimate that accompanies the height estimate of 1075 CIDs, of which a height estimate could be achieved for 947 CIDs, during RELAM-PAGO storms on November 12 2018.

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322 4 RELAMPAGO EICs

Classified EICs from the RELAMPAGO campaign are presented in this section and the classification results are investigated. There are about 100,000 lightning events collected by the LF system from select days during the RELAMPAGO campaign that were used in the classification. They are described in Table 1. Only events with an estimated peak current higher than 10 kA are classified, because the sferics associated with weaker events and lower signal-to-noise ratio start to lose waveform features to the noise floor.

- ³²⁹ To give context to the events, flash information for the same period is also presented,
- including flash rates, average flash peak current, $I_{\rm pk}$, average multiplicity, and the po-
- sition in time of the highest peak current event in a flash, τ_{flash} , as a percentage. Flash
- peak current is reported as the maximum peak current of its constituent events, and τ_{flash} is only computed for flashes with multiplicity higher than one.

Table 1. RELAMPAGO LF events, with peak current higher 10 kA, used in the EIC classification and their average peak current, $I_{\rm pk}$, are presented to the left for a selection of dates during the campaign. All RELAMPAGO LF flashes are also presented for context, including average flash peak current, multiplicity and the position in time of the highest peak current event in a flash, $\tau_{\rm flash}$, as a percentage.

${f Events} \ge 10{f kA}$				Flashes					
Date	Count (#)	Rate (\min^{-1})	$I_{ m pk}$ (kA)	Rate (\min^{-1})	$\begin{array}{c} \text{Max Rate} \\ (\text{min}^{-1}) \end{array}$	$I_{ m pk}$ (kA)	Mult. (#)	$ au_{\mathrm{flash}}$ (%)	
11/3/18	3861	2.68	17.27	5.45	74	6.76	3.31	59	
11/10/18	15273	10.61	18.16	22.61	164	8.2	4.31	63	
11/11/18	45731	31.76	16.67	53.26	499	8.56	5.07	67	
11/12/18	22904	15.91	22.29	11.56	75	11.95	4.36	54	
11/17/18	2324	8.64	17.04	8.59	22	8.91	2.98	64	
11/26/18	3449	8.2	19.29	9.1	64	7.79	4.12	63	
12/04/18	4709	3.27	18.6	5.8	77	7.22	3.92	55	
All	98251	11.58	18.43	16.62	499	8.68	4.61	63	

333

Fig. 4 (top) shows the distribution of events on November 12, with the second high-334 est number of events reported and highest average peak current in a single day, in the 335 classification parameter space. As expected, the population of CIDs, with low pulse width 336 and high isolation ratio, is distinct from the rest of the distribution. A selection crite-337 ria of pulse width less than 50 µs, isolation ratio higher than 60 dB, and no criterion for 338 either peak current or peak ratio is chosen for CIDs. EIPs, on the other hand, are much 339 harder to identify. Since only very high peak current EIPs have been identified in the 340 past, a peak current requirement is set for EIPs to record at least 200 kA of peak cur-341 rent, just as in Lyu et al. (2015). Also following the suggestions and discussions by Lyu 342



Figure 4. Distribution of RELAMPAGO LF events in the classification parameter space (top), i.e., pulse width (PS), peak ratio (PKR), isolation ratio (ISO), and peak current, and classified EIC LF events (bottom) on November 12 2018 for the whole day on November 12 2018. A low pulse-width high isolation population, expected for CIDs, is distinguishable from other events, in agreement with (Fig. 14, Smith et al., 2002) and (Fig. 1, Lyu et al., 2015). The population of EIPs, however, is not obvious.

- et al. (2015), the EIP criterion for peak ratio is set to less than 1, i.e., main peak stronger than initial peak. Other criteria were not set given the already low number of potential EIPs, and so that more events could be investigated before being discarded.
- The resulting EIC population after applying the selection criteria is shown in Fig. 4 (bottom). Through manual validation of the sferic waveforms and against other RELAM-PAGO datasets such as from the LMA or CAMMA, we find that the criteria for CIDs,

used for all dates, successfully selects the CID population. Though the CID population 349 changes slightly on different dates, with smaller pulse width average (faster), the 50 µs 350 criterion captures the slower events of that population when they exist, and the $60 \, dB$ 351 criterion prevents non-CID events from being captured when the population is faster. 352 As these criteria are relaxed, the number of false-positive CIDs quickly increases and true-353 positives decreases. Some true positives still exist outside the selection region due to er-354 rors in the computation of classification parameter, e.g., near-saturated/distorted sfer-355 ics. With the chosen criteria, the number of CID false-positives is found to be small, <3%. 356

On the other hand, few potential EIP waveforms, if any, seem to agree to what is 357 expected from past research. Most of the classified EIPs are actually highly saturated 358 for all stations except LF4, and far enough away that the skywave blends with the ground-359 wave main peak, artificially deflating the peak ratio measure to fulfill the EIP selection 360 criteria. This is obvious from many potential EIP waveforms with similar features, and 361 the corresponding CAMMA record for one of these EIP candidates coincides to within 362 1 ms and 5 km from two CAMMA sources near the ground, indicating a CG source. Ad-363 ditionally, the number of potential EIPs is very small, with just a handful occurring in 364 well-observed RELAMPAGO storms and most at the edges of the LF observation region. 365 Thus, this dataset might not be able to provide further insights into EIPs, aside from 366 their supposed absence in the LF observed RELAMPAGO storms and classification com-367 plexity under saturated and distant receivers. As such, we focus the present analysis on 368 CIDs. 369

Table 2 presents the properties associated with the classified CIDs, including preva-370 lence, average peak current, and source height. The most striking result is that the source 371 height for +CIDs on November 10 is much higher than -CIDs. It is also accompanied 372 by the smallest pulse widths recorded. The occurrence of the CID's was associated with 373 several supercell storms that occurred that day, two of which are investigated in Section 5. 374 November 12, characterized by a very large number of discrete non-severe storms, also 375 displayed a large percentage of CIDs per storm, with one of these investigated in Sec-376 tion 5. Across all days, the source height distribution indicates higher altitudes for the 377 rarer –CIDs than for +CID, as expected from past research, but not statistically sig-378 nificant given their uncertainties. A better understanding on the charge structure of the 379 storms occurring on the investigated days is necessary for further conclusions about CID 380 heights, some of which is provided in Section 5. The absence of CIDs on November 3 also 381

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Table 2. Properties of classified positive and negative polarity CIDs classified from a selection of dates during the campaign. These include a total count of CID, the percentage of CIDs in the pool eligible events (Table 1), average peak current, average estimated source height (Section 3.2), average classification parameters pulse width (PS), peak ratio (PKR), isolation ratio (ISO), average multiplicity of its parent flash, and the average position in time of the CID within its parent flash, $\tau_{\rm flash},$ as a percentage.

Date	Count (#)	Count (%)	$I_{ m pk}$ (kA)	$h_{ m s}$ (km)	$\begin{array}{c} \mathrm{PS} \\ \mathrm{(\mu s)} \end{array}$	PKR ()	ISO (dB)	Mult. (#)	$ au_{\mathrm{flash}}$ (%)
11/3/18	0	0	N/A	N/A	N/A	N/A	N/A	N/A	N/A
11/10/18	570	3.73	26.62	13.73	21.8	2.43	66.79	2.65	54
11/11/18	458	1.0	43.6	9.66	34.4	2.28	67.42	3.82	0.36
11/12/18	1027	4.48	44.27	9.31	34.07	2.58	70.47	3.55	24
11/17/18	63	2.71	19.45	9.07	35.22	1.62	63.75	2.78	55
11/26/18	97	2.81	24.7	8.83	35.25	2.07	69.34	4.82	39
12/04/18	78	1.66	17.16	9.82	37.51	1.31	63.74	4.82	41
All	2293	3.38	37.32	10.47	31.28	2.39	68.48	3.46	36
				-CIDs					
	Count	Count	$I_{\rm pk}$	$h_{ m s}$	\mathbf{PS}	PKR	ISO	Mult.	τ_{flash}
Date	(#)	(%)	(kA)	(km)	(μs)	()	(dB)	(#)	(%)
11/3/18	1	0.03	13.14	N/A	29.5	1.35	66.42	3	0
11/10/18	191								
	191	0.86	21.39	11.17	28.84	2.07	65.78	3.31	68
11/11/18	101	0.86	21.39 18.8	11.17 12.14	28.84 27.54	2.07 2.51	65.78 63.73	3.31 4.19	68 56
11/11/18 11/12/18	101 48	0.86 0.22 0.21	21.39 18.8 26.48	11.17 12.14 9.96	28.84 27.54 30.8	2.07 2.51 3.45	65.78 63.73 65.24	3.31 4.19 2.9	68 56 31
11/11/18 11/12/18 11/17/18	101 48 17	0.86 0.22 0.21 0.73	21.3918.826.4817.16	11.17 12.14 9.96 9.96	28.84 27.54 30.8 27.41	2.07 2.51 3.45 1.44	65.78 63.73 65.24 63.33	3.31 4.19 2.9 2.12	68 56 31 45
11/11/18 11/12/18 11/17/18 11/26/18	131 101 48 17 33	0.86 0.22 0.21 0.73 0.96	21.3918.826.4817.1616.23	11.17 12.14 9.96 9.96 8.63	28.84 27.54 30.8 27.41 27.99	2.07 2.51 3.45 1.44 2.83	 65.78 63.73 65.24 63.33 63.52 	3.31 4.19 2.9 2.12 3.27	68 56 31 45 44
11/11/18 11/12/18 11/17/18 11/26/18 12/04/18	131 101 48 17 33 34	0.86 0.22 0.21 0.73 0.96 0.72	21.39 18.8 26.48 17.16 16.23 20.4	11.17 12.14 9.96 9.96 8.63 10.05	28.84 27.54 30.8 27.41 27.99 30.75	2.07 2.51 3.45 1.44 2.83 1.95	 65.78 63.73 65.24 63.33 63.52 63.55 	3.31 4.19 2.9 2.12 3.27 3.18	68 56 31 45 44 35

+CIDs

needs to be investigated further for the individual storms on that day (not included in 382 this study), given the similar count of LF events to November 17, 26 and December 4, 383 which saw a much higher prevalence of CIDs. Finally, the distribution of τ_{flash} , the po-384 sition in time of the CID within its parent flash, shows enough variability to prevent strong 385 conclusions. Overall, in our study +CIDs occur earlier in the flash, especially for flashes 386 with low multiplicities. -CIDs occur later in the lifetime of a flash regardless of mul-387 tiplicity. This measure of τ_{flash} and multiplicity are highly affected by event detection 388 efficiency (Antunes de Sa et al., 2021), which might explain some of the variability. 389

A supercell that occurred on November 10 is particularly useful in validating the 390 classification because it occurred in the middle of the main RELAMPAGO instrument 391 deployment region. As an example of the EIC validation capability for this dataset, Fig. 5 392 shows the set of four LF sferics for an observed +CID, and an XLMA-style plot of CAMMA 393 sources (LMA sources are also available). The sferic panel includes the classification fea-394 tures A-D explained in Section 3.1, as well as the skywave peak observations used in the 395 source height estimate, explained in Section 3.2. Sferics from LF1, LF2, and LF3, all sat-396 urated to a certain extent, which certainly affected their waveform features, and so these 397 were not used in the computation of the classification parameters. The identification of 398 skywave peaks is also successful, yielding 3 pairs of observations for the ionosphere and 399 source height estimates. Note that other observation pairs were erroneously identified 400 (not shown) but subsequently discarded by the innovation filter. The XLMA-style plot 401 shows the isolated CID (light blue triangle) occurred between two flashes, with a coin-402 ciding CAMMA source within 2 km in altitude, within the uncertainty of both sources. 403 The EICs in this storm are investigated in the next section. 404

405

5 Storm Case-Studies

406

5.1 November 10, 2018, 19:30-22:30 UTC

The supercell storm of November 10, 2018, 19:30–22:30 UTC is one of the best RE-LAMPAGO examples for EIC research in terms of data availability. It displayed a relatively high number of CIDs, and was observed by most of the major RELAMPAGO instruments, including radar sites.

Fig. 6 presents a map of the CID occurrence along with a time evolution panel during that storm. The two maps at the top of Fig. 6 display the locations of identified EICs



Figure 5. Panel of the LF sferics observed for a +CID (left) and XLMA-style plot of CAMMA sources (right). Sferic features A-D, initial, main and overshoot peaks, as well as skywave peaks (green and red squares) are displayed. On the right, CAMMA sources are color coded by time and flash boundaries are depicted using right- and left-pointing triangles in the top plot.

(left map), and locations of all LF flashes for this storm (right map), with markers color-413 coded by UTC time. The location markers are overlaid on ABI data for 22:05 UTC. The 414 time evolution panel, below the maps, contains four plots. From top to bottom, the first 415 plot shows EIC and LF flash rates (#/minute), the second plot shows the distribution 416 of flash peak current, the third and fourth plots show a height distribution of all LMA 417 sources in linear and log scales. Also on the third plot, +CID (red) and -CID (blue) source 418 heights (circles) and coinciding LMA source heights (crosses) within 1 ms and 25 km are 419 overlaid on the LMA height distribution. Before 20:40 UTC, only six LMA stations were 420 operating, which is responsible for low detection efficiency, but a seventh station went 421 online after that time providing higher quality data. Two animations are provided in the 422 supplementary materials highlighting this storm evolution and CID occurrence. In the 423 LMA source density animation, Movie S2, a lightning hole is observed between 20:50 and 424 21:00 UTC. 425

Although the charge structure in this storm cannot be easily identified, given the low number of LMA stations, and might have been highly variable given its supercell char-

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acteristics, it is clear that the much higher +CID heights are occurring in the overshooting tops, possibly above a normal upper positive charge layer, or within a top negative
layer of an inverted structure. The lower number of LMA operating stations prior to 20:40
UTC unfortunately prevents a conclusive understanding of the charge structure. A number of lower-altitude -CIDs, and of even lower +CIDs, later in the storm might suggest
a normal charge structure, consistent with the more common CID heights reported in
the literature (e.g., Smith et al., 2004; Wu et al., 2012; Lyu et al., 2015; Zhang et al., 2016).

435

5.2 November 12, 2018, 13:00–15:30 UTC

In contrast to the November 10 severe storm, one of the non-severe storms of Novem-436 ber 12 is shown in Fig. 7, a similar panel to Fig. 6. This storm occurred between 13:00– 437 15:30 UTC moving south from near the city of Río Cuarto. Even though the storms on 438 this day were not severe convection based on their lightning production and weaker in 439 comparison to the storms that occurred on 10 November, there was a high variability 440 in +CID occurrence. The case shown here is the one with the highest percentage of +CID 441 occurrence of all observed storms, comprised of about 40% of all events with peak cur-442 rent higher than 10 kA, and of the highest average peak current observed in the RELAM-443 PAGO LF lightning data. Given the energy budget of these weak storms, the extraor-444 dinarily high peak currents seen are likely due to the speed of the breakdown, while the 445 charge transfer is actually relatively small (See Rison et al., 2016). 446

The more common CID height around 10 km is more prevalent in this storm as seen in Fig. 7, and on most RELAMPAGO storms excluding the cases on November 10. Nonetheless, a population of higher-altitude CIDs is still observed. Because of the large distance between this storm and the LMA, very few LMA sources are detected and they cannot provide validation of CID heights or charge layers.

Further studies are needed to understand where these high peak currents and high CID prevalence storms occur and what differentiates them from storms with less CID occurrence. Are they associated with higher IC prevalence storms, strong updrafts (Suszcynsky & Heavner, 2003), and/or geographical conditions (Sharma et al., 2008; Ahmad et al., 2010)?

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Figure 6. EIC panel displaying maps of the identified CIDs and EIP (top left), and all LF flashes (top right) for the November 10, 2018, 19:30–22:30 UTC storm near LF2. The bottom panel presents the time evolution for EICs in this storm, including EIC rates, flash peak current distribution, +CID (red) and -CID (blue) source heights (circles) and coinciding LMA source heights (crosses) within 1 ms and 25 km, on top of the distribution of all LMA source heights. The one EIP candidate identified here is actually a CG validated by CAMMA.

457 6 Summary

458 459 In this paper, we have investigated the classification of energetic intra-cloud (EIC) lightning events during the RELAMPAGO campaign in Argentina in late 2018. The EIC



Figure 7. EIC panel displaying maps of the identified CIDs and EIP (top left), and all LF flashes (top right) for the November 12, 2018, 13:00–15:30 UTC Storm. The bottom panel presents the time evolution for EICs in this storm, including EIC rates, peak current distribution, +CID (red) and -CID (blue) source heights (circles) and coinciding LMA source heights (crosses) within 1 ms and 25 km, on top of the distribution of all LMA source heights.

classification implementation is described in the context of previously established research,
 with comprehensive details on the sferic feature identification. Similarly, an implemen tation of EIC height estimations using skywaves was built upon established literature,

but additional implementation details were presented, particularly in pre-fit (innovation) 463 editing. An EIC catalog was built for the entire RELAMPAGO LF dataset, and vali-464 dated, when possible, using other available datasets such as from LMA, CAMMA, or ENTLN. 465 A small number of high peak current events that might not have been present in the LF 466 Level 2 data were added by using ENTLN sources. The classification of CIDs proved to 467 be straightforward due to the clearly distinct population of CIDs in the classification pa-468 rameter space, with a low number of false positives $(\langle 3\% \rangle)$. Most candidate EIPs, on the 469 other hand, did not pass manual validation. Many suffered from misidentification of their 470 sferics' main peak when the skywave merged with the groundwave for lightning sources 471 far from the receiver. Saturation heavily distorted the high peak current sources eligi-472 ble for EIP classification. Lastly, a low number of 200+ kA events, a loose requirement 473 for EIP classification, did not provide enough samples for this study. Properties of RE-474 LAMPAGO CIDs, both positive and negative polarity, were investigated. Those prop-475 erties largely agree with past research on CIDs. The most striking observation was that 476 of higher altitude +CIDs than expected, for November 10, and high variability of CID 477 prevalence, as high as 40% of 10 + kA events, in ordinary storms on November 12. The 478 unusually high +CID populations on November 10 seemed to be associated with over-479 shooting tops, but further investigation on charge structure and storm kinematics are 480 needed. 481

Using the LF EIC dataset produced and described in this paper, along with other meteorological datasets, future research can address CID variability and height in RE-LAMPAGO storms. In particular, future work should be aimed at understanding the extreme difference in CID occurrence between non-severe storms on November 3 and 12, and further investigating the supercells on November 10 with high-altitude +CIDs and few -CIDs.

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RELAMPAGO LF, LMA, ABI and GLM datasets used for this research are available in these in-text data citation references: (Deierling et al., 2019), user agreement required; (T. Lang, 2020), user agreement required; (GOES-R Calibration Working Group & GOES-R Program Office, 2017), user agreement required; and (GOES-R Series Program, 2019), user agreement required. ENTLN data supporting this research are available upon request from Earth Networks (Earth Networks, 2020), under an appropriate license or user agreement, and are not accessible to the public or research community.

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