# Ionospheric plasma fluctuations induced by the NWC very low frequency signal transmitter

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#### Abstract

The Australian NWC (North West Cape) signal transmitter is known to strongly interfere with the topside ionosphere. We analyze 456 conjunctions between Swarm A, B and NWC, in addition to 58 conjunctions between NorSat-1 and NWC. The in-situ measurements provided by these satellites include the 16 Hz Swarm Advanced Plasma density dataset, and the novel 1000 Hz plasma density measurements from the m-NLP system aboard NorSat-1. We subject the data to a detailed PSD analysis and subsequent superposed epoch analysis. This allows us to present comprehensive statistics of the NWC-induced plasma fluctuations, both their scale-dependency, and their climatology. The result should be seen in the context of VLF signal transmitter-induced plasma density fluctuations, where we find counter-evidence for the existence of turbulent structuring induced by the NWC transmitter.

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Key	Points:
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9	•	The NWC transmitter produces clearly observable plasma density fluctuations in
10		the topside F-region ionosphere
11	•	The scale sizes associated with these plasma fluctuations are strongest between
12		1 km - 10 km
13	•	The NWC-induced plasma fluctuations are most visible during a tenuous ionosphere,
14		and during magnetic midnight

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#### 15 Abstract

The Australian NWC (North West Cape) signal transmitter is known to strongly inter-16 fere with the topside ionosphere. We analyze 456 conjunctions between Swarm A, B and 17 NWC, in addition to 58 conjunctions between NorSat-1 and NWC. The in-situ measure-18 ments provided by these satellites include the 16 Hz Swarm Advanced Plasma density 19 dataset, and the novel 1000 Hz plasma density measurements from the m-NLP system 20 aboard NorSat-1. We subject the data to a detailed PSD analysis and subsequent super-21 posed epoch analysis. This allows us to present comprehensive statistics of the NWC-induced 22 plasma fluctuations, both their scale-dependency, and their climatology. The result should 23 be seen in the context of VLF signal transmitter-induced plasma density fluctuations, where 24 we find counter-evidence for the existence of turbulent structuring induced by the NWC 25 transmitter. 26

# 27 **1 Introduction**

Since the late 1800s, radio communication antennae utilize the partial reflection of radio signals off the bottom-side of the ionosphere, enabling long-range communication. However, part of the signal is absorbed by the ionosphere. The electromagnetic waves associated with the radio antennae accelerate and heat plasma, a fact that has been exploited for scientific enquiry numerous times (T. B. Leyser & Wong, 2009; Streltsov et al., 2018, e.g.,).

The effects of radio transmitter signals on the ionosphere by production of a scat-34 tered and reflected signal are detected using ground-based instruments. Here, very high 35 frequency or ultra high frequency radar transmitters are frequently used as incoherent 36 scatter radars (Folkestad et al., 1983; Stubbe & HagforS, 1997). Conversely, radar re-37 ceivers can record the effect of pre-existing structuring of ionospheric plasma on radio 38 signals, by examining the rapid scintillations in radio signal phase and amplitude caused 39 by changes in local plasma refractive index (Yeh & Liu, 1982; Kintner P. M. et al., 2007; 40 Jin et al., 2017). Lastly, the effects by radio signal transmission of heating or acceler-41 ating plasma can readily be scrutinized in-situ, using sounding rockets or satellites (T. Leyser, 42 2001; Chernyshov et al., 2016; Streltsov et al., 2018). 43

In the latter category, very low frequency (VLF) radio signal transmitters are primarily used for long-range naval communication. While the study of VLF signals originating in space are vital to understanding radiation belt dynamics (Graf et al., 2013), terrestrial VLF transmitters induce observable changes in radiation belt precipitation (Inan et al., 1984; Cohen & Inan, 2012).

Recently, several studies have investigated the impact on the ionosphere by the Australian NWC (North West Cape) VLF radio transmitter complex. NWC operates at a frequency of 19.8 kHz, and, like other VLF communication transmitters, operate at a particularly high power, exceeding 1 MW. As such, and since it is continuously operating,
it has a clearly observable effect on the overhead ionosphere, as illustrated in Fig. 1, showing in-situ plasma observations that will be defined in the Methodology section.

Zhao et al. (2019) studied VLF signals from several ground-based stations, includ-55 ing NWC, using data from the Chinese ZH-1 satellite. The authors found evidence of iono-56 spheric heating, both magnetic and electric field perturbations, and precipitation caused 57 by NWC at an altitude of 507 km. Mishin et al. (2010) and Xia et al. (2020) studied spec-58 tral broadening in the NWC signal at an altitude of 600 km, using data from the DEME-59 TER satellite. Mishin et al. (2010) concluded that interactions between the NWC signal 60 and ionospheric plasma resulted in non-linear plasma instabilities, giving rise to turbu-61 lence, and ultimately causing a loss of VLF signal. Xia et al. (2020) found that these ef-62 fects are strongest on the nightside and during times with a low ambient electron den-63 sity. Němec et al. (2020) likewise used data from the DEMETER satellite, and found ev-64

- idence for enhanced electric-field waves, in addition to perturbations in electron density
- $_{66}$  and temperature associated with NWC, around a large area situated 400 km north of NWC.
- <sup>67</sup> The authors likewise connect the enhanced electric-field waves to transmitter-induced
- 68 plasma irregularities.

While the evidence for VLF spectral broadening associated with the NWC transmit-69 ter are thoroughly documented, a characterization of the NWC-induced plasma density 70 fluctuations is absent. Furthermore, most studies so far were based on heliosynchronous 71 satellites such as DEMETER and ZH-1, which can cover only two local time sectors 12-72 73 hour apart. We analyze 456 conjunctions between the Swarm A and B satellites and NWC, and 58 conjunctions between NorSat-1 and NWC. Through high frequency plasma den-74 sity observations (16 Hz sampling frequency from the Swarm Advanced Plasma Density 75 dataset, and 1000 Hz sampling frequency using the m-NLP instrument aboard NorSat-76 1), we present a scale-dependent characterization and climatology of strong plasma fluc-77 tuations induced by the NWC transmitter, with a seamless local time coverage. Further, 78 we discuss whether the NWC transmitter is inducing turbulent plasma irregularities in 79 the topside F-region, based on both magnetic field fluctuations and satellite scintillations 80 measured by Swarm. 81

#### <sup>82</sup> 2 Methodology

Central to the analysis used in the present study is the power spectral density (PSD) of a signal consisting of in-situ measured plasma density. As the PSD of a signal reflects the intensity at which the signal fluctuates at a given frequency, a PSD analysis is particularly useful to study the scale-dependency of ionospheric plasma phenomena. In this study, we subject data from the Swarm mission and novel data from the NorSat-1 satellite to a PSD analysis.

The Swarm satellites have been orbiting Earth in polar orbits since late 2013 (Friis-Christensen et al., 2006), at an altitude between 450 km and 520 km. Consisting of three

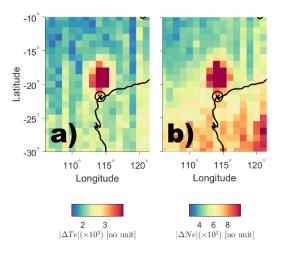


Figure 1. The ionospheric "hotspot" associated with NWC, based on data from the Langmuir probe aboard Swarm A and B, calculated using median values from 5146 passes over the an extended area around NWC by Swarm A and B, made during local magnetic times between 21 h and 6 h, for the entire Swarm mission period. Panel a) shows the absolute value of  $\Delta T$ , while panel b) shows the absolute value of  $\Delta n$ , quantities to be defined in the text. The NWC transmitter is marked with a circle and a cross.

identical satellites, A, B, and C, the mission entails measurements of Earth's near space
environment using an array of instruments. Among these, we mainly use data from the
Thermal Ion Imager instrument, covering the entire mission period from 2014 through
2020. In particular, the Swarm Advanced Plasma Density dataset consists of 16 Hz resolution observations made from measuring faceplate currents (Knudsen et al., 2017).

The NorSat-1 satellite is a multi-payload micro-satellite, and Norway's first scien-96 tific satellite, launched in 2017. NorSat-1 is equipped with the multi-Needle Langmuir 97 Probe system (m-NLP) (Jacobsen et al., 2010; Bekkeng et al., 2010), which gives plasma 98 density observations with a sampling frequency of 1000 Hz, and which has successfully flown on several sounding rockets in the polar ionosphere (see, e.g., Lynch et al., 2015; 100 Spicher et al., 2016). NorSat-1 orbits earth at an altitude of 600 km in a stable quasi-101 heliosynchronous orbit, meaning NorSat-1 consistently crosses the equator heading north 102 at a local time of around 23 h on Earth's nightside. In the present study, we will only 103 use data from one cylindrical Langmuir probe, which on NorSat-1 has a radius much smaller 104 than typical topside F-region ionosphere plasma Debye lengths. The probe has a fixed 105 positive bias with respect to the plasma potential, leading to the probe attracting elec-106 trons from the surrounding plasma. Changes in the number of attracted electrons will 107 then reflect fluctuations in the surrounding plasma density and temperature. The data 108 from NorSat-1 stem from 2017 through 2020, though there are large gaps in the data. 109 Coincidentally, plasma observations from NorSat-1 has recently been utilized to inves-110 tigate electron heating by very high frequency radio transmitter (Chernyshov et al., 2020). 111

For both datasets, we are interested in plasma fluctuations irrespective of the background density, and so we construct the dimensionless relative density fluctuations  $\Delta n$ ,

$$\Delta n = \frac{n - \bar{n}_{1m}}{\bar{n}_{1m}},\tag{1}$$

where  $n_{1m}$  is a running median filter with a window size of 1 minute. In the case of NorSat-1 data, we take the probe current I as a placeholder for n. That is, for NorSat-1,

$$\Delta n = \frac{I - \bar{I}_{1m}}{\bar{I}_{1m}}.$$
(2)

<sup>116</sup> Next, we subject the relative density fluctuations to a PSD density analysis, where we <sup>117</sup> use a variant of Welch's power spectral density (Welch, 1967). This method entails av-<sup>118</sup> eraging modified periodograms over fixed logarithmically spaced spectral range (Tröbs <sup>119</sup> & Heinzel, 2006). The resulting power spectrum, S(f) is a scale-dependent quantity that <sup>120</sup> measures the strength of fluctuations in the observed plasma density at a given frequency <sup>121</sup> f, which corresponds to a spatial scale  $\lambda$ ,

$$\lambda = \frac{v_S}{f},\tag{3}$$

 $v_S$  being spacecraft orbital velocity, assuming that the latter is much greater than the 122 local plasma velocity. As the satellites are moving at around 7.6 km/s with respect to 123 Earth, this assumption is reasonable [see Fredricks and Coroniti (1976) for a comprehen-124 sive discussion on the relation between the true spectrum and one obtained by means 125 of a moving spacecraft]. Note that the unit for  $S(\lambda)$  here is  $Hz^{-1}$ , as the quantities we 126 are subjecting to a PSD analysis are unitless. We finally also note that similar, albeit nois-127 ier, results can be obtained by averaging or interpolating a conventional fast Fourier trans-128 form spectrum instead of performing the aforementioned PSD analysis. 129

We divide the  $\Delta n$  data into bins of size of 60 seconds, and space these bins out with a temporal resolution of 1 second, meaning the bins have 98% overlap. Then, we calcu-

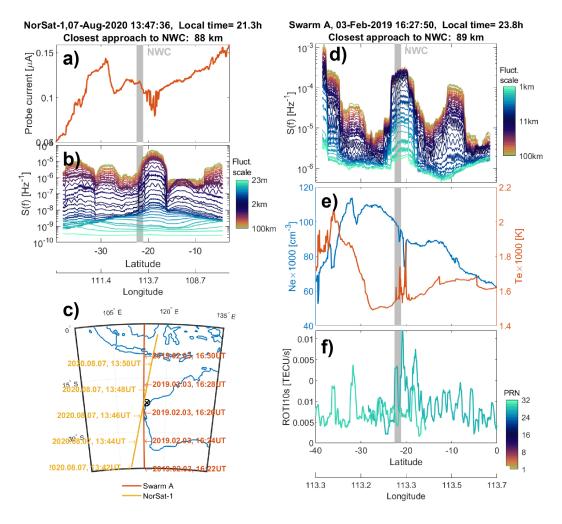


Figure 2. Panels a) and b): A pass made by NorSat-1 over NWC on 7 August 2020, at a local time of 21.3 h (the path traversed by Swarm A is shown in panel c). Panel a) shows the current through the m-NLP probe carrying the highest bias (10 V with respect to the spacecraft potential). Panel b) panel shows  $S(\lambda)$  of the relative density fluctuations for 32 scales, from 100 km down to 23 m, indicated by the colorbar. The latitudes and longitudes of this pass over NWC are indicated along the two bottom x-axes.

**Panels d), e) and f):** A pass made by Swarm A over NWC on 3 February 2019, at a local time of 23.8 h (the path traversed by NorSat-1 is shown in panel c). Panel a) shows  $S(\lambda)$  for 32 scales, from 100 km down to 1 km, indicated by the colorbar. Panel d) shows electron density (left axis) and temperature (right axis). Panel e) shows ROTI from all visible PRN with an elevation angle greater than 30°, with PRN number indicated by the colorbar. The latitudes and longitudes of this pass over NWC are indicated along the two bottom *x*-axes, and in all five panels, the geographic location of NWC is indicated by a gray shaded line.

late  $S(\lambda)$  for 32 logarithmically spaced scales ranging from 100 km down to the smallest scale available. The smallest scale for the Swarm 16 Hz data is 1 km, and 23m for the NorSat-1 1000 Hz data. Note that 23 m is larger than the scale corresponding to the NorSat-1 Nyquist frequency of 500 Hz. This is due to an electronic filter, which reduces the highest frequency for which the spectrum contains valuable information to 333 Hz.

In addition to the PSD analysis, we use plasma observations from the Swarm Lang-137 muir probe and the Vector Field Magnetometer, and data from the Swarm GPS receivers. 138 From the Langmuir probe data, we gather 2 Hz electron density and temperature, while 139 we gather 50 Hz magnetic field fluctuations from the Vector Field Magnetometer. Here, 140 we follow Park, Lühr, Knudsen, et al. (2017) in transforming the magnetic field fluctu-141 ations into the mean-field aligned (MFA) coordinate system, allowing us to scrutinize 142 fluctuations in the field-perpendicular component; this corresponds to fluctuations in the 143 local field aligned currents (FAC). From the Swarm GPS data, we follow Jin et al. (2019) 144 in calculating the 1 Hz TEC (Total Electron Content), from which we estimate the rate 145 of change of TEC index (ROTI), where we take the standard deviation of the rate of change 146 TEC in a 10 second window. ROTI can, under certain circumstances, reflect the amount 147 of satellite scintillations in the GPS signal between the Swarm satellite and the up to 148 8 GPS satellites that are tracked by each Swarm satellite. 149

In Fig. 1, we show the median values of  $|\Delta n|$  (panel a) and  $|\Delta T|$  (panel b), where 150  $\Delta T$  is similarly defined as  $\Delta n$ , for the electron temperature T. Note that as both quan-151 tities fluctuate around 0, and so we consider the absolute value in Fig. 1, and the num-152 bers are multiplied by a factor of  $10^3$  for ease of reading. The median values are based 153 on 5146 passes made by Swarm A and B over an extended area around NWC, where the 154 location of NWC is indicated with a cross and a circle. The ionospheric "hotspot" asso-155 ciated with NWC clearly appears north of NWC's geographic location, where there is a 156 significant enhancement in both  $|\Delta n|$  and  $|\Delta T|$ . 157

In Fig. 2, we show a conjuction between NorSat-1 and NWC (panels a and b), and 158 between Swarm A and NWC (panels d, e, and f). The two orbital paths are displayed in 159 panel c), where we bring attention to the fact that the two conjunctions displayed oc-160 curred 18 months apart, but are shown in the same figure for illustration purposes. Panel 161 a) shows the current through one m-NLP probe, and panel b) shows the resulting  $S(\lambda)$ 162 for the 32 scales indicated by the colorbar. Panel d) shows the Swarm A-calculated  $S(\lambda)$ , 163 for 32 scales indicated by the colorbar. Panel e) shows the 2 Hz electron temperature 164 (right axis) and density (left axis). Lastly, panel f) shows the ROTI for GPS satellites with 165 an elevation angle greater than  $50^{\circ}$ , with PRN number indicated by the colorbar. The 166 satellite's orbital path is indicated by latitude and longitude on the two bottom x-axes. 167 The geographic location of NWC is indicated by a shaded gray line in each panel (and 168 with a circle and a cross in panel c). We see that northward of NWC, there is a dip in 169 both the probe current (panel a) and electron density (panel d), and a corresponding per-170 turbation to the electron temperature (panel d). In the  $S(\lambda)$  panels (b and c), we see 171 that there is a distinctive feature in  $S(\lambda)$  northward of NWC: Some scales increase sharply, 172 while other scales do not change noticeably after the satellite passes NWC, indicating a 173 scale-dependent response in the plasma density to the NWC VLF transmitter. 174

#### 175 **3 Results**

We analyze 456 conjunctions between Swarm A and B, and NWC, where we define a conjuction as a pass by the satellite over NWC with a maximum distance of 150 km between the projected location of the satellite on Earth's surface and the geographic location of NWC. The reason for not including conjunctions made by Swarm C is that Swarm C follows the orbit of Swarm A closely, with only a short longitudinal distance. Including Swarm C would thus risk double-counting events. We perform a superposed epoch analysis on all passes made by the satellites over NWC. By taking the median of several

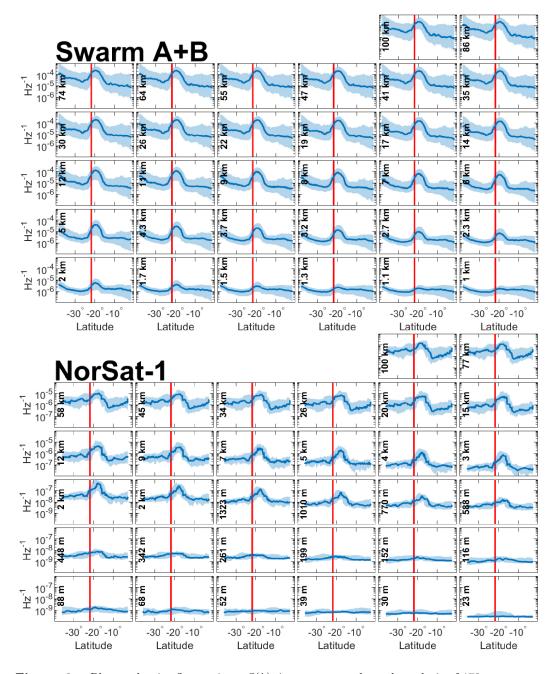


Figure 3. Plasma density fluctuations,  $S(\lambda)$ , in a superposed epoch analysis of 173 passes made by Swarm A+B (top) and 27 passes made by NorSat-1 (bottom), directly over NWC (maximum distance of 150 km within NWC). The Swarm passes are made during magnetic local times between 21 h and 6 h, while all NorSat-1 passes occurred at local times between 21 h and 23 h. For each panel, the relevant scale interval is indicated. Note that for the NorSat-1 panels, the limits along the y axes are different for the lower 18 scales, though for all 64 panels, the limits range over four orders of magnitude.

orbits in superposition, after shifting southward-bound orbits northward, we can elim-183 inate the effect of local plasma conditions encountered before and after NWC. In Fig. 3, 184 we present a superposed epoch analysis of 173 passes made by Swarm A and B (top 32 185 panels) during magnetic local times between 21 h and 6 h, and 27 passes made by NorSat-186 1 (bottom 32 panels), during magnetic local times between 21 h and 23 h. Each panel 187 show the superposition of  $S(\lambda)$  for a scale interval given by its midpoint, inset on the 188 left axis. Each of the 200 conjunctions with NWC upon which Fig. 3 is based are in-189 cluded in the Supporting Information to this article, as plots akin to those shown in Fig. 2. 190

<sup>191</sup> We can clearly see that there is a peak in  $S(\lambda)$  north of NWC, and that the promi-<sup>192</sup> nence of the peak varies depending on the scale interval at which  $S(\lambda)$  is calculated; while <sup>193</sup> prominent in some panels, the peak is almost invisible in others. This could indicate that <sup>194</sup> power is being injected into the density fluctuation signal at certain scales. To quantify <sup>195</sup> this scale-dependency, we perform a peak prominence analysis to each scale interval. We <sup>196</sup> define prominence p as,

$$p_{\lambda} = \frac{\sigma_{\lambda,\max}}{\sigma_{\lambda,\text{base}}} - 1, \tag{4}$$

where  $\sigma_{\lambda,\max}$  is the maximum peak fluctuation power associated with NWC, and  $\sigma_{\lambda,\text{base}}$ 197 is the median fluctuation power before and after NWC, both calculated after smoothing 198  $S(\lambda)$ , to avoid giving significance to local minima and maxima. In this context, we in-199 terpret the prominence  $p_{\lambda}$  as the excess power contained in the plasma fluctuations at 200 the scale  $\lambda$ , where  $p_{\lambda} = 0$  would indicate that no excess power is associated with the 201 NWC at that particular scale. The distribution of  $p_{\lambda}$  across  $\lambda$  generally exhibit a promi-202 nent peak at lower scales, but remains high across a large range of scales. To measure 203 the location of the peak, which corresponds to the scale at which we observe maximum 204 excess density fluctuations, we fit a two-term Gaussian curve,

$$p_{\rm fit}(\lambda) = p_a \exp\left[-\left(\frac{\lambda - \lambda_0}{\lambda_a}\right)^2\right] + p_b \exp\left[-\left(\frac{\lambda - \lambda_1}{\lambda_b}\right)^2\right],\tag{5}$$

where  $p_{a,b}$ ,  $\lambda_{0,1}$  and  $\lambda_{a,b}$  are fitting parameters determined by the fitting algorithm. Crucially,  $\lambda_0$  represents the location of the peak in the prominence distribution. Now, in choosing the specific function to fit to the prominence data, the goal is to isolate  $\lambda_0$ , the overall peak in the distribution. The choice of a two-term Gaussian is somewhat arbitrary, and similar results could be had by applying different functions. We will therefore not interpret the role of the other fitting parameters.

As mentoned, in the context of power being injected into the plasma density fluctuation PSD,  $\lambda_0$  is the scale at which we observe maximum excess density fluctuations associated with the NWC transmitter. Indeed, the end product of this analysis is  $\lambda_0$ , and to quantify the uncertainty associated with this analysis, we perform a Boostrap error analysis with 10<sup>4</sup> iterations. In each iteration, orbits passing over NWC are re-sampled uniformly with replacement. We then use the 90-percent confidence intervals of all iterations as errorbars for our estimate of  $\lambda_0$ 

In Fig. 4, we show the result of this statistical analysis applied to all conjunctions between Swarm A, B and NorSat-1, and NWC.

Panel a) shows an analysis based on magnetic local time. Here, we see the  $p_{\lambda}$  distribution for passes made by Swarm A and B, during noon (9 < MLT < 15), dusk (15 < MLT < 21), dawn (3 < MLT < 9), and midnight (21 < MLT < 3), where we use the altitude adjusted corrected geomagnetic coordinates system for MLT-calculations (Baker & Wing, 1989). While completely absent during noon and dusk, the excess power in the density signal associated with NWC remains comparatively low for dawn passes, but is <sup>227</sup> in excess of 15 times higher for passes made during magnetic midnight. The small-scale <sup>228</sup> (< 10 km) fluctuations associated with the NWC peak is strongly suppressed in the dawn <sup>229</sup> distribution, where there is great uncertainty in the location of  $\lambda_0$ , while the peak for <sup>230</sup> the midnight distribution is located at the scale  $\lambda_0 = 6.7 \pm 1.7$  km. In panel a), and <sup>231</sup> the subsequent three panels, the shaded area behind the two-term Gauss curve are fits <sup>232</sup> made from the upper and lower quartile  $p_{\lambda}$  distributions from all the 10<sup>4</sup> Bootstrap it-<sup>233</sup> erations.

In Panel b), we analyze the effect of geomagnetic activity on the NWC-associated 234 235 density fluctuations, where we only include passes made during 21 h < MLT < 6 h, the MLT interval in which the strongest excess fluctuations are visible. Here, we use the SYM-236 H index (Wanliss & Showalter, 2006), provided by OMNI (King & Papitashvili, 2005), as 237 a measure of the geomagnetic activity affecting the mid-latitude ionosphere. Quiet times 238 are defined as passes made during times with an average value of SYM-H > -15 nT, while 239 active times are defined as the opposite. We see that the excess plasma density fluctu-240 ations associated with NWC are around 15 times stronger during quiet geomagnetic times, 241 compared to around 10 times stronger during active times. The peak scale for the ex-242 cess plasma density fluctuations remain similar across geomagnetic activity, with  $\lambda_0 = 7.1 \pm$ 243 1.1 km for passes made during geomagnetically quiet times, and  $\lambda_0 = 7.9 \pm 2.9$  km 244 for passes made during active times. 245

In panel c) of Fig. 4, we show the prominence analysis for three different seasons, 246 where we again only include passes made during MLTs between 21 h and 6 h. Here, we 247 define June and December solstices as a 90-day period centered on each solstice, while 248 we combine the equinoxes, in which a 90-day period is centered on the Spring and Au-249 tumn equinoxes respectively. We observe that the December solstice passes barely reg-250 ister a prominent peak associated with NWC for any scale, while the June solstice passes 251 measure fluctuations barely 10 times stronger associated with NWC, with a peak at  $\lambda_0 = 5.5 \pm$ 252 1.0 km. However, the combined Equinox passes measure excess plasma fluctuations at 253 NWC 25 times stronger than before and after NWC, with a peak in the prominence dis-254 tribution at  $\lambda_0 = 7.7 \pm 1.6$  km. 255

In panel d), we divide all Swarm A and B conjunctions into three periods, Early 256 (from 2014 - June 2016), Mid (June 2016 - September 2017), and Late (September 2017) 257 - January 2021). As dictated by Swarm orbital dynamics, each period contains roughly 258 the same number of passes, despite being of varying length. During the Early period, 259 the solar cycle is descending from a maximum, a descent that continues through the Mid 260 period. As the Late period progresses, the deep solar minimum has begun. The result-261 ing prominence stays around 10 for the Early ( $\lambda_0 = 8.5 \pm 3.7$  km) and Mid ( $\lambda_0 = 4.6 \pm$ 262 1.2 km) periods, with the Mid period small-scale fluctuations more pronounced. How-263 ever, the Late period exhibits considerably more fluctuations associated with NWC, with 264 prominence reaching 20. The latter exhibits a peak at  $\lambda_0 = 6.7 \pm 1.5$  km. 265

Finally, in panel e), we show how each satellite differs in the way the NWC-induced 266 plasma density fluctuations are measured, where we now only include passes made dur-267 ing magnetid midnight (21 h < MLT < 3 h), which is the only MLT interval in which NorSat-268 1 crosses the night ide ionosphere. We see that Swarm A and B show a very similar dis-269 tribution in  $p_{\lambda}$ , with peaks located at  $\lambda_0 = 6.0 \pm 2.3$  km and  $\lambda_0 = 6.9 \pm 1.9$  km re-270 spectively, despite Swarm A orbiting at an altitude of around 450 km, while Swarm B 271 orbits at an altitude around 500 km. The NorSat-1 distribution, however, is different, 272 with a peak located at  $\lambda_0 = 2.8 \pm 1.1$  km, and with excess NWC-associated plasma 273 274 density fluctuations existing on scales down to around 100 m (see Fig. 3 for the super-275 posed epoch analysis on which the NorSat-1 datapoints in panel d of Fig. 4 are based). While the Swarm A and B passes register excess plasma density fluctuations around 15 276 times stronger over NWC, NorSat-1 registers fluctuations only around 8 times stronger. 277

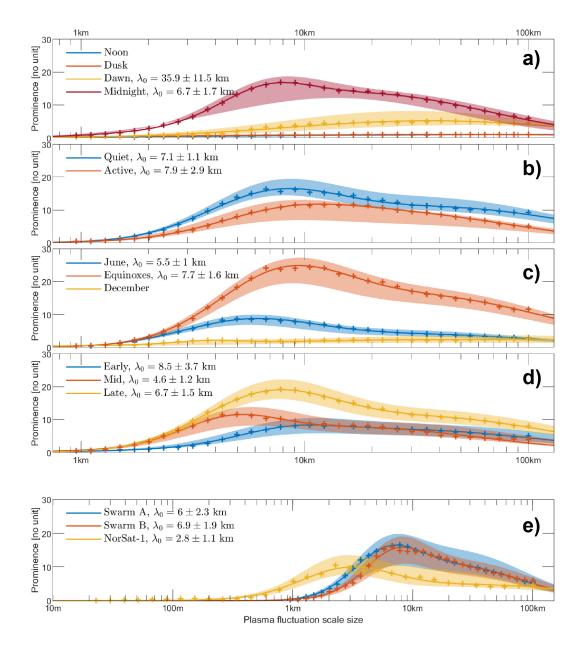


Figure 4. Panel a) shows the prominence analysis based on orbits made by Swarm A and B, during four different MLT intervals, noon (9h < MLT < 15h), dusk (15h < MLT < 21h), dawn (3< MLT < 9), and midnight (21h < MLT <3h). Panels b), c), and d) likewise show the analysis based on orbits made by Swarm A and B, but for an MLT interval of 21h < MLT < 6h. In **Panel** b) The data is divided into quiet (SYM-H > -15 nT) and active (SYM-H < -15 nT) geomagnetic conditions. Panel c) shows the corresponding analysis for three seasons, June and December solstices, along with combined equinoxes. Panel d) divides the data into three periods, Early (from 2014 - June 2016), Mid (June 2016 - September 2017), and Late (September 2017 - January 2021). Panel e) compares the analysis based on Swarm A, Swarm B and NorSat-1, where the Swarm passes over NWC were made by teen 21h < MLT < 3h, and the NorSat-1 passes between 21h < MLT < 23 h. In each panel a two-term Gaussian curve is fitted through the prominence datapoints [Eq. (5)]. The error intervals for  $\lambda_0$  are 90-percent confidence intervals from a Bootstrap error analysis, and the shaded area behind each fitted curve are fits corresponding to the upper and lower quartile distributions of the data. The Bootstrap analysis consists of  $10^4$  iterations of the original data, with uniform resampling of the orbits — this represents the statistical uncertainty in the underlying data.

# 278 4 Discussion

The overall distribution of excess NWC-associated plasma density fluctuations as 279 seen by NorSat-1 (Fig. 4, panel d) differs from that of Swarm A and B. The reasons for 280 this is many-faceted. Firstly, NorSat-1 consistently crosses the nightside equator at MLTs 281 between 21 h and 23 h, while the nightside Swarm A and B crossings are not confined 282 in MLT. Furthermore, NorSat-1 orbits at the considerably higher altitude of 600 km. How-283 ever, since the 50 km that separates Swarm A from Swarm B in altitude has little im-284 pact on their  $p_{\lambda}$  distributions, the altitude difference between NorSat-1 and the Swarm 285 satellites would similarly not contribute to the observed distribution difference. Finally, 286 the sharp cutoff of the Swarm A and B  $p_{\lambda}$  distributions around  $\lambda = 1$  km is close to 287 the Nyquist frequency of the 16 Hz Swarm Advanced Plasma Density sampling frequency 288 (8 Hz). We then expect the true distribution of excess NWC-associated plasma density 289 fluctuations to be closer to that seen by NorSat-1, since the latter can unhindered in-290 vestigate scales smaller than 1 km, and indeed down to 23 m. 291

The climatology of the NWC-associated plasma fluctuations show that the fluctuations are strongest during magnetic midnight, and partially during dawn, when the ambient plasma density is low. This harmonizes with findings that VLF spectral broadening over NWC favours conditions with low ambient plasma density (Xia et al., 2020), and with recent reports that a low ambient plasma density creates conditions favourable to the transmission of man-made electromagnetic waves (Parrot, 2021).

At first glance, it might seem counter-intuitive that the combined equinoxes-passes 298 exhibit considerably more prominent NWC-associated plasma fluctuations than the sol-299 stice passes (panel c). After all, the midnight ionosphere near Australia is denser dur-300 ing equinoxes than during the June solstice (Jee et al., 2009). However, at the same time, 301 the nighttime ionosphere around Australia is inherently disturbed by irregularities dur-302 ing both solstices, and especially during the June solstice (Kil & Paxton, 2017). This in-303 dicates that tenuous undisturbed plasma creates favourable conditions for the NWC-associated 304 plasma fluctuations, and explains the particularly prominent Equinox-passes. Indeed, 305 as is readily seen in panel b), prominent fluctuations are favoured during times when the 306 ionosphere is geomagnetically quiet, as opposed to active. 307

Dividing all the conjunctions into three periods (panel d) drives the point home. The NWC-associated plasma fluctuations are weakest in the Early period, before getting successively more pronounced until the Late period. The latter contains the current deep solar minimum, indicating a reverse proportionality between NWC-associated plasma fluctuations and solar activity. The entire climatology thus indicates that the conditions most favourable to NWC-associated plasma fluctuations involve a low-density, tenuous ionosphere, with a preference for low solar activity.

It is now prudent to take a step back, and briefly take into account the difference 315 between plasma *fluctuations* and plasma *irregularities*: Whereas the former is inherently 316 stable, irregularities arise from an instability mechanism. Although far from perfectly 317 understood, instability mechanisms enable an initial equilibrium state to become unsta-318 ble to perturbation, ultimately leading to turbulence (Huba et al., 1985; Fasoli et al., 2006). 319 In this context, spectral broadening of VLF radio signals is known to be caused by both 320 large- and small-scale ( $\sim 100 \text{ m}$ ) ionospheric plasma irregularities (Groves et al., 1988; 321 Rozhnoi et al., 2008; Rapoport et al., 2010). In fact, the presence of VLF broadening can 322 be seen as a footprint of plasma turbulence in the topside ionosphere (Titova et al., 1984). 323 Moreover, spectral broadening of VLF signals has recently been observed and character-324 ized at an altitude of 600 km over NWC (Mishin et al., 2010; Xia et al., 2020). The au-325 thors of these studies posited that the observed spectral broadening was due to scatter-326 ing by turbulent plasma instabilities caused by the VLF signal itself. 327

As we have shown evidence of strong excess plasma fluctuations consistently being observed in the topside F-region ionosphere above NWC, it is tempting, in light of the observed VLF spectral broadening, to conclude that the NWC signal transmitter is producing turbulent plasma structuring.

However, when subjecting the Swarm TEC data to the same superposed epoch anal-332 ysis as described in the previous section, we find no evidence that the plasma fluctua-333 tions are consistently inducing changes in the measured TEC. Fig. 5 shows a superposed 334 epoch analysis based on passes made by Swarm A and B during 21 h < MLT < 6 h, and 335 during geomagnetically quiet conditions (SYM-H>-15 nT). In panel a), we plot the me-336 dian  $S(\lambda)$  for all 124 identified passes, which clearly shows the scale-dependent response 337 to NWC in the plasma density data. In panel b), we show a similar treatment to the field-338 perpendicular magnetic field fluctuations: We show the superposed epoch analysis of  $S(\lambda)$ 339 for 32 scales from 100 km down to 305 m, where we subjected the magnetic field data 340 to a PSD analysis similar to that presented in the Methodology section. (Note that the 341 magnetic field fluctuations are not unitless like the density data.) In panel c) we show 342 all the ROTI observations calculated from the GPS receivers onboard Swarm A and B 343 (elevation angle greater than  $50^{\circ}$ ), during the 124 passes mentioned, with the median 344 of all passes displayed in red. As is readily observed, the ROTI data does not exhibit any 345 clear pattern associated with NWC, apart from circumstantial evidence from individual 346 passes (which is also evident in Fig. 2, panel f). 347

Now, FAC structuring is directly associated with equatorial plasma irregularities 348 [Farley (1963); Stolle et al. (2006), Figs 2, 11, and 12; Rodríguez-Zuluaga et al. (2017), 349 Figs 1, 2, and 4; Rodríguez-Zuluaga and Stolle (2019), Figs 1 and 2]. In panel b) of Fig. 5, 350 the magnetic field fluctuations show no clear response to NWC, meaning that there are 351 no FAC structuring associated with NWC, and that background FACs are not consistently 352 being disturbed by the VLF signal. Furthermore, the ROTI data from the Swarm GPS 353 receivers are known to correlate with the occurrence of plasma irregularities (Jin et al., 354 2019). That we observe no enhancements in ROTI over NWC could indicate that there 355 are no more plasma irregularities present over NWC than in the immediate vicinity. As 356 such, we believe it is premature to conclude that the NWC VLF signal is producing tur-357 bulent structuring of the topside F-region ionosphere. 358

As a counterpoint, we are consistently observing strong plasma fluctuations asso-359 ciated with NWC, using three different instruments: The Langmuir probes and Thermal Ion Imager onboard Swarm, and the m-NLP instrument onboard NorSat-1. The wave-361 length of the 19.8 kHz signal (15 km) from the NWC transmitter matches scales on which 362 we observe strong plasma fluctuations. Likewise, plasma irregularities could conceivably 363 exist without corresponding FAC structuring, depending on local conditions. And while 364 rapid changes in TEC as calculated using the Swarm GPS receivers could correspond to 365 satellite scintillations, the 1 Hz frequency with which the data is supplied could make 366 it unsuitable for detecting scintillations (Park, Lühr, Kervalishvili, et al., 2017). In ad-367 dition, to the authors' best knowledge, there are no acceptable ways to calculate the pre-368 cise position of the ionospheric piercing point between Swarm and the GPS satellites. 369 Any scintillations observed in-situ using the Swarm GPS receivers could then originate 370 from locations far removed from the Swarm satellite, which could make the Swarm GPS 371 data unsuitable for highly localized phenomena such as the one we are dealing with in 372 the present study. To compound the situation, to the authors' best knowledge, there are 373 no suitable ground-based instruments capable of observing scintillations around NWC. 374 In summary, we believe further investigation into the link between the NWC transmit-375 ter and topside F-region plasma irregularities is necessary. 376

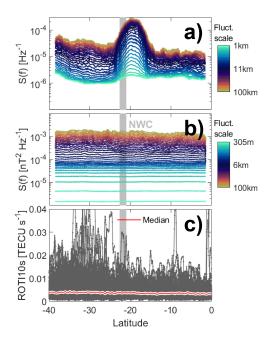


Figure 5. The superposed epoch analysis based on passes made by Swarm A and B during 21 h < MLT < 6 h. Panel a) displays the median  $S(\lambda)$  for all 32 scales, with scale given by the colorbar. Similarly, panel b) shows the corresponding  $S(\lambda)$  for the field-perpendicular magnetic field fluctuations, calculated using the Swarm 50 Hz magnetic field data, and where we use the meridional magnetic field component. Panel c) shows ROTI for all available GPS satellites with an elevation angle greater than 50°, for the same passes that constitute the superposed epoch analyses in panels a) and b), where the median ROTI is displayed with a red line.

# 377 5 Conclusion

We analyze in total 514 conjunctions between satellites orbiting in the topside F-378 region ionosphere, and the NWC VLF signal transmitter. This gives us a rich database 379 of in-situ plasma measurements from Swarm A and B with a seamless local time, along 380 with novel data from NorSat-1, a satellite carrying an instrument capable of sampling 381 plasma density with a sampling frequency of 1000 Hz. We subject plasma density ob-382 servations from all three satellites to a PSD analysis, and a consequent superposed epoch 383 analysis. We present a detailed account of the scale-dependency of the plasma fluctu-384 385 ations associated with NWC, in addition to a comprehensive climatology, documenting the conditions favourable NWC-associated plasma fluctuations. 386

While the result constitutes circumstantial evidence for the VLF signal transmitter-387 induced plasma irregularities, we also observe counter-evidence for the existence of ir-388 regularities induced by NWC. While not concluding that there are VLF signal-induced 389 plasma irregularities in the topside F-region ionosphere above NWC, we have documented 390 strong plasma fluctuations that clearly originate from the NWC signal. If absent of tur-391 bulent structuring, these plasma fluctuations could be smooth, regular waves with wave-392 lengths larger than 1 km - 10 km. These findings should be seen in a wider context, in 393 that they complement the link between VLF spectral broadening and turbulence in the 394 topside ionosphere. 395

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