Complicated lithospheric structure beneath the contiguous US revealed by teleseismic S reflections

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November 30, 2022

Abstract

Lithospheric discontinuities, including the lithosphere-asthenosphere boundary (LAB) and the enigmatic mid-lithospheric discontinuities (MLDs), hold important clues about the structure and evolution of tectonic plates. However, P- and S-receiverfunction techniques (PRF and SRF), two traditional techniques to image Earth's deep discontinuities, have some shortcomings in imaging lithosphere discontinuities. Here, we propose a new method using reflections generated by teleseismic S waves (hereafter S reflections) to image lithospheric discontinuities, which is less affected by multiple phases than PRFs and has better depth resolution than SRFs. We apply this method to data collected by the Transportable Array and other regional seismic networks and obtain new high-resolution images of the lithosphere below the contiguous US. Beneath the tectonically active Western US, we observe a negative polarity reflector (NPR) in the depth range of 60–110 km, with greatly varying amplitude and depth, which correlates with active tectonic processes. We interpret this feature as the lithosphere-asthenosphere boundary below the Western US. Beneath the tectonically stable Central and Eastern US, we observe two NPRs in the depth ranges of 60–100 km and 100–150 km, whose amplitude and depth also vary significantly, and which appear to correlate with past tectonic processes. We interpret these features as mid-lithospheric discontinuities below the Central and Eastern US. Our results show reasonable agreement with results from PRFs, which have similar depth resolution, suggesting the possibility of joint inversion of S reflections and PRFs to constrain the properties of lithospheric discontinuities.

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Tianze Liu¹, Peter M. Shearer¹ ¹Institute of Geophysics and Planetary Physics, Scripps Institution of Oceanography, UC San Diego Key Points: The LAB in the Western US is 60–110 km deep and correlates well with active tectonic processes. Two MLDs exist in the Central and Eastern US in the depth range 60–100 km and 100–150 km, which correlate with past tectonic processes. Our results agree well with the results of P receiver functions in many areas.

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11 Abstract

Lithospheric discontinuities, including the lithosphere-asthenosphere boundary (LAB) 12 and the enigmatic mid-lithospheric discontinuities (MLDs), hold important clues about 13 the structure and evolution of tectonic plates. However, P- and S-receiver-function tech-14 niques (PRF and SRF), two traditional techniques to image Earth's deep discontinuities, 15 have some shortcomings in imaging lithosphere discontinuities. Here, we propose a new 16 method using reflections generated by teleseismic S waves (hereafter S reflections) to im-17 age lithospheric discontinuities, which is less affected by multiple phases than PRFs and 18 has better depth resolution than SRFs. We apply this method to data collected by the 19 Transportable Array and other regional seismic networks and obtain new high-resolution 20 images of the lithosphere below the contiguous US. Beneath the tectonically active West-21 ern US, we observe a negative polarity reflector (NPR) in the depth range of 60–110 km, 22 with greatly varying amplitude and depth, which correlates with active tectonic processes. 23 We interpret this feature as the lithosphere-asthenosphere boundary below the Western 24 US. Beneath the tectonically stable Central and Eastern US, we observe two NPRs in 25 the depth ranges of 60–100 km and 100–150 km, whose amplitude and depth also vary 26 significantly, and which appear to correlate with past tectonic processes. We interpret 27 these features as mid-lithospheric discontinuities below the Central and Eastern US. Our 28 results show reasonable agreement with results from PRFs, which have similar depth res-29 olution, suggesting the possibility of joint inversion of S reflections and PRFs to constrain 30 the properties of lithospheric discontinuities. 31

32 1 Introduction

The structure of the lithosphere-asthenosphere system is fundamental to understand-33 ing plate tectonics and Earth's evolution. Continental lithosphere, which is far more com-34 plicated than its oceanic counterpart due to the imprints left by numerous geologic pro-35 cesses during its long life, has drawn great attention from the seismological community 36 (e.g., Rychert et al. (2005), Rychert and Shearer (2009), Levander and Miller (2012), S. M. Hansen 37 et al. (2015), Hopper and Fischer (2018), L. Liu and Gao (2018), and Kind et al. (2020)). 38 However, despite decades of efforts in seismically imaging the continental lithosphere. 39 several fundamental questions regarding seismic discontinuities in the lithosphere-asthenosphere 40 system remain open: What is the depth to the lithosphere-asthenosphere boundary (LAB)? 41 Is the LAB a sharp boundary or a transition zone that spans many tens of kilometers? 42 Does the lithosphere have internal layering, which has been invoked to explain the ob-43 servations of mid-lithospheric discontinuities (MLDs; e.g., Savage and Silver (2008), Ford 44 et al. (2010)), and how do the aforementioned characteristics of lithospheric discontinu-45 ities vary across different geologic provinces? These questions motivate further seismic 46 studies to better resolve lithospheric discontinuities beneath continents. 47

Traditionally, P and S receiver-functions (SRF and PRF) are widely used for imag-48 ing lithospheric discontinuities (e.g., Rychert and Shearer (2009), Levander and Miller 49 (2012), S. M. Hansen et al. (2015), and Hopper and Fischer (2018)). However, both PRF 50 and SRF have some limitations that hamper their utility in imaging lithospheric inter-51 faces. For PRF, multiple reflected phases generated at the Moho and intra-crustal in-52 terfaces arrive in the same time window as P-to-S conversions from lithospheric discon-53 tinuities and cause strong interference (Fig. 1d). For SRF, although the S-to-P conver-54 sions arrive before direct S and thus do not suffer interference of crustal multiple phases, 55 the significantly lower frequency band of teleseismic S waves than P waves causes SRFs 56 to have lower depth resolution than PRFs (Fig. 1e), which prevents imaging detailed struc-57 tures within the lithosphere. In addition, the very long periods and small temporal sep-58 arations between conversions at the Moho and shallow lithospheric discontinuities cause 59 potential interference between the side lobes of the Moho conversions and the lithospheric 60 discontinuity conversions of interest (Kind et al. (2020); Fig. 1e), further complicating 61 the interpretation of SRF images. 62



Figure 1. Synthetic examples of imaging lithospheric discontinuities with S reflections, P receiver functions (PRF), and S receiver functions (SRF). The S-reflection and SRF waveforms are computed using a Ricker source wavelet with a median frequency of 0.2 Hz, and the PRF waveform is computed using a Ricker source wavelet with a median frequency of 0.5 Hz (a) 1D V_p (blue) and V_s (red) models used for computing synthetic waveforms. ICD: intra-crustal discontinuity. M: Moho. LVL,N: Negative velocity gradient zone associated with the low-velocity layer (LVL). LVL,P: Positive velocity gradient zone associated with the LVL. (b) 1D density model used for computing synthetic waveforms. (c) S-reflection waveforms computed with the models in (a) and (b) and mapped to depth domain using the same velocity model. (d) P receiver-function waveforms (without deconvolution) computed with the models in (a) and (b) and mapped to depth domain using the same velocity model. (c) S reflections waveforms (without deconvolution) computed with the models in (a) and (b) and mapped to depth domain using the same velocity model. Note that the image from teleseismic S reflections has less interference from crustal multiples than the one from PRFs and has higher depth resolution than the one from SRFs.

Recently, Shearer and Buehler (2019) proposed using topside reverberations gen-63 erated by transverse-component teleseismic S waves to image upper-mantle discontinu-64 ities (Fig. 2). This method has two major advantages over PRF and SRF in imaging litho-65 spheric discontinuities. First, multiple reflection phases are much weaker than single re-66 flections because the former undergo additional reflections (Fig. 1c), in contrast to mul-67 tiples in PRF, which typically have comparable amplitude to the conversions (Fig. 1d). 68 Thus, images of lithospheric discontinuities derived with S reflections suffer less inter-69 ference from crustal multiples than the ones derived with PRFs (Figs. 1c, d). Second, 70 although both S reflections and SRF utilize long-period teleseismic S waves, the tempo-71 ral separation between different arrivals is much larger on S reflections than on SRFs be-72 cause the relative arrival time of the S reflection is the two-way S travel time between 73 the interface and the free surface, whereas the relative arrival time of a conversion on 74 SRF is the difference between the one-way S and P travel times from the interface to the 75 free surface. Thus, for a given interface, the S reflection is separated in time from direct 76 S by a factor of about five compared to the equivalent SRF converted phase, which means 77 that S-reflection imaging provides much better depth resolution than SRF imaging for 78 data over a similar frequency band (Figs. 1c, e). However, a shortcoming of S-reflection 79 imaging is that, for a global discontinuity, an event above it generates reverberations at 80 both the source side and receiver side (gray rays in Fig. 2), which arrive at approximately 81 the same time and complicate the interpretation of the image. To address this issue, Shearer 82 and Buehler (2019), which used only events shallower than 50 km, applied an inversion 83 technique to separate global source-side structure from receiver-side structure beneath 84 the Transportable Array (TA). Despite the success of this approach for imaging the 410-85 and 660-km discontinuities, it cannot completely eliminate some inherent non-uniqueness 86 between source- and receiver-side structure in the inversion, which could cause artifacts 87 in the resulting images. 88

To reduce contamination from source-side structure, here we analyze S reflections 89 of earthquakes deeper than 150 km to image receiver-side structures shallower than the 90 event focal depths. In this case, direct topside reflections from a layer above 150 km oc-91 cur only at the receiver side (blue rays in Fig. 2), which eliminates the need for the in-92 version procedure in Shearer and Buehler (2019). Note that underside reflections from 93 interfaces shallower than the event focal depths may be generated near the source, but 94 these arrivals will not stack coherently over varying source depths. We apply this method 95 to data collected by both TA and other regional seismic networks in the contiguous US 96 and create high-resolution images of lithospheric discontinuities below the Moho in the 97 study region. We find that our images agree reasonably well with PRF results in regions 98 with good data coverage and that many prominent features in our images can be related 99 to the the tectonic evolution of the North America continent. 100

¹⁰¹ 2 Data and methods

We obtained three-component waveform data for events with magnitude > 5.5, 102 focal depth > 150 km, and epicentral distance between 30° and 120° recorded at TA 103 and all major regional seismic networks in the contiguous US (see Fig. 3 for a station 104 map and Acknowledgement for a list of the seismic networks included). Because the vast 105 majority of deep-focus events are hosted in subduction zones, the back azimuths of our 106 records are limited to a few narrow corridors that contain major subduction zones (Fig. 107 3a). We use a 150-km minimum event depth for two reasons. First, this guarantees that 108 topside reflections for discontinuities between the surface and 150 km are only generated 109 near the receiver, the depth range that we focus on in this study. Second, this assures 110 that depth phases (sS), which are much stronger than internal discontinuity reflections, 111 112 arrive outside the time window for reflections from interfaces shallower than 150 km (Fig. 4a). We then lowpass-filter the traces to below 0.1 Hz, downsample them to 1 Hz, ro-113 tate the horizontal components to radial and transverse components, and align and nor-114



Figure 2. Ray paths of teleseismic S reflections. Note that an event shallower than the discontinuity (gray star) generates both source-side and the receiver-side topside reflections, whereas an event deeper than the discontinuity (blue star) generates only a receiver-side reflection.

malize the transverse components to the maximum amplitude of direct S (Shearer, 1991). 115 We note that although teleseismic S waves should contain some energy up to 0.5 Hz, a 116 corner frequency commonly used by SRF studies (e.g., L. Liu and Gao (2018)), we choose 117 a corner frequency of 0.1 Hz to improve the coherence of our images and concentrate on 118 resolving only large-scale structure. Future S-reflection studies could use higher-frequency 119 data to study regional fine-scale structure of the lithosphere, especially when data from 120 dense local temporary networks is used. Although our stacked S-wave pulse widths are 121 about two times broader than those seen in typical SRF studies, we nonetheless obtain 122 better depth resolution because the temporal separation between topside S reflections 123 is about five times greater than the separation of equivalent conversions in SRFs. 124

To assure data quality, we define a ± 25 s window around the direct S arrival as the 125 source window and retain only the traces that satisfy the following three criteria: First, 126 the ratio between the mean absolute amplitude (MAA) in the source window and the 127 noise window, defined as the 25 s before the source window, is > 5, which excludes traces 128 with high noise levels. Second, the ratio between the MAA of the source window and 129 the coda window, defined as the 25 s after the source window, is > 1, which excludes 130 traces with abnormally strong coda. Third, the ratio between the maximum amplitude 131 and the MAA in the source window is > 3, which retains only the traces with impul-132 sive source-time functions and thus increases the depth resolution of our images. To fur-133 ther verify our data quality, we plot a record section with the 50,904 traces that passed 134 our selection criteria (Fig. 4a). The record section shows clear direct S and ScS, which 135 closely resemble these two phases in Figure 1 in Shearer and Buehler (2019). 136

We note that our stacking method, both for the record sections discussed here and 137 later when we group data in bins of predicted reflection points, does not involve decon-138 volution. Rather, we align the traces on the maximum absolute value of the direct S ref-139 erence phase, flipping the polarity as needed, and normalize the reference peak to unit 140 amplitude. Because these are velocity records, there will typically be a large negative 141 sidelobe either before or after the peak on each trace, so the resulting data stack will have 142 a central peak, with negative sidelobes on each side (Fig. S1). As shown in Fig. S1, the 143 sidelobe amplitudes rarely exceed 0.5 of the central peak, which could be used as a first-144 order criterion to distinguish negative-polarity reflectors immediately below the Moho 145 from Moho sidelobes (see Section 3.3). Although in principle, these sidelobes might be 146 reduced by using deconvolution or by first correcting the records to displacement, we have 147 found that in practice these approaches can introduce instabilities that complicate in-148 terpretation of the results. In contrast, the simple alignment stacking method, when ap-149



Figure 3. Station and event distribution. (a) Deep events (≥ 150 km) used in our analysis. Concentric circles have radii of 40°, 80°, 120°, and 160°. (b) Depth distribution of the deep events used in our analysis. (c) Stations used in our analysis. Magenta and cyan triangles: The Transportable Array (TA) stations and other regional seismic networks, respectively.

plied to a large number of traces, usually produces a fairly repeatable effective source time function, which facilitates interpretation and modeling.

Because we use events deeper than 150 km, the part of Figure 4 below the predicted 152 arrival time of sS for 150-km focal depth (shown as the grav curve) is dominated by sS153 arrivals and thus does not show clear Ss410s and Ss660s phases as in Figure 1 of Shearer 154 and Buehler (2019) (which lacked depth-phase interference at those depths owing to the 155 use of shallow events only). The gray curve also marks the arrival time of receiver-side 156 topside S reflections at 150 km because the S reflection at a particular depth arrives at 157 approximately the same time as sS from an event at that depth. To further reduce the 158 interference of ScS and sS, we compute their travel times using the IASP91 model (Kennett 159 & Engdahl, 1991) and mute their amplitude using a Hanning taper around their predicted 160 arrival times. The resulting record section shows clearer Ss410s and Ss660s phases, es-161 pecially beyond 95° , indicating successful removal of sS interference (Fig. 4b). On the 162 record sections with ScS and sS muted, we observe a broad band of negative amplitudes 163 in the time window corresponding to reflections between 50 and 150 km depth, imply-164 ing the presence of negative-polarity reflectors (NPRs) in this depth range. In the rest 165 of this paper, we will always refer to arrivals on S-reflection, PRF, and SRF images that 166 correspond to negative velocity gradients as "negative arrivals" and color them red, while 167 referring to arrivals that correspond to positive velocity gradients (e.g., the Moho) as "pos-168 itive arrivals" and color them blue. The polarities of the PRF and SRF images are re-169 versed if necessary to make them consistent with our color convention. 170

Next, we construct common-reflection-point (CRP) images by tracing all the top-171 side S-reflected rays using the IASP91 model (Kennett & Engdahl, 1991) and stacking 172 the amplitudes corresponding to reflection points at each depth into $2^{\circ} \times 2^{\circ}$ square cells 173 with 1° overlaps in both W-E and S-N directions. The number of rays stacked for each 174 bin (the fold) varies widely (Fig. 5g), mostly due to the uneven distribution of seismic 175 stations (Fig. 3c), with high stacking fold n along the west coast and the Intermountain 176 West Seismic Zone (n > 600; Fig. 5g) and low n in most of the Midwest (n < 100;177 Fig. 5g). The profiles generally show positive arrivals at less than 50 km depth, mostly 178 due to the Moho, and negative arrivals between 50 and 150 km depth, likely due to negative-179 polarity reflectors (NPRs) in the mantle. Before discussing the features seen on our S-180 reflection profiles in detail, we will first present some general comparisons to receiver func-181 tion results. 182

183 3 Results

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3.1 General comparison between S-reflection, SRF, and PRF profiles

Most previous studies of lithospheric discontinuities beneath the contiguous US were 185 derived with SRF (e.g. Hopper and Fischer (2018) and L. Liu and Gao (2018)). Here 186 we will compare our S-reflection images with the SRF results of Hopper and Fischer (2018), 187 which has similar coverage across the US. To compare our images with PRF, we acquired 188 PRFs of the same seismic networks as our study from the IRIS DMC EarthScope Au-189 tomated Receiver Survey (EARS) (Crotwell & Owens, 2005). We trace the PRF rays with 190 the IASP91 model (Kennett & Engdahl, 1991) and stack the amplitudes at the conver-191 sion points into the same grid cells used for our S-reflection CRP images, which gives 192 a PRF common-conversion-point (CCP) image. We extract four W-E profiles A1–A4 and 193 two S-N profiles B1 and B2 (see Fig. 5g for the locations of the profiles) from our S-reflection 194 and PRF image volumes, as well as the SRF image volume of Hopper and Fischer (2018). 195 Fig. 6 shows the comparison between our S-reflection image, the SRF image from Hopper 196 and Fischer (2018), and our PRF image for our W-E Profile A2. Figures S2 and S3 show 197 additional profile comparisons between our results and SRF images from Hopper and Fis-198 cher (2018). We flip the polarity of the SRF images so they have the same color conven-199 tion as the other two images (blue and red indicate impedance/velocity increases and 200



Figure 4. (a) Record section of traces included in our analysis. Note the strong depth phases that overprint reflections deeper than 150 km. The black and gray curves mark the predicted arrival times for reflections at 80, 410, 660 km depth, and sS for a focal depth of 150 km, respectively. Also note the interference of ScS. (b) The same as (a) but with sS and ScS muted using their predicted arrival times. Note that the 410 and 660 reflections start to emerge after the interfering sS phases are muted. (b) Distribution of event depth and distance.



Figure 5. Results derived from our common-reflection-point (CRP) image. (a–d) W-E reflectivity and topography profiles along $34^{\circ}(A1)$, $36^{\circ}(A2)$, $41^{\circ}(A3)$, and $44^{\circ}(A4)$. Blue and red indicate impedance increasing and decreasing with depth, respectively. The uncertainty of each trace is marked in gray. Yellow and cyan bars: Our LAB picks in the Western US (WUS) and MLD picks in the Central and Eastern US (CEUS), respectively. Thick black curve: Juan-de-Fuca-slab interface (Hayes et al., 2018). Acronyms of important tectonic features: CA: Cascade Arc; CBP: Columbia Plateau; YS: Yellowstone Hotspot; MCR: Midcontinent Rift; AM: Appalachian Mountains; NBR: northern Basin and Range Province; WB: Wyoming Basin; SBR: southern Basin and Range Province; CRP: Colorado Plateau; RF: Reelfoot Rift; RGR: Rio Grande Rift; NRM: northern Rocky Mountain; JdF: Juan de Fuca Slab. (e) and (f): The same as (a–d), but S-N profiles along -115° (B1) and -90° (B2). (g): Stacking fold of our CRP image at 40 km depth. Major physiographic provinces of the US (Fenneman, 1946) are plotted in green in (g)

decreases with depth, respectively) and average the SRF model with the same $2^{\circ} \times 2^{\circ}$ grid cells used in our image.

The three images agree reasonably well for depth variations of the Moho, which ap-203 pears as a positive arrival (impedance/velocity increase with depth) in the depth range 204 20–50 km (Fig. 6). Below the Moho, the profiles often do not agree very well in their details, but their average properties with depth appear similar. Each method shows sig-206 nificant negative arrivals between the Moho and ~ 100 km depth, although the SRF im-207 age is more diffuse, possibly because of its more limited depth resolution (Fig. 6). These 208 negative arrivals indicate an impedance/velocity decrease with depth, which SRF stud-209 ies have interpreted as the LAB in the Western and Eastern US and as an MLD in the 210 Central US (e.g., Hopper and Fischer (2018)). 211

We note that the negative arrivals immediately below the Moho in our S reflection 212 213 image are at least partly caused by the sidelobe of the Moho arrivals (Fig. S1). However, as we will discuss later, they are often stronger than one would expect from the Moho 214 sidelobe alone. The SRFs have undergone deconvolution, but there has been some con-215 troversy regarding whether this process could nonetheless produce Moho-related side-216 lobe artifacts (e.g., Kind et al. (2020). Details of the arrivals between the Moho and 100 217 km appear more focused in depth in our S-reflection and PRF images, presumably due 218 to their higher depth resolution, and are sometimes split into more than one apparent 219 interfaces (Fig. 6). Between 100 and 150 km, our S reflection image has negative aver-220 age arrivals, but these arrivals are generally weaker and less continuous than those seen 221 at shallower depths (Fig. 6a). In contrast, the SRF image has a slightly positive aver-222 age amplitude over this depth range, although there are occasionally negative amplitude 223 224 features (Fig. 6b). Our PRF image over this depth range shows some strong features, especially in the west, but is likely contaminated by Moho multiples and thus is difficult 225 to interpret (Fig. 6c). 226

²²⁷ Despite differences in resolution, our S-reflection image shows features similar to ²²⁸ the other methods in some areas. For example, the negative arrival at ~ 130 km depth ²²⁹ at $\sim -85^{\circ}$ appears consistent between our S-reflection image and the SRF image (Figs. ²³⁰ 6a, b), and the multiple positive arrivals between 50 and 100 km beneath the Colorado ²³¹ Plateau appear very consistent between our S-reflection image and PRF image (Figs. 6a, ²³² c). We will now present more details of particular features in our S-reflection results.

233 3.2 Moho

We automatically pick the Moho from our data stack at each grid point as the strongest 234 positive peak in the depth range of 20–60 km. In cases where the Moho is not the strongest 235 positive peak in this depth range due to complicated crust and upper-mantle structure. 236 we manually correct the Moho-depth picks to a more appropriate peak based on the Moho 237 picks at adjacent grid points. The resulting Moho-depth map shows good correlation with 238 physiographic provinces (Fig. 7a). The Moho is shallow (< 25 km) in the Basin and Range 239 Province, the Columbia Plateau, the Gulf Coast, and the Atlantic Coast, whereas the 240 Moho is deep in the Colorado Plateau, the southern Rocky Mountains, most of the Great 241 Plains, and the Appalachian Mountains. We note that in areas where the Moho is ex-242 243 tremely shallow, e.g., the southern Basin and Range, the Moho reflection arrives so early that it merges with the trailing side lobe of the reference pulse (direct S on the stacked 244 trace), causing null Moho detections (Fig. 7). 245

The Moho amplitude also correlates well with the physiographic provinces, with high amplitude in the Basin and Range Province, the Columbia Plateau, the northern Rocky Mountains, the Gulf Coast, and the Atlantic Coast, and low amplitude in the Colorado Plateau, the middle Rocky Mountains, the Wyoming Basin, most of the Great Plains, and the Appalachian Mountains (Fig. 7b). Figure 7 compares these results with two previous Moho depth maps obtained using different methods: (1) the *Pn* analysis of Buehler



Figure 6. Comparison between (a) our S-reflection image, (b) SRF image from Hopper and Fischer (2018), and (c) our PRF image for Profile A2. Acronyms for key tectonic features are the same as in Fig. 5



Figure 7. Comparison between our Moho observations and previous studies. (a) and (b) Moho depth and amplitude, respectively, from our S-reflection CRP image (c) Moho depth from Buehler and Shearer (2017). (c) Moho depth from Shen and Ritzwoller (2016).

and Shearer (2017), and (2) the joint surface-wave and PRF inversion of Shen and Ritzwoller (2016). The maps show reasonable agreement, particularly for the largest-scale
features, which gives us some confidence that our method is capable of mapping shallow reflectors. However, we defer more detailed study of crustal structure for future work,
preferring to focus here on imaging lithospheric structure, where our approach has perhaps its greatest potential advantages over other imaging methods.

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3.3 Lithospheric discontinuities

On our S-reflection images, the mantle arrivals are predominantly negative (cor-259 responding to NPRs) for both the Western US (WUS) and the Central and Eastern US 260 (CEUS), although these arrivals appear stronger and more focused in the WUS than in 261 the CEUS (Figs. 5a-f). In addition, we do not observe any NPR that extends across the 262 whole continent, indicating that the NPRs in the WUS and the CEUS are likely unre-263 lated features. Thus, we follow previous studies (e.g., Hopper and Fischer (2018)) to discuss our results in the WUS and CEUS separately. Because the deep events that we use 265 in this study are better at imaging lithospheric structures compared to the shallow events 266 used in Shearer and Buehler (2019), we will focus on discussing our images of the litho-267 spheric discontinuities. 268

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3.3.1 The lithosphere-asthenosphere boundary in the Western US

In the WUS, we observe a clear NPR in the depth range of 60–110 km on almost every trace of Profiles A1–A5 (yellow bars in Figs. 5a–e). Since most previous studies using SRFs also showed a negative interface in this depth range, which was commonly

interpreted as the LAB in the WUS (e.g., Hopper and Fischer (2018), L. Liu and Gao 273 (2018), and Kind et al. (2020)), we adopt their interpretation and search for the strongest 274 negative peak between the Moho and 110 km in our CRP image volume to evaluate the 275 depth and amplitude variation of the LAB in the WUS. When the strongest negative 276 peak is within 30 km of the Moho, a depth range that also contains the Moho sidelobes, 277 we identify the peak as the LAB only when it satisfies both of the following criteria: First, 278 its amplitude exceeds 0.5 of the Moho amplitude, and second, its amplitude is more than 279 two times stronger than the strongest negative peak in the depth range below it. Oth-280 erwise, we will instead identify the strongest negative peak in the depth range below this 281 peak as the LAB. An example of grid cells with negative peaks immediately below the 282 Moho that satisfies both criteria is the grid cell at the Yellowstone Hotspot ($\sim -112^{\circ}$ 283 on Profile A4; Fig. 5a), where the NPR at \sim 55 km depth is almost as strong as the Moho 284 and is clearly the most prominent NPR in the mantle. At some grid cells, the strongest 285 negative peak is within 30 km of the Moho and is stronger than 0.5 of the Moho ampli-286 tude, but is not more than two times stronger than the strongest negative peaks below 287 it (e.g., the Colorado Plateau, $\sim -110^{\circ}$ on Profile A2; Fig. 5c). We term these grid cells 288 as having ambiguous LAB picks (Fig. 5c) because at these locations the NPRs imme-289 diately below the Moho usually have comparable amplitude to a deeper NPR, making 290 the identification of the LAB difficult. Furthermore, we treat only LAB picks with am-291 plitude > 0.02 as robust observations and show their depths in Fig. 5a. 292

Our LAB depth and amplitude maps show interesting correlations with physiographic 293 provinces (Figs. 8a, b). The areas with the strongest LAB amplitudes are: (1) The east-294 ern border of the Colorado Plateau, including the Rio Grande Rift and the boundary 295 between the Colorado Plateau and the southern Rocky Mountains, and (2) the north-296 ern Rocky Mountains (Fig. 8b). These areas also have the shallowest LAB in the WUS 297 (< 70 km; Fig. 8a). The areas with moderate LAB amplitudes are: (1) Most of the Col-298 orado Plateau, (2) the northern Basin and Range Province, and (3) most of the Pacific 200 Coast, including the Cascade Arc and northern and central California (Fig. 8b). The 300 LAB in these areas generally has a moderate depth (between 80 and 90 km; Fig. 8a). 301 The LAB in the northern Basin and Range Province clearly shallows from ~ 90 km at 302 the center to ~ 70 km at its western and eastern boundaries, namely the Sierra Nevada 303 Transition Zone and the Wasatch Fault Zone (Figs. 8a and 5b), where GPS observations 304 have shown concentrated crustal extension (e.g., Hammond et al. (2014), Thatcher et 305 al. (1999), and Martinez et al. (1998)). The areas with a weak LAB are: (1) Most of the 306 Columbia Plateau, (2) the southern Basin and Range Province, and (3) southern Cal-307 ifornia (Fig. 8b). Many grid points in these areas do not show LAB-depth values because 308 their LAB amplitudes are < 0.02 (Fig. 8a). The Death Valley Fault Zone, which marks 309 the western boundary of the southern Basin and Range Province, also appears to show 310 a shallower LAB than the surrounding area (Fig. 8a), although the generally low LAB 311 amplitudes in the southern Basin and Range Province renders this observation less ro-312 bust than the LAB shallowing at the boundaries of the northern Basin and Range Province. 313 The two main areas with ambiguous LAB picks are the northern Basin and Range Province 314 and the southern Rocky Mountains (Fig. 8c). These areas likely have more than one sig-315 nificant velocity drop below the Moho. For example, the strong negative peaks follow-316 ing the Moho peaks in the northern Basin and Range Province suggest the presence of 317 a NPR immediately below the Moho at \sim 45 km in addition to the LAB picked at \sim 318 90 km (Figs. 5b, e). To confirm the presence of this sub-Moho NPR requires detailed 319 waveform modeling to account for the sidelobe amplitudes of the local reference pulses, 320 which is beyond the scope of this study. We will nonetheless present PRF observations 321 that also suggest the presence of a sub-Moho negative velocity gradient zone in the north-322 ern Basin and Range Province in Section 4.1 323

Since the free-surface-Moho double reflection (hereafter "Moho double reflection") has a positive polarity and arrives at a similar time window to our LAB (Fig. 1), it might be misidentified as the LAB. To assess this possibility, we plot the Moho depth and amplitude against the LAB depth and amplitude for each grid point in the WUS, and find
little correlation (Figs. 9a, b), making it unlikely that our LAB observations are caused
by Moho double reflections.

We note that the depth variations of our LAB in Figure 8 do not agree very well 330 with the depth maps of negative-velocity-gradient features in the WUS previously ob-331 tained from SRF studies (e.g., Figure 3 from L. Liu and Gao (2018) and Figure 5 from 332 Hopper and Fischer (2018)). We focus here on comparisons to Hopper and Fischer (2018) 333 and plot a depth and amplitude comparison obtained by averaging the LAB depths and 334 amplitudes from Hopper and Fischer (2018) within our grid cells (Fig. S4). The SRF 335 LAB depth distribution shows a different pattern from our results and also generally has 336 less depth variation (Figs. S4a, b). For example, our LAB is extremely shallow (< 70 km) 337 in the Rio Grande Rift, whereas the SRF LAB has a moderate depth ~ 80 km in the 338 region (Figs. S4a, b). Another example is the Cascade Arc, where our LAB (~ 90 km 339 deep) is significantly deeper than the SRF LAB (~ 75 km deep). Despite these differ-340 ences, our results seem to agree with the SRF results on the shallowing of the LAB near 341 the western and eastern boundaries of the northern Basin and Range Province, though 342 our results show more shallowing in the east, whereas the SRF results show more shallowing in the east is the show more shallowing is the show more shallowing in the east is the show more shallowing in the east is the show more shallowing is the show more 343 lowing in the west (Figs. S4a, b). The SRF LAB amplitude generally lacks strong vari-344 ations, with a slightly stronger LAB in the Basin and Range Province and the north-345 ern Rocky Mountains, which is also different from our LAB amplitude distribution. We 346 note that although we use Hopper and Fischer (2018) to represent previous SRF stud-347 ies here, the results from these studies can differ significantly in certain areas, which likely 348 explains some of the discrepancies between our results and the SRF results from Hopper 349 and Fischer (2018). For example, Levander and Miller (2012) found a LAB depth of \sim 350 65 km in the Rio Grande Rift, significantly deeper than ~ 80 km given by Hopper and 351 Fischer (2018) and much closer to our result (~ 60 km). We will further discuss pos-352 sible reasons for discrepancies between our results and previous studies in Section 4.2. 353

354

3.3.2 Mid-lithospheric discontinuities in the Central and Eastern US

In the CEUS, we generally observe two bands of negative arrivals between the Moho 355 and 100 km depth and between 100 km and 150 km depth (Figs. 5a-d and f). Since these 356 NPRs are within the high-velocity lithosphere shown by seismic tomography studies in 357 the CEUS (e.g., H. Zhu et al. (2017)), we term them MLDs, in contrast to the LAB in 358 a similar depth range in the WUS. Because the NPRs in these two depth ranges some-359 times have comparable amplitude (e.g., $\sim -85^{\circ}$ on Profile A2; Fig. 5c), we define two 360 MLDs in these two depth ranges (hereafter "shallow MLD" and "deep MLD"). This def-361 inition also makes our shallow MLD directly comparable to MLDs found by previous SRF 362 studies, which are mostly in the depth range between the Moho and 100 km depth (e.g. 363 Hopper and Fischer (2018); Figs. S5b, d). To pick the shallow MLD, we follow the same 364 procedure as picking the LAB in the WUS because this depth range also contains Moho 365 sidelobes. For the deep MLD, we simply pick the strongest negative peak between 100 366 km and 150 km depth. Similar to our treatment of LAB picks, we only show MLD depths 367 at locations where their amplitudes are > 0.02. Although we define our two MLDs based 368 on their depth ranges, we do not preclude the possibility that they may represent the 369 same interface in some areas. For example, on Profile B2, the two MLDs may be two parts 370 of one interface that dips southward between 35° and 45° (Fig. 5f). Since our data cov-371 erage in the CEUS is usually insufficient for us to determine if our two MLDs are spa-372 tially connected, we will treat them as separate features in this study, while leaving dis-373 cussions of their detailed geometries to future studies. 374

Our results show that the shallow MLD generally has a higher amplitude than the deep MLD, with the amplitude of both MLDs varying greatly across the CEUS (Figs. 10c, d). The amplitude of the shallow MLD is highest in the northern Midcontinent Rift, where it is at 70–80 km depth (Figs. 10a, c and 5a). Another area where the shallow MLD



Figure 8. Depth and amplitude map of our LAB in the WUS. (a) LAB depth in the WUS. SNTZ: Sierra Nevada Transition Zone; WFZ: Wasatch Fault Zone. DVFZ: Death Valley Fault Zone. (b): LAB amplitude in the WUS. (c) Regions with ambiguous LAB depth picks.



Figure 9. Cross plots of Moho amplitude and depth against the amplitude and depth of the LAB in the WUS and the MLDs in the CEUS. (a) Moho and LAB depth in the WUS. (b) Moho and LAB amplitude in the WUS. (c) Moho and shallow-MLD depth in the CEUS. (d) Moho and shallow-MLD amplitude in the CEUS. (e) Moho and deep-MLD depth in the CEUS. (f) Moho and deep-MLD amplitude in the CEUS.

is strong is the area including the Reelfoot Rift and the southern Appalachian Moun-379 tains (Figs. 10a, c). The MLD beneath the flanks of the Reelfoot Rift is very shallow 380 (< 70 km), whereas the MLD beneath the southern Appalachian Mountains is deep (>381 80 km) (Figs. 10a, c and 5c, d). The deep MLD is strong in the southern Midcontinent 382 Rift, where it is ~ 125 km deep, and the western foothills of the Appalachian Moun-383 tains, where it is ~ 115 km deep. We also plot the depth and amplitude of our MLDs 384 against the Moho depth in the CEUS and find little correlation (Figs. 9c-f), indicating 385 that our MLD observations are unlikely due to Moho sidelobes or Moho double reflec-386 tions. Interestingly, our shallow and deep MLDs appear to have strong amplitudes in the 387 same (e.g., the southern Appalachian Mountains) or adjacent regions (e.g., the south-388 ern and northern Midcontinent Rift), which implies that the two MLDs may be related 389 features. We will further discuss this possibility in Section 4.3. 390

In the CEUS, our shallow MLD is in the same depth range as the maximum negative-391 velocity gradient (NVG) from Hopper and Fischer (2018), which was interpreted as an 392 MLD in the Central US and the LAB in the Eastern US. We thus compare the ampli-393 tude and depth distribution of our shallow MLD with those from Hopper and Fischer (2018) averaged within our grid cells (Fig. S5). In the Reelfoot Rift and the southern 395 Appalachian Mountains, our MLD shows similar depth variation to the SRF MLD (shal-396 low in the Reelfoot Rift and deep in the southern Appalachian Mountains), although our 397 MLD in the Reelfoot Rift (< 65 km deep) is significantly shallower than the SRF MLD $(\sim 75 \text{ km deep})$ (Figs. S5a, b). In the northern Midcontinent Rift, our MLD is at sim-399 ilar depth as the SRF MLD (between 70 and 80 km depth) (Figs. S5a, b). A major dif-400 ference between our shallow MLD and the SRF MLD is that our MLD shows strong am-401 plitude variation, whereas the SRF MLD has a relatively uniform amplitude (Figs. S5c, d). For example, our shallow MLD is weak in most of the Great Plain, whereas the SRF 403 MLD in this area has similar amplitude as in the rest of the CEUS. We will further dis-404 cuss possible reasons for this discrepancy in Section 4.3. 405

406 4 Discussion

407

4.1 Comparison with PRF results

Although PRF and SRF have similar sensitivity to elastic parameter changes across 408 discontinuities, studies of lithospheric discontinuities using PRF and SRF have not al-409 ways yielded consistent results (e.g., Levander and Miller (2012) and S. M. Hansen et 410 al. (2015)). As discussed above, although we observe NPRs within a similar depth range 411 to those seen in SRF studies, the depths and locations of specific features do not agree 412 very well. Some of these differences may be related to the broader depth resolution of 413 the SRF compared to our S-reflection method, so it is worthwhile also comparing our 414 images with PRF results, which should have depth resolution closer to our images than 415 that of SRFs. Thus, we compare our S-reflection CRP images with our PRF CCP im-416 ages along our four W-E profiles A1–A4 and two S-N profiles B1 and B2 (Figs. 11 and 417 12). To estimate the depth range where we expect the interference of Moho PpPs, we 418 also compute the predicted depths of Moho PpPs for each trace using the local Moho 419 depth and an average crustal V_p/V_s ratio of 1.73 (gray diamonds in Figs. 11 and 12). 420

We observe good agreement between the variation trend of the S-reflection Moho depth and PRF Moho depth along all the profiles, though the absolute Moho depth can be off by up to 10 km (Figs. 11 and 12), likely because we did not account for variations in average crustal V_p/V_s ratio (both our S-reflection and PRF images are computed using the IASP91 model). The PRF traces show sharper Moho arrivals and more detailed crustal structures because the PRFs are filtered at much higher frequency than our S reflection traces.



Figure 10. Depth and amplitude maps of our shallow MLD and deep MLD in the CEUS. (a) and (b): Depth maps of our near-Moho MLD and deep MLD in the CEUS, respectively. Only grid points with MLD amplitude > 0.02 are shown in (a) and (b), respectively. (c) and (d): Amplitude maps of our near-Moho MLD and deep MLD in the CEUS, respectively.

Below the Moho, our PRF images also show similar features to our S-reflection im-428 ages in many areas. On Profile A1, both images show clear negative interfaces between 429 50 and 90 km beneath the southern margin of the Colorado Plateau and the Rio-Grande 430 Rift (Fig. 11a). We also observe negative interfaces at ~ 75 km depth beneath the Ap-431 palachian Mountains on Profile A1 of both methods (Fig. 11a). On Profile A2, the mul-432 tiple negative interfaces beneath the Colorado Plateau appear very consistent between 433 the two images. In addition, similar to Profile A1, both images show negative interfaces 434 below the Appalachian Mountains on Profile A2 remove[authors], which includes both 435 the shallow and deep S-reflection MLD in the area, though the PRF interfaces appear 436 significantly weaker (Fig. 11b). On Profile A3 both images show the shallowing of the 437 negative interface beneath the western boundary of the northern Basin and Range Province 438 (the Sierra Nevada Transition Zone), although this feature appears to be more coher-439 ent on the PRF image (Fig. 11c). In the center of the northern Basin and Range Province, 440 the strong Moho sidelobe on the S-reflection image and the clear negative arrival imme-441 diately below the Moho on the PRF image both suggest the presence of a sub-Moho negative interface at ~ 50 km depth, in addition to the LAB imaged by S reflections at \sim 443 85 km depth (Fig. 11c). In addition, we observe negative interfaces between 60 and 90 km 444 depth beneath the central Rocky Mountains on both images (Fig. 11c). We also observe 445 negative interfaces beneath the western foothills of the Appalachian Mountains between 446 80 and 100 km depth on Profile A3 of both methods (Fig. 11c). Moving further north, 447 on Profile A4, both images show strong negative arrivals at ~ 90 km beneath the sub-448 ducting Juan de Fuca slab (Fig. 11c). This arrival is less coherent on the PRF image for 449 two possible reasons: First, the PRFs have more high-frequency content than the S-reflection 450 data, making them more sensitive to small-scale lateral variation of this negative inter-451 face. Second, multiple reflections at shallow interfaces between the slab and the upper 452

⁴⁵³ plate may further complicate the PRF image (R. T. Hansen et al., 2012). Similar to Pro⁴⁵⁴ file A1–A3, on Profile A4, we observe negative interfaces beneath the Appalachian Moun⁴⁵⁵ tains between 60 and 90 km on both images (Fig. 11d).

On Profile B1, the negative interface between 80 and 90 km beneath the northern 456 Basin and Range Province and northern Rocky Mountains appear very consistent be-457 tween the two images (Fig. 12a). In the northern Basin and Range Province, similar to 458 Profile A3, both images suggest the presence of a sub-Moho negative interface at ~ 50 km 459 in addition to the LAB at ~ 85 km (Fig. 12a). Besides, the broad negative S-reflection 460 interface beneath the northern Rocky Mountains on this profile likely includes both the 461 PRF interfaces at ~ 80 and ~ 60 km depths (Fig. 12a). The similarity between the two 462 images on Profile B2 is not as obvious as on the other profiles, but the two images still 463 agree on the presence of negative interfaces beneath the Midcontinent Rift in the depth 464 range of 60–90 km (Fig. 12b). 465

Two main factors may contribute to the discrepancies between our S-reflection and 466 PRF results. First and most importantly, Moho PpPs and the other Moho multiples could 467 interfere with Ps from lithospheric discontinuities, which is also the primary reason that 468 PRFs are less popular than SRFs in studying lithospheric structure. As shown in the 469 above comparison between S-reflection and PRF results, the agreement between them 470 is significantly better in the top 100 km, a depth range generally free of the interference 471 of Moho multiples (The first Moho multiple, Moho PpPs, is mapped to > 100 km depth 472 on most traces; Figs. 11 and 12). In addition, multiples generated by intra-crustal in-473 terfaces may also interfere with Ps from lithospheric discontinuities (e.g., Fig. 1), though their effects should be less pronounced than the Moho multiples. Second, significant changes 475 in anisotropy properties may be present at some lithosphere discontinuities, causing these 476 discontinuities to have distinctly different behavior for S-reflections and PRFs. We will 477 discuss the effects of anisotropy in more detail in Section 4.2. 478

The general agreement between our S-reflection and PRF images not only helps 479 validate our methodology but also implies the potential of joint analyses between the two 480 methods. Because lithospheric discontinuities are generally weak and subject to contam-481 ination from Moho multiples and other phases, the presence of a discontinuity on both 482 S-reflection and PRF results is strong evidence for the existence of the interface. More-483 over, since S-reflections and PRFs contain seismic responses for two independent systems, 484 SH and P-SV, a joint analysis of them could better constrain the anisotropic properties 485 of a discontinuity, though this analysis will require good event-azimuth coverage and may 486 only be applied to depth ranges free of PRF Moho multiples (e.g., < 100 km for the con-487 tiguous US). In addition, jointly analyzing Moho S-reflections and Moho Ps could con-488 strain the average crustal V_p/V_s ratio, a key parameter closely related to average crustal 489 composition (Yuan, 2015; T. Liu et al., 2019), in a similar way to the classic $H-\kappa$ stack-490 ing technique (L. Zhu & Kanamori, 2000). A potential advantage of this method is that 491 it does not rely on the PRF Moho multiples, which are not always reliably observed. The 492 application of this analysis may require the S-reflection data to be filtered to higher fre-493 quency than used in this study, for example to a similar frequency band as typically used 494 for SRF studies (Fig. 1), which would be more suitable for studying crustal structures. 495

496 497

4.2 Nature of the LAB and its relation with active tectonics in the Western US

The nature of the LAB can only be reliably resolved with joint constraints from different seismic observations. One feature in the WUS that is consistently shown by different seismic methods is the significantly shallowing of the LAB at the western and eastern boundaries of the northern Basin and Range Province (Figs. 5b, 8a, 11, and S4a, b; Hopper and Fischer (2018), S. M. Hansen et al. (2015)), which is consistent with GPS observations that extension in the northern Basin and Range Province mostly occurs on



Figure 11. Comparison between our S-reflection results and our PRF common-conversionpoint (CCP) image computed with the EARS PRFs along Profiles A1–A4. On both the Sreflection and the PRF traces, blue indicates velocity or impedance increasing with depth and red indicates velocity or impedance decreasing with depth. The parts of the profiles where S reflections and PRFs show similar structures are circled in black. Gray diamonds: predicted depths of Moho PpPs. The interface markers and acronyms of key tectonic features are the same as in Fig. 5



Figure 12. The same as Fig. 11, but for Profiles B1 and B2.

its eastern and western boundaries (e.g., Hammond et al. (2014), Thatcher et al. (1999), 504 and Martinez et al. (1998)). In particular, Thatcher et al. (1999) and Martinez et al. (1998) 505 found concentrated extension at the Sierra Nevada Transition Zone and the Wasatch Fault 506 Zone, where we also observe significant lithospheric thinning (Figs. 5b and 8a). Inter-507 estingly, the lithospheric thickness in the northern Basin and Range Province seems to 508 be inversely correlated with the crustal thickness, which is greater near the edges than 509 in the center (Figs. 5b and 7a, c). If we regard the crustal thickness in the northern Basin 510 and Range Province as a measure of cumulative past lithosphere extension, this suggests 511 that active tectonic processes, as opposed to past ones, likely control the characteristics 512 of the LAB in the northern Basin and Range Province. Another interesting feature con-513 sistently shown by our S-reflection and PRF images in the WUS is the strong negative 514 interface at ~ 90 km beneath the Juan de Fuca slab (Fig. 11d), which might represent 515 a sharp velocity drop at the top of a strong low-velocity anomaly beneath the slab re-516 cently revealed by seismic tomography studies (e.g., Hawley et al. (2016)). Moreover, our 517 S-reflection and PRF images suggest the presence of negative interfaces immediately be-518 low the Moho in some parts of the WUS, which are usually a separate interface signif-519 icantly shallower than the local LAB (e.g., the northern Basin and Range Province; Figs. 520 11e and 12a). This observation is supported by recent Pn analyses, which found a pre-521 dominantly negative vertical velocity gradient in the uppermost mantle beneath the WUS 522 (e.g., Buehler and Shearer (2017)). Further studies are needed to confirm the presence 523 of these shallow negative interfaces and to understand the upper-mantle structure of these 524 areas, which likely cannot be described with a simple lithosphere-over-asthenosphere model. 525

Similar to agreements between different seismic observations, disagreements between 526 different seismic observations could also shed light on the nature of the LAB. The most 527 outstanding discrepancy between our S-reflection results and previous seismic studies on 528 the LAB of the WUS is our weak LAB amplitude in the southern Basin and Range Province 529 and the Columbia Plateau (Fig. 8a), where previous studies using PRF and SRF have 530 largely found strong LAB conversions (e.g., Hopper and Fischer (2018) and Levander and 531 Miller (2012)). In addition, our PRF images also show clear negative converters in the 532 mantle beneath the southern Basin and Range Province and the Columbia Plateau, where 533 the S-reflection images generally have low amplitude (Figs. 11a, b, d and 12a). Specif-534 ically, Fig. 12a shows that as the S-reflection LAB amplitude diminishes southward be-535 neath the Basin and Range Province, the PRF amplitude stays strong. A plausible ex-536 planation for this discrepancy between S-reflection observations and receiver-function ob-537 servations is that the LAB in the southern Basin and Range Province and the Columbia 538 Plateau has a significantly greater drop in V_{SV} than V_{SH} , which generates strong receiver-539 function conversions but only weak SH reflections. This type of velocity drop can be caused 540 by a melt-rich layer at the base of the lithosphere where the melt is segregated into sub-541 horizontal bands due to horizontal shear at the LAB (B. K. Holtzman & Kendall, 2010; 542 Kawakatsu et al., 2009). In Kawakatsu et al. (2009), this mechanism was invoked to ex-543 plain the strong receiver-function conversions at the oceanic LAB. Both the southern Basin 544 and Range Province and the Columbia Plateau have abundant recent magmatic activ-545 ities, which supports our hypothesis. Furthermore, with a joint seismic-petrologic anal-546 ysis, Plank and Forsyth (2016) suggested the ponding of melt at the base of the litho-547 sphere in the southern Basin and Range, which agrees with our model. A detailed mod-548 eling of S-reflection and receiver-function waveforms in the southern Basin and Range 549 Province and the Columbia Plateau that accounts for effects of anisotropic mediums is 550 clearly needed to further evaluate our hypothesis and constrain the nature of the LAB 551 in these two areas, which is beyond the scope of this paper. 552

A further question is why some areas show a clear LAB on both our S-reflection and receiver-function images (e.g., the northern Basin and Range Province), whereas other areas only show a clear LAB on receiver-function images (e.g., the southern Basin and Range Province and the Columbia Plateau). If we assume that sub-horizontal melt-rich shear bands are responsible for the areas with low S-reflection LAB amplitudes, a dif-

ferent mechanism is needed for areas with both strong S-reflection LAB and receiver-558 function LAB. One possibility is that the melt at the base of the lithosphere in these ar-559 eas is uniformly distributed rather than segregated into shear bands, which would cause 560 an isotropic velocity drop. A potential problem of this model is that if melt is present 561 under the condition of strong horizontal shear, a realistic condition at the base of the 562 plates, it will tend to segregate into shear bands, rendering a uniform melt distribution 563 unlikely (B. Holtzman et al., 2003; Katz et al., 2006). Another possibility is that mech-564 anisms other than melt are responsible for the LAB in many areas in the WUS. One such 565 mechanism is elastically accommodated grain-boundary sliding, which could generate 566 sharp velocity drops at the LAB given high but sub-solidus temperature and high wa-567 ter content (Karato, 2012; Karato et al., 2015). This model could explain the presence 568 of strong S-reflection LAB and receiver-function LAB in areas with no recent magmatic 569 activities (e.g., the northern Rocky Mountains; Fig. 12a). In summary, our results sug-570 gest that different mechanisms are likely responsible for the LAB in different areas of the 571 WUS. 572

⁵⁷³ Due to limitations of our waveform-modeling capacity at this stage, our interpretations of the LAB in the WUS are largely qualitative. Another constraint of our current analysis is the large bin size $(2^{\circ} \times 2^{\circ})$ of our CRP images, which is necessary for addressing our uneven data coverage but makes it difficult for our images to resolve rapid lateral variations of lithospheric structure. Future studies that apply anisotropic waveformmodeling to S-reflection and receiver-function data collected in areas with good data coverage will likely provide better constraints on the nature of the local LAB.

580

4.3 Existence of MLDs in the Central and Eastern US

The existence of MLDs in the Central US is much debated, with different SRF stud-581 ies presenting distinct results. Using similar techniques, Hopper and Fischer (2018) and 582 L. Liu and Gao (2018) agreed that an MLD is present in the depth range of 70–100 km 583 in the Central US. In contrast, Kind et al. (2020) argued that the MLD in the Central 584 US shown in Hopper and Fischer (2018) and L. Liu and Gao (2018) is largely an arti-585 fact caused by the Moho sidelobe. The shallow MLD in our results is approximately in 586 the same depth range as the MLD from the SRF studies (Hopper & Fischer, 2018; L. Liu 587 & Gao, 2018) and thus might represent the same interface. However, our shallow MLD 588 shows strong amplitude variation and may only exist in spatially isolated areas, unlike 589 the nearly ubiquitous MLD shown by previous SRF studies (Hopper & Fischer, 2018; L. Liu & Gao, 2018). If we assume that the MLDs in the CEUS represent primarily isotropic 591 velocity drops, i.e., they have similar manifestations on S-reflection and receiver-function 592 observations, our results suggest that MLDs are only present in limited areas in the CEUS. 593 a model between the two end-member models proposed by Hopper and Fischer (2018) (ubiquitous MLD) and Kind et al. (2020) (no MLD). The discrepancy between our MLD 595 model and the two end-member models could be explained by limitations of the two stud-596 ies: The results of Hopper and Fischer (2018) might have suffered the sidelobe problem 597 as suggested by Kind et al. (2020), whereas Kind et al. (2020) may have failed to resolve 598 local negative interfaces due to the heavy lateral smoothing that they used or the inher-599 ent low depth and lateral resolution of SRFs. Our S-reflection observations of the shal-600 low MLD is supported by our PRF images, which also only show clear negative inter-601 faces between the Moho and 100 km depth in limited areas, mostly regions where our 602 S-reflection images also show strong shallow MLDs (Figs. 11 and 12). In addition, Pn603 analyses also showed primarily positive uppermost-mantle vertical velocity gradients in 604 the CEUS, except for a few regions with negative gradients, which include the northern 605 Midcontinent Rift and the Reelfoot Rift (Buehler & Shearer, 2017), where our S-reflection 606 images also show the strongest shallow MLD (Fig. 10a). In summary, our results and 607 previous seismic studies suggest that MLDs above 100 km depth are likely local as op-608 posed to ubiquitous features in the CEUS. 609

In our S-reflection images, grid cells with strong shallow MLDs and deep MLDs are 610 mostly located in two regions: the Midcontinent Rift and the area consisting of the Reelfoot 611 Rift and the southern Appalachian Mountains (Figs. 10c, d). Our PRF images also gen-612 erally show negative convertors in these two areas, especially beneath the Appalachian 613 Mountains (Fig. 11). Because the Midcontinent Rift, the Reelfoot Rift, and the south-614 ern Appalachian Mountains have all undergone major tectonic events in the past (failed 615 rifting in the two rifts and continental collision in the southern Appalachian Mountains) 616 we speculate that the MLDs in the CEUS may be related to compositional changes caused 617 by past lithosphere modifications. Karato et al. (2015) proposed elastically accommo-618 dated grain-boundary sliding as a mechanism for MLDs beneath stable continents. How-619 ever, their model predicts a ubiquitous MLD beneath continents, which is inconsistent 620 with our observations. Since the CEUS is generally less well sampled by our dataset com-621 pared with the WUS (Fig. 5g), future studies incorporating data from local temporary 622 arrays are needed to uncover more details of the MLDs in the CEUS, especially in the 623 areas with strong evidence of their presence, e.g., the Midcontinent Rift and the south-624 ern Appalachian Mountains. 625

626

4.4 How can we best resolve lithospheric structure?

Here, we introduce a new method for imaging lithospheric structure that analyzes 627 topside multiples from teleseismic SH waves generated by deep earthquakes, and apply 628 it to data from TA and other networks in the contiguous United States. The use of deep earthquakes removes the ambiguity between source- and receiver-side lithospheric reflec-630 tions that complicated the earlier TA study of Shearer and Buehler (2019). Our new ap-631 proach indeed produces images significantly different from Shearer and Buehler (2019). 632 For example, our LAB in the WUS has a very different depth distribution from the NPR 633 shown in Figure 10 of Shearer and Buehler (2019), which was picked in a similar depth 634 range as our LAB. We believe that our results about lithospheric discontinuities are su-635 perior to those from Shearer and Buehler (2019) because our new approach significantly 636 reduces artifacts due to the source-receiver ambiguity in Shearer and Buehler (2019). Our 637 method has similarities to standard reflection seismology techniques, including common-638 reflection-point stacking. However, the distribution of deep earthquakes is much sparser, 639 particularly in azimuth, than the source distribution of typical controlled-source reflec-640 tion experiments, which reduces the robustness of our results. Thus, although we use the 641 term "image" throughout this paper to refer to reflectors defined by peaks in the wave-642 form stacks, some caution is warranted because some of these features might be artifacts 643 caused by scattering from 3D structures more complex than the simple horizontal lay-644 ering that common-reflection-point stacking implicitly assumes. This is also a concern 645 for receiver-function methods, which also generally suffer a non-uniform source distri-646 bution. Note that the uncertainty introduced by possible scattered arrivals is distinct 647 from the question of the statistical significance of the peaks in the waveform stacks, which 648 can be assessed using bootstrap resampling or other methods. These formal statistical 649 uncertainties generally become quite low when the stacking fold is large, but this does 650 not address the issue as to whether the seismic waves generating a peak are coming from 651 the assumed common-reflection-point (or common-conversion-point) region or somewhere 652 else. 653

Ideally, these imaging uncertainties could be reduced through more advanced re-654 flection seismology methods, such as migration, but these methods perform best with 655 uniform source and receiver distributions, which are difficult to achieve with natural seis-656 micity and most existing seismic networks. Given these limitations, how can we best as-657 sess the reliability of our results for lithospheric structure? A reasonable approach is to 658 focus on those features that are seen in more than one type of analysis, i.e., our topside 659 reflection approach compared to P- and S-receiver functions. In this study, the best agree-660 ment between all three methods is seen in the largest scale features. For example, con-661 sidering average continent-scale structures, the depth range between about 60 and 100 662

depth is characterized by velocity drops with depth that are strong enough to be imaged 663 with all three methods (e.g., Fig. 6). This is seen for both the western and eastern United 664 States and is a very consistent and robust result. However, as discussed above, at finer 665 scales we find much better agreement between our results and PRF images than with 666 SRF images. This discrepancy is somewhat surprising because SRFs are generally con-667 sidered superior to PRFs for resolving lithospheric interfaces, as they are free of contam-668 ination from crustal multiples. However, as discussed earlier, a depth range exists be-669 low the Moho Ps and the earliest Moho reverberation Moho PpPs (Moho to ~ 100 km 670 depth for the contiguous US), in which PRFs provide relatively clean images. It is also 671 in this depth range that we observe the best agreement between our S-reflection and PRF 672 profiles. We do not entirely understand why our results do not agree better with exist-673 ing SRF results, but it is possible that the more limited depth resolution of SRFs com-674 pared to topside S reflections and PRFs (given the pulse frequencies and ray geometries 675 involved) cause SRFs to be sensitive to different vertical scales. 676

Ultimately, there is a need for joint inversions that include both topside reflections 677 and converted phases to exploit all the information in the upcoming teleseismic wave-678 field (e.g., Bostock et al. (2001), Kumar and Bostock (2006), Monteiller et al. (2015)). 679 Not only could this provide more robust results for imaging interfaces, but also holds the 680 potential to discriminate between different models for the changes in material proper-681 ties at the interfaces, such as velocity drops caused by partial melt or changes in anisotropy strength or orientation. By combining data from multiple phases within both the P-SV 683 and SH systems, it should be possible to obtain a more complete understanding of litho-684 spheric structure than is possible from analyzing a single scattered or converted phase. 685 Joint inversions of receiver functions with surface waves (e.g., Bodin et al. (2012), Julia et al. (2000), Shen et al. (2013)) have also proven useful by combining the power of sur-687 face waves to resolve large-scale absolute seismic velocities, albeit with limited depth res-688 olution, and the sensitivity of body-wave converted and reflected phases to sharp veloc-689 ity changes. 690

⁶⁹¹ 5 Conclusions

We construct high-resolution images of lithospheric discontinuities beneath the con-692 tiguous United States using teleseismic SH reflections from deep earthquakes recorded 693 by the Transportable Array and other regional seismic networks. In the western US, our 694 results resolve the lithosphere-asthenosphere boundary at a depth between 60 and 110 km depth, with characteristics that correlate well with active tectonic features in the area. 696 In the Central and Eastern US, we observe two mid-lithospheric discontinuities in the 697 depth ranges of 60–100 km and 100–150 km, respectively, which appear associated with 698 past tectonic events. Our results show agreement with the results of P receiver functions 699 in many regions, which implies the possibility of jointly constraining the properties of 700 lithospheric discontinuities with both S-reflection and P-receiver-function observations. 701

702 Acknowledgments

This study is funded by NSF Grants EAR-1358510 and EAR-1829601. T.L. is supported 703 by a Green Postdoctoral Scholarship. The data used in this study is freely available through 704 the Incorporated Research Institutions for Seismology Data Management Center (IRIS 705 DMC)https://ds.iris.edu/ds/nodes/dmc/. IRIS DMC is funded by the the NSF under 706 Cooperative Support Agreement EAR-1851048. Data from the following seismic networks 707 is used in this study: AE-Arizona Broadband SeismicNetwork; AG-Arkansas Seismic Net-708 work; BK-Berkeley Digital Seismograph Network; CC-Cascade Chain Volcano Monitor-709 ing; CI-Caltech Regional Seismic Network; CN-Canadian National Seismograph Network; 710 CO-South Carolina Seismic Network; IE-INL Seismic Monitoring Program; IU-Global 711 Seismograph Network; IW-Intermountain West Seismic Network; LD-Lamont-Doherty 712 Lamont-Doherty Cooperative Seismographic Network; N4-Central and Eastern US Net-713

⁷¹⁴ work; NC-USGS Northern California Regional Network; NE-New England Seismic Net-

work; NM-Cooperative New Madrid Seismic Network; NN-Nevada Seismic Network; OH-

⁷¹⁶ Ohio Seismic Network; OK-Oklahoma Seismic Network; PE-Pennsylvania State Seismic

⁷¹⁷ Network; TA-Transportable Array; TX-Texas Seismological Network; US-United States

⁷¹⁸ National Seismic Network; UU-University of Utah Regional Seismic Network; UW-Pacific

⁷¹⁹ Northwest Seismic Network; WU-Southern Ontario Seismic Network; WY-Yellowstone

⁷²⁰ National Park Seismograph Network. We thank Karen Fischer and Shun Ichiro-Karato

for stimulating discussion. We thank Rainer Kind and an anonymous reviewer for their

critical comments, which greatly improved our manuscript.

723 **References**

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Journal of Geophysical Research - Solid Earth

Supporting Information for

Complicated lithospheric structure beneath the contiguous US revealed by teleseismic S reflections

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Contents of this file

Figures S1 to S5

Introduction

This supplementary information contains Supplementary Figures 1–5 and their captions.



Figure S1. Stacked reference pulses of 100 randomly selected bins of our CRP image. All the stacks include more than 100 traces.



Figure S2. Comparison between our S-reflection CRP images with the SRF images from Hopper & Fischer (2018) along (a) Profile A1, (b) Profile A2, (c) Profile A3, and (d) Profile A4. See Fig. 5 for the location of the profiles and Fig. 5 for the acronyms of key tectonic features.



Figure S3. Comparison between our S-reflection CRP images with the SRF images from Hopper & Fischer (2018) along (a) Profile B1, (b) Profile B2. See Fig. 5 for the location of the profiles and Fig. 5 for the acronyms of key tectonic features.



Figure S4. LAB depth and amplitude maps derived from (a) and (c) our S-reflection image and (b) and (d) the SRF image of Hopper & Fischer (2018).



Figure S5. Depth and amplitude maps of (a) and (c) the near-Moho MLD derived from our S-reflection image and (b) and (d) the maximum negative velocity gradient derived from the SRF image of Hopper & Fischer (2018) in the CEUS.