

# ON MODEL OF PLATE BREAKUP

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## Abstract

The five-stage model of plate breakup can explain the linear characteristics of rift valleys and oceanic ridges, which other hypotheses in terms of mantle convection, hot-spots and mantle plumes cannot be explained. The course of the plate breakup is as follows: any plate has to undergo 5 stages before breaking up; the 5 stages own each tectonic system; the strikes of these 5 tectonic systems gradually deflect  $15^\circ$  towards the rotation axis of the Earth; and after entering the 5th stage, the plate will normally be broken up. This model is supported by experiments.

# ON MODEL OF PLATE BREAKUP

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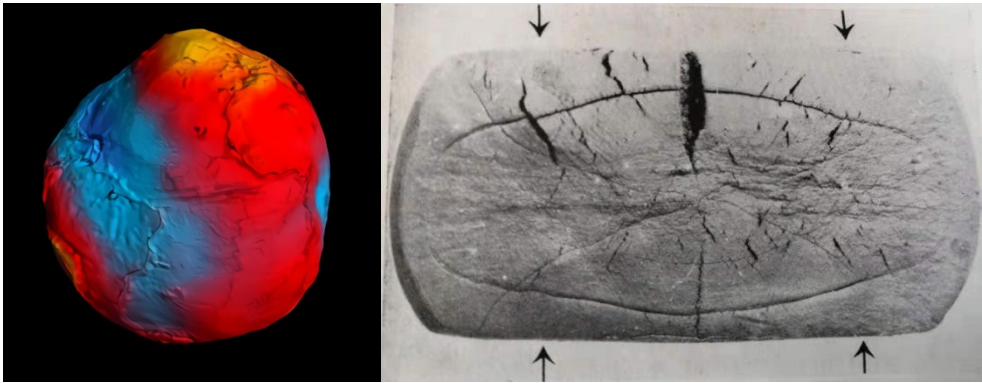
## Highlights

- The author want to study the mechanism of plate breakup based on the stress field.
- The plate breakup model can explain the linear characteristics of rift valleys and oceanic ridges.
- This model is supported by experiments.

## Abstract

The five-stage model of plate breakup can explain the linear characteristics of rift valleys and oceanic ridges, which other hypotheses in terms of mantle convection, hot-spots and mantle plumes cannot be explained. The course of the plate breakup is as follows: any plate has to undergo 5 stages before breaking up; the 5 stages own each tectonic system; the strikes of these 5 tectonic systems gradually deflect  $15^\circ$  towards the rotation axis of the Earth; and after entering the 5th stage, the plate will normally be broken up. This model is supported by experiments.

## 22 Graphical Abstract



23

24

25 **Keywords:** Plate Breakup; Model; Linear characteristics; Direction of the resultant force; 5°

26 Deviation; Three-axis ellipsoid.

## 40 1. Introduction

41

42 Since the 1960s, many scientists have felt much interest in plate breakup and tried to  
43 explain it with mantle convection, hot-spots, etc.

44 However, as [Armstead](#) once said: these hypotheses can't explain the fact that the  
45 spatial arrangements of oceanic ridges and continental rifts are linear([Armstead,](#)  
46 [1973](#)).

47 To solve this problem, I propose a five-stage model of plate breakup.

48

## 49 2. Some premises

50 The synopsis of the plate breakup mode is as follows: any plate has to undergo 5  
51 stages before breaking up; each stage owns each tectonic system; the strikes of  
52 these 5 tectonic systems gradually deflect 15° towards the rotation axis of the Earth;  
53 and after entering the 5th stage, the plate will normally be broken up.

54 Several premises must be clarified before discussing this model.

### 55 2.1. Internal frictional angle of lithospheric plate as a whole

56 Overall, the internal frictional angle of the lithospheric plate should be assumed as:

57  $\varphi_{\text{plate}} = 10^\circ$  (1)

58 Tectonic geologists have been used to conduct tectonic model experiments using  
59 mud materials. Most geologists believe that the simulation of large geological bodies  
60 with mud cakes conforms to the principle of similarity.

61

62 In effect, the solution of the focal mechanism can be interpreted very satisfactorily  
63 by two orthogonal perpendicular shear cracks, which is powerful evidence of  $\phi_{\text{-plate}} =$   
64  $10^\circ$ .

## 65 **2.2. Gradually deflecting $15^\circ$**

66 The tectonic belts with strikes of  $N50^\circ E$ ,  $N35^\circ E$  and  $N20^\circ E$  in East Asia were named  
67 Old-, Mid- and Neo-Cathaysian respectively, in China([Lee,1929](#)). The strikes were  
68 gradually deflected at  $15^\circ$ . Currently, longitudinal tectonic belts of the  $N5^\circ E$  strike  
69 should be produced in East Asia, because the lithosphere is pressed in a direction  
70 near EW by the Pacific Plate's underthrusting towards the west. Oh, the  $15^\circ$  style  
71 emerges once more.

72 A clear pattern of gradually deflecting  $15^\circ$  is shown in [Table 1\(Sun, 1983\)](#).

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**Table 1. Five Stages in Plate Breakup on Our Earth** (in shape of three-axis ellipsoid; take example by plates in East Asia)

Stage	1	2	3	4	5
Direction of principal compressive stress	N5°E - S5°W	NW - SE → EW  Gradually deflecting 15°			
Corresponding tectonic system	Latitudinal	Old-Cathaysian	Mid-Cathaysian	Neo-Cathaysian	Longitudinal
Strike of main shear plane		N45°E	N30°E	N15°E	0° Shear rupture
Strike of main tectonic line	EW	N50°E	N35°E	N20°E	N5°E

Based on Tianxi Sun (1983)

Deflecting 15° has proved the inheritance and causality of Earth's tectonic movements.

The following pattern may exist: regional tectonic lines within the eastern parts of the plates in the Northern Hemisphere gradually deflect 15° counter-clockwise and the lines within the western parts gradually deflect 15° clockwise, just opposite to the Southern Hemisphere. That is, it turns toward the rotation axis of the Earth.

## 90    **2.3. Resultant force by which lithospheric plates would be subjected**

91    When the Earth rotates, lithospheric plates are squeezed mainly by a south-  
92    north(SN) horizontal component of the resultant force of the longitudinal force and  
93    gravity([Van Bemmelen, 1975](#)). Considering the fact that the shape of our Earth is a  
94    three-axis ellipsoid similar to a pear([Combined Diagram 1-A](#))([ESA, 2011](#)), it might be  
95    assumed that the resultant force of the lithospheric plate within eastern Asia might  
96    turn deflect slightly, with a direction of N5°E-S5°W( [Table 1](#)).

97

## 98    **3. The five-stage model of plate breakup**

99    In this force field, the plate breakup model for East Asia is as follows:

### 100    **3.1. The 1<sup>st</sup> stage**

101    Because the plate was squeezed in the direction of N5°E-S5°W ([Section 2.3](#)),  
102    latitudinal compressed zones were first formed.

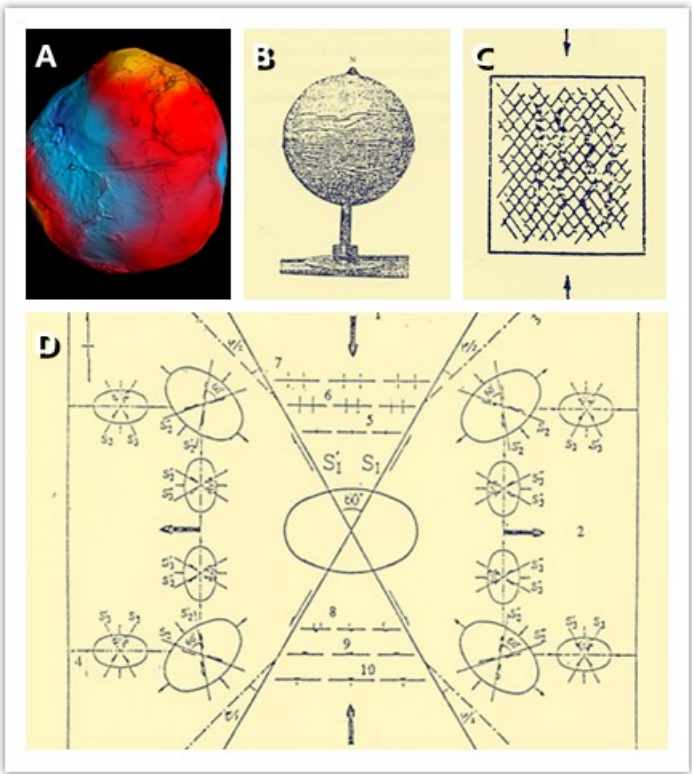
103        EW folds were first formed after rotating a globe that was coated evenly with mud  
104    test materials([Combined Diagram 1-B](#))([Sun and Zhang, 1980](#)).

### 105    **3.2. The 2<sup>nd</sup> stage**

106    Two sets of principal shear fracture zones then appeared within the plate; the

107 bisectors of their acute angles were parallel to the longitudinal force (Combined  
108 Diagram 1-C) (Zhang and Zhong, 1977).

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110

111 **Combined Diagram 1**

112 A. New GOCE Geoid of Our Earth (Image: European Space  
113 Agency, 2011)

114 B. Latitudinal Structures Formed by Model Experiment on Rotating Globe

115 C. Two Sets of Shear Planes on Mud Sample

116 D. Relation between Primary and Secondary Stress Fields during Tectonic  
117 Movement

118 where  $\varphi$  = internal frictional angle;  $S_1, S_1'$  = shear ruptures;  $S_2, S_2'$  = shear ruptures under the 2nd stress field;



119 S3, S3'= shear ruptures under the 3rd stress field

120 1: maximum principal stress (compressive stress) , 2: minimum principal stress (tensile stress), 3:  
121 secondary fold axis, 4: the 3rd fold axis, 5: erect rock stratum, 6: synclinal axis, 7:  
122 anticlinal axis, 8: reversed fold axis, 9: thrust fault, 10: overthrust fault.

123

124 In rock mechanics, there is a formula([China Wuhan Geology Institute, 1979](#)) as:

125 
$$\alpha = 45^\circ - \varphi/2 \quad (2)$$

126 where  $\alpha$  is an included angle between the shear fracture zone and the maximum principal  
127 stress axis;  $\varphi_{plate} = 10^\circ$  (please see [Equation 1](#)).

128 We determined that  $\alpha$  was  $40^\circ$ , so the strike of shear zones in the eastern part of  
129 the plates within East Asia in that stage should all have been  $N40^\circ E$ , if our Earth were  
130 in the shape of a standard sphere.

131 However, the direction of the resultant force subjected to the lithospheric plate  
132 within eastern Asia might turn deflect slightly, becoming  $N5^\circ E-S5^\circ W$ ([Section 2.3](#)),  
133 that is, it might be deflected by approximately  $5^\circ$ . Thus, the strike of the shear zones  
134 in the eastern part of the plates within East Asia in that stage became  $N45^\circ E$ .

135 Regional compressive belts can be derived from shear zones. The included angle  $\beta$   
136 between the compressive belt and the shear zone is shown in [Combined Diagram 1-](#)  
137 [D](#).

138 According to a law as shown in [Equation 3](#) ([National Institute of Geology,](#)  
139 [Academia Sinica, 1972](#)),  $\beta$  can be given as:

140 
$$\beta = \varphi/2 = \varphi_{plate}/2 = 10^\circ/2 = 5^\circ \quad (3)$$

141 Therefore, the strike of the regional compressive belts in that stage should be  
142 N50°E, which, is the mechanical cause of Old-Cathaysian( [Table 1](#)).

143 Many experiments mentioned above and those by [Sih \(1973\)](#) testified the above  
144 expression.

### 145 **3.3. The 3<sup>rd</sup> stage**

146 N30°E new shear zones then appeared in the eastern part of the plate with a  
147 deflection angle of 15° from the N45°E old shear zones that had been formed during  
148 the 2<sup>nd</sup> stage. Therefore, the new shear zones could also derive some N35°E new  
149 regional compressive belts with an included angle of 5°([Equation 3](#)). This was the  
150 mechanical cause of Mid-Cathaysian([Table 1](#)).

151 [Doerner\(1948\)](#) pointed out that new sliding planes must deflect gradually toward  
152 the compressive stress axis under a single compression([Combined Diagram 2-E](#)).

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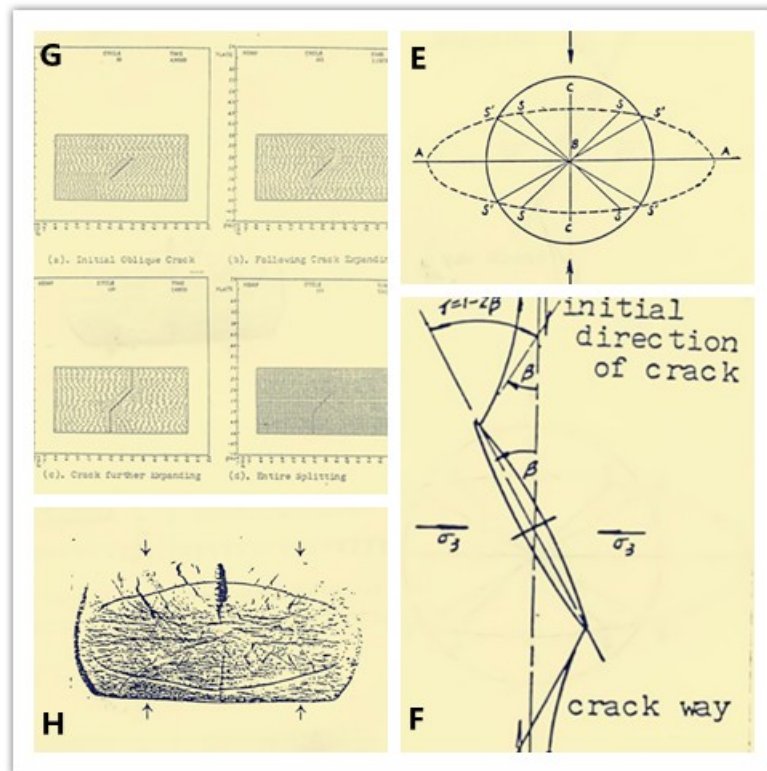
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### Combined Diagram 2

162 E. New Sliding Planes under Simple Compression (where S'S' are  
163 sliding planes of the earlier period; SS are new ones.)

164 F. Crack Expanding Direction from Ends of Non N - S Elliptic Crack under Compression

165 G. Crack Expanding Prediction with Oblique Crack under EW Tension

166 (a: Initial oblique crack; b: Following crack expansion; c: Crack further expanding; d: Entire splitting)

167 H. Splitting of Mud Cake

168

### 169 3.4. The 4<sup>th</sup> stage

170 Subsequently, N15°E newer shear zones appeared with a deflection angle of 15°

171 from the shear zones that had been formed during the 3<sup>rd</sup> stage. These zones could  
172 also derive the N20°E newer regional compressive belts with an included angle of 5°.  
173 This was the mechanical cause of Neo-Cathaysian([Table 1](#)).

174 [Stagg\(1978\)](#) indicated that the direction of crack expansion must be toward the  
175 load under a single compression([Combined Diagram 2-F](#)).

### 176 **3.5. The 5<sup>th</sup> stage**

177 Finally, the two sets of shear zones that had formed during the 4<sup>th</sup> stage, one of  
178 strike N15°E in the eastern part and the other of strike N15°W in the western part of  
179 the plate, again deflected 15° towards the rotation axis of the Earth, producing an  
180 extremely strong 0° (south-north strike) shear rupture, which was parallel to the  
181 maximum principal stress axis, by way of the two sets of shear planes combined into  
182 one shear plane, thus creating a south-north direction's whole breakup in the  
183 plate(because the shear cracks during the 2<sup>nd</sup> stage to the 4<sup>th</sup> stage were produced by  
184 a simple shear, thereby preventing splitting of the entire thickness of the plate).  
185 Hence, the plate was entirely split, ending the entire breaking course. Of course, this  
186 0° shear rupture could also derive its compressive regional belts of N5°E and N5°W  
187 strikes, that is, longitudinal belts([Table 1](#)).

188 \* [Sih\(1977\)](#) considered that under EW tension(i.e., under SN compression), a non  
189 SN oblique crack could still be split in the SN direction, based on his  
190 experiments([Combined Diagram 2-G](#)).

191       \* An experiment by [Zhang\(1985\)](#) showed an axial splitting of a mud cake under  
192 simple compression([Combined Diagram 2-H](#)).

193       [Combined Diagram 2-H](#) seems to be an excellent epitome for the entire course of  
194 plate breakup. Why can we not look upon this result as strong evidence to  
195 supporting the model of plate breakup? Interestingly, the experiment shows that  
196 plate breakup often occurs in the middle of the plate. [Bonnin, J. and Dietz, R.S.](#) also  
197 once said that oceanic ridges often remained in the middle of two plates([Bonnin and](#)  
198 [Dietz, 1977](#)).

199       There could be several phases during the plate breaking occurred:

200       A. 0° shear rupture (initial splitting);

201       B. Hot mantle arched upward along the 0° (SN) linear split, leading a linear plate  
202 breaking with a SN direction (final breakup).

203       C. As the movement of plates dredged up rock from the depths and brought it  
204 back down again, it could have transported both water and carbon dioxide. The  
205 recycled carbon dioxide may have generated, or at least helped sustain, a dense,  
206 carbon-rich atmosphere. This blanket of greenhouse gas could have warmed our  
207 Earth.

208       Strikes of oceanic ridges/rises and continental rifts on Earth are mostly SN. For  
209 example, the Atlantic Mid-Ridge, the East Africa Rift, etc.

210       However, the non SN strikes, perhaps because they were secondary

211 structures(please see [Combined Diagram 1-D](#)) such as the oceanic ridges/rises with  
212 EW strike. Their basements are always sialic(Li, 1983).

213

214

## 215 **4. Conclusions**

216 The following hypothesis has been proposed: the splitting of plates with SN strikes  
217 was just attributed to the maximum principle stress field accumulated by rotation of  
218 the Earth.

219 The course of the plate breakup would be as follows: any plate has to undergo 5  
220 stages before breaking up; the 5 stages own each tectonic system; these 5 tectonic  
221 systems gradually deflect 15°towards the rotation axis of the Earth. After entering  
222 the 5th stage, the plate will normally be broken up; that is, one plate will be split into  
223 two plates.

224 Theoretically, the significance of this paper might be its filling in the gaps in the  
225 field of plate tectonics. The author has considered that plate tectonics would consist  
226 of three parts: continental drift, sea floor spreading and plate breakup. That is  
227 because without sea floor spreading there would be no continental drift; and also  
228 without plate breakup there would be no sea floor spreading. Therefore, it is of great  
229 significance to research the mechanism of plate breakup.

230

## 231 **Declaration of Conflicts of Interest**

232 I declare no conflict of interest.

233

234

## 235 **Acknowledgement**

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238 Geology Institute and National Institute of Geology, Academia Sinica for their  
239 valuable experiments objectively supporting my model.

240 **Data Availability Statement:** For theoretical papers, or most review papers: Data  
241 were not used, nor created for this research.

242

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