

# Recently Amplified Interannual Variability of Great Lakes ice cover and its Connection to Sea Ice over the Bering and Chukchi Seas

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## Abstract

The interannual variability of the annual maximum ice cover (AMIC) of the Great Lakes is generally dominated by a dipole pattern over mid-latitude North America and Western Alaska via a ridge-trough system. We discovered a significant breakpoint in the winter of 1997/98 after which AMIC increased its interannual variability and negatively correlated with sea ice coverage over the Bering and Chukchi Seas in the preceding November and December. The first covarying mode of the 500hPa geopotential height and surface air temperature indicated that the dipole pattern shifted northward to the northern Rocky Mountains after the breakpoint. Correlati The correlations with AMIC of the other well-known teleconnection patterns such as the El Niño–Southern Oscillation on AMIC became insignificant after the brekpoint and were replaced by that from the Eastern Pacific Oscillaiton, which likely controlled the interannual variabilities of AMIC and sea ice cover the Bering and Chukchi Seas.

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3 **Connection to Sea Ice over the Bering and Chukchi Seas**

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12  
13 **Key Points:**

- 14 • A significant increased interannual variability of the Great Lakes ice cover is found to  
15 connect with the upstream sea ice concentration.
- 16 • Analyses suggested that the variability of ice cover is dominated by surface air  
17 temperature driven by geopotential height at 500hPa.
- 18 • Influence on the ice cover has changed from multiple well-known teleconnection patterns  
19 to a single pattern dominating the Gulf of Alaska.  
20  
21

## 22 **Abstract**

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24 generally dominated by a dipole pattern over mid-latitude North America and Western Alaska  
25 via a ridge-trough system. We discovered a significant breakpoint in the winter of 1997/98 after  
26 which AMIC increased its interannual variability and negatively correlated with sea ice coverage  
27 over the Bering and Chukchi Seas in the preceding November and December. The first  
28 covarying mode of the 500hPa geopotential height and surface air temperature indicated that the  
29 dipole pattern shifted northward to the northern Rocky Mountains after the breakpoint. Correlati  
30 The correlations with AMIC of the other well-known teleconnection patterns such as the El  
31 Niño–Southern Oscillation on AMIC became insignificant after the breakpoint and were replaced  
32 by that from the Eastern Pacific Oscillation, which likely controlled the interannual variabilities  
33 of AMIC and sea ice cover the Bering and Chukchi Seas.

34

## 35 **Plain Language Summary**

36 The annual maximum ice cover (AMIC) of the Great Lakes is generally impacted by a pair of air  
37 pressure differences from the long-term averages over the mid-latitude North America and  
38 Western Alaska. In this study, we discovered that AMIC's year-to-year fluctuations significantly  
39 increased after the winter of 1997/98 and the fluctuations started to show an opposite behavior  
40 against the fluctuations of sea ice over the Bering and Chukchi Seas in the earlier winter season.  
41 The analyses on atmospheric circulation and surface air temperature indicated that the pair of air  
42 pressure differences moved northward to the northern Rocky Mountains after the breakpoint. As a  
43 result, the well-known teleconnection patterns such as El Niño–Southern Oscillation started not  
44 to correlate with AMIC after the breakpoint. Instead, the Eastern Pacific Oscillation explained  
45 the year-to-year fluctuations of AMIC and sea ice cover over the Bering and Chukchi Seas.

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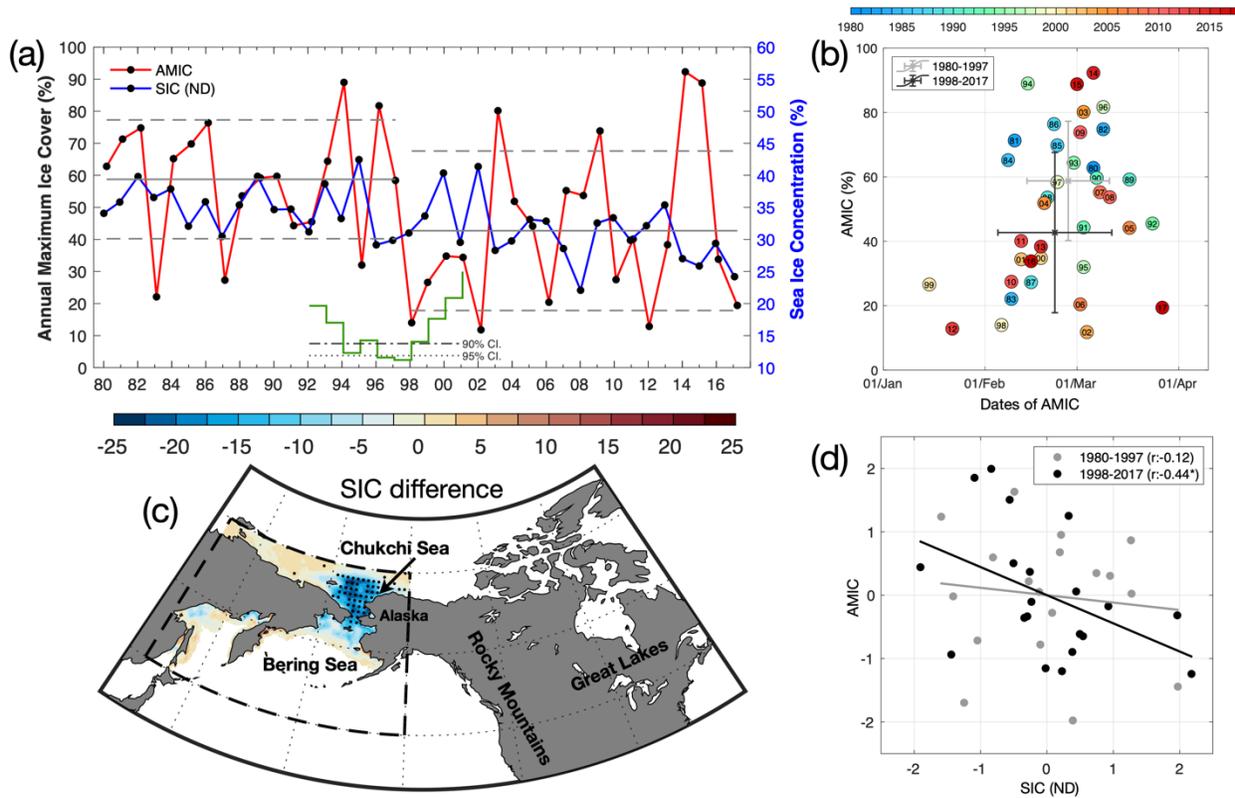
## 47 **1 Introduction**

48 An emerging challenge in climate sciences is to understand how the changes in the Arctic  
49 region influence mid-latitude atmospheric patterns and consequently local extreme weather. The  
50 Arctic Ocean has warmed significantly and the Arctic sea ice has declined rapidly over the past  
51 two decades in responses to global warming [Serreze & Francis, 2006; Gillett et al., 2008;  
52 Carmack & Melling, 2011], which is known as Arctic Amplification [Cohen et al., 2014]. Recent  
53 studies suggested that loss of summer sea ice over the marginal oceans in the Arctic triggered  
54 changes in planetary waves, resulting in extreme weather over Eurasia [Honda, et al., 2009;  
55 Cohen et al., 2014; Ogi et al., 2015] and North America [Chan et al., 2009; Cohen et al., 2014,  
56 2018; Overland and Wang, 2018].

57 The North American Great Lakes (hereafter, the Great Lakes), accounting for one fifth of  
58 the world's freshwater, are partially frozen each year with high year-to-year fluctuations of  
59 annual maximum ice cover (AMIC). Extremely cold winters with high AMICs have huge  
60 impacts to the surrounding population [Assel et al., 1996, 2000], the economy [Niimi, 1992], and  
61 the environment and ecosystems [Vanderploeg et al., 1992; John et al., 1995]. Studies have  
62 shown that the ice cover has decreased in recent decades in responses to global warming  
63 [Magnuson., 2000; Titze & Austin, 2014]. These decreasing trends are observed in all five lakes  
64 since the 1980s [Wang et al., 2012].

65 The AMIC has presented high year-to-year fluctuations from 1980 to 2017, with the  
 66 lowest of 12% in 2002 and the highest of 93% (Fig. 1a). The winter severity and associated  
 67 AMIC were mostly in response to the combinations of regional and northern hemisphere  
 68 climates. A number of studies investigated the relation between AMIC and teleconnection  
 69 patterns that involve North America such as the El Niño and Southern Oscillation (ENSO)  
 70 [Bamston et al., 1997], the Pacific-North American Teleconnection Pattern (PNA) [Wallace and  
 71 Gutzler, 1981], the Tropical-North Hemisphere Pattern (TNH) [Mo and Livezey, 1986], the  
 72 North Atlantic Oscillation (NAO) [Hurrell, 1995] or the Arctic Oscillation (AO) [Thompson &  
 73 Wallace, 1998] in the past decades [Smith, 1991; Hanson et al., 1992; Assel, 1998; Assel &  
 74 Robertson, 1995; Rodionov & Assel, 2000, 2001; Assel et al., 2003; Wang et al., 2010, 2012,  
 75 2018]. Almost half of the low AMIC were suggested to be associated with strong positive ENSO  
 76 events [Assel, 1998, Assel & Rodionov, 1998] and had high positive correlations with PNA and  
 77 THN [Assel, 1992; Assel & Rodionov, 1998]. The NAO/AO was found to partially contribute to  
 78 ice cover in some areas of Great Lakes [Assel et al., 1985; Assel et al., 2000; Rodionov & Assel.,  
 79 2001]. Recent studies also showed that individual AMIC could be affected linearly to NAO and  
 80 non-linearly to ENSO at the same time [Bai et al., 2012], which complicates the diagnosis of the  
 81 weather patterns related to Great Lakes ice cover. These collectively suggest that a single climate  
 82 index is unable to explain the atmospheric circulations that control the winter severity over the  
 83 Great Lakes and AMIC, and that a key mechanism that sets up such atmospheric circulations  
 84 needs to be identified.

85



86

87 Figure 1. (a) Annual maximum ice cover (AMIC, %) from 1980 to 2017 (red line with  
 88 black dots). Blue line with black dots indicates the sea ice concentration (SIC) averaged within  
 89 November-December over Bering Seas (black-dashed box in Fig.1c). Black solid lines and gray

90 dashed lines indicate the means and standard deviations of AMIC in earlier (1980-1997) and  
91 later (1998-2017) periods. Green line indicates the t-test p-value of the separation year for  
92 periods before and after. Dotted and dotted-dashed lines indicate the 95% and 90% confidence  
93 level, respectively. (b) Scatter plot of dates of AMIC versus AMIC from 1980 to 2017. Crosses  
94 indicate the mean and error bars are the standard deviation for dates of AMIC (horizontal) and  
95 AMIC (vertical) of earlier (gray) and later (black) periods. (c) Sea ice concentration difference  
96 between the two periods (later minus earlier period). Dots indicate that the difference between  
97 the two periods reaches the 95% confidence interval based on t-test. Black-dashed box indicates  
98 the region for the blue line in Fig. 1a. (d) Scatter plot of the normalized sea ice concentration and  
99 AMIC (shown in Fig. 1a) for the earlier period (black) and later period (red).

100

101 How can these opposite behaviors of ice cover in the far-off locations be explained? We  
102 addressed this question by revisiting the atmospheric patterns related to AMIC in the periods  
103 before and after the breakpoint in the winter of 1997/98 (Fig. 1a). Statistical and composite  
104 analyses were conducted using the National Centers for Environmental Prediction (NCEP)  
105 dataset, sea ice data in the Bering and Chukchi Seas, and the Great Lakes ice cover dataset.

106

## 107 **2 Data and Methods**

108 We applied a series of Student's t-tests to AMIC obtained from the National Oceanic and  
109 Atmospheric Administration/Great Lakes Environmental Research Laboratory (NOAA/GLERL)  
110 ice atlas database [Wang et al., 2017; Yang et al., 2020] to identify a statistically significant  
111 breakpoint after which a standard deviation (a proxy of year-to-year fluctuations) increased  
112 (Fig. 1a). We evaluated the relation of AMIC and sea ice coverage over the Bering and Chukchi  
113 Seas with atmospheric conditions represented by 500 hPa geopotential height and surface air  
114 temperature. Monthly gridded Arctic sea ice concentration was obtained from the National Snow  
115 and Ice Data Center (NSIDC) dataset, which traces back to 1850 and has spatial resolution of  $\frac{1}{4}^\circ$   
116  $\times \frac{1}{4}^\circ$  covering from  $30^\circ\text{N}$  to the North Pole [Walsh et al., 2016]. Monthly atmospheric data were  
117 obtained from the National Centers for Environmental Prediction/Department of Energy  
118 Atmospheric Model Intercomparison Project II (known as NCEP reanalysis II) [Kanamitsu et al.,  
119 2002] from January 1979 to June 2017. The reanalysis data has  $2.5^\circ$  spatial resolution in both  
120 longitude and latitude and the domain for this study is between  $10^\circ\text{N}$ - $90^\circ\text{N}$  and  $60^\circ\text{W}$ - $210^\circ\text{W}$ .  
121 The NCEP geopotential height and atmospheric temperature are located at 17 pressure levels.  
122 Geopotential height at 500 hPa ( $\Phi_{500}$ ) and air temperature at 2 m ( $T_{2m}$ ), which has high  
123 connection to the AMIC variation [Rodionov & Assel, 2003], was used.

124 The monthly climate indices of ENSO [Barnston et al., 1997], PDO [Mantua et al., 1997],  
125 NAO [Hurrell, 1995], AO [Thompson and Wallace, 1998], and PNA [Barnston and Livezey,  
126 1987] that highly influences the weather of the northern hemisphere were selected to examine the  
127 connections with AMIC. Daily Eastern Pacific Oscillation (EPO) [Barnston and Livezey, 1987],  
128 a relatively new climate index, was also used after calculating monthly averages to explain the  
129 amplification of AMIC. The EPO index is defined based on the difference of  $\Phi_{500}$  where the  
130 region of ( $55^\circ\text{N}$ - $65^\circ\text{N}$ ,  $125^\circ\text{W}$ - $160^\circ\text{W}$ ) is subtracted from ( $20^\circ\text{N}$ - $35^\circ\text{N}$ ,  $125^\circ\text{W}$ - $160^\circ\text{W}$ ) obtained  
131 from NOAA/Earth System Research Laboratory

132 (<https://psl.noaa.gov/data/timeseries/daily/EPO/>). These climate indices are obtained from the  
 133 NOAA Climate Prediction Center (CPC) and ESRL/PSL GEFS reforecast 2 ensemble forecasts.

134 The empirical orthogonal function (EOF) and the singular value decomposition (SVD)  
 135 were applied to monthly and winter (December-February) values to understand the main weather  
 136 patterns related to AMIC and SIC<sub>ND</sub>. In order to understand the major weather patterns related to  
 137 the AMIC in the three periods, SVD analyses were applied to the 2m air temperature ( $T_{2m}$ ) and  
 138 geopotential height at 500 hPa ( $\Phi_{500}$ ), averaged from December to the following February for  
 139 the entire period (1980-2017), the earlier period (1980-1997), and the later period (1998-2017).  
 140 These periods were chosen based on the most significant separation year 1997/98 breakpoint  
 141 (Fig. 1a). The domain of  $T_{2m}$  is focused on North America and the region of geopotential height  
 142 is chosen to cover the North Pacific and North America. The 95% significance level was used to  
 143 determine if the calculated correlation coefficients are statistically significant or not.

144

### 145 **3 Results**

#### 146 **3.1 Negative correlation of AMIC in the Great Lakes with sea ice coverage over the Bering- 147 Chukchi Seas after 1997/98**

148 AMIC in the Great Lakes presented high year-to-year fluctuations from 1980 to 2017  
 149 (Fig. 1a), with the standard deviation of 23%, the highest of 93% in 2013/14, and the lowest of  
 150 12% in 2011/12. There is a significantly decreasing trend of AMIC (-4.7%/decade) during this  
 151 period.

152 There was a statistically significant breakpoint in the winter of 1997/98, identified with  
 153 the lowest t-test p-value (green line in Fig. 1a), which coincided with one of the largest ENSO  
 154 events (Fig. 1a) of the 20<sup>th</sup> century. After this breakpoint AMIC experienced large interannual  
 155 fluctuations compared to the years before. It is worth noting that both the highest and lowest  
 156 AMIC appeared after the breakpoint. The mean $\pm$ standard deviation for AMIC is 59 $\pm$ 18% and  
 157 43 $\pm$ 25% before and after the breakpoint, respectively. Despite the several high AMICs in the  
 158 later period, the mean has largely decreased from the earlier period and the long-term average  
 159 suggested by the previous studies (55% in 1963-2010) [Bai et al., 2012; Wang et al., 2018]. The  
 160 changes after the breakpoint are consistent with the previous study identified a step change  
 161 decrease in AMIC or regime change in Lake Superior after this breakpoint [Van Cleave et al.,  
 162 2014].

163 The timing of AMICs were primarily from late February to early March (Fig. 1b). The  
 164 average date of AMIC was on February 24 and the standard deviation of the peak dates was 15  
 165 days. Increased interannual fluctuations represented by a standard deviation was also presented  
 166 on the date of AMIC, which can be seen from the standard deviation crosses of the two periods  
 167 in Fig. 1b. The standard deviation of the date of AMIC has increased significantly from 12 days  
 168 in earlier period to 17 days in the later period, after the 1997/98 breakpoint.

169 Notably, after this breakpoint loss of sea ice concentration (SIC) over the Bering and  
 170 Chukchi Seas in November-December (SIC<sub>ND</sub>) accelerated twofold, from insignificant -1.7% per  
 171 decade for the later period (1980-1997) to significant -3.7% for the earlier period (1998-2017)  
 172 (Fig. 1a). The mean SIC<sub>ND</sub> in the Bering and Chukchi Seas was also significantly lower in the  
 173 1998-2017 period than the 1980-1997 period, with maximum difference reaching 25% (Fig. 1c).  
 174 Furthermore, during the earlier period the AMIC and SIC<sub>ND</sub> started to present a significant

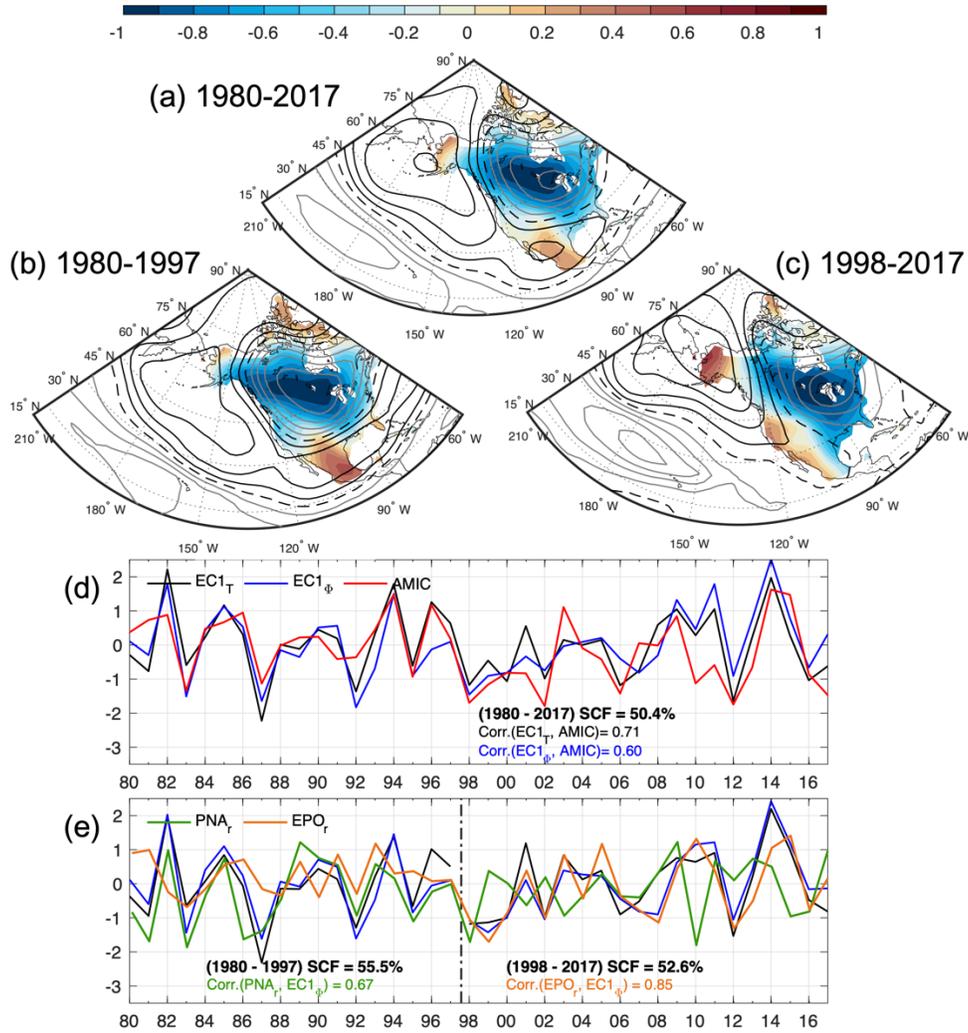
175 negative correlation ( $r=-0.44$ ), while after the breakpoint, there was no significant correlation  
176 between the two (Fig. 1d).

177

### 178 **3.2 Dipole pattern in the covarying mode of 500 hPa geopotential height and surface air** 179 **temperature**

180 The SVD mode 1 from 1980 to 2017 accounts for 50% of the total variation (Fig. 2a) and  
181 it is well separated from the higher modes. The spatial pattern 1 (*sp1*) of  $T_{2m}$  shows a large  
182 negative pattern covering the region west of the Great Lakes and a positive pattern over Alaska,  
183 Mexico, and the Canadian Arctic Archipelago (CAA). The *sp1* of  $\Phi_{500}$  shows a dipole structure,  
184 where the negative is located at central North America, corresponding to *sp1* of air temperature  
185 (color in Fig. 2a), and the positive is centered on the Bering Strait with extension towards Gulf of  
186 Mexico. The expansion coefficient 1 (*ec1*) of  $T_{2m}$  and  $\Phi_{500}$  were highly correlated with each  
187 other ( $r \sim 0.85$ ) and they also had significant correlation with AMIC (red line in Fig. 2d), where  
188 the  $r \sim -0.7$  for  $T_{2m}$  and  $r \sim -0.6$  for the  $\Phi_{500}$ . This SVD mode 1 indicates that the variation of  
189 AMIC in the past four decades was directly related to the  $T_{2m}$ , which was most related to a dipole  
190  $\Phi_{500}$  pattern over the northern Pacific and North America. During the high AMIC years, the  
191 positive anomaly of  $\Phi_{500}$  over the Bering and Chukchi Seas and its negative over central North  
192 America (so-called ‘the ridge-trough dipole pattern’) steered the cold air mass from the Arctic  
193 across the Rocky Mountains into central North America [Bai and Wang, 2012]. This anomalous  
194 circulation is reversed during low AMIC years.

195



196

197 Figure 2. Spatial patterns of SVD modes 1 for  $T_{2m}$  (color) and  $\Phi_{500}$  (contour) averaged  
 198 over December to February of (a) 1980 to 2017, (b) 1980 to 1997, and (c) 1998 to 2017.  
 199 Expansion coefficients of  $T_{2m}$  ( $EC1_{T_{2m}}$ , black) and  $\Phi_{500}$  ( $EC1_{\Phi_{500}}$ , blue) of (d) 1980 to 2017,  
 200 and (e) 1980 to 1997 (years before vertical dashed line) 1998 to 2017 (years after vertical dashed  
 201 line). All expansion coefficients are normalized by their respective standard deviations. Vertical  
 202 dashed line in (e) indicates the year of 1997 for separation. SCF is the square covariance fraction  
 203 and  $r$  is the correlation coefficient between the two expansion coefficients. Red line in (d) is the  
 204 AMIC, green line in (e) is the  $PNA_r$ , and orange line in (e) is the  $EPO_r$ , where the subscript “ $r$ ”  
 205 indicates the reversed index. Both AMIC and EPO are normalized by their standard deviations,  
 206 except for PNA.  $\text{Corr}(A, B)$  denotes the correlation coefficient between  $A$  and  $B$ . All  $\text{Corr}(A, B)$   
 207 listed here reach the 95% confidence interval.

208

209 The SVD mode 1 on  $T_{2m}$  and  $\Phi_{500}$  presented a notable change after the 1997/98  
 210 breakpoint. The mode 1 of the earlier (1980-1997) and the later (1998-2017) periods accounted  
 211 for 55.5% and 52.6% of their total variances, respectively. The  $spI$  of  $T_{2m}$  in both periods a have  
 212 negative maximum west of the Great Lakes and the  $spI$  of  $\Phi_{500}$  have a dipole structure over the  
 213 North Pacific and North America. In the earlier period, the  $spI$  of  $T_{2m}$  presented a wider range of

214 the negative maximum west of the Great Lakes (Fig. 2b) compared to the later period (Fig. 2c).  
 215 Most notably, the dipole structure of the  $spI$  of  $\Phi 500$  shifted its center northeastward over the  
 216 northern part of the Rocky Mountains (Fig. 2b,c). The  $ecI$  of the earlier and the later periods  
 217 captured the variations of AMIC in each period (Fig. 2e). The  $ecI$  of  $T_{2m}$  and  $\Phi 500$  in both the  
 218 earlier and later periods had significant correlations with AMIC, where the  $r \sim 0.71$  and  $0.71$  ( $r \sim$   
 219  $0.77$  and  $0.65$ ) for  $T_{2m}$  and  $\Phi 500$  in the earlier period (later period).

220 This shift in the pattern of  $T_{2m}$ - $\Phi 500$  SVD mode 1 after the 1997/98 breakpoint also  
 221 revealed the changes in contributions from climate indices. The  $spI$  of  $\Phi 500$  in the earlier period  
 222 shows a reversed PNA-like pattern with positive values over the North Pacific and negative in  
 223 North America (Fig. 2b). Both  $ecI$  of  $T_{2m}$  and  $\Phi 500$  in the earlier period had a significant  
 224 correlation coefficient  $r \sim 0.67$  with the reversed PNA index (Fig. 2e). As the dipole structure  
 225 shifted northeastward in the later period, the  $ecI$  of  $T_{2m}$  and  $\Phi 500$  were highly related to the EPO  
 226 index, where  $r \sim 0.78$  of EPO and  $ecI_{T_{2m}}$  and  $r \sim 0.85$  of EPO and  $ecI_{\Phi 500}$ , while the correlations  
 227 with the PNA index became insignificant.

228 Teleconnection patterns are widely documented to have huge impacts on the ice cover of  
 229 the Great Lakes [Assel and Rodionov, 1998; Assel et al., 2000; Bai et al., 2012, 2015]. Table 1  
 230 examines the correlations between AMIC and climate indices averaged in December-February in  
 231 the periods before and after the breakpoint. It is clear that AMIC in the earlier period was under  
 232 the influences of ENSO, NAO, and PNA with significant correlation coefficients  $r = -0.65$ ,  $-0.55$ ,  
 233 and  $-0.48$ , respectively, indicating the combination effects from multiple patterns [Bai et al.,  
 234 2012; Wang et al., 2018]. It is worth noting that none of the well-known indices listed in Table 1  
 235 was correlated with AMIC in the later period, after the 1997/98 breakpoint. In the later period,  
 236 the EPO index showed a significant negative correlation with AMIC. The EPO corresponds to a  
 237 dipole pattern with the higher geopotential height anomaly located at the Gulf of Alaska and  
 238 lower south of the eastern tropical Pacific during a negative EPO, and vice versa during positive  
 239 phase. The negative correlation between EPO and AMIC indicates the importance of  $\Phi 500$  over  
 240 the Gulf of Alaska, which was consistent with the SVD results in the later period. Higher  $\Phi 500$   
 241 over the Gulf of Alaska likely allowed the polar jet to loop into North America after crossing the  
 242 Rocky Mountains, again the ridge-trough system, and steered the cold air mass from the Arctic  
 243 into mid-latitude regions including the Great Lakes. As a result, the negative EPO likely  
 244 generated a weather condition that is favorable to high AMIC.

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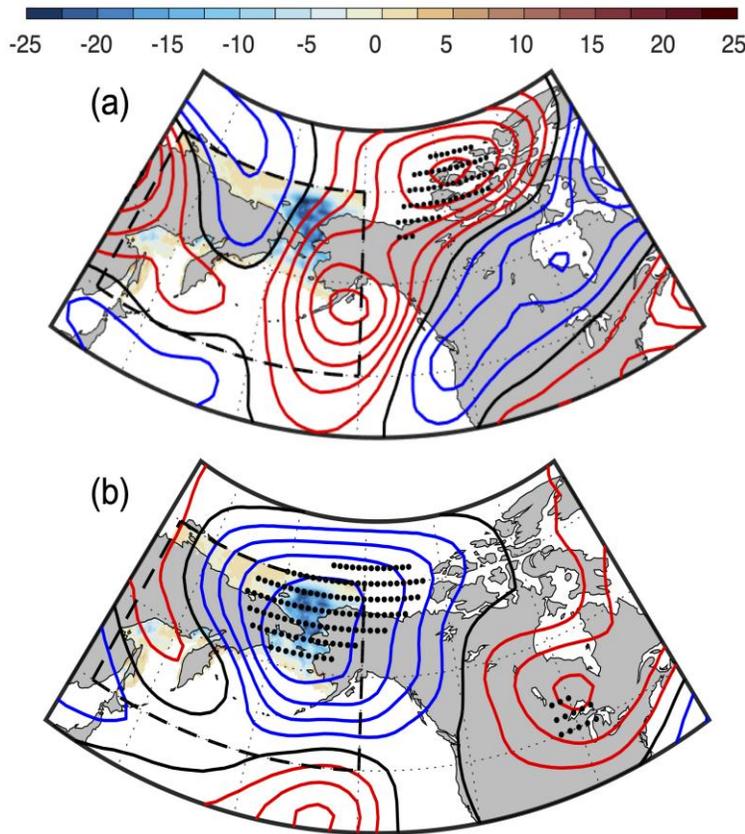
	ENSO	PDO	NAO	AO	PNA	EPO
AMIC (1980-1997)	<b>-0.65</b>	0.03	<b>-0.55</b>	-0.35	<b>-0.48</b>	-0.38
AMIC (1998-2017)	0.02	0.26	0.08	0.18	-0.09	<b>-0.57</b>

246

247 **Table 1** Correlation coefficient between AMIC and monthly climate indices averaged  
 248 within DJF in the earlier (1980-1997) and later (1998-2017) periods. Numbers in bold indicate  
 249 the 95% confidence interval.

250

251 How did sea ice loss over the Bering and Chukchi Seas in early winter, as represented by  
 252  $SIC_{ND}$ , contribute to the shift of the dipole pattern? Regressed  $\Phi 500$  in December-February onto  
 253 the preceding  $SIC_{ND}$  presented a clear connection between  $\Phi 500$  and  $SIC_{ND}$  in the later period,  
 254 after the 1997/98 breakpoint (Fig. 3). In the earlier period, the regression map of  $\Phi 500$  revealed  
 255 two positive centers over the east of the Bering and Chukchi Seas, the Gulf of Alaska, and the  
 256 Arctic Archipelago (Fig. 3a). Most of the significant regions were located at the northern positive  
 257 center close to CAA and there was no significant correlation over the Bering and Chukchi Seas.  
 258



259  
 260 Figure 3. Regression maps of  $\Phi 500$  averaged in Dec-Feb to sea ice concentration in Nov-  
 261 Dec ( $SIC_{ND}$ , which is shown as a blue line in Fig. 1a, of the (a) earlier (1980-1997) and the (b)  
 262 later (1998-2017) periods. Difference of sea ice concentration between the two periods (later  
 263 minus earlier period) in Nov-Dec is shown as color shading (same as in Fig. 1c).

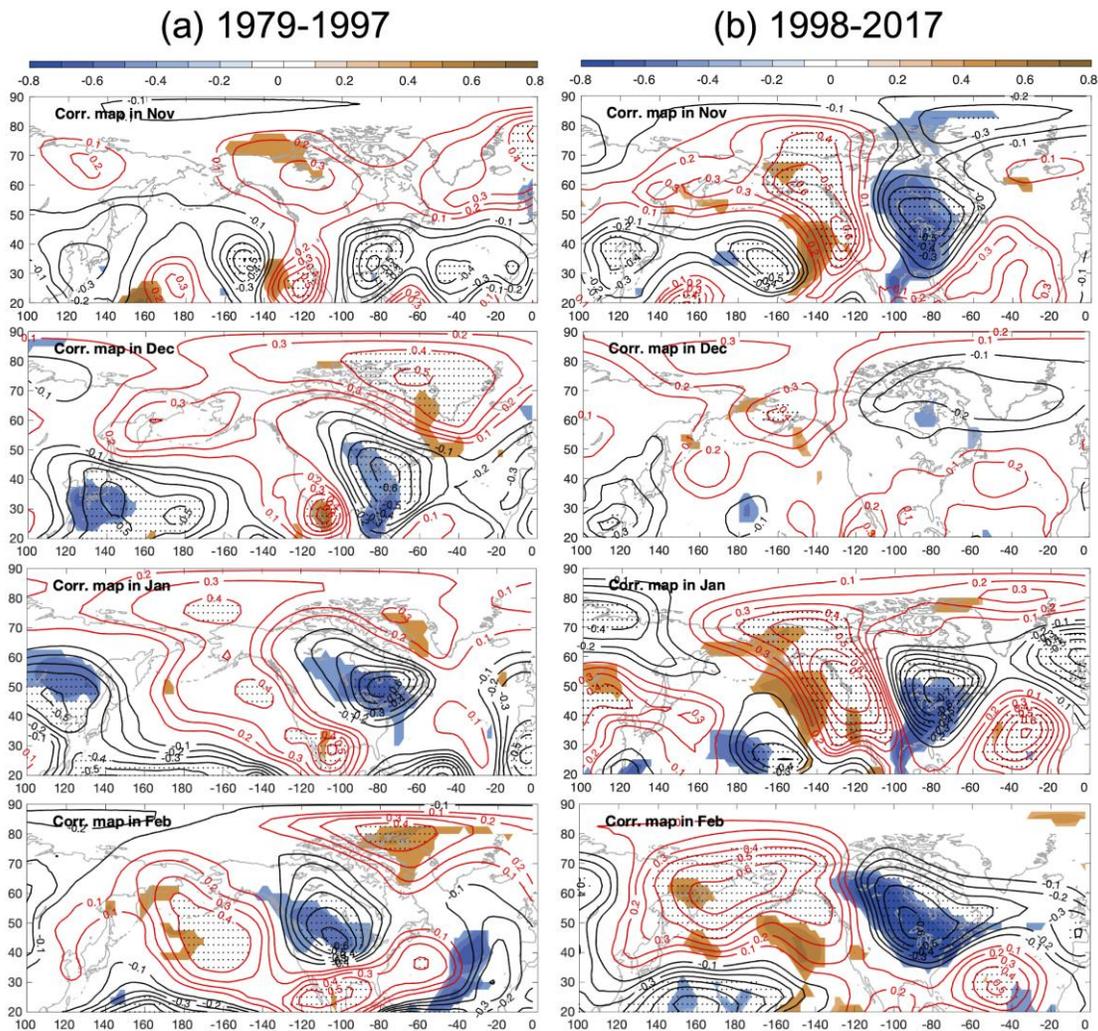
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265 The regression map of  $\Phi 500$ m in the later period showed a dipole pattern where the  
 266 negative was centered over the Bering and Chukchi Seas and the positive was located in the  
 267 Great Lakes and Northeast America (Fig. 3b). This dipole was similar but opposite to the SVD  
 268 results in the later period (Fig. 2c). The significant regressions were concentrated over the  
 269 Chukchi Sea and the Great Lakes. The negative regressions between  $SIC_{ND}$  and  $\Phi 500$  in  
 270 December-February were consistent with the correlation between  $SIC$  and  $AMIC$  (Fig. 1d) and  
 271 the SVD results (Fig. 2c). This negative regression above the Bering-Chukchi Seas indicates that  
 272 the lower (higher)  $SIC_{ND}$  contributed to forming the higher (lower)  $\Phi 500$  anomaly in the  
 273 following winter season, looping (blocking) the polar jet over the northwestern North America,

274 steering (preventing) the cold Arctic air into mid-latitude, and eventually resulting in higher  
 275 (lower) AMIC of the Great Lakes.

276 We further calculated the correlation between the AMIC and the monthly  $\Phi 500$  and  $T_{2m}$   
 277 from November to February to understand the developments of AMIC-related atmospheric  
 278 patterns in both periods (Fig. 4). In the earlier period, the dipole structure of AMIC-related  $\Phi 500$   
 279 had its positive anomaly in the eastern North Pacific and the negative in North America from  
 280 December to February (not in November), as seen in Fig. 4a. From these monthly correlation  
 281 maps, the dipole of geopotential height seemed to develop from the subtropical region in  
 282 December and then connected with the polar height in December. This dipole reached its  
 283 minimum in February and formed a PNA-like pattern across the North Pacific and North  
 284 America. The significant negative correlations with AMIC were located at the southwest  
 285 portion of the negative geopotential height over North America, indicating the forcing from the  
 286 dipole structure of  $\Phi 500$ .

288



289

290 Figure 4. Monthly correlation maps between  $T_{2m}$  (color) and  $\Phi 500$  (contour) with AMIC  
 291 in (a) 1980 to 1997 (left) and (b) 1998 to 2017 (right). Red (black) contours indicate positive

292 (negative) correlation. Contour interval is every 0.1. Color regions and dots indicate the  
293 correlations reached 95% confidence interval.

294

295 The monthly correlation maps between the AMIC and  $\Phi 500$  in the later period showed  
296 that the AMIC-related dipole structure was strengthened and appeared as early as November  
297 (Fig. 4b). The dipole structure, which was tied to AMIC, widely covered the eastern North  
298 Pacific and North America in November and the peak correlation was higher than any month in  
299 the earlier period. This strengthened dipole led to earlier cooling on the surface air temperature.  
300 The lowest negative correlation between the AMIC and the  $T_{2m}$  reached -0.74 over the Great  
301 Lakes. The wide range of this negative correlation also indicated an earlier cooling covering  
302 central North America in November. It is unclear why there was no distinct pattern in December  
303 related to the AMIC. Possible inferences could be the due to the recent warming and the delay of  
304 winter that eased the weather of December. The correlation maps in January and February both  
305 showed higher magnitudes in the dipole structure of  $\Phi 500$  than the earlier period over the North  
306 Pacific and North America. The dipole structure shifted northward in February and the positive  
307 portion covered the entire Bering-Chukchi Seas. Evidently, the shifted, enhanced dipole structure  
308 provided a conduit for the cold air mass from the Arctic by steepening the North America ridge-  
309 trough system [Bai and Wang, 2012], which resulted in the strong negative correlation between  
310 AMIC and the surface air temperature. The dipole pattern might potentially contribute to the  
311 severe winter in recent years in which the eastern US experienced relative low temperature  
312 anomalies [Overland and Wang, 2018].

313 The cold anomaly over North America, due to the dipole structure of  $\Phi 500$ , was  
314 accompanied by the warm anomaly over the Alaska Peninsula. The positive portion of the dipole  
315 structure drove the warm air from the subtropical Pacific towards the north in November and  
316 January, prior to the high AMIC. This resulted in the contrast of surface air temperature between  
317 North America and the Alaska Peninsula, a potential indicator for predicting ice cover of the  
318 Great Lakes.

319

#### 320 **4 Summary and Discussion**

321 A significant breakpoint in the winter of 1997/1998, which coincided with one of the  
322 largest ENSO events, was identified in this study. After the breakpoint, a predominant  
323 teleconnection pattern over North American and Western Alaska shifted and consequently  
324 AMIC and  $SIC_{ND}$  started to covary. Before the breakpoint, the AMIC had significant connections  
325 with ENSO, NAO, and PNA, indicating the combination effects from multiple climate indices.  
326 After the breakpoint, none of these well-known climate indices presented significant correlation  
327 with AMIC. Instead, the Eastern Pacific Oscillation (EPO) index solely presented significant  
328 negative correlation with AMIC. After this breakpoint, sea ice coverage over the Bering and  
329 Chukchi Seas in November and December experienced negative correlation with AMIC. The  
330 SVD analysis showed that the variations of AMIC before and after 1997 are strongly dominated  
331 by the dipole structure of  $\Phi 500$  that steered the cold air from the Arctic to the Great Lakes  
332 region. Regression analysis suggested that the sea ice loss in earlier winter likely triggered  
333 changes in planetary waves (or the polar jet), propagating into the shift and enhancement of the  
334 ridge-trough system over the Rocky mountains, providing a conduit for the cold air mass from

335 the Arctic into the Great Lakes region, resulting in high ice coverage. Some key questions arose.  
336 For instance, how exactly can sea ice anomalies over the Bering and Chukchi Seas alter a Rossby  
337 wave train and contribute to the dipole structure? The findings from this study warrant further  
338 investigations to fully understand the dynamical and thermodynamical processes of the  
339 connection between the AMIC and the sea ice coverage in the Bering Sea, Chukchi Sea, and the  
340 rest of the Arctic Ocean.

341

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347 Climate Datasets (<https://psl.noaa.gov/data/gridded/data.ncep.reanalysis2.html>). The climate  
348 indices can be accessed at NOAA Climate Prediction Center  
349 (<https://www.cpc.ncep.noaa.gov/data/teledoc/telecontents.shtml>) and ESRL/PSL GEFS  
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494 **Figures**

495 Figure 1. (a) Annual maximum ice cover (AMIC, %) from 1980 to 2017 (red line with black  
 496 dots). Blue line with black dots indicates the sea ice concentration (SIC) averaged within  
 497 November-December over Bering Seas (black-dashed box in Fig.1c). Black solid lines and gray  
 498 dashed lines indicate the means and standard deviations of AMIC in earlier (1980-1997) and  
 499 later (1998-2017) periods. Green line indicates the t-test p-value of the separation year for  
 500 periods before and after. Dotted and dotted-dashed lines indicate the 95% and 90% confidence  
 501 level, respectively. (b) Scatter plot of dates of AMIC versus AMIC from 1980 to 2017. Crosses  
 502 indicate the mean and error bars are the standard deviation for dates of AMIC (horizontal) and  
 503 AMIC (vertical) of earlier (gray) and later (black) periods. (c) Sea ice concentration difference  
 504 between the two periods (later minus earlier period). Dots indicate that the difference between  
 505 the two periods reaches the 95% confidence interval based on t-test. Black-dashed box indicates  
 506 the region for the blue line in Fig. 1a. (d) Scatter plot of the normalized sea ice concentration and  
 507 AMIC (shown in Fig. 1a) for the earlier period (black) and later period (red).

508 Figure 2. Spatial patterns of SVD modes 1 for  $T_{2m}$  (color) and  $\Phi_{500}$  (contour) averaged over  
 509 December to February of (a) 1980 to 2017, (b) 1980 to 1997, and (c) 1998 to 2017. Expansion  
 510 coefficients of  $T_{2m}$  ( $EC1_{T_{2m}}$ , black) and  $\Phi_{500}$  ( $EC1_{\Phi_{500}}$ , blue) of (d) 1980 to 2017, and (e) 1980  
 511 to 1997 (years before vertical dashed line) 1998 to 2017 (years after vertical dashed line). All  
 512 expansion coefficients are normalized by their respective standard deviations. Vertical dashed  
 513 line in (e) indicates the year of 1997 for separation. SCF is the square covariance fraction and  $r$  is  
 514 the correlation coefficient between the two expansion coefficients. Red line in (d) is the AMIC,  
 515 green line in (e) is the  $PNA_r$ , and orange line in (e) is the  $EPO_r$ , where the subscript “ $r$ ” indicates  
 516 the reversed index. Both AMIC and EPO are normalized by their standard deviations, except for  
 517 PNA.  $Corr(A, B)$  denotes the correlation coefficient between  $A$  and  $B$ . All  $Corr(A, B)$  listed here  
 518 reach the 95% confidence interval.

519 Figure 3. Regression maps of  $\Phi_{500}$  averaged in Dec-Feb to sea ice concentration in Nov-Dec  
 520 ( $SIC_{ND}$ , which is shown as a blue line in Fig.1a, of the (a) earlier (1980-1997) and the (b) later  
 521 (1998-2017) periods. Difference of sea ice concentration between the two periods (later minus  
 522 earlier period) in Nov-Dec is shown as color shading (same as in Fig. 1c).

523 Figure 4. Monthly correlation maps between  $T_{2m}$  (color) and  $\Phi_{500}$  (contour) with AMIC in (a)  
 524 1980 to 1997 (left) and (b) 1998 to 2017 (right). Red (black) contours indicate positive (negative)  
 525 correlation. Contour interval is every 0.1. Color regions and dots indicate the correlations  
 526 reached 95% confidence interval.  
 527

528 **Tables**

529

530 **Table 1** Correlation coefficient between AMIC and monthly climate indices averaged within  
 531 DJF in the earlier (1980-1997) and later (1998-2017) periods. Numbers in bold indicate the 95%  
 532 confidence interval.

533

	ENSO	PDO	NAO	AO	PNA	EPO
AMIC (1980-1997)	<b>-0.65</b>	0.03	<b>-0.55</b>	-0.35	<b>-0.48</b>	-0.38
AMIC (1998-2017)	0.02	0.26	0.08	0.18	-0.09	<b>-0.57</b>

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