# Elucidating the Impacts of COVID-19 Lockdown on Air Quality and Ozone Chemical Characteristics in India

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November 28, 2022

#### Abstract

India implemented stay-at-home order (i.e. lockdown) on 24 March 2020 to decrease the spread of novel COVID-19, which reduced air pollutant emissions in different sectors. The Weather Research and Forecasting model with Chemistry (WRF-Chem) was used to study the changes in air pollutants during the lockdown period in 2020 compared with similar period in 2019. We found that both meteorology and lockdown emissions contributed to daytime  $PM_{2.5}$  (-6% and -11%, respectively) and ozone (-6% and -8%, respectively) reduction averaged in April 2020 in the Indo-Gangetic Plain. However, the ozone concentration response to reductions in its precursors (i.e. NO<sub>2</sub> and VOCs) due to the lockdown emissions was not constant over the domain. While ozone concentration decreased in most parts of the domain, it slightly increased in major cities like Delhi and in regions with many power plants. We utilized the reaction rates information in WRF-Chem to study the ozone chemistry. We found carbon monoxide, formaldehyde, isoprene, acetaldehyde, and ethylene as the major VOCs that contribute to the ozone formation in India. We used the ratio of chemical loss of radicals with radicals and NOx, and its corresponding formaldehyde to NO<sub>2</sub> ratio (FNR) to find the ozone chemical regimes. Using the upper limit of FNR transition region (1.3), we found that most parts of India are within NOx-limited regime while urban regions and the regions with many power plants are in a VOC-limited regime. As a result, policy makers should study the characteristics of a region before implementing mitigation strategies.

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## 12 Key Points:

- Both emission and meteorological changes led to cleaner air during the lockdown period in April
   2020 in India.
- Ozone formation in most parts of India is within NOx-limited photochemical regime.
- Carbon monoxide, formaldehyde, isoprene, acetaldehyde, and ethylene are the major volatile organic
   compounds of ozone formation in India.

18

# 19 Abstract

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21 COVID-19, which reduced air pollutant emissions in different sectors. The Weather Research and

22 Forecasting model with Chemistry (WRF-Chem) was used to study the changes in air pollutants during

the lockdown period in 2020 compared with similar period in 2019. We found that both meteorology and

24 lockdown emissions contributed to daytime PM<sub>2.5</sub> (-6% and -11%, respectively) and ozone (-6% and -8%,

25 respectively) reduction averaged in April 2020 in the Indo-Gangetic Plain. However, the ozone

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27 was not constant over the domain. While ozone concentration decreased in most parts of the domain, it

slightly increased in major cities like Delhi and in regions with many power plants. We utilized the

reaction rates information in WRF-Chem to study the ozone chemistry. We found carbon monoxide, formaldehyde, isoprene, acetaldehyde, and ethylene as the major VOCs that contribute to the ozone

formation in India. We used the ratio of chemical loss of radicals with radicals and NOx, and its

32 corresponding formaldehyde to NO<sub>2</sub> ratio (FNR) to find the ozone chemical regimes. Using the upper

limit of FNR transition region (1.3), we found that most parts of India are within NOx-limited regime

while urban regions and the regions with many power plants are in a VOC-limited regime. As a result,

35 policy makers should study the characteristics of a region before implementing mitigation strategies.

# 36 **1. Introduction**

37 While COVID-19 virus is a global disaster in terms of its health and economy damages, it provides a

38 unique opportunity in earth system sciences (Gorris et al., 2021). As many countries initiated stay-at-

39 home orders (hereafter called lockdown) in early 2020 to control the spread of the virus, anthropogenic

40 air pollutant emissions started to decline in different sectors (Doumbia et al., 2021). While many studies

41 had used numerical models to understand the impacts of stringent future emission control "scenarios" on

42 air quality (Amann et al., 2020), worldwide lockdowns introduced a scenario accompanied by actual

43 observational data. As a result, numerous studies have studied the changes in air pollution using ground

44 measurements (e.g. Shi and Brasseur (2020)) and satellite data (e.g. Goldberg et al. (2020)). An

exhaustive review on these studies can be found in Gkatzelis et al. (2021). However, these data have
spatial or/and temporal gaps. In order to utilize the models, some studies used available activity data (e.g.)

47 Doumbia et al. (2021)) or inverse modeling (e.g. Souri et al. (2021)) to update emission inventories.

48 Air pollution is a concerning issue in India due to its large health and environmental impacts (Ghude et

43 All pollution is a concerning issue in india due to its large nearth and environmental impacts (Ondde et 49 al., 2014; HEI, 2018). While even extreme air pollution events are not unusual in India (Roozitalab et al.,

50 2021), clean air due to the lockdown was unusual and attracted people and media's attention (Gupta,

50 2021), clean an due to the lockdown was unusual and attracted people and media's attention (Cupta, 51 2020). In India, the lockdown officially started on 24 March 2020 and continued in four phases until the

end of May 2020. While residential and power sectors emissions did not show large changes, large

emission reductions were reported in other sectors such as transportation (Beig et al., 2021). This

reduction in emissions resulted in different changes in air quality over India. Relatively short lifetime of

 $NO_2$  makes it a suitable tracer of local NO<sub>x</sub> emissions (Goldberg et al., 2019). Figure 1 shows the

56 tropospheric column NO<sub>2</sub> concentration in April 2019 and 2020 over northern India retrieved from the

57 TROPOspheric Monitoring Instrument (TROPOMI) satellite data. Lower values in April 2020 compared

58 with 2019 indicate reductions in NOx emissions in most parts of India except the thermal power plant

59 regions. For example, NO<sub>2</sub> concentrations showed large reductions over Delhi and other urban regions

60 due to the lower activities in transportation sector. However, the demand for electricity showed small

61 changes during the lockdown period; NO<sub>2</sub> concentrations did not change very much over the thermal

62 power plants region (ESA, 2020). Other studies using TROPOMI and Ozone Monitoring Instrument

63 (OMI) satellites and ground measurements data confirmed these changes (Biswal et al., 2020).

Many studies used measurement data and studied the changes in air pollutant concentrations in Indian 64 regions during different phases of the lockdown period (Jain & Sharma, 2020; V. Kumar et al., 2020; 65 Kumari & Toshniwal, 2020; Mahato et al., 2020; Selvam et al., 2020; Singh et al., 2020). While the 66 67 studied periods are different in each study, the conclusion was solid. All of them found significant 68 reductions in PM<sub>2.5</sub> and NO<sub>2</sub> concentrations when compared to pre-lockdown period or previous years. A limited number of studies have also investigated the lockdown emission effects in India. Zhang et al. 69 70 (2021) used WRF-CMAQ model to study the pre-lockdown-to-lockdown air quality changes in India. 71 between 21 February and 24 April 2020, by decreasing the emissions in industrial (82%), transportation 72 (85%), and energy (26%) sectors during the lockdown period. They found that air quality improved over 73 India for all the pollutants with some exceptions of MDA8 ozone for some urban areas. Dumka et al. 74 (2021) used the WRF-CHIMMERE model and simulated significantly lower PM<sub>2.5</sub> and NO<sub>2</sub> 75 concentrations over India during the lockdown period (25 March and 17 May 2020) compared with the 76 pre-lockdown period by completely excluding traffic and industrial sectors from the emission inventory. 77 Gaubert et al. (2020) used CESM2 model and adjusted emission based on the work by Doumbia et al. (2021) to study the meteorological and lockdown emission impacts on the secondary atmospheric 78 79 pollutants during the lockdown period over the world. They found that the lockdown emissions reduced ozone in India, while meteorology had both decreasing and increasing effect on ozone concentration. In 80 81 general, the above-mentioned studies found unexpected changes in ozone concentrations. While large 82 reductions in NO<sub>2</sub> concentration, as its' precursor, were found, lower reductions were reported in ozone 83 concentrations (Zhang et al., 2021). Moreover, some enhancements in observed ozone concentrations 84 compared with the pre-lockdown period were found (Kumari & Toshniwal, 2020; Mor et al., 2021). 85 Similarly in global scale, Miyazaki et al. (2020) found that NOx emission reductions due to the COVID-86 19 lockdowns led to about 2% reduction in the global tropospheric ozone burden, while surface ozone 87 concentrations increased in some regions (Shi & Brasseur, 2020; Sicard et al., 2020). 88

88 Different response of ozone to its precursors' changes is due to its complicated chemistry. Chen et al. 89 (2020) studied the sensitivity of ozone formation to its precursors and aerosol loading in Delhi. They

found that a reduction by more than 65-80% in NOx emissions alone was needed to reduce the ozone

91 concentration, whereas VOC emission reductions were the efficient control strategy. On the other hand,

- 92 the effects of meteorology should also be considered when studying the differences in concentrations
- between 2020 and previous years. Gkatzelis et al. (2021) found only about one-third of all the global
- studies on the effects of COVID-19 accounted for impacts of changing meteorology. For example,
- 95 Goldberg et al. (2020) showed that meteorological conditions alone decreased tropospheric column NO<sub>2</sub>
- concentration by a median of 21.6% in the United States (US) in 2020 compared with 2019. Different
   meteorology can also change the amounts of biogenic emissions, dust emissions, and biomass burning
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- 98 emissions. As a result, a modeling study is required to investigate the impacts of meteorology and 99 lockdown emissions on the air quality during the lockdown period. Furthermore, numerous modeling
- studies have been performed over India with the primary focus on PM (Garaga et al., 2018), while only a
- few of them have studied ozone (Luke Conibear et al., 2018; Ghude et al., 2016; Kota et al., 2018; R.
- 102 Kumar et al., 2012; Sharma et al., 2017).
- 103 The objectives of this study are to understand 1) how the meteorology and COVID-19 lockdown
- 104 emissions affected air quality in northern India and 2) how ozone precursor's emissions contributed to
- 105 ozone formation in India. To achieve these objectives, we used the regional Weather Research and
- 106 Forecasting Model with Chemistry (WRF-Chem) version 4 to simulate the air quality during March and

- 107 April in 2019 and 2020. We also utilized the Integrated Reaction Rate (IRR) capability in this version to
- 108 understand how ozone formed in different regions (i.e. urban, non-urban, and a thermal power plant
- 109 region) in India and how it changed during the lockdown period. To account for emission changes during
- the COVID-19 lockdown period, we used the adjustment factors proposed by Doumbia et al. (2021).
- 111 The paper is organized as follows. First, we provide a description of the WRF-Chem model and
- adjustment factors used to account for the lockdown period emissions, and evaluate the modeling results
- against ground measurements data in 2019 and 2020. Then, we study the effects of meteorology and
- 114 lockdown emissions on air quality using different modeling experiments. Finally, we use the IRR and
- study the ozone chemistry in different regions in India and provide a summary of the findings.



116

117Figure 1 Tropospheric column NO2 concentrations over the WRF-Chem modeling domain averaged for April a) 2019 and b)1182020 retrieved from TROPOMI on board the Copernicus Sentinel-5 Precursor satellite. The three regions used for process119analysis has been marked and also shown on Figure S1. The quality assurance more than 0.5 was used.

# 120 **2. Methods:**

# 121 **2.1. WRF-Chem modeling**

122 WRF-Chem model version 4.0 was used in this study in order to utilize its new IRR capability (Grell et 123 al., 2005; Pfister et al., 2019). We used a single domain, centered over Delhi, which covered the Indo-

Gangetic Plain (IGP) and central India with a 15 km x 15 km resolution and 39 vertical layers (Figure 1;

- 125 location of the IGP is shown in Figure S6). The Model for Ozone and Related chemical Tracers, version 4
- 126 (MOZART-4) introduced by Emmons et al. (2010) with updates on monoterpenes (Hodzic et al., 2015)
- and isoprene oxidations (Knote et al., 2014) was selected as the gas phase chemistry mechanism (More
- 128 information on the evolution of the MOZART mechanism can be found in Emmons et al. (2020)). For
- aerosol representation, the four-bin MOdel for Simulations Aerosol Interactions and Chemistry
- 130 (MOSAIC-4bin) introduced by Zaveri et al. (2008) with updates for Secondary Organic Aerosol (SOA)
- 131 formation (Hodzic & Jimenez, 2011) was selected.
- 132 Initial and boundary condition (IC/BC) for meteorological fields were provided by National Center for
- 133 Atmospheric Prediction Global Forecasting System Final Analysis (NCEP GFS-FNL) data
- 134 (https://rda.ucar.edu/datasets/ds083.2/, last access: 20 December 2020). For Chemical IC/BC, we used the

- 135 Whole Atmosphere Community Climate Model (WACCM) outputs (Whole Atmosphere Community
- Climate Model (WACCM) Model Output, 2020). We reinitialized the model every 30-hours and updated 136
- 137 meteorological IC/BC and chemical boundary conditions but used the chemical initial condition from the
- 138 previous cycle. The re-initialization for every cycle started during nighttime at 1800 UTC (2330 Local
- Time (LT)) and the first 6 hours were discarded as spin up following Abdi-Oskouei et al. (2018). The 139
- 140 simulation period included March and April in 2019 and 2020, while we primarily focus on April results.
- 141 The details of other configuration options can be found in Roozitalab et al. (2021).
- 142 We used the Hemispheric Transport of Air Pollution emission inventory (HTAP v2.2) 0.1x0.1 degree
- gridded monthly-averaged for each sector as our base anthropogenic emission inventory (Janssens-143
- 144 Maenhout et al., 2015). The speciation provided by Emissions of atmospheric Compounds and
- 145 Compilation of Ancillary Data (ECCAD) database for Non Methane Volatile Organic Carbons
- 146 (NMVOCs; hereafter NMVOCs refer to VOCs except Methane and CO and VOCs refer to their
- 147 inclusion), which is based on ratios in the RETRO project (https://permalink.aeris-data.fr/HTAPv2, last
- 148 access: 20 December 2020). Moreover, the mapping between the ECCAD NMVOCs and model emitted
- 149 species are provided in Table S1 (personal communications with Louisa Emmons, NCAR). In this study,
- 150 we arbitrarily chose three regions representing an urban, a rural, and a power plant region when analyzing
- 151 the ozone chemistry (Figure 1). The urban region contains the greater Delhi region (hereafter called
- 152 Urban), the rural area contains a non-urban region with low population density in the border of Uttar
- Pradesh and Madhya Pradesh states (hereafter called Rural), and the power plant region covers an area 153
- 154 with high emission thermal power plants (hereafter called Power). To keep the regions comparable with 155
- each other, each region includes a set of 4x5 grid cells in the model (~4500 km<sup>2</sup>; Figure S1). Hereafter,
- 156 we also call the Indian regions of the domain as India. The amount of HTAP v2.2 emissions for different 157 species over these defined regions for the month of March and April are shown in the supporting
- information (Table S2 and Table S3). Urban had the highest emissions for all the species except for SO<sub>2</sub>. 158
- 159 SO<sub>2</sub> emissions were higher in Power. The amount of NOx emission was close in Urban and Power
- 160 regions. On the other hand, NMVOC emissions were very low in Power and Rural. Emissions in April
- 161 were lower than March for all the regions; however, this difference was very small for Rural as the
- emissions were originally low. 162
- 163 To consider emission reductions due to the lockdown in 2020, we used the adjustment factors (AFs)
- provided by Doumbia et al. (2021). Doumbia et al. (2021) estimated the global gridded AFs based on the 164
- change of activity data for each sector with respect to a five-week period starting on January 2020. Figure 165
- 166 2 shows the daily change of emissions for a) India, b) Urban, c) Power, and d) Rural. It shows a small
- 167 fluctuation in emissions until 24 March (as adjusting factors are with regard to January), with a dramatic
- change afterwards due to initiation of the lockdown. The lockdown had the largest impact on NO<sub>x</sub> 168
- 169 emission with greater than 40% reduction averaged over India. The emissions of SO<sub>2</sub> also showed large
- 170 reductions with smaller reductions for NMVOCs and CO. Black carbon did not change very much over
- 171 India, while Organic Carbon (OC) increased after the lockdown due to increased fuel consumption for
- 172 residential sector (Yadav et al., 2020). The total changes in emission in each region depends on both the
- 173 AFs and the amount of emission from each sector in that region. Urban showed similar emission
- 174 reductions for both NOx and NMVOCs as for India. Reduction in emission of NMVOC was lower than
- 175 NOx in Power and Rural. Moreover, the amount of emission change was different as well. For example,
- 176 NOx emissions reduced by up to 60%, 50%, and 30% in Urban, Rural, and Power, respectively. On the
- 177 other hand, OC and black carbon decreased in Urban, while increased over other regions. Figure 2 (e, f)
- 178 also shows the maps of averaged emission reductions in April 2020 for NMVOC and NOx. Although
- 179 these results are qualitatively consistent with results in Gaubert et al. (2020), there are some quantitative
- 180 differences, primarily due to different base emission inventory and considered regions.



181

Figure 2 Daily Emission change due to AF applied on HTAP v2.2 emissions averaged in a) India, b) Urban, c) Power, and d)
 Rural (X-axis shows the days in March and April and Y-axis range is different for each subplot). Map of emission changes of e)
 NMVOC and f) NOx averaged in April 2020.

The Fire Inventory from NCAR, version 2.2 (FINN v2.2) based on MODIS fire detections was used as the biomass burning emission inventory (Wiedinmyer et al., 2011). Comparing the fire emissions between 2019 and 2020 during the studied period showed lower total emissions in 2020 (Figure S2), with most of the fires over central parts of the domain. However, it also showed that some days in 2020 (e.g. 16 April) had much larger emissions compared with 2019. We also used the online Model of Emissions of Gases

and Aerosols from Nature (MEGAN v. 2.0.4) as the biogenic emission inventory (Guenther et al., 2006).

191 MEGAN emissions changed between years 2019 and 2020 as they are based on meteorological fields (i.e.

192 temperature). For Dust emissions, we used the online Goddard Global Ozone Chemistry Aerosol

- 193 Radiation and Transport (GOCART) mechanism.
- 194 To study the response of air quality to both meteorological and emission forcings, we performed four
- simulations (Table 1). It should be noted the we considered all emission sources that are directly (i.e.
- 196 biogenic and wind-blown dust) and indirectly (biomass burning) related to the meteorology as
- 197 meteorological forcings. The reason is that the lockdown anthropogenic emissions' adjustments do not
- directly affect these sources. In the 2019BAU scenario, all the year-dependent input data including
- 199 chemical and meteorological IC/BC, and biomass burning emissions were from 2019. As a result, online
- biogenic and dust emissions also followed 2019 meteorology. Moreover, we used HTAP v2.2 emission
- inventory as Business As Usual (BAU) anthropogenic emission in 2019BAU. Following the same logic,
   2019COVID means 2019 year-dependent input data, while anthropogenic emissions were adjusted based
- 201 2019CO v ID means 2019 year-dependent input data, while antihopogenic emissions were adjusted based 203 on the multiplication of HTAP v2.2 and AFs for each sector. Similarly, 2020BAU was based on 2020
- 204 year-dependent input data and BAU anthropogenic emission, while 2020COVID used adjusted emissions.
- 205 These four scenarios provide an opportunity to look at the effects of meteorology, emissions, and their
- 206 combined effects on air quality over the domain.

Table	1	List	of	scenarios	nerformed	in	thic	study
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Scenario	Meteorology	Anth.	Biomass	Biogenic	Dust	Initial/Boundary
		Emission	Burning	Emission	Emission	Condition
			Emission			
2019BAU	2019	HTAP v2.2	2019	2019	2019	2019
2019COVID	2019	HTAP v2.2	2019	2019	2019	2019
		adjusted				
		with AF				
2020BAU	2020	HTAP v2.2	2020	2020	2020	2020
2020COVID	2020	HTAP v2.2	2020	2020	2020	2020
		adjusted				
		with AF				

208

## 209 **2.2. Model evaluation**

210 We evaluated the performance of the model compared with ground measurements and global reanalysis

211 data. Scenarios 2019BAU and 2020COVID should represent the real states of the atmosphere for years

212 2019 and 2020, respectively. In the following model evaluation discussion, the model for 2019 refers to

213 2019BAU and the model for 2020 refers to 2020COVID results. For meteorological fields, we compared

214 the model with the Modern-Era Retrospective analysis for Research and Applications, Version 2

- 215 (MERRA-2) data (Bosilovich et al., 2015). Hourly statistics for a location in Delhi (28.6 N, 77.19 E)
- showed 2-m temperature ( $T_{2m}$ ) mean error (ME) of 2.9 °C and 3.5°C in 2019 and 2020, respectively, and
- 217 10-m wind speed (WS<sub>10m</sub>) of 1.3 m/s and 1.3 m/s in 2019 and 2020, respectively. The root mean squared 218 (DMSE) for T = 2.4 %C and 4.1 %C in 2010 and 2020, respectively. The DMSE for WS
- error (RMSE) for  $T_{2m}$  was 3.4 °C and 4.1 °C in 2019 and 2020, respectively. The RMSE for WS<sub>10m</sub> was
- 1.7 m/s and 1.6 m/s for 2019 and 2020, respectively. These are comparable with Zhang et al. (2021)
- values when modeling the pre-lockdown and lockdown period in India. The model satisfied the wind
   speed ME goal of 2.0 m/s, while overestimated temperature ME goal of 2.0 °C, proposed by Emery et al.
- speed ME goal of 2.0 m/s, while overestimated temperature ME goal of 2.0 °C, proposed by Emery et al. (2001). The model simulated the daytime (1000-1700 LT)  $T_{2m}$  peaks but overestimated nighttime values
- (2001). The model simulated the daytime (1000-1700 L1)  $T_{2m}$  peaks but overestimated inglitume values (Figure S3). Figure 3 shows the averaged hourly  $T_{2m}$  over the domain for April 2019 and 2020 in the
- model (re-gridded to MERRA-2 resolution) and MERRA-2. The model captured the general spatial

<sup>207</sup> 

- pattern of temperature in both 2019 and 2020. However, the model was biased low over most parts of
- India in 2019. On the other hand, the model was biased high over the IGP and biased low over central
- India in 2020. It also shows that the model was biased high over the western parts of the IGP in both 2019
- and 2020. Comparing  $WS_{10m}$  also indicated the ability of the model to capture the spatial pattern, while
- the model was biased low most of the time in Delhi (Figure S4). The differences in the meteorology can
- affect the air quality by changing the natural emission sources (e.g. dust and biogenic emissions).
   Furthermore, the dynamics of the atmosphere (e.g. boundary layer height and wind) change how the air
- pollutants disperse and transport in the atmosphere.
  - a) April 2019- Model b) April 2019- MERRA2 c) April 2019- Bias 45 40 35 30 🛈 25 \_<sup>۲</sup> 20 15 10 d) April 2020- Model e) April 2020- MERRA2 f) April 2020- Bias 45 40 35 <u>U</u> 30 Т<sub>2m</sub> , 25 20 2 15 4 10



235

Figure 3 Averaged hourly 2-m temperature in April 2019 (top row) and 2020 (bottom row) in the WRF-Chem model (left column), MERRA-2 (middle column), and their difference (right column).

We used the hourly ground measurements air pollutant concentrations data in Delhi collected by the Central Pollution Control Board (CPCB) to evaluate the air quality in years 2019 and 2020. Other than

- the original quality control filters applied by the CPCB (https://cpcb.nic.in/quality-assurance-quality-
- control/, last access: 02/23/2021), we applied four additional filters (Jena et al., 2020; Singh et al., 2020).
- First, we removed the stations with all zero or not-a-number (i.e. NAN) values. Second, we removed the
- stations with no variation, in which the standard deviation (STD) of the data was less than five percent of
- its mean. Third and fourth, we removed the outlier values, in which the difference between two
- 243 consecutive hours was more than 100 units (except for carbon monoxide (CO), for which we used a cut-
- off of  $300 \,\mu\text{g/m3}$ ) or the difference between each value and the mean value was higher or lower than 3xSTD.
- 246 The model evaluation statistics for PM<sub>2.5</sub>, ozone, NO<sub>2</sub>, and CO during April 2019 and 2020 were
- calculated for daytime (1000-1700 LT) and 24-hour average (i.e. daily) period and are provided in the
- supporting information (Table S4 and Table S5). The normalized mean bias (NMB) for daily PM<sub>2.5</sub> was -
- 249 31% and -17% in April 2019 and April 2020, respectively. Zhang et al. (2021) simulated the period

between 21 February and 23 March in 2020 and reported PM<sub>2.5</sub> mean normalized bias (MNB) of -16% in

- 251 Delhi. Roozitalab et al. (2021) reported NMB of -17% in their best experiment for modeling PM<sub>2.5</sub> in an
- extreme pollution event in November 2017. The model overestimated daytime ozone concentrations with
   NMB of 43% and 78% in April 2019 and April 2020, respectively. On the contrary, the model was biased
- low for  $NO_2$  by daytime NMB of -35% and -47% for April 2019 and April 2020, respectively. On the contrary, the model was blased low for  $NO_2$  by daytime NMB of -35% and -47% for April 2019 and April 2020, respectively. The model
- underestimated daytime CO concentrations by NMB of -67% and -64% for April 2019 and April 2020,
- respectively. Kota et al. (2018) modeled air quality in India in 2015 and reported NMB of 53%, -33%,
- and -54% for ozone, NO<sub>2</sub>, and CO, respectively, in Delhi. Other studies have also reported overestimation
- of simulated ozone concentrations over Delhi (Luke Conibear, 2018; Ghude et al., 2016; R. Kumar et al.,
- 259 2012; Pommier et al., 2018). On the contrary, Sharma et al. (2017) underestimated diurnal ozone by 16
- ppb in Delhi. Furthermore, Zhang et al. (2021) slightly underestimated ozone in Delhi by MNB of -4%.
- 261 They also reported MNB of -51% and -59% for  $NO_2$  and CO, respectively. Overall, the model
- 262 performance for  $PM_{2.5}$  was similar to other studies and daily NMB is within the benchmark criteria of
- Emery et al. (2017). However, the model was biased low for daytime ozone precursors ( $NO_2$  and CO)
- concentrations and biased high for daytime ozone mixing ratios.

265 We explored the extent to which model performance was influenced by the year the emission inventory 266 was based on. The HTAP v2.2 emissions used in these simulations are estimates for 2010. As a result, we 267 performed a set of experiments using the Copernicus Atmosphere Monitoring Service global emission 268 inventory version 4.2 (CAMS v4.2; Granier et al. (2019)). Our analysis indicated that the concentrations 269 and changes in concentrations due to lockdown emissions were close in both CAMS and HTAP emissions 270 (More information can be found in supporting information). As a result, we performed all further analysis 271 in this study based on HTAP anthropogenic emission inventory. Figure 4 shows the averaged daytime 272  $PM_{2.5}$ , NO<sub>2</sub>, and ozone concentrations measured (left panels) and modeled (right panels) between 10 March and 30 April in 2019 (blue colors) and 2020 (red colors) in Delhi. Both measured data and the 273 274 model showed similar values in 2019 and 2020 between 10 March and around 24 March (before 275 lockdown) accompanied by a drop afterwards (during lockdown). However, the day that concentrations 276 dropped is different between the measured data (22 March) and the model (24 March). Yadav et al. 277 (2020) also reported that the lockdown was not abrupt and had a transition start. Furthermore, the 278 averaged amount that concentrations dropped during the lockdown period (24 March-30 April) in 2020 compared with 2019 was different between measured data and the model. Mean reduction for daytime 279 280  $PM_{2.5}$  concentrations was 26 µg/m3 in measured data, while the model showed a smaller drop (9 µg/m3). 281 For daytime NO<sub>2</sub>, the measured data decreased by 14  $\mu$ g/m3 (50%), while the modeled outputs decreased by 8 µg/m3 (61%). Vadrevu et al. (2020) also reported 61% reduction in TROPOMI tropospheric column 282 NO<sub>2</sub> concentrations during 25 March and 3 May in 2020 compared with 2019. Daytime ozone mixing 283 284 ratio also dropped during the lockdown in both measured (10 ppb) and modeled (7 ppb) data. However, 285 24-hour averaged ozone mixing ratio did not change very much neither in the measured data nor in the 286 model although small fluctuations were observed between years 2019 and 2020 (Figure S5). We will 287 further analyze this behavior in the process analysis section (Section 3.2). Although April 2019 daytime 288 ozone mixing ratios were higher than March 2019, we observed lower daytime ozone mixing ratios in 289 April 2020 compared with March 2020, both in the model and measured data. This may be seen in 290 contrast with what other studies that reported slightly higher ozone in Delhi during the lockdown compared with pre-lockdown period (Jain & Sharma, 2020; Mahato et al., 2020). These differences are 291 primarily due to the methodology and the observed time period. For example, Jain and Sharma (2020) 292 reported an increase in daily ozone while we report the daytime ozone. On the other hand, Mahato et al. 293 294 (2020) looked at maximum daily 8-hour averaged (MDA8) ozone during two weeks in lockdown period

compared with two weeks in pre-lockdown period and reported less than 1% increase. Overall, the modelwas able to capture the major responses to the lockdown.



297

298Figure 4 Averaged daytime (1000-1700 LT) PM2.5 (top row), NO2 (middle row), and ozone (bottom row) concentrations299measured over CPCB stations in Delhi (left column) and modeled over Urban region (right column) between 10 March and 30300April in 2019 (blue colors) and 2020 (red colors). The shaded regions show ±1STD. The observed data were extracted from the301ground measurements data in Delhi, while the modeled data were averaged in the Urban subdomain.

## 302 **3. Results**

# 303 3.1. Model responses to Meteorology, Emission, and Combined 304 effects

To compare the air quality during the lockdown period (April 2020) with regards to the previous year, it is important to note that not only emissions but also the meteorology changed. Indeed, meteorology can affect both the transport of the pollution and natural sources emissions (i.e. biogenic and biomass burning emissions). Figure 5 shows the differences in 2-m temperature, biogenic isoprene emission, and biomass burning NOx emission averaged during the daytime over the domain in April 2020 and April 2019. The western IGP had lower temperatures in April 2020 while eastern IGP and the state of Gujarat experienced warmer days. Because of higher temperatures, the online MEGAN module estimated by up to 10% more

- biogenic isoprene emissions in eastern parts of the IGP in April 2020. On the other hand, it estimated by
- up to 10% lower isoprene emissions in western IGP in April 2020. Biomass burning emission in the IGP
- were lower in April 2020 with small fires in the eastern IGP. Overall, eastern IGP had higher biogenic
- emissions in April 2020, while biomass burning emissions were lower. In central India, biomass burning emissions were higher in April 2020 compared with April 2019. Due to such changes in meteorology and
- emissions were higher in April 2020 compared with April 2019. Due to such changes in netcorore emissions, the effects of reducing anthropogenic emissions on regional air quality can be different
- depending on the applied year. Figure 6 shows the effects of emission reductions due to the lockdown in
- 319 years 2019 (the difference between 2019COVID and 2019BAU scenarios) and 2020 (the difference
- 320 between 2020COVID and 2020BAU scenarios) on averaged daytime PM<sub>2.5</sub>, NO<sub>x</sub>, and ozone in the Urban
- 321 region. The averaged effect of emission perturbation on changes in daytime ozone concentration was -4.1
- 322 ppb and -3.4 ppb in 2019 and 2020, respectively. Similarly, the effect of emission reductions on changes
- in daytime PM<sub>2.5</sub> concentration in 2019 was -6.3  $\mu$ g/m3 and was -5.8  $\mu$ g/m3 in 2020. For NO<sub>x</sub>, the
- emission perturbation effect were similar in both 2019 and 2020. However, we found large differences in
- day-to-day comparison. For example, lockdown emissions in 2019 and 2020 decreased daytime PM<sub>2.5</sub>
- 326 concentrations in Urban by ~12  $\mu$ g/m3 and ~7  $\mu$ g/m3, respectively, on 5 April, which corresponds to
- $\sim 40\%$  difference due to the year applied. Moreover, the amount of reduction in NO<sub>x</sub> mixing ratio on 14
- April was different by 46% (15 ppb and 7 ppb in 2019 and 2020, respectively). On the other hand, we
- 329 also observed contradictory responses on some days. For example, ozone mixing ratios in Urban
- decreased on 14 April 2019 due to the lockdown emissions, while 2020 data showed an increase.



331

Figure 5 Difference between April 2020 and 2019 in modeled daytime averaged a) 2-m temperature, b) biogenic isoprene, and c)
 biomass burning NOx emissions over the domain



334

- Figure 6 Effect of lockdown emissions on daytime a) PM<sub>2.5</sub>, b) NOx, and c) Ozone concentration in April 2019 (solid line;
   difference between 2019COVID and 2019BAU) and 2020 (dashed line; difference between 2020COVID and 2020BAU).
- In order to attribute the changes between April 2019 and 2020, we looked at three scenarios. The
- difference between 2020BAU and 2019BAU indicates the effects of meteorology, while the difference
- between 2020COVID and 2020BAU presents the effects of lockdown emissions. Figure 7 and Figure 8
- 340 show the percentage of point-to-point changes due to meteorology, emissions, and their combined effects
- 341 (the difference between 2020COVID and 2019BAU) on averaged daytime concentrations in April. In the
- following analysis, we focus only on India and disregard the changes over the boundaries (affected
- 343 majorly by IC/BC) and over Himalayan region (very low concentrations). Similar plots for the IGP region
- is provided in the supporting information (Figure S6 and Figure S7).



Figure 7 Responses of April averaged daytime PM<sub>2.5</sub> (first row), PA<sub>2.5</sub> (second row), SIA<sub>2.5</sub> (third row), and SOA<sub>2.5</sub> (fourth row)
 concentrations to meteorology (left column), emission (middle column), and combined (right column) effects. The numbers in
 the parenthesis show the averaged change over the colored region between April 2020 and 2019.

349 The averaged daytime  $PM_{2.5}$  concentrations in April in India decreased by only one percent due to the 350 meteorology effects. However, each region in the domain showed different changes. Figure 7 shows that larger  $PM_{2.5}$  concentration reductions over the IGP due to the meteorology effects (Figure S6 shows 06%) 351 352 for the IGP). The reduction was more intense in some parts of the IGP, e.g. west of Delhi (~25%). It also 353 shows  $PM_{2.5}$  concentrations increased over central India by more than 40% over the regions mostly 354 affected by biomass burning emissions. On the other hand, the lockdown emissions decreased PM<sub>2.5</sub> concentrations almost everywhere in India (i.e. the IGP and central India) with the average of 9% and the 355 356 maximum of  $\sim 20\%$  in Delhi. The combined effects show a large reduction in PM<sub>2.5</sub> concentrations over 357 the IGP (by up to 35%). However, the increase due to the meteorology over central India offset the 358 decrease due to the lockdown emissions. The changes in PM<sub>2.5</sub> composition as primary aerosols (PA<sub>2.5</sub>; 359 sum of organic carbon, black carbon, and primary inorganics), secondary inorganic aerosols (SIA<sub>2.5</sub>; sum 360 of nitrate, sulfate, and ammonium), and secondary organic aerosols (SOA<sub>2.5</sub>) are also shown. All PM<sub>2.5</sub> 361 constituents showed a reduction over eastern IGP and an increase over central India due to the 362 meteorology. The amount of changes were larger for SOA<sub>2.5</sub> both in the eastern IGP and central India, suggesting biomass burning emissions had larger impacts on SOAs. However PA2.5 decreased by up to 363 364 40% in the western IGP, while SOA<sub>2.5</sub> and SIA<sub>2.5</sub> changed by less than 10%. The large primary inorganics 365 component of PA<sub>2.5</sub> and faster wind speeds on the border of India and Pakistan suggest that dust emissions affected this region in April 2019 (Figure S8). Other studies have also reported pre-monsoon 366 windblown dusts over western India (R. Kumar et al., 2014; Sarkar et al., 2019). Lockdown emissions 367 368 decreased SIA<sub>2.5</sub> and SOA<sub>2.5</sub> between  $\sim 10-25\%$  over the IGP with large changes over Delhi ( $\sim 25\%$ ). 369 Similarly, PA<sub>2.5</sub> decreased by ~10% over Delhi and surrounding areas. Similar reductions can be seen on 370 some other urban areas over the domain. However, lockdown emissions did not change PA<sub>2.5</sub> very much 371 (<10%) in non-urban areas as AFs for BC in India (Figure 2) suggested. Furthermore, solid fuels are the 372 primary source of cooking and heating in non-urban regions in India and it did not change during the 373 lockdown period (Beig et al., 2021). The combined effects show larger impacts of meteorology on PA<sub>2.5</sub> 374 and SOA<sub>25</sub> in the IGP, while changes in emissions due to lockdown had larger impacts on SIA<sub>25</sub> (Figure

375 S6).





Figure 8 Responses of April averaged daytime ozone (first row), NOx (second row), CO (third row), and NMVOC (fourth row)
concentrations to meteorology (left column), emission (middle column), and combined (right column) effects. The numbers in
the parenthesis show the averaged change over the colored region between April 2020 and 2019.

380 In general, the effects of meteorology on ozone was similar to  $PM_{2.5}$ . Figure 8 shows that meteorology 381 effects led to lower (up to -20%) and higher (up to 20%) daytime ozone mixing ratios over the IGP and 382 central India, respectively. Regarding the ozone precursors, emission effects was significant for  $NO_x$ 383 concentration (-33%). Largely the changes in ozone can be explained by the changes in NOx, as for most parts of the domain ozone is NOx-limited (as will be discussed in Section 3.2). For example, the changes 384 385 in NOx emissions due to the lockdown are large and rather uniform over the domain. Throughout most of the domain, NOx concentrations due to emission perturbations decreased by over 30%. The ozone 386 decreases are strongly correlated with the regions with large NOx decreases. We can see only the major 387 388 cities like Delhi and the regions with many power plants experienced increases in ozone mixing ratio with 389 decreases in NOx emissions. On the other hand, ozone mixing ratio (combined effect) in the southern 390 parts of the domain increased although lockdown emissions reduced NOx concentration. This is a region 391 where the NOx concentrations increased due to meteorology (as shown in the change in NOx-Met 392 subplot), which was due to the larger biomass burning emissions in April 2020 as shown in Figure 5. The 393 net effect is that NOx concentrations increased in this region and this lead to higher ozone concentrations. 394 The meteorology effect on NMVOCs and CO concentrations shows lower concentrations over the IGP 395 and higher concentrations in central India in April 2020. While biogenic emissions were higher in the IGP 396 in April 2020, lower biomass burning emissions (as shown in Figure 5) explain the meteorology effects 397 on NMVOCs and CO concentrations in this region. Emission perturbations decreased NMVOCs 398 concentrations over India on average by 9%, while the reduction was  $\sim 40\%$  in Delhi (similar to NO<sub>x</sub>). The 399 effect of emissions on CO concentration was small over India (averaged reduction of 3%). This magnitude was larger in Delhi (~25% reduction) and in lower magnitudes in other parts of the IGP. The 400 401 combined effects of meteorology and lockdown emissions on ozone and its precursors showed reduction 402 in daytime concentrations over all parts of India except central India. In central India, both biogenic 403 emissions and biomass burning emissions were higher in April 2020.

404 To better illustrate the response of ozone to changes in NOx and NMVOC concentrations, we show the 405 response of the model in all the grid cells in Delhi (i.e. Urban) to the lockdown emission changes during 406 all daytime hours in April (Figure 9). In Delhi, daytime NMVOC concentrations decreased up to 60% and 407 NO<sub>x</sub> concentrations decreased up to 70%. Ozone concentration showed both decreasing and increasing 408 response to the precursor reductions. Ozone increased in 20% of grid cells. In addition, most of these increasing ozone data points belong to four (out of 20) grid cells located in the eastern part of the Urban 409 410 subdomain (not shown). It is important as local emission inventories also show Delhi (i.e. Urban) is most 411 densely populated in its eastern border. The largest increases were observed at NMVOC and  $NO_x$ 412 reductions of more than 40%. Power and Rural showed lower reductions in the precursor concentrations 413 and only a small number of grid cells showed an increased ozone response (Figure S9).



414

Figure 9 Plot of changes in NOx (Y-axis) and NMVOC (X-axis) concentrations due to the lockdown (2020COVID – 2020BAU) and ozone a) increasing and b) decreasing responses in all the grid cells within the Urban region (20 grid cells) during April (30 days) daytime (1000-1700 LT) hours (total data points are 4800). X- and Y-axis are normalized values. 5<sup>th</sup> layer in the model was selected to minimize the impacts of direct emissions.

## 419 **3.2. Process Analysis of ozone chemistry**

420 As presented in the previous section, the changes in ozone concentrations did not exactly follow the 421 changes in its precursors' emissions during the lockdown period. Specifically, NO<sub>x</sub> and VOCs anthropogenic emissions were significantly decreased as a response to lockdown in India by up to 40% 422 423 (Figure 2), whereas daytime ozone concentrations showed only a 6% reduction (Figure 8). More 424 interestingly, 24-hour averaged ozone mixing ratios were higher on some days over Delhi during the 425 lockdown period compared with the pre-lockdown period (Figure S5). In this section, we utilize the IRR 426 capability of WRF-Chem to study the chemistry of ozone. We chose two sample days representing pre-427 lockdown and lockdown conditions to look at the ozone chemistry in previously-defined Urban, Power, 428 and Rural regions. In order to choose these two days, we applied a meteorological filter in Urban to select 429 the days with the most similar meteorology between years 2019 and 2020. We calculated the daytime 430 averaged 10-meter wind speed and 2-meter temperature for each day in both years and found the day with 431 lowest overall normalized biases (Figure S10). As a result, 13 March and 7 April were selected as the 432 sample pre-lockdown and lockdown days, respectively. Nevertheless, it is important that these days were 433 selected based on the filters in Urban and did not necessarily represented lowest-meteorological-434 variability days in Power and Rural (Figure S10). As an experiment, we applied the same methodology 435 over India and found two other days with the least variability over the domain. However, it did not 436 majorly affect the following analysis (not shown). We acknowledge that this technique of choosing the 437 days does not consider the effects of previous days.

- 438 It is important to understand the general processes in the ozone chemistry; we provide a simplified
- 439 overview of the complex chemistry of ozone in the troposphere. While NO<sub>x</sub> and VOCs (including CO,
- 440 and methane (CH<sub>4</sub>)) are the main precursors of ozone, hydroxyl (OH) radical is also a key species in the
- 441 ozone chemistry. The reason is that OH can oxide VOCs and produce organic proxy radicals (RO<sub>2</sub>) as
- shown in Eq1. Then,  $RO_2$  can react with NO and produce  $NO_2$  without involving ozone (Eq2: for
- simplicity, we do not show the pathway towards hydroperoxyl radical (HO<sub>2</sub>) formation), which can

eventually lead to net ozone via Eq3 and Eq4. On the other hand, the ozone photolysis is the main source

of tropospheric OH. Thus, this loop (Eq1 to Eq4) continues to form ozone during daytime (hv) as far as VOCs and OH are available in the atmosphere (NOx acts more as a catalyst in this loop). Relative to

447 VOCs, CO and CH<sub>4</sub> react very slowly with OH. Thus, short-lived VOCs become important as their

448 availability primarily depend on their emissions. However, we should emphasize that it does not

449 necessarily mean that large amounts of VOCs will increase ozone (i.e. radical loss via radicals (LRO<sub>x</sub>)).

450 In terms of OH, it can also react with NO<sub>2</sub> and form nitric acid (HNO<sub>3</sub>), and remove both OH (and other

- 451 radicals) and NO<sub>2</sub> (Eq5; this is the main reaction of radical loss via NOx termination (LNO<sub>x</sub>)). In other
- 452 words, VOCs reactivity with OH (VOC+OH) shows a path to ozone formation (Eq1), and NO<sub>2</sub> reactivity
- 453 with OH (NO<sub>2</sub>+OH) presents an obstacle to ozone formation (Eq5). During nighttime, photolysis of NO<sub>2</sub>
- 454 (Eq3) does not occur, halting ozone formation cycle; rather, NO<sub>2</sub> reacts with ozone and form gas phase
- radical nitrate (NO<sub>3</sub>) through Eq6. Moreover, available NO consumes ozone and produces  $NO_2$  (Eq7),

456 accelerating NO<sub>3</sub> chemistry, resulting in net ozone destruction. More detailed chemistry of ozone can be
 457 found elsewhere (e.g. Pusede and Cohen (2012); Seinfeld and Pandis (2016))

$$VOC + OH \xrightarrow{O_2} RO_2 + H_2O$$
 Eq1

$$RO_2 + NO \rightarrow RO + NO_2$$
 Eq2

$$NO_2 + hv \rightarrow NO + O$$
 Eq3

$$O + O_2 \xrightarrow{M} O_3$$
 Eq4

$$OH + NO_2 \xrightarrow{M} HNO_3$$
 Eq5

$$NO_2 + O_3 \rightarrow NO_3 + O_2$$
 Eq6

$$NO + O_3 \rightarrow NO_2 + O_2$$
 Eq7

458

Figure 10 shows the surface ozone mixing ratio and planetary boundary layer height (PBLH) averaged

within Urban, Power, and Rural regions for a pre-lockdown (13 March) and lockdown (7 April) days.
First, we analyze the pre-lockdown day in Figure 10 (left column). It shows the differences within each

462 region was only because of meteorology (2019 vs 2020). In Urban, the evolution of planetary boundary

463 layer (PBL) was similar for both 2019 and 2020 scenarios and ozone followed similar trend as the PBL,

464 with the peak at 1530 LT. In Power, the PBLH was lower in 2020 (1km) compared with 2019 (3km),

465 which is consistent with this day's lower temperature and higher wind speed in 2020 (Figure S10).

- 466 However, ozone mixing ratio were close to each other although peaked at different hours (1530 LT in
- 467 2019 vs 1130 LT in 2020). Similarly in rural, models showed different PBL evolution, while ozone
- 468 mixing ratio showed a smooth similar pattern between 2019 and 2020.

Second, we analyze the lockdown day (7 April) in Figure 10 (right column). In Delhi, the PBL grew
faster and extended higher in 2020, while both years peaked during afternoon hours. The shallower PBLH

in 2019 means more precursors are available in the shallower atmosphere (i.e. less dilution), leading to

472 more ozone formation during the day. Moreover, the model simulated higher CO concentrations over the

473 IGP in 2019 (not shown). Srinivas et al. (2016) found that transported pollution from the Bay of Bengal

474 can potentially elevate the CO concentrations in Delhi. We are also interested in the impacts of lockdown

475 emission. Comparing 2020BAU and 2020COVID, the lockdown resulted in lower peak value. It should

476 be emphasized that this was not the case for the all days during the lockdown period as the impact of

477 emissions on daytime ozone mixing ratio varied day by day in Urban (Figure 6). On the other hand, the

- 478 largest difference in ozone mixing ratio happens during 0030-0730 LT and 1830-2330 LT. While the
- reaction between NO and ozone should deplete all the ozone in the atmosphere, the titration did not
- 480 deplete all the ozone in 2020COVID scenario due to lower amounts of NO available. As a result, some
- 481 residual ozone remained in the atmosphere.
- 482 In Power, the PBL grew faster in 2020 but its peak height was lower than 2019. However, the daytime
- 483 ozone mixing ratios did not change between both years (although the morning time (0830-1030 LT)
- 484 ozone was higher in 2019 due to lower PBLH). We also observed small changes between 2020BAU and
- 485 2020COVID scenarios. Although the percentage of emission changes were large for both VOCs and
- 486 NOx, the amount of anthropogenic VOC emissions were low in this region and NOx emissions in Power
- 487 were even more than Urban (Table S3). Furthermore, the eastern IGP was also significantly impacted by
- 488 biogenic emissions in 7 April 2020 (Figure S11). As a result, biogenic VOC emissions controlled the
- 489 ozone formation, which were similar in both 2020BAU and 2020COVID scenarios.
- 490 In Rural, the PBL growth in 2019 was faster and it extended higher than 2020. Figure S10 also shows that
- 491 wind speed in Rural was lower by ~75% on 7 April 2020 compared with the same day in 2019, leaning
- 492 towards a stagnant condition. As a result, the ozone mixing ratio is higher in 2020 scenarios. Comparing
- 493 2020BAU and 2020COVID shows a reduction during all hours due to the lockdown emissions.





Figure 10 Surface ozone mixing ratio (primary Y-axis) and PBLH (secondary Y-axis) averaged over Urban (top row), Power (middle row), and Rural (bottom row) for a sampled pre-lockdown day (13 March: left column) and lockdown day (7 April: right column).

Figure 11 shows the OH reactivity with VOCs and NO<sub>2</sub> within Urban, Power, and Rural regions for a prelockdown (13 March) and lockdown (7 April) day. We followed Pfister et al. (2019) suggestion in averaging these values within the PBL to minimize the effects of mixing. It is important to note (1) these OH reactivity plots are averaged within the PBL, while ozone mixing ratios in Figure 10 were surface values and (2) these plots indicate the chemistry contribution to the ozone mixing ratio, while other contributing factors such as vertical mixing and advection are also important processes impacting the actual ozone mixing ratio (Pusede & Cohen, 2012).

505 During the pre-lockdown day, VOC+OH and NO2+OH were different for 2019 and 2020 in Urban.

506 Although there were also some differences in the time of the peaks, the ratio of VOC+OH to NO2+OH

507 was similar, suggesting the ozone formation was not very much different. In Power, the VOC+OH

508 increased in 2020, while the peak of NO2+OH did not change. It shows that meteorology effects

509 (including natural sources emissions) majorly affected OH reactivity with VOC in Power. In Rural, the

- 510 results showed smaller VOC+OH rates in 2020 with roughly similar NO2+OH rates. However, both VOC
- and NOx anthropogenic emissions were low in this region and very similar between 2019 and 2020. 511
- 512 Similarly, it indicates the importance of meteorology effects and accompanied biogenic and biomass
- 513 burning emissions on the ozone formation in Rural.
- 514 During the lockdown day, OH reactivity (with both VOC and NO<sub>2</sub>) was higher in 2019 scenarios than
- 515 2020 in Urban. This is consistent with shallower PBL in 2019, which led to higher ozone mixing ratios. In
- 516 2020 scenarios, the NO2+OH rate dropped in 2020COVID compared with 2020BAU (0.5 ppb/hr), with
- smaller reductions in VOC+OH rate (0.2 ppb/hr). Until 1230 LT, the model did not show any large 517
- reductions in VOC+OH (i.e. ozone formation did not change), while NO2+OH showed large drops (i.e. 518
- 519 ozone destruction decreased). Similarly, we saw larger surface ozone mixing ratios until noon in
- 520 2020COVID scenario. After 1230 LT, the VOC+OH showed larger reductions, leading to lower net 521 ozone formation in the 2020COVID scenario.
- 522
- In Power, larger OH reactivity values were observed for both VOC and NO<sub>2</sub> in 2019 compared with 523 2020. This was unexpected as we observed larger biogenic isoprene emissions in 7 April 2020 (Figure
- 524 S11). However, it can be explained by higher CO contribution in 2019 results (Figure S12). On the other
- 525 hand, the biogenic soil NO emission was also larger in 2019; resulting in more ozone formation.
- 526 Considering the lockdown emissions, the model showed that adjusted emissions (i.e. COVID scenarios)
- 527 did not change VOC+OH values. This is due to low anthropogenic and equal biogenic VOC emissions in
- 528 this region. NO2+OH values decreased as NOx emissions reduced
- 529 In Rural, we observed similar behavior of OH reactivity for both 2019 and 2020. It is consistent with
- 530 similar ozone mixing ratio trend in Figure 10. As emissions are low in this region, ozone formation and
- OH reactivity does not play an important role and ozone differences can be explained by dynamics and 531
- 532 atmospheric stability. As a result, adjusted emissions had similar effect on both VOC+OH and NO2+OH
- 533 rates in 2020 scenarios.





Figure 11 OH reactivity with VOCs (primary Y-axis) and NO2 (secondary Y-axis) averaged within PBL over Urban (top row),
Power (middle row), and Rural (bottom row) for a sampled pre-lockdown day (13 March: left column) and lockdown day (7
April: right column).

538 Since there are many VOCs available in the atmosphere. IRR gives us the opportunity to find the species that have higher contributions to the OH reactivity. Figure S12 shows the OH reactivity for the top six 539 VOC species for the lockdown day in each region and scenario. CO was the main component in all the 540 541 regions and scenarios. Although CO reacts slowly in the atmosphere (i.e. long lifetime), its abundant availability moves it to the top of the list. The other species were short-lived species. Formaldehyde 542 (CH2O) was the second-ranked species in almost all the subfigures except the ones that isoprene (ISOP) 543 had more reactivity rate. Specifically, ISOP was the second-ranked species in Power region in all the 544 scenarios. This is because VOCs in Power region were dominated with biogenic sources and changing the 545 546 anthropogenic emissions did not change their rankings. Regardless, the meteorology affected the 547 magnitude of the contribution between the two years. ISOP was also the second-ranked species in Urban in 2020COVID scenario. High contributions by CO and CH2O to OH reactivity is also reported in the 548 549 United States (Pfister et al., 2019). They found that high contribution of CH2O to OH reactivity was due

to both local emissions and chemical production, while ISOP was mostly due to biogenic emissions.

- 551 While CH2O had larger contribution in the Urban, ISOP had larger contributions in the Power, which is
- consistent with Pfister et al. (2019). In Urban, the transportation sector had the largest contribution in
- anthropogenic emissions of CH2O (~90%) and residential sector had about 10% of its emission. We also
- found contributions from the large alkenes (BIGENE), which is a tracer of anthropogenic VOCs, in BAU
- scenarios in Power. Comparing the ranking of ISOP in 2020BAU and 2020COVID for Urban shows
   biogenic emissions had a larger contribution during the lockdown. It points to the need to consider the
- biogenic emissions had a larger contribution during the lockdown. It points to the need to consider the background biogenic emissions when evaluating expected changes in ozone due to anthropogenic
- 558 emissions reductions. Large alkanes (BIGALK) contributed to the OH reactivity over Urban in 2019BAU
- and 2020BAU and over Rural in 2020BAU. BIGALK is another tracer of anthropogenic VOCs, and its
- 560 contribution in Rural indicates the impact of transport on ozone mixing ratio in this region. Analysis of
- the HTAP emission inventory revealed that the transportation sector has the largest BIGALK and
- 562 BIGENE emissions in Urban (~90%). The second-ranked anthropogenic sector in Urban was the
- 563 industrial sector for BIGALK emission and residential sector for BIGENE emission. When looking at the
- 564 entire domain (i.e. India region), transportation sector had the largest BIGALK emission, while the
- 565 residential sector had the largest contribution for BIGENE and CH2O emission.
- 566 As discussed earlier, LROx and LNOx are the reactions that determine the radical terminations by 567 radicals and NOx, respectively, during the daytime (Table S6 and Table S7). More information on IRR analysis methodology is provided in the supporting information. The LROx/LNOx ratio is very important 568 569 from policy's perspective as it indicates whether reduction in NOx (large ratio values; i.e. NOx-limited) 570 or VOCs (small ratio values; i.e. VOC-limited) emission is the efficient strategy for ozone reduction. 571 Duncan et al. (2010) assumed the transition between NOx-limited and VOC-limited regions happens at a 572 LROx/LNOx ratio of one. Schroeder et al. (2017) found the transition of ozone production occurs at a 573 ratio of 0.35 using 0-D photochemical box modeling. However, evaluating the LROx and LNOx values is 574 not usually possible based on observations. As a result Sillman (1995) proposed using the ratio of 575 measured tracers in the atmosphere as an alternative. The formal dehyde to  $NO_2$  ratio (FNR) is one of the
- 576 most frequently used ratio as its species can be measured from both ground measurements and space
- borne instruments (Jin & Holloway, 2015; V. Kumar et al., 2020; Martin et al., 2004). Mahajan et al.
- 578 (2015) used the FNR transition range between one and two to study the inter-annual variations of ozone
- 579 formation in India using satellite observations, whereas Schroeder et al. (2017) showed this transition
- range is not constant in all regions. For example, they found in their box modeling study in the US that
- the transition range was 0.9-1.80 in Colorado, while the range of 0.7-2.0 was found for Houston, Texas.
- 582 In other words, the FNR transition range for each region should be exclusively specified for each region.

583 Figure 12 shows the plots of FNR ratio within the PBL as a function of LROx/LNOx in Urban, Power,

and Rural for the 2019BAU and 2020BAU scenarios during the afternoon hours (1230-1430 LT). The

- results for 2019BAU (2020BAU) show that 65% (67%) of points were in the VOC-limited region (i.e.
- 586 LROx/LNOx<0.35) in Delhi, whereas only 1% (2%) of the points were in the VOC-limited region in
- 587 Rural. These results show that ozone formation regime differs for each region and indicate that we cannot 588 employ one uniform emission control strategy everywhere in the IGP (and India). The results for Delhi
- support the idea that the emission control strategies that target the transportation sector, with the primary
- 590 goal of PM reduction, can increase ozone (Chen et al., 2020). In Power, 64% (65% for 2020BAU) of the
- 591 data-points were in the VOC-limited region, which is expected as this domain had low amounts of
- 592 anthropogenic VOCs emissions (Table S3) and biogenic emissions were the primary source VOCs
- 593 (Figure S12), suggesting extreme NOx emission reduction may be the only solution in this region. While
- the effect of different meteorology (2019BAU vs 2020BAU) on chemical regimes were negligible,
- 595 dramatic changes in emissions can lead to large changes. For example, only 34% of points were in the

596 VOC-limited regime in Urban for 2020COVID scenario, in which both NMVOC and NOx emissions had 597 large reductions (Figure S13).

598 Calculating binned averages and corresponding standard deviations for the FNR data can provide some 599 insights about the transition range (Schroeder et al., 2017). We also took the union of the transition range of 2019BAU and 2020BAU scenarios to minimize the effect of meteorology. In Urban, our results 600 601 suggest that the FNR transition range is 0.4-1.1. However, it is clear that this range covers a large amount 602 of data points in other bins as well. In Power, the lower range of the transition range goes to zero due to 603 the large amounts of NOx emissions in this region. However, the upper range (1.2) can be used as a cut-604 off between VOC- and NOx-limited regimes in Power. In Rural, the transition range is 0.7-1.3, which was 605 derived based on less than 5% of the data. We also observed larger LROx/LNOx ratios in Rural compared 606 with other regions, indicating the role of biogenic emissions in non-urban regions. Schroeder et al. (2017) 607 emphasized that other parameters (e.g. different radicals with different lifetimes than formaldehyde) can 608 affect the FNR ratio and it may not be a solid indicator of ozone formation sensitivity in some regions. 609 Furthermore, Souri et al. (2020) studied the functionality of FNR ratio and found situations where 610 LROx/LNOx and FNR lead to contradicting conclusions regarding the chemical regime in a region,

611 primarily because of impacts of  $NO_2$  on formaldehyde.

612 Figure 13 shows the FNR ratio using 2020BAU and 2020COVID scenarios averaged in Urban, Power,

and Rural during afternoon hours (1230-1430 LT) in April 2020. In Urban, 29 days were estimated to be

614 in the transition range in 2020BAU scenario, while they were mostly close to the lower limit of the

transition range (i.e. toward VOC-limited). Applying the lockdown emissions increased the FNR ratio by

an average value of 0.48 and shifted almost all the days into either a NOx-limited or an upper limit of the

transition range. Although it slightly changed the ozone formation regime, we did not see any major
change in daytime ozone concentration in Urban (Figure S14). In Power using 2020BAU scenario, we

observed that only four days in April were completely in a NOx-limited regime while the FNR in rest of

620 the days were lower than the upper-limit of the transition region. The FNR ratio increased by an average

621 value of 0.21 because of lockdown emissions reductions (i.e. 2020COVID). However, about half of the

622 days remained below the upper-limit of the transition and did not moved to NOx-limited region, showing

623 the lockdown emissions did not majorly change the ozone formation chemistry in Power. In Rural, almost

all the days (28 days) were already in the NOx-limited regime in 2020BAU scenario. As a result,

625 increasing the FNR ratio by an average value of 0.49 due to the lockdown emissions (i.e. 2020COVID)

626 did not change the ozone formation chemistry in Rural.

627 Using the upper-limit of the calculated transition regime (1.3) in the three mentioned regions, we looked

at the ozone production regimes for the entire study domain (Figure 14). We found that most parts of

629 India are in the NOx-limited regime. Indeed, all the regions except the urban areas, power plant region,

and western IGP fall in the NOx-limited regime. The FNR in Haryana state was lower than 1.3, showing

631 the state is in the VOC-limited regime. There are some locations in Punjab state with VOC-limited regime

632 (FNR<1.3), while other locations are slightly in NOx-limited regime. Likewise, there are some locations

633 (cities) in Uttar Pradesh with FNR close to 1.3 (i.e. close to VOC-limited regime). Using the lockdown

emissions (2020COVID), the FNR increased over the domain, moving most parts of the Punjab and

Haryana states into NOx-limited regime. On the other hand, the FNR in some urban locations (e.g. Delhi)

and the Power region remained below 1.3; the ozone production regime did not change. Overall, our

analysis indicate that the FNR can determine a region that is strictly NO<sub>X</sub>- or VOC-limited (e.g. Power

and Rural) but caution should be exercised for regions close to the defined transition regions (e.g. Urban).

Comparing the total OH consumed by each pathway (i.e. integrating the 24-hr values in Figure 11 for 7
 April as the lockdown day) and their ratio in 2020BAU and 2020COVID scenarios can also provide some

- 641 information on whether ozone chemistry regime changed because of the lockdown. Table S8 shows
- 642 integrated values in total OH consumption by VOCs was higher in Urban and Rural compared with
- 643 Power, confirming low VOCs in Power region. Moreover, the ratio of total OH consumption by VOC to
- $NO_2$  had smallest values in Power, showing the preference of  $NO_2$ +OH pathway in this region.
- Evaluating the change of the ratio because of lockdown emissions indicates that Urban and Rural shifted
- toward NOx limited regime, while the ozone chemistry regime did not change in Power.
- 647

648 649



Figure 12 Plots of point-to-point FNR ratio (within the PBL) as a function of LROx/LNOx ratio during afternoon hours (12301430 LT) for 2019BAU (left column) and 2020BAU (right column) scenarios in a, b) Urban, c, d) Power, and e, f) Rural regions.
Binned averages (black squares) and standard deviations (vertical black bars) were calculated. The vertical dashed blue line
represents LROx/LNOx ratio of 0.35. The horizontal blue vectors show the FNR transition range in each region (numbers in blue
show the values).





Figure 13 FNR ratio within the PBL averaged during afternoon (1230-1430 LT) in a) Urban, b) Power, and c) Rural regions. Dashed horizontal line in each panel represents the transition range calculated based on LROx/LNOx ratio analysis.





Figure 14 FNR ratio (within the PBL) averaged over April using a) 2020BAU and b) 2020COVID scenarios.

# 662 4. Summary and Conclusion

We studied the effects of COVID-19 stay-at-home orders (i.e. lockdown) on northern India's air quality and explored the chemistry behind the changes in ozone concentrations. For this purpose, we used the WRF-Chem version 4.0 model to utilize its integrated reaction rate (IRR) capability. The adjustment factors proposed by Doumbia et al. (2021) were used to account for the anthropogenic emission changes during the lockdown period in India. While the model satisfied the benchmark criteria proposed by Emery et al. (2017) for daily PM<sub>2.5</sub> concentration in Delhi, it overestimated (underestimated) the daytime ozone (NO<sub>2</sub> and CO) concentrations against the CPCB ground measurements data. However, the model was able

to capture the overall observed trend in air pollutant concentrations in 2019 and 2020.

- Four scenarios were designed to study the effect of the meteorology and lockdown anthropogenic
- emission perturbations in April 2019 and 2020. We found that the effects of perturbing the anthropogenic
- emissions could be different depending on the applied year. The reason is that not only the anthropogenic
- emissions but also the meteorological dependent emissions (e.g. biogenic emissions) and the atmospheric
- dynamics affect the air quality in a region. We also estimated the changes in air pollutant concentrations
- between April 2019 and 2020 and the contribution of meteorology and lockdown emissions. While the
- $PM_{2.5}$  concentration averaged over the IGP decreased by 6% in April 2020 due to the meteorology, it
- 678 increased in the central India due to more biomass burning emissions. However, the lockdown emissions
- decreased the  $PM_{2.5}$  concentration over Indian parts of the domain by 9%. For ozone, we found the
- 680 meteorology decreased the concentrations over the IGP and increased it over the central India, similar to 681 PM<sub>2.5</sub>. However, the ozone concentration response to its precursors (i.e. NO<sub>2</sub> and VOCs) significant
- reductions due to the lockdown emissions was not constant over the domain. While ozone decreased in
- most parts of the domain, we saw that major cities like Delhi and the regions with many power plants
- 684 showed increases in ozone with decreases in NOx emissions.
- 685 We also analyzed the ozone chemistry in an urban, a rural, and a densely populated power plants region
- during a sampled pre-lockdown and lockdown day. Using OH reactivity with VOCs (NO<sub>2</sub>) as the pathway
- to ozone formation (destruction), we found that the lockdown emissions decreased both pathways in the

- urban and rural region. However, it only decreased the ozone destruction in the power plant region
- 689 (NO2+OH) and did not affect the ozone formation path (VOC+OH), as natural emission sources
- 690 dominated the VOC emissions in this region. Our analysis showed that CO had the highest contribution in
- the net ozone production in all the regions and all the scenarios. We also found formaldehyde, isoprene,
- acetaldehyde, and ethylene contributed to the ozone formation in all the regions. However, the magnitude
- 693 of contribution depended on the region and scenario. While formaldehyde was the second ranked VOC in 694 the urban region in a business as usual scenario in 2020, isoprene had larger contribution in the lockdown
- scenario, indicating the impact of biogenic emissions in that region. We also found higher alkanes and
- higher alkenes, as tracers of anthropogenic emissions, contributed to the ozone formation in the rural
- <sup>697</sup> region, indicating the effect of the transport in ozone formation.
- 698 Furthermore, we calculated the rates that radicals (i.e. HO<sub>2</sub> and RO<sub>2</sub>) react with radicals (i.e. LROx) or
- 699 NO<sub>2</sub> (i.e. LNOx) and used their ratio (LROx/LNOx) to find the ozone chemistry regime in different
- regions. Our analysis showed that the urban and power plant regions were primarily VOC-limited
- 701 (LROx/LNOx <0.35), while the rural region was in NOx-limited region. Following Schroeder et al.
- (2017), we also calculated this ratio's corresponding formal dehyde to NO<sub>2</sub> concentration ratio (FNR) in
- each region. This is preferred as it can be calculated using ground measurements and satellite observation
- data. Our analysis suggested the FNR ratio of 1.3 as the upper-limit of the transition regime from VOC-
- 705 limited to NOx-limited over India. Using that threshold, we classified most parts of India in the NOx-
- 106 limited regime while most of the cities like Delhi and power plant region are in the VOC-limited regime.
- 707 Some regions like the western IGP were towards the VOC-limited regime but shifted to NOx-limited
- regime after applying the lockdown emissions.
- 709 Understanding the ozone formation chemistry and the role of emission sources and different species can
- help the policy makers to implement efficient emission control scenarios. Our results showed that the
- ozone formation process can be different in each region depending on its local anthropogenic and natural
- emission sources and the meteorology. We acknowledge that our study was limited to only three arbitrary
- chosen regions. While our results can provide information on overall response of the air quality to
- emission reductions, they do not necessarily represent the general ozone chemistry in India. However, it
- 715 provides a framework that can be used to study the efficacy of local emission control scenarios on ozone
- 716 formation in India.
- 717 **Data Availability** The WRF-Chem and IRR hourly output results for all four scenarios are
- available from Iowa Research Online at <u>https://doi.org/10.25820/data.006144</u>. TROPOMI data can be
- 719 freely downloaded from the European Space Agency Copernicus Open Access Hub
- 720 (<u>https://doi.org/10.5270/S5P-s4ljg54</u>). MERRA-2 data can be freely downloaded from NASA EarthData
- 721 Portal (<u>https://earthdata.nasa.gov/</u>).
- 722 **Acknowledgements** This work was funded in part by NASA HAQAST and ACMAP projects
- under awards NNX16AQ19G and 80NSSC19K094, respectively. BR also acknowledges supports from
- the university of Iowa graduate college summer fellowship. All the calculations and simulations are done
- using the University of Iowa Argon high-performance computing cluster. BR and GRC designed the
- research; BR performed all the model simulations; SKG provided measurements data; BR and MAO
- analyzed the IRR outputs. BR and GRC wrote the paper. All authors contributed to discussion and edited
- the paper. The authors declare that they have no conflict of interest.
- 729

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### JGR-Atmosphere

Supporting Information for

### Elucidating the Impacts of COVID-19 Lockdown on Air Quality and Ozone Chemical Characteristics in India

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#### Introduction

The supporting information includes an overview of comparison between the results using HTAP v2.2 and CAMS v4.2 emission inventories (Text S1), a guideline how to use the IRR outputs of WRF-Chem model (Text S2), and figures and tables supporting the results in the main manuscript.

Text S1- Comparing results using HTAP v2.2 and CAMS v4.2 anthropogenic emission inventories

To evaluate how changing anthropogenic emission inventories affect simulation results, we performed a set of experiments using HTAP v2.2 and the Copernicus Atmosphere Monitoring Service global emission inventory version 4.2 (CAMS v4.2) available from ECCAD database (https://permalink.aeris-data.fr/CAMS-GLOB-ANT, last access: 02/23/2021). CAMS v4.2 provides 0.1x0.1 degree gridded monthly-averaged emissions for the years between 2000 and 2020. It uses Emissions Database for Global Atmospheric Research version 4.3.2 (EDGARv4.3.2) for the years before 2012 and projects emissions between 2012 and 2020 using the Community Emissions Data System (CEDS) emission trends (Granier et al., 2019). Comparing CAMS v4.2 and HTAP v2.2 emissions for Delhi (i.e. Urban) indicated about 100% and 200% higher BC and OC emissions, respectively, in CAMS v4.2 inventory. CAMS v4.2 also showed higher CO (32%) emission, while lower NOx (23%) and SO2 (12%) emissions. NMVOC emissions was roughly similar in both inventories.

Statistics using CAMS v4.2 emission inventory were improved (Table S4-S5). In April 2019, the NMB for daily PM<sub>2.5</sub> and daytime ozone decreased by 10% and 12%, respectively. In April 2020, the NMB for daily PM<sub>2.5</sub> decreased by 47% and decreased by 10% for daytime ozone. Overall, the model performance using both emission inventories were within the benchmark criteria for daily PM<sub>2.5</sub> concentrations. Moreover, the performance for daytime ozone concentration was similar using both inventories Although there are some local emission inventories available throughout the country (Guttikunda et al., 2019;Jena et al., 2021), this experiment showed the necessity of an updated gridded national emission inventory for India. Regardless, our primary goal in this study was to investigate how the emission changes affected concentrations changes rather than capturing the actual concentrations. Results using CAMS emissions are very similar to those using HTAP as Figure S15 shows.

## Text S2. Using IRR data in WRF-Chem model

IRR provides the gas-phase reaction rate for the species involved in each reaction. As a simple unit for these outputs, IRR within the WRF-Chem model, are in ppb and are cumulative. As a result, the hourly reaction rates (ppb/hr) can be calculated by subtracting the values in two consecutive hours. We use the difference between hours "i" and "i+1" as the reaction rate in hour "i". Reporting this information in 'ppb/hr' makes the data easy-to-report and useful for all the species within the reaction. For example, in the reaction A+B-> C+D, a single reaction rate of RR in ppb/hr shows that RR ppb of A and B was consumed and RR ppb of C and D was produced in a specific hour. In our analysis, we used the IRR information averaged within the boundary layer following Pfister et al. (2019).



**Figure S1** Location of the selected regions of Urban (Lower Left (LL): 28.3N, 76.7E, Upper Right (UR): 28.9N, 77.5E), Rural (LL: 25N, 79E, UR: 25.6N, 79.8E), and Power (LL: 23.9N, 82.7E, UR: 24.5N, 83.5E). States of Punjab, Haryana, Uttar Pradesh, and Gujarat are also shown.



Figure S2 Comparison of FINN biomass burning emissions between 2019 and 2020 for a) total emissions and b) the ratio of daily emissions



**Figure S3** Timeseries of 2m temperature in model (black dots) and MERRA-2 (green line in 2019 (a) and red line in 2020 (b)) in a grid cell over Delhi (28.6N, 77.19 E)



**Figure S4** Temporospatial performance of the model for 10 m wind speed in April 2019 and 2020. Timeseries (g,h) are for a location in Delhi (28.6N, 77.19 E)



**Figure S5** 24-hour averaged PM<sub>2.5</sub> (top row), NO<sub>2</sub> (middle row), and ozone (bottom row) concentrations measured over CPCB stations in Delhi (left column) and modeled over Urban region (right column) between 10 March and 30 April in 2019 (green colors) and 2020 (red colors). The shaded regions show ±1STD. The observed data were extracted from the ground measurements data in Delhi, while the modeled data were averaged in the Urban subdomain.



**Figure S6** Responses of April averaged daytime PM<sub>2.5</sub> (first row), PA<sub>2.5</sub> (second row), SIA<sub>2.5</sub> (third row), and SOA<sub>2.5</sub> (fourth row) concentrations in the IGP to meteorology (left column), emission (middle column), and combined (right column) effects. The numbers in the parenthesis show the averaged change over the colored region between April 2020 and 2019.



**Figure S7** Responses of April averaged daytime ozone (first row), NOx (second row), CO (third row), and NMVOC (fourth row) concentrations in the IGP to meteorology (left column), emission (middle column), and combined (right column) effects. The numbers in the parenthesis show the averaged change over the colored region between April 2020 and 2019.

a) OIN2.5-Met

b) OC2.5-Met



**Figure S8** Responses of April averaged daytime a) other inorganics (OIN<sub>2.5</sub>), b) OC<sub>2.5</sub>, c) BC<sub>2.5</sub>, and d) 10-m wind speed (Ws10) to meteorology effects.



**Figure S9** Plot of changes in NOx (Y-axis) and NMVOC (X-axis) concentrations due to the lockdown (2020COVID – 2020BAU) and ozone responses in all the grid cells within the Power (a, b) and Rural (c, d)regions (20 grid cells) during April (30 days) daytime (1000-1700 LT) hours (total data points are 4800). X- and Y-axis are normalized values. 5<sup>th</sup> layer in the model was selected to minimize the impacts of direct emissions.



**Figure S10** The changes between 2020 and 2019 in averaged daytime 2-m temperature (Y-axis) and 10-m wind speed (X-axis) in March (left column) and April (right column) in Urban (top row), Power (middle row), and Rural (bottom row). The numbers show the day of the month. The colors show the percentage of decrease in NOx emission in each day (negative value shows an increase in emission). The black (red) circle in top panel shows the day with the lowest overall changes in meteorology in March (April). X- and Y-axis are normalized changes.

a) 7 April 2020-E<sub>biog\_ISOP</sub>



Figure S11 Biogenic emission from MEGAN in 7 April 2020 (left column) and 2019 (middle column) and their corresponding changes (right column) for isoprene (top row), CO (middle row), and NO (bottom row)



**Figure S12** Diurnal cycle of OH reactivity with VOC species (averaged within the PBL) in Urban (left column), Power (middle column), and Rural (right column) for each scenario. Only the first six VOC species with higher total contribution is shown. The legend in each panel shows the ranking of the species for each scenario.



**Figure S13** Plots of point-to-point FNR ratio (within the PBL) as a function of LROx/LNOx ratio during afternoon hours (1230-1430 LT) for 2020COVID scenario in a) Urban, b) Power, and c) Rural regions. Binned averages (black squares) and standard deviations (vertical black bars) were calculated. The vertical dashed blue line represents LROx/LNOx ratio of 0.35. The horizontal blue vectors show the FNR transition range in each region (numbers in blue show the values). Red values show the percentage of points in each region that fall in VOC-limited regime based on LROx/LNOx information.



Figure S14 Daytime averaged ozone mixing ratio averaged within Urban region using all the scenarios



**Figure S15** Simulated daytime averaged and measured data in CPCB stations in Delhi for ozone, NO<sub>2</sub>, CO, and PM<sub>2.5</sub> concentrations using HTAP and CAMS emission inventories in April 2019 (left column) and 2020 (right column). Shaded area shows 1STD of the measured values.

Table S1 The mapping between HTAP and CAMS VOC species to MOZART mechanism in WRF-Chem

MOZART	НТАР	CAMS
C2H2	ethyne	voc9
C2H4	ethene	voc7
C2H6	ethane	voc2
C3H6	propene	voc8
C3H8	propane	voc3
BIGALK	butanes + pentanes + hexanes&higher-alkanes + esters + ethers	voc4+voc5+voc6+voc18+voc19
BIGENE	other-alkenes	voc12
BENZENE	benzene	voc13
TOLUENE	toluene	voc14
XYLENES	xylene + trimethylbenzenes + other-aromatics	voc15+voc16+voc17
CH2O	methanal	voc21
СНЗСНО	other-alkanals (aldehydes)	voc22
СНЗОН	0.15 * alcohols	0.15*voc1
C2H5OH	0.85 * alcohols	0.85*voc1
СН3СОСН3	0.2 * ketones	0.2*voc23
MEK	0.8 * ketones	0.8*voc23
НСООН	0.5 * acids	0.5*voc24
СНЗСООН	0.5 * acids	0.5*voc24
ISOP		voc10
C10H16		voc11

	India		Urban		Power		Rural	
Species (unit)	BAU	COVID	BAU	COVID	BAU	COVID	BAU	COVID
NMVOC (Gmol)	14.23	13.8	0.45	0.4	0.03	0.03	0.04	0.04
NOx (Gmol)	9.52	8.69	0.49	0.43	0.42	0.4	0.01	0.013
CO (Gmol)	119.0	115.53	2.47	2.18	0.98	0.94	0.26	0.26
SO2 (Gmol)	7.22	6.75	0.18	0.17	0.46	0.44	0.00	0.00
BC (Tg)	45.54	45.66	0.69	0.62	0.09	0.09	0.08	0.08
OC (Tg)	106.75	111.14	0.76	0.73	0.18	0.19	0.23	0.24

Table S2 Total emissions in HTAP inventory using BAU and COVID scenarios in March

	India		Urban		Power		Rural	
Species (unit)	BAU	COVID	BAU	COVID	BAU	COVID	BAU	COVID
NMVOC (Gmol)	13.28	11.14	0.42	0.19	0.03	0.02	0.04	0.03
NOx (Gmol)	8.82	5.21	0.46	0.2	0.38	0.29	0.01	0.007
CO (Gmol)	110.9	93.59	2.28	1.05	0.9	0.69	0.25	0.21
SO2 (Gmol)	6.6	4.49	0.17	0.11	0.42	0.32	0.00	0.00
BC (Tg)	42.15	41.44	0.63	0.34	0.08	0.09	0.08	0.09
OC (Tg)	99.3	114.38	0.7	0.55	0.17	0.20	0.22	0.26

Table S3 Total emissions in HTAP inventory using BAU and COVID scenarios in April

**Table S4** Daytime (1000-1700 LT) statistics. Mean (± standard deviation), Normalized Mean Bias (NMB), Root Mean Square Error (RMSE), and Pearson Correlation Coefficient averaged for all CPCB stations in Delhi in 2019 (scenario: 2019BAU) and 2020 (scenario: 2020COVID) using HTAP and CAMS anthropogenic emission inventories.

			HTAP				CAMS			
Variable	Year	OBS Mean (±1std)	MODEL Mean (±1std)	NMB (%)	RMSE	R (%)	MODEL Mean (±1std)	NMB (%)	RMSE	R (%)
O <sub>3</sub>	2019	50(±11)	71(±13)	+43	25	+37	69(±11)	+38	22	+38
(ppb)	2020	36(±6)	64(±9)	+78	30	+37	62(±8)	+70	27	+36
PM <sub>2.5</sub>	2019	56(±22)	39(±12)	-31	27	+36	34(±12)	-39	30	+44
(µg/m3)	2020	30(±13)	28(±12)	-7	14	+42	25(±11)	-17	13	+47
NO <sub>2</sub>	2019	28(±7)	18(±13)	-35	15	+38	13(±11)	-55	18	+43
(µg/m3)	2020	14(±2)	7(±7)	-47	9	+33	6(±7)	-56	10	+30
СО	2019	829(±135)	277(±86)	-67	566	+42	305(±109)	-63	541	+40
(µg/m3)	2020	566(±132)	201(±57)	-64	382	+53	237(±83)	-58	351	+43

Table S5 24-hour averages statistics. Mean (± standard deviation), Normalized Mean Bias (NMB), Root Mean SquareError (RMSE), and Pearson Correlation Coefficient averaged for all CPCB stations in Delhi in 2019 (scenario:2019BAU) and 2020 (scenario: 2020COVID) using HTAP and CAMS anthropogenic emission inventories. 17285 and22880 hourly points prior to applying filters were used in 2019 and 2020, respectively.

			HTAP				CAMS			
Variable	Year	OBS Mean (±1std)	MODEL Mean (±1std)	NMB (%)	RMSE	R (%)	MODEL Mean (±1std)	NMB (%)	RMSE	R (%)
O <sub>3</sub>	2019	27(±20)	31(±32)	+18	19	+87	37(±27)	+38	17	+85
(ppb)	2020	24(±12)	35(±25)	+47	20	+84	38(±20)	+59	19	+82
PM <sub>2.5</sub>	2019	82(±40)	56(±20)	-31	42	+53	59(±26)	-28	40	+57
(µg/m3)	2020	45(±23)	38(±15)	-17	21	+51	41(±19)	-9	19	+60
NO <sub>2</sub>	2019	46(±20)	70(±45)	+51	42	+68	56(±40)	+22	34	+60
(µg/m3)	2020	20(±7)	42(±32)	+116	36	+61	34(±27)	+72	27	+54
СО	2019	1095(±369)	563(±270)	-49	622	+53	685(±356)	-37	539	+53
(µg/m3)	2020	670(±179)	339(±136)	-49	363	+58	516(±261)	-23	266	+56

 $\mathbf{Table}\ \mathbf{S6}\ \mathbf{Reactions}\ \mathbf{used}\ \mathbf{to}\ \mathbf{calculate}\ \mathbf{the}\ \mathbf{LROx}\ \mathbf{in}\ \mathbf{IRR}\ \mathbf{analysis}$ 

MOZART Reactions	IRR reactions (LROx)
ALKO2 + HO2 -> ALKOOH	ALKO2_HO2_IRR
BENZO2 + HO2 -> BENZOOH	BENZO2_HO2_IRR
BZOO + HO2 -> BZOOH	BZOO_HO2_IRR
C2H5O2 + HO2 -> C2H5OOH + O2	C2H5O2_HO2_IRR
С3Н7О2 + НО2 -> С3Н7ООН + О2	C3H7O2_HO2_IRR
С6Н5О2 + НО2 -> С6Н5ООН	C6H5O2_HO2_IRR
CH3O2 + HO2 -> CH3OOH + O2	CH3O2_HO2_IRR
HO2 + HO2 -> H2O2 + O2	HO2_HO2_H2O_IRR
HO2 + aer -> 0.5*H2O2	HO2_IRR
НОСН2ОО + НО2 -> НСООН	HOCH2OO_HO2_IRR
ISOPAO2 + HO2 -> ISOPOOH	ISOPO2_HO2_IRR
MACRO2 + HO2 -> MACROOH	MACRO2_HO2_IRR
MBONO3O2 + HO2 ->	MBONO3O2_HO2_IRR
MBOO2 + HO2 -> MBOOOH	MBOO2_HO2_IRR
MEKO2 + HO2 -> MEKOOH	MEKO2_HO2_IRR
NTERPO2 + HO2 -> NTERPOOH	NTERPO2_HO2_IRR
OH + HO2 -> H2O + O2	OH_HO2_IRR
PHENO2 + HO2 -> PHENOOH	PHENO2_HO2_IRR
PO2 + HO2 -> POOH + O2	PO2_HO2_IRR
RO2 + HO2 -> ROOH	RO2_HO2_IRR
TERP2O2 + HO2 -> TERP2OOH	TERP2O2_HO2_IRR
TERPO2 + HO2 -> TERPOOH	TERPO2_HO2_IRR
TOLO2 + HO2 -> TOLOOH	TOLO2_HO2_IRR
XO2 + HO2 -> XOOH	XO2_HO2_IRR
XYLENO2 + HO2 -> XYLENOOH	XYLENO2_HO2_IRR
XYLOLO2 + HO2 -> XYLOLOOH	XYLOLO2_HO2_IRR

 ${\bf Table \ S7}$  Reactions used to calculate the LNOx in IRR analysis

MOZART Reactions	IRR reactions
CH3CO3 + NO2 + M -> PAN + M	CH3CO3_NO2_IRR
DICARBO2 + NO2 + M -> NDEP + M	DICARBO2_NO2_IRR
MACRO2 + NO -> .8 ONITR + nume	MACRO2_NO_a_IRR
MALO2 + NO2 + M -> NDEP + M	MALO2_NO2_IRR
MDIALO2 + NO2 + M -> NDEP + M	MDIALO2_NO2_IRR
NO2 + OH + M -> HNO3 + M	OH_NO2_IRR
PHENO + NO2 -> NDEP	PHENO_NO2_IRR

**Table S8** Total OH reactivity with VOCs and NO2 and corresponding ration in Urban, Power, and Rural for April 7<sup>th</sup> (lockdown sample day)

Scenario	OH+VOC	OH+NO2	(OH+VOC)/(OH+NO2)					
Urban								
2019BAU	58.67	13.75	4.27					
2019COVID	54.03	8.15	6.63					
2020BAU	27.3	8.2	3.3					
2020COVID	23.28	4.59	5.07					
Power								
2019BAU	24.23	7.65	3.17					
2019COVID	23.91	6.22	3.84					
2020BAU	12.21	6.63	1.84					
2020COVID	12.61	5.8	2.17					
	Rural							
2019BAU	20.78	2.68	7.75					
2019COVID	19.62	1.78	11.02					
2020BAU	24.21	2.27	10.67					
2020COVID	19.74	1.25	15.79					

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