Stress conditions and seismic activity around the rupture zone of the 2016 Kumamoto earthquake in Kyushu, southwest Japan

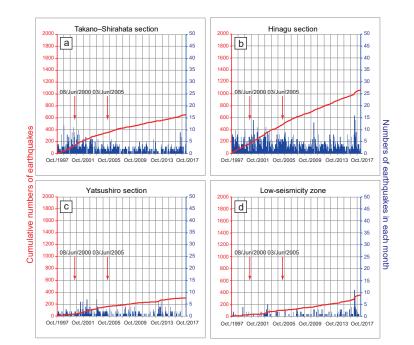
Michiharu Ikeda¹, Kozo Onishi², and Naoki Nishizaka³

¹Shikoku Research Institute Incorporated ²Shikoku Electric Power Co. Inc. ³Shikoku Electric Power Company Incorporated

November 24, 2022

Abstract

The main events of the 2016 Kumamoto earthquake in Kyushu were a foreshock (Mw 6.2) on 14 April and the main shock (Mw 7.0) on 16 April 2016, both of which were caused by fault ruptures near the intersection of the Futagawa and Hinagu fault zones. However, not all sections of the two fault zones were ruptured during the earthquake. In particular, the northernmost (Takano-Shirahata) section of the Hinagu fault zone ruptured, but the rupture did not propagate to southern sections of the fault zone. We examined fault geometry and paleoseismological data of the fault zones, and furthermore used numerical analyses to investigate rupture conditions around the source faults of the earthquake, which together allowed us to consider the potential for future earthquakes in the region. Fault geometry, slip tendencies, and fault rupture history indicated that the rupture potential on the source faults was high before the earthquake. Seismicity and rupture propagation during the earthquake sequence were probably controlled by geological heterogeneities of the fault zones. Coulomb stress change analysis indicated that stress transfer from the source faults to the Hinagu section during the earthquakes was smooth, and that most of that section received a positive stress change. This stress change and the high slip tendencies we calculated for the Hinagu section indicate that it has high potential for a future rupture. These results provide crucial information for preparation for disaster mitigation of future earthquakes around the rupture zone of the 2016 Kumamoto earthquake.



1	Stress conditions and seismic activity around the rupture zone of the
2	2016 Kumamoto earthquake in Kyushu, southwest Japan
3	
4	
5	Michiharu Ikeda ^{1*} , Kozo Onishi ² , and Naoki Nishizaka ²
6	
7	¹ Department of Civil Engineering, Shikoku Research Institute Inc., 2109-8
8	Yashimanishimachi, Takamatsu 761-0192, Japan
9	
10	² Department of Civil and Architectural Engineering, Shikoku Electric Power Company
11	Inc., 2-5 Marunouchi, Takamatsu 760-8573, Japan
12	
13	* Corresponding author. Tel: +81-50-8802-4788
14	E-mail: m-ikeda@ssken.co.jp
15	
16	

17 Key points

- 18 Rupture conditions before the 2016 Kumamoto earthquake indicated that rupture of
- 19 the Takano–Shirahata section was likely.
- 20 Southward rupture propagation of the 2016 Kumamoto earthquake was curtailed by
- 21 the geological heterogeneity in the Hinagu section.
- 22 The potential for delayed earthquakes following the 2016 Kumamoto earthquake in
- the Hinagu fault zone is high.

25

Abstract

The main events of the 2016 Kumamoto earthquake in Kyushu were a foreshock (M_{w} 266.2) on 14 April and the main shock (M_w 7.0) on 16 April 2016, both of which were 27caused by fault ruptures near the intersection of the Futagawa and Hinagu fault zones. 28However, not all sections of the two fault zones were ruptured during the earthquake. 2930 In particular, the northernmost (Takano–Shirahata) section of the Hinagu fault zone 31ruptured, but the rupture did not propagate to southern sections of the fault zone. We examined fault geometry and paleoseismological data of the fault zones, and 32furthermore used numerical analyses to investigate rupture conditions around the 33 source faults of the earthquake, which together allowed us to consider the potential 34for future earthquakes in the region. Fault geometry, slip tendencies, and fault 35rupture history indicated that the rupture potential on the source faults was high 36 37before the earthquake. Seismicity before the earthquake and rupture propagation during the earthquake sequence were probably controlled by geological 3839 heterogeneities of the fault zones. Coulomb stress change analysis indicated that 40 stress transfer from the source faults to the Hinagu section during the earthquakes 41was smooth, and that most of that section received a positive stress change. This stress change and the high slip tendencies we calculated for the Hinagu section 42indicate that it has high potential for a future rupture. These results provide crucial 43information for preparation for disaster mitigation of future earthquakes around the 44 rupture zone of the 2016 Kumamoto earthquake. 45

46

Key words: 2016 Kumamoto earthquake, Futagawa fault zone, Hinagu fault zone, slip
tendency, low-seismicity zone, Coulomb stress change

50 **1. Introduction**

The 2016 Kumamoto earthquake included a foreshock (M_w 6.2) on 14 April 2016, 51which was followed by the main shock $(M_w 7.0)$ on 16 April, with an epicenter close to 52that of the foreshock (Figure 1). Both the foreshock and aseismic slip probably loaded 5354 stress onto the faults that ruptured during the main shock (Kato et al., 2016). The results of detailed geological, geodetic, and seismological studies conducted since the 552016 Kumamoto earthquake indicate that the fault ruptures occurred in the Futagawa 56fault zone (including a previously unknown 5 km extension to the northeast) and the 57northern part of the Hinagu fault zone (Asano & Iwata, 2016; Fukahata & Hashimoto, 58592016; Geospatial Information Authority of Japan, 2016; Kobayashi, 2017; Shirahama et al., 2016; Sugito et al., 2016; Toda et al., 2016). The Futagawa and Hinagu fault 60 zones have been recognized as active fault zones on the basis of paleoseismological 61 62 data (Headquarters for Earthquake Research Promotion (HERP), 2013). HERP (2013) has indicated that the probability of future seismic activity in both of these fault zones 63 64 is high.

65 Fault ruptures are often initiated or arrested in areas around discontinuities in the 66 trends of the faults or fault zones, such as fault bends or intersections (Biasi & Wesnousky, 2016; King, 1986; King & Nabelek, 1985; Wesnousky, 2006). Consistent 67 with these study findings, the epicenters of the foreshock and main shock of the 2016 68 69 Kumamoto earthquake are both near the intersection of the Futagawa and Hinagu fault zones (Figure 1). However, not all sections of the two fault zones were ruptured during 70 the earthquake. In particular, the northernmost section (Takano-Shirahata section) of 7172the Hinagu fault zone ruptured, but southwestward propagation of the rupture within the Hinagu fault zone was arrested, despite the lack of a fault discontinuity such as a 73

 $\mathbf{5}$

⁷⁴ large bend or step structure (e.g., Uchide et al., 2016).

Three intriguing questions about the 2016 Kumamoto earthquake remain to be 75answered: Why did the Futagawa and Hinagu fault zones rupture? Why was the rupture 76 77of the Hinagu fault zone arrested at the southern end of the Takano–Shirahata section? 78 What is the seismic potential of the Hinagu section since the 2016 Kumamoto 79 earthquake? To answer these questions, we first used the slip tendency analysis method 80 of Morris et al. (1996) to investigate the seismic potential of the Futagawa and Hinagu 81 fault zones under the regional stress field that preceded the 2016 Kumamoto earthquake. 82 We then analyzed background seismicity before the 2016 Kumamoto earthquake 83 sequence to understand seismic conditions around the fault zones at that time. Finally, we calculated the stress perturbation caused by the 2016 Kumamoto earthquake to 84 evaluate static stress transfer. The results of these analyses, together with local 85 geological structures and paleoseismological data, allowed us to infer rupture conditions 86 87 around the source faults of the 2016 Kumamoto earthquake and the possibility of future 88 earthquakes in the area.

89

90 2. Slip Tendency Analysis to Evaluate Seismic Potential along the 91 Futagawa and Hinagu Fault Zones

92 2.1. Slip Tendency Analysis Method

Slip potential of a fault depends on regional stress conditions, fault geometry, and the coefficient of friction μ in the fault plane. Morris et al. (1996) proposed that slip tendency analysis can be a valuable tool for evaluating the inherent slip potential of a fault. They defined the slip tendency (*Ts*) on a fault surface as the ratio of the shear stress (τ) to the normal stress (σ_n) on that fault. 98 $Ts = \tau/\sigma_n$

Thus, Ts is equal to the coefficient of sliding friction. The likelihood of a slip is high 99 when the slip tendency is high, and it is low when the slip tendency is low. The slip 100tendency is computed by assuming that the envelope of Coulomb frictional sliding, 101 102 which is dependent on the friction coefficient on the fault plane, is tangential to the 103 Mohr circle (Lisle & Srivastava, 2004). Therefore, $Ts' = Ts/max(Ts) = Ts/\mu'$; here, μ' is 104 the apparent friction coefficient (explained below), and Ts' ranges from 1 for a fault with a near-ideal orientation for slip, to 0 when the fault plane is perpendicular to the 105maximum principal stress direction. In this study, we analyzed the slip tendency, 106 represented by Ts', using the slip tendency analysis software developed by Neves et al. 107 108 (2009).

(1)

109 To calculate the slip tendency, the following are required: (1) a fault plane model, 110 (2) the directions of the principal stress axes, and (3) the stress ratio $(R = (\sigma_2 - \sigma_1) / (\sigma_3))$ 111 $(-\sigma_1)$). In this study, we set μ' to 0.4 because friction coefficients of 0.15–0.55 (i.e., 112much lower than the value of 0.6 reported by Byerlee (1978) for intact rocks) have 113been reported for a clay-rich fault gouge along the San Andreas Fault (Bos & Spiers, 114 2002; Morrow et al., 1992; Niemeijer & Spiers, 2005). Therefore, μ' is the apparent friction coefficient taking into consideration the effects of fault damage and pore 115pressure. We set the Poisson ratio to 0.25, because an average ratio of Vp/Vs of rocks 116 in crust is estimated to be 1.73. 117

To calculate the slip tendency, we created a fault plane model (Table S1) based on HERP (2013) and Asano & Iwata (2016). HERP (2013) divided the Futagawa and Hinagu fault zones into three sections each as follows. The Futagawa fault zone comprises the Futagawa, Uto, and Northern Coast of Uto Peninsula (NCUP) sections,

122and the Hinagu fault zone comprises the Takano–Shirahata, Hinagu, and Yatsushiro 123sections (HERP, 2013) (Figure 1). The fault models for the Takano-Shirahata and Futagawa sections that we used in our analysis are derived from the waveform 124inversion analysis results reported by Asano and Iwata (2016) (Table S1). The 125locations of the other fault sections are as in HERP (2013). However, HERP (2013) do 126 127not provide detailed information about dip angles of the fault planes in the Futagawa 128and Hinagu fault zones. The dip angle of the Hinagu section is estimated to be 70° 129from the aftershock distribution of the 2016 Kumamoto earthquake, following Yano 130 and Matsubara (2017). Additionally, the dip angle of the Yatsushiro section is inferred to be roughly vertical, based on the hypocenter distribution of background seismicity 131(Figure S1). The dip angles of the Uto and NCUP sections of the Futagawa fault zone 132133have not been estimated, because there is less seismicity along these fault sections. 134Therefore, we calculated slip tendencies of these fault sections for three dip angle cases 135 $(40^{\circ}, 70^{\circ}, \text{ and } 90^{\circ}).$

136 Matsumoto et al. (2015) estimated the two-dimensional stress field on Kyushu 137 Island by using the stress-tensor inversion method and reported a mixed stress regime 138of both strike-slip and normal faulting for the source faults of the 2016 Kumamoto earthquake. However, it is well accepted that strike-slip faulting was dominant during 139the earthquake (Asano & Iwata, 2016; Fukahata & Hashimoto, 2016; Geospatial 140 Information Authority of Japan, 2016; Kobayashi, 2017; Shirahama et al., 2016; Sugito 141 142et al., 2016; Toda et al., 2016). We therefore assumed that a strike-slip faulting stress 143regime was dominant around the source faults of the 2016 Kumamoto earthquake. We 144 could not uniquely determine the direction of the maximum principal stress (σ_1) in the 145horizontal plane around the Futagawa and Hinagu fault zones. Therefore, in the slip

tendency calculations, we considered three possible orientations of σ_1 , N55°E, N70°E, and N85°E, around the Futagawa and Hinagu fault zones based on the principal directions of the stress tensor reported by Matsumoto et al. (2015).

149In their examination of the local tectonic stress field, Matsumoto et al. (2015) used the stress ratio $\phi = (\sigma_2 - \sigma_3) / (\sigma_1 - \sigma_3)$, which corresponds to (1 - R). They then 150determined the spatial distribution of stress ratios on Kyushu Island. For the strike-slip 151faulting stress regime around the Futagawa and Hinagu fault zones (see figure 3a of 152Matsumoto et al., 2015), they estimated $\phi' = (\sigma_H - \sigma_h) / (\sigma_v - \sigma_h)$ to be 1.0–2.0, where 153 $\sigma_{\rm H}$ and $\sigma_{\rm h}$ are the maximum and minimum principal stresses in the horizontal plane, 154respectively, and σ_v is the vertical principal stress. Therefore, $\phi' = (\sigma_1 - \sigma_3) / (\sigma_2 - \sigma_3) =$ 155 $1/\phi = 1 / (1 - R) = 1.0 - 2.0$ in the case of a strike-slip faulting stress regime. In our 156calculations, we assumed R to be 0.3 and 0.5 for ϕ' of 1.5 and 2.0, respectively, 157because we could not uniquely determine the stress ratio around the study area. 158

Vertical stress (σ_2) is generally calculated as the product of ρ (density), *g* (gravitational acceleration), and *h* (depth). We assumed $\rho = 2.7 \times 10^3$ kg/m³, *g* = 9.8 m/s², and *h* = 10,000 m from the depth of the hypocenters, and calculated σ_2 (= σ_v) to be 264 MPa.

163 The mixed stress regime of strike-slip and normal faulting, determined by 164 Matsumoto et al. (2015) from focal mechanism data, implies that σ_{Hmax} values are very 165 close to σ_{V} ($\sigma_1 \approx \sigma_2$). However, σ_{V} is not greater than σ_{Hmax} around the source area of 166 the 2016 Kumamoto earthquake because the fault rupture mechanism was dominantly 167 strike-slip. Therefore, we assumed $\sigma_2/\sigma_1 = 0.9$ and R = 0.3, and then calculated σ_1 (= 168 σ_{H}) and σ_3 (= σ_{h}) to be 293 and 207 MPa, respectively (Table 1). Furthermore, to 169 determine the uncertainty of the σ_1/σ_2 , ratio, we calculated σ_1 (= σ_{H}) and σ_3 (= σ_{h}) by assuming $\sigma_2/\sigma_1 = 0.8$ and 0.7. The resultant values of $\sigma_1 (= \sigma_H)$ and $\sigma_3 (= \sigma_h)$ based on $\sigma_2/\sigma_1 = 0.8$ were 330 and 110 MPa, respectively (Table 1). The results of this calculation for $\sigma_2/\sigma_1 = 0.7$ were not reasonable because the calculated σ_3 values were too low compared with those calculated with $\sigma_1/\sigma_2 = 0.9$ (Table 1). To determine the uncertainty of the stress ratio (*R*), we calculated the principal stresses in the case of *R* = 0.5 according to the same process (Table 1).

176

177 **2.2. Results of the Slip Tendency Calculations**

The Futagawa–Hinagu fault zone, which is closely related to the Median Tectonic Line, the longest and most active fault zone in Japan (Miyazaki et al., 2016), is one of the main contributors to seismic hazards on Kyushu Island (Figure 1).

181 In our slip tendency analysis to evaluate the seismic potential of the Futagawa-Hinagu fault zone before the 2016 Kumamoto earthquake, we examined three possible 182183orientations of the maximum principal stress (σ_1) in the horizontal plane (N55°E, 184 N70°E, and N85°E) and two R values (0.3 and 0.5) for calculating the principal 185stresses (σ_1 , σ_2 , σ_3 ; see section 2.1), because the slip tendency depends only on the 186direction of the principal stresses, R, and the fault model used. Here we present only the analysis results for the case of $\sigma_2/\sigma_1 = 0.9$, because variations of σ_2/σ_1 do not affect 187 the slip tendency. 188

In this analysis, slip tendencies of the Futagawa and Hinagu fault zone sections ranged from 0.30 to 0.99, and they appeared to depend on the fault model (dip angle) and on the directions of the principal stresses (Figure 2 and Table 2). On the whole, the slip tendencies in the Hinagu fault zone seemed to be higher than those in the Futagawa fault zone.

In the Futagawa fault zone, the slip tendencies ranged widely from 0.30 to 0.92. For 194 195most calculated cases (except that with a principal stress direction of N55°E and R =0.5), the slip tendencies of the Futagawa section, which ruptured during the 2016 196 Kumamoto earthquake, were about 0.7 or greater. In contrast, the slip tendencies of the 197 198 Uto and NCUP sections were less than 0.7 for most calculation cases, except for some 199 of the cases with a principal stress direction of N85°E. Moreover, the slip tendencies of 200the Uto and NCUP sections were lower at higher dip angles, except for some of the cases with a principal stress direction of N85°E (Figure 2). 201

In the Hinagu fault zone, the slip tendencies of the Takano–Shirahata section, which ruptured during the 2016 Kumamoto earthquake, were roughly 0.9 for most calculation cases, except those with a principal stress direction of N85°E. The slip tendencies of the Hinagu section were greater than 0.8 for almost all of calculation cases. The slip tendencies of the Yatsushiro section were 0.9 or greater for most calculation cases, except for the two cases with the principal stress direction of N55°E.

208

3. Analysis of Seismicity before and after the 2016 Kumamoto Earthquake

211 **3.1. Data**

We prepared a data set extracted from the earthquake catalog maintained by the Japan Meteorological Agency (JMA) to analyze seismicity around the Kumamoto area before and after the 2016 Kumamoto earthquake. In this analysis, we used earthquakes that occurred from 1 October 1997 to 30 April 2016 around the study area (130.0°E– 131.1°E, 32.1°N–33.0°N).

217 The completeness magnitude (*Mc*) around the study area for the JMA earthquake

218catalog from 1 October 1997 to 14 April 2016 (preceding the foreshock) was estimated 219to be 0.8 by the calculation method of Cao and Gao (2002) (Figure S2). Therefore, we extracted seismic events occurring during that period with $M_i \ge 1.0$ from the JMA 220earthquake catalog for the analysis of seismicity before the earthquake. For the 221222analysis of seismicity after the earthquake, we extracted seismic events that occurred 223from 16 April 2016 (just after the foreshock) to 30 April 2017 with $M_i \ge 3.0$ from the 224JMA earthquake catalog, because of a hypocenter determination problem for seismic 225events with $M_i \leq 3.0$ that occurred just after the Kumamoto earthquake sequence 226(Zhuang et al., 2017).

227Additionally, to ensure the reliability of the data from the JMA earthquake catalog, 228we examined hypocenter location errors. To check the data reliability of the data set 229that we used in the seismicity analysis, we picked out data for seismic events in 1998 230and 2015 from the JMA catalog. In both of these data sets, the hypocenter location 231errors of 90% or more of the seismic events were within a 2 km depth range (Figure 232S3). Furthermore, the hypocenter location errors of 95% or more of the seismic events 233were within 1 km of latitude and longitude (Figure S3). These results show that the 234data set that we used in the seismicity analysis should be reliable enough for detection 235of remarkable seismicity trends within an area of several kilometers width.

- 236
- 237 **3.2. Seismicity Analysis Method**

The Futagawa and Hinagu fault zones have been a source of long-term high seismicity in the study area (e.g., Matsumoto et al., 2015). Thus, analysis of this activity might lead to a better understanding of the seismic environment within the study area.

242To investigate the spatial distribution of seismicity around the hypocentral area of 243the 2016 Kumamoto earthquake, we used the same fault models as those used to calculate the slip tendencies. We considered seismic events that occurred at focal 244245depths of up to 18 km within 5 km of the fault planes of the Futagawa and Hinagu fault zones (Figure 3) to be seismicity associated with those faults. The hypocenter 246247distribution of events with $M_i \ge 1.0$ for the period before the 2016 foreshock (1) October 1997 to 14 April 2016, preceding the foreshock) and with $M_i \ge 3.0$ for the 248period after the 2016 foreshock (14 April 2016 to 30 April 2017, just after the 249foreshock) are shown in Figure 4a and 4b, respectively. Note that the hypocenter 250distributions related to the Uto and NCUP sections of the Futagawa fault zone are not 251252shown in this figure, because the fault models (dip angles) of these sections cannot be estimated (see section 2.1). In this analysis, we focused on the relationship between the 253254seismicity characteristics and the arrest of the 2016 Kumamoto earthquake rupture.

Because earthquake clusters generally complicate the estimation of seismic properties related to tectonic stress fields, we used the declustering routine (Reasenberg, 1985) in the ZMAP software package (Wiemer, 2001) to remove noise clusters from the data set. However, we were unable to determine the optimum values for two important parameters used for ZMAP declustering: taumax, the look-ahead time (days), and rfact, a factor applied to the fracture zone to determine the interaction zone (km) (rfact corresponds to Q in Reasenberg, 1985).

We tested nine combinations of the taumax and rfact declustering parameters and then extracted from the results minimum (Figure 5a), intermediate (Figure 5b), and maximum (Figure 5c) cases (cases 1, 2, and 3, respectively, in Table 3).

266 **3.3. Seismic Activity Analysis Results**

Many seismic events occurred within the Futagawa (Futagawa section) and Hinagu fault zones during the analysis periods (Figure 4). The spatial distribution of seismicity before the 2016 Kumamoto earthquake was heterogeneous along both fault zones. Seismic activity was clearly lower in the Yatsushiro section of the Hinagu fault zone than in the other sections of either fault zone (Figure 4a). After the 2016 Kumamoto earthquake, seismicity remained low in the Yatsushiro section, although seismicity in the other sections increased (Figure 4b).

The most remarkable characteristic of seismicity in the area around the fault zones before the 2016 Kumamoto earthquake is its heterogeneity in the Hinagu section: compared with seismicity in the central and southern parts, in the northern part of the section, seismicity was lower in a zone extending N–S for 7 km (Figure 4a). However, many aftershocks occurred in this zone (Figure 4b).

279Because this low-seismicity zone was evident in all three declustering cases (Figure 2805), we used the intermediate declustering result (Figure 5b) for our spatiotemporal 281analyses of seismicity along the Hinagu fault zone. Seismicity in the low-seismicity 282zone was much lower than the seismicity in the other sections (Figures 6 and 7). A moderate magnitude earthquake (M_w 4.9) that occurred on 8 June 2000 in the Takano-283284Shirahata section of the Hinagu fault zone (Figure 4a) did not influence seismicity in the low-seismicity zone (Figure S4), even though it was located within ~5 km of the 285zone (Figure 4a). Moreover, another moderate earthquake (M_w 4.6) on 3 June 2005, 286also within ~5 km of the low-seismicity zone of the Hinagu section (Figure 4a), also 287 288did not affect the seismicity of the low-seismicity zone (Figure S4). The lack of any effect of these two earthquakes on seismicity in the low-seismicity zone suggests that 289

290 the zone is not sensitive to sudden seismicity changes in neighboring regions.

291

4. Evaluation of Static Stress Transfer

293 **4.1. Coulomb Failure Function Analysis**

294In general, proximity of two earthquakes in time and space suggests that the second 295earthquake was triggered by stress changes caused by the first. Triggering of seismicity 296by large earthquakes is generally evaluated by the Coulomb failure criterion (e.g., King 297 et al., 1994). We used Coulomb v. 3.3 stress-change software (Lin & Stein, 2004; Toda 298et al., 2005) to calculate Coulomb stresses imparted by the rupture of the Futagawa and 299Hinagu fault zones in an elastic half-space with a shear modulus of 83.3 GPa. For this 300 calculation, we used the same source fault models that we used in the slip tendency 301 analysis (Table S1). Coseismic displacement was calculated based on the average 302 displacement (1.87 m) and the rake angle (142°) determined by Asano and Iwata 303 (2016).

304 The static Coulomb stress change (Δ CFS) caused by a main shock is calculated as

 $\Delta \text{CFS} = \Delta \tau + \mu' \Delta \sigma_{\text{n}}$

(2)

where $\Delta \tau$ is the shear-stress change on a given fault plane (positive in the direction of fault slip), $\Delta \sigma_n$ is the fault-normal stress change (positive for unclamping), and μ' is the apparent coefficient of friction. In this study, we set μ' to 0.4 and the Poisson ratio to 0.25, the same values we used in the slip tendency analysis. To evaluate the depth dependence of the stress perturbation, we calculated the stress perturbation at 5, 10, and 15 km depth.

To estimate the orientations of the slip planes most likely to rupture after stress perturbation due to a seismic event, the following parameters are required: (1) directions of the principal stress axes, (2) stress ratio *R* and principal stresses, (3) fault plane geometry, and (4) apparent friction coefficient (μ'). For these parameters we used the same values as those we used in the slip tendency analysis.

317

4.2. Stress Perturbation on Kyushu Island after the 2016 Kumamoto Earthquake

The main shock of the 2016 Kumamoto earthquake perturbed stress conditions around the source faults. This fact suggests that stress perturbations can provide useful information to evaluate the earthquakes that occurred after the main shock, and then to determine where stress transfer increased the potential for slip on neighboring faults.

To evaluate the transfer of stress to the Hinagu section (Figure 8), the southwestern 323extension of the source faults of the 2016 Kumamoto earthquake, we assumed a 324receiver fault (strike/dip/rake, 215°/70°/-164.4°). The rake angle was calculated on the 325326 basis of the slip rates of the Hinagu section, which were 0.7 and 0.2 mm/y for 327horizontal and vertical slip rates, respectively (HERP, 2013). Stress changes on the 328 Hinagu section due to the 2016 earthquake were positive (+11.6 to 0.0 MPa) at 10 km 329 depth (Figure 8). The calculation results for depths of 5 and 15 km depth were similar 330 to the calculation result for 10 km depth (Figures S5 and S6). Moreover, the stress perturbation result for a receiver fault with a different rake angle (strike/dip/rake, 331332215°/70°/-144.6°), calculated on the basis of horizontal and vertical slip rates of 0.7 and 0.5 mm/y, respectively, for the Hinagu section (HERP, 2013) (Figure S7) was also 333 334similar to the calculation result for a rake angle of -164.4° .

335

4.3. Optimally Oriented Fault Plane Analysis

337 Regional stress conditions around a fault are influenced by rupture events on

neighboring faults. As a result, the orientations of the optimum slip planes around a 338 339 fault are changed following rupture events on neighboring faults (King et al., 1994). King et al. (1994) analyzed Coulomb stress changes under assumed pre-earthquake 340 341stress conditions to determine the optimum slip plane orientations. To investigate the 342optimally oriented slip planes in our study area, we applied the methodology of King et 343al. (1994) to the fault models that we used in our Coulomb stress change analysis and 344assumed the same stress directions and ratios as those used in our slip tendency 345analysis (Table 1).

The pattern of Coulomb stress change calculated by taking into account regional stress conditions (Figure 9) differed from that calculated without taking them into account (Figure 8), but strong positive stress changes occurred on the receiver fault (i.e., the Hinagu section of the Hinagu fault zone) whether or not regional stress was taken into account (compare Figures 8 and 9). The strike of the southwestern extension of the source faults (the Hinagu section) is very close to the strikes of the optimum slip planes for right-lateral strike slip (Figure 9).

In the case of an σ_1 orientation of N55°E, the fault strike of the Hinagu section crossed the optimum plane orientation at an oblique angle (Figure S8a), whereas with an σ_1 orientation of N85°E or N70°E, the fault strike of the Hinagu section was roughly parallel to the optimum plane orientation (Figures S8b and 9). The Coulomb stress change pattern was similar among these three σ_1 orientation cases. Additionally, the calculation results were roughly the same even when different stress ratios (R = 0.3and 0.5) and σ_2/σ_1 values ($\sigma_2/\sigma_1 = 0.8$ and 0.9) were used (Figures 9, S9, and S10).

360

361 **5. Discussion**

362 5.1. Seismic Potential of the Futagawa–Hinagu Fault Zone before the 2016 363 Kumamoto Earthquake

The slip tendencies of the sections of the Futagawa and Hinagu fault zones ranged 364 widely from 0.30 to 0.99 (Figure 2 and Table 2). Overall, the slip tendencies of the 365366 three sections in the Futagawa fault zone were lower than those of the three sections in the Hinagu fault zone. More specifically taking the principal stress directions reported 367 368by Matsumoto et al, (2015), the σ_1 orientation of N70°E should be appropriate for the three sections of the Futagawa fault zone and the Takano-Shirahata and Hinagu 369 370 sections of the Hinagu fault zone. In addition, the σ_1 orientation of N85°E and N55°E seems to be more suitable to the northern part from Mt. Aso and the Yatsushiro section, 371respectively. Following the consideration, in the Futagawa fault zone, the slip 372373 tendencies calculated for the Futagawa section, one of the source faults of the 2016 374 Kumamoto earthquake, tended to be higher than those calculated for the other sections 375(Table 2). In the Hinagu fault zone, the slip tendencies of the Takano-Shirahata and 376 Hinagu sections were mostly high (≥ 0.8), however the Yatsushiro section showed low 377 slip tendencies (0.4). Therefore, the seismic potentials of the Futagawa section in the 378 Futagawa fault zone and of the Takano-Shirahata and Hinagu sections in the Hinagu fault zone were high before the 2016 Kumamoto earthquake. These results are 379 380 consistent with the occurrences of the foreshock and main shock of the 2016 381Kumamoto earthquake on the Takano–Shirahata section in the Hinagu fault zone and 382on the Futagawa section in the Futagawa fault zone, respectively.

Many studies have presented empirical evidence based on earthquake data that discontinuities in faults or fault zones are closely related to the initiation and arrest of fault rupture (Biasi & Wesnousky, 2016; King, 1986; King & Nabelek, 1985;

Wesnousky, 2006). Moreover, Nakano et al. (2010) showed by numerical modeling 386 387 that stress increases at fault discontinuities can occur both interseismically and 388 coseismically, and that stress may not be released unless new fractures are created. These study results indicate that heterogeneous stress states that develop in regions 389 around fault discontinuities lead to both initiation and arrest processes of fault rupture 390 391 propagation. The hypocenter of the 2016 Kumamoto earthquake foreshock was located 392on the Takano–Shirahata section of the Hinagu fault zone, near the intersection of the 393 Hinagu and Futagawa fault zones. Matsumoto et al. (2018) reported that the 394 pre-earthquake stress state in the region around the hypocenter of the 2016 Kumamoto 395 earthquake, estimated by using earthquake moment tensor data, was heterogeneous, 396 and that the fault rupture propagation during the earthquake was correspondingly heterogeneous. These facts suggest that the heterogeneous stress condition in the 397 398 region around the hypocenter of the 2016 Kumamoto earthquake before the earthquake 399 set the stage for the initiation of fault rupture. Taking this pre-earthquake heterogeneity 400 into consideration, we might infer that the Takano-Shirahata section was more likely to 401 rupture than the Hinagu section in the Hinagu fault zone. However, the slip tendency 402calculation results showed that the two sections of the Hinagu fault zone had high 403 seismic potential before the earthquake.

Paleoseismological data can also provide important information about fault rupture initiation and propagation processes. The available paleoseismological data for the Futagawa and Hinagu fault zones, based on HERP (2019), are summarized in Table 4. Unfortunately, few paleoseismological data for the Uto section, and none for the NCUP section, of the Futagawa fault zone are available. Additionally, no data are available for the penultimate events in the Takano–Shirahata section and low-seismicity zone of the

410 Hinagu fault zone. However, data on the timing of the latest rupture event and the 411 average recurrence interval of the Futagawa section of the Futagawa fault zone and of 412 the three sections of the Hinagu fault zone are available.

We used the available paleoseismological data (Table 4) to calculate the 30-year exceedance probability (*P*) for each section of the two fault zones before the 2016 Kumamoto earthquake. We used the Poisson process,

416
$$P(T, \Delta T) = 1 - e^{-\Delta I/I}$$
 (3)

417 where *T* is average recurrence interval and ΔT was set to 30 years, to evaluate the 418 seismic potential of each fault section (Figure 10).

The rupture probabilities (1.0–1.5%) obtained for the Futagawa section, one of the 419 420source faults of the 2016 Kumamoto earthquake, were highest among all sections of 421the Futagawa fault zone. The rupture probabilities of the Takano-Shirahata and 422Yatsushiro section in the Hinagu fault zone, 1.2% and 1.4%, respectively, were also 423high. In contrast, the rupture probabilities of the Hinagu section were low, 1.0% and 424 0.7% in the low-seismicity zone and the southern part of the Hinagu section, 425respectively, in comparison with the other sections of the Hinagu fault zone. Given that 426the rupture probability of the Takano–Shirahata section was roughly the same or only a 427 little lower than that of the Yatsushiro section, the stress heterogeneity around the 428 earthquake hypocenter must have primed the initiation of the fault rupture. In addition, the slip tendencies of the Yatsushiro section were low. Therefore, before the 2016 429Kumamoto earthquake, the seismic potential of the two source fault sections, the 430Takano-Shirahata section of the Hinagu fault zone and the Futagawa section of the 431432Futagawa fault zone, of the 2016 Kumamoto earthquake was high enough for them to 433rupture in comparison with other fault sections of the two fault zones.

434

435 **5.2. Interruption of Rupture Propagation to the Southwest during the 2016**

436 Kumamoto Earthquake

The rupture histories of the three sections of the Hinagu fault zone are different 437(Table 4). In particular, the average recurrence interval on the southern part of the 438 Hinagu section is far longer than that in the low-seismicity zone. The rupture 439440 probabilities were also different among the three sections of the Hinagu fault zone 441 (Figure 10). These facts suggest that rupture conditions differ among the three sections. It is noteworthy that the rupture probability of the southern part of the Hinagu section 442was lowest among the Hinagu fault zone sections. The different rupture conditions 443444probably affected the rupture propagation from the Takano-Shirahata section toward 445the Hinagu section.

446 Seismicity in general and the propagation of rupture along faults in particular are 447influenced by geological barriers such as complex geological structures and locked 448 faults (Bohnfoff et al., 2013). The geological structure in and around our study area is 449 complicated. The surface geology of central Kyushu Island is characterized by several 450fault-bounded belts in a zonal arrangement. Two major terrane boundary faults, the Usuki-Yatsushiro Tectonic Line (UYTL) and the Butsuzo Tectonic Line (BTL) 451452(Miyazaki et al., 2016), intersect the Hinagu fault zone (Figure 11). North of the UYTL, the rocks are dominantly of pre-Jurassic and Cretaceous age, whereas those between 453the UYTL and BTL are mainly of pre-Jurassic and Jurassic age. Rocks to the south of 454the BTL belong to a Cretaceous accretionary complex. The intersection of the Hinagu 455456fault zone with the UYTL is in the Hinagu section, and it roughly coincides with the southern limit of the low-seismicity zone (Figure 11). North of the intersection, the 457

Hinagu fault zone is in pre-Jurassic to Jurassic and Cretaceous rocks. However, 458459low-P/T metamorphic and granitic rocks of the Higo metamorphic complex also occur close to the intersection, and their distribution coincides roughly with the 460 461low-seismicity zone of the Hinagu section (Figure 11). Matsumoto et al. (2016) 462 reported that a remarkable gravity anomaly exists in the Hinagu section. The boundary of the gravity anomaly corresponds to the structural boundary between the Hinagu 463 464 section and the Takano-Shirahata section, which is attributable to a geological boundary related to the distribution of the Higo metamorphic rocks. They suggested 465466 that this structural boundary played an important role in controlling the spatial extent 467 of the source faults of the 2016 Kumamoto earthquake.

468 Our seismic analysis results suggest the existence of a remarkable low-seismicity 469 zone in the northern part of the Hinagu section, and the rupture histories are different 470 among the three sections and the low-seismicity zone of the Hinagu fault zone. Given 471that heterogeneous geological structures are closely related to rupture conditions and 472seismic activity on each fault section, the combined effect of the presence of the highly 473rigid Higo metamorphic complex and the intersection of two major faults probably 474accounts for the curtailment of the rupture propagation from the source faults of the 4752016 Kumamoto earthquake to the southwest. This interpretation is supported by the 476 results of our seismic activity analysis (section 3.3) showing that the low-seismicity zone was not sensitive to nearby (within ~5 km) moderate magnitude seismic events. 477

478

479 **5.3. Seismic Potential in the Hinagu fault zone**

Although the southward rupture propagation of the source faults of the 2016
Kumamoto earthquake was curtailed by the low-seismicity zone, the high slip tendency

(Figure 2) and the positive stress change (Figure 8) after the 2016 Kumamoto earthquake of the Hinagu section, together with the consistency of the optimum slip planes with the fault strike of the Hinagu fault (Figure 9), indicate a high potential for future earthquakes along the Hinagu section that are related to the 2016 Kumamoto earthquake.

487 The spatial distribution of viscous properties plays a key role in earthquake 488triggering (e.g., Freed & Lin, 2001). Analyses of geodetic data reveal that viscoelastic 489 relaxation of the lower crust and mantle occurred following the 2016 Kumamoto 490 earthquake (Pollitz et al., 2017; Moore et al., 2017; Fuwa & Ohzono, 2018). Moreover, Pollitz et al. (2017) indicated that the postseismic relaxation in the near field (within 30 491492km of the main shock epicenter) was dominantly from afterslip, whereas at greater 493 distance viscoelastic relaxation was dominant. The aftershock distribution of the 2016 494 Kumamoto earthquake shows that the region of postseismic relaxation corresponds to 495the northern part of the Hinagu section (the low-seismicity zone) (Figures 4b and 5). 496 Additionally, Nanjo et al. (2019) reported the existence of a high-stress area at the 497 southern end of the source faults, including in the postseismic relaxation region, after 498the 2016 Kumamoto earthquake. Rupture nucleation is likely to occur eventually in 499 highly stressed areas. In addition, because a similar highly stressed area was observed 500before the main shock of the 2016 Kumamoto earthquake (Nanjo et al., 2016), the stress concentration region is regarded as a candidate location for the initiation of 501502future earthquake ruptures. Because stress in the Takano-Shirahata section and the 503low-seismicity zone of the Hinagu fault zone was relaxed by an afterslip, a future rupture would be expected to propagate along the remaining southern section. 504 505Therefore, the seismicity and geodetic analysis results also indicate, in agreement with

506 our research results, that the southern part of the Hinagu section is under high stress 507 and has potential for future rupture.

508

509 6. Conclusions

The main events of the 2016 Kumamoto earthquake were a foreshock (M_w 6.2) on 51014 April and the main shock $(M_w 7.0)$ on 16 April 2016, both of which were caused by 511512fault ruptures near the intersection of the Futagawa and Hinagu fault zones. We 513considered the rupture process and the potential for future earthquakes within those fault zones from the viewpoint of structural geology, seismicity data, and 514515paleoseismological data, and by using numerical analyses. Taking into consideration 516the regional fault geometry, slip tendency analysis results, and the fault rupture history, we concluded that the rupture potential of the source faults of the 2016 Kumamoto 517518earthquake was high before the earthquake. Further, the seismicity and southwestward 519rupture propagation after the earthquake were probably controlled by geological 520heterogeneities of the fault zones. However, our analysis of the Coulomb stress change 521during the 2016 earthquake revealed a positive stress change on the northern part of the 522Hinagu section close to the Takano-Shirahata section after the earthquake, and an 523optimum slip plane orientation that was consistent with the fault strike of the Hinagu 524section. Furthermore, in addition to the high slip tendency of the Hinagu section, 525postseismic deformation led to a stress concentration at the southern end of the source faults, including in the afterslip region of the 2016 Kumamoto earthquake. These 526 results, by providing crucial information about the likelihood of future earthquakes in 527528the region of the 2016 Kumamoto earthquake, can contribute to preparations for future 529earthquake disaster mitigation.

 $\mathbf{24}$

530

531 Acknowledgments

We thank the Japan Meteorological Agency for the use of their earthquake catalog 532(http://www.jma.go.jp/jma/indexe.html) and the Japanese National Institute for Earth 533Prevention for 534Science and Disaster providing focal mechanism data (http://www.fnet.bosai.go.jp/top.php?LANG=en). We also thank Ms. Fumiko Suzuki, 535Shikoku Research Institute Inc., for drawing some of the figures in this paper. We are 536grateful to the editor Rachel Abercrombie and two anonymous reviewers for their 537helpful comments on our manuscript. Some figures were prepared by using the 538Generic Mapping Tools software package (Wessel & Smith, 1998). 539

541 **References**

- 542 Asano, K., & Iwata, T. (2016). Source rupture process of the foreshock and mainshock
- in the 2016 Kumamoto earthquake sequence estimated from the kinematic
- 544 waveform inversion of strong motion data. *Earth Planets Space*, 68.
- 545 https://doi:10.1186//s40623-016-0519-9
- 546 Biasi, G. P., & Wesnousky, S. G. (2016). Steps and gaps in ground ruptures: Empirical
- 547 bounds on rupture propagation. *Bulletin of the Seismological Society of America*,
- 548 106, 1110–1124. https://doi: 10.1785/0120150175
- Bohnfoff, M., Bulut, F., Dressen, G., Malin, P. T., Eken, T., & Aktar, M. (2013). An
- earthquake gap south of Istanbul. *Nature Communications*, 4:1999 doi:
- 551 10.1038/ncomms2999.
- Bos, B., & Spiers, C. J. (2002). Friction-viscous flow of phyllosilicate-bearing fault
- 553 rock: Microphysical model and implications for crustal strength profiles. *Journal of*
- 554 *Geophysical Research*, 107, B2, 2028. https://doi.org/10.1029/2001JB000301.
- 555 Byerlee, J. (1978). Friction of rocks. Pure and Applied Geophysics (PAGEOPH), 116,
- 556 615–627.
- 557 Cao, A., & Gao, S. S. (2002). Temporal variation of seismic b-values beneath

northeastern Japan island arc. *Geophysical Research Letters*, 29,

- 559 doi.org/10.1002/2016GL070079.
- 560 Freed, A. M., & Lin, J. (2001). Delayed triggering of the 1999 Hector Mine earthquake
- 561 by viscoelastic stress transfer. *Nature*, 411(6834), 180–183.
- 562 Fukahata, Y., & Hashimoto, M. (2016). Simultaneous estimation of the dip angles and
- slip distribution on the faults of the 2016 Kumamoto earthquake through a weak
- nonlinear inversion of InSAR data. *Earth Planet Space*, 68, 204.

565 https://doi.org/10.1186//s40623-016-0580-4.

- 566 Fuwa, S., & Ohzono, M. (2018). Viscosity distribution beneath the Kyushu Island
- 567 estimated by GNSS postseismic time series of the 2016 Kumamoto earthquake
- 568 (M7.3) (in Japanese with English abstract). Geophysical Bulletin of Hokkaido
- 569 University, 81, 45–55. <u>https://doi.org/10.14943/gbhu.81.45</u>.
- 570 Geospatial Information Authority of Japan. (2016). Fault model of the 2016 Kumamoto
- 571 earthquake (preliminary). http://www.gsi.go.jp/common/000140781.pdf, accessed 2
 572 March, 2017.
- 573 Headquarters for Earthquake Research Promotion. (2013). Regional evaluation for
- active faults in Kyushu: Futagawa and Hinagu Fault zones.
- 575 http://jishin.go.jp/main/chousa/katsudansou_pdf/93_futagawa_hinagu_2.pdf,
- accessed 4 September, 2020.
- 577 Headquarters for Earthquake Research Promotion. (2019). Active fault research
- 578 following the 2016 Kumamoto earthquakes,
- 579 https://www.jishin.go.jp/main/chousakenkyuu/kumamoto_sogochousa/h28-h30/h
- 580 28-h30kumamoto_sogochousa_3_1.pdf, accessed 4 September, 2020.
- 581 Kato, A., Fukuda, J., Nakagawa, S., & Okada, K. (2016). Foreshock migration
- 582 preceding the 2016 *Mw* 7.0 Kumamoto earthquake, Japan. *Geophysical Research*
- 583 *Letters*, 43, https://doi.org/10.1002/2016GL070079.
- 584 King, G. C. P. (1986). Speculations on the geometry of the initiation and termination
- 585 processes of earthquake rupture and its relation to morphology and geological
- 586 structure. *Pure and Applied Geophysics (PAGEOPH)*, 124, 567–585.
- 587 https://doi.org/10.1007/BF00877216.
- 588 King, G. C. P., & Nabelek, J. (1985). Role of fault bends in the initiation and

- termination of earthquake rupture. *Science*, 228, 984–987. https://
- 590 doi.org/10.1126/science.228.4702.984.
- 591 King, G. C. P., Stein, R. S., & Lin, J. (1994). Static stress changes and the triggering of
- earthquakes. *Bulletin of the Seismological Society of America*, 84, 935–953.
- 593 Kobayashi, T. (2017). Earthquake rupture properties of the 2016 Kumamoto earthquake
- foreshocks (M_j 6.5 and M_j 6.4) revealed by conventional and multiple-aperture
- 595 InSAR. Earth Planet Space, 69, 7. https://doi.org/10.1186//s40623-016-1594-y.
- Lin, R. J., & Stein, R. S. (2004). Stress triggering in thrust and subduction earthquakes,
- and stress interaction between the southern San Andreas and nearby thrust and
- 598 strike-slip faults. *Journal of Geophysical Research*, 109, B2, 0303.
- 599 https://doi.org/10.1029/2003JB002607.
- 600 Lisle, R. J., & Srivastava, D. C. (2004). Test of the frictional reactivation theory for

faults and validity of fault-slip analysis. *Geology*, 32, 569–572.

- 602 https://doi.org/10.1130/G20408.1.
- Matsumoto, N., Hiramatsu, Y., & Sawada, A. (2016). Continuity, segmentation and
- faulting type of active fault zones of the 2016 Kumamoto earthquake inferred from
- analyses of a gravity gradient tensor. *Earth Planets Space*, 68:167, DOI
- 606 10.1186/s40623-016-0541-y.
- Matsumoto, S., Nakao, S., Ohkura, T., Miyazaki, M., Shimizu, H., Abe, Y., et al. (2015).
- 608 Spatial heterogeneities in tectonic stress in Kyushu, Japan and their relation to a 609 major shear zone. *Earth Planet Space*, 67:172, DOI
- 610 10.1186/s40623-015-0342-8.
- Matsumoto, S., Yamashita, Y., Nakamoto, M., Miyasaki, M., Sakai, S., Iio, Y., et al.
- 612 (2018). Prestate of stress and fault behavior during the 2016 Kumamoto earthquake 28

- 613 (*M*7.3). *Geophysical Research Letters*, 45, 637–645.
- 614 https://doi.org/10.1002/2017GL075725.
- 615 Miyazaki, K., Ozaki, M., Saito, M., & Toshimitsu, S. (2016). The Kyushu–Ryukyu Arc.
- In: Moreno, T., Wallis, S., Kojima, T. & Gibbons, W. (Eds) *The Geology of Japan*
- 617 (pp. 139–174), The Geological Society, London.
- 618 Moore, J. D. P., Yu, H., Tang, C.-H., Wang, T., Barbot, S., Peng, D., et al. (2017).
- 619 Imaging the distribution of transient viscosity after the 2016 *M*w 7.1 Kumamoto
- 620 earthquake. *Science*, 356(6334), 163–167. https://doi.org/10.1126/science.aal3422.
- 621 Morris, A., Ferril, D. A., & Hon, D.B. (1996). Slip-tendency analysis and fault
- 622 reactivation. *Geology*, 24, 275–278.
- 623 https://doi.org/10.1130/0091-7613(1996)024<0275:STAAFR>2.3.CO;2
- Morrow. C., Radney, B., & Byerlee, J. (1992). Frictional strength and the effective
- pressure law of montmorillonite and illite clays, *International. Geophysics*, 51, 69–
 88.
- 627 Nakano, M., Kumagai, H., Toda, S., Ando, R., Yamashina, T., Inoue, H., & Sunarjo
- 628 (2010). Source model of an earthquake doublet that occurred in a pull-apart basin
- along the Sumatran fault, Indonesia. *Geophysical Journal International*, 181, 141–
 153.
- 631 Nanjo, K. Z., Izutsu, J., Orihara, Y., Furuse, N., Togo, S., Nitta, H., Okada, T., Tanaka,
- R., Kamogawa, M., & Nagao, T. (2016). Seismicity prior to the 2016 Kumamoto
 earthquakes. *Earth Planets Space*, 68, 187, doi:10.1186/s40623-016-0558-2.
- Nanjo, K. Z., Izutsu, J., Orihara, Y., Kamogawa, M., & Nagao, T. (2019). Changes in
- 635 seismicity pattern due to the 2016 Kumamoto earthquakes identify a highly
- 636 stressed area on the Hinagu fault zone. *Geophysical Research Letters*, 46, 9489–

- 637 9496. https://doi.org/10.1029/2019GL083463.
- 638 Neves, M. C., Paiva, L. T., & Luis, J. (2009). Software for slip-tendency analysis in 3D:
- A plug-in for Coulomb. *Computers & Geosciences*, 35, 2345–2352.
- 640 Niemeijer, A. R., & Spiers, C. J. (2005). Influence of phyllosilicates on fault strength in
- 641 the brittle–ductile transition: insights from rock analogue experiments. In Bruhn, D.
- 642 & Burline, L. (Eds.), *High-strain zones: Structure and Physical Properties*.
- 643 *Geological Society of London, Special Publications* (Vol. 245, pp. 303–327), The
- 644 Geological Society, London.
- Pollitz, F. F., Kobayashi, T., Yarai, H., Shibazaki, B., & Matsumoto T. (2017).
- 646 Viscoelastic lower crust and mantle relaxation following the 14–16 April 2016
- 647 Kumamoto, Japan, earthquake sequence. *Geophysical Research Letters*, 44, 8795–
- 648 8803. doi:10.1002/2017GL074783.
- 649 Reasenberg, P. (1985). Second-order moment of central California seismicity, 1969–
- 650 1982. Journal of Geophysical Research, 90, B7, 5479–5495.
- 651 Shirahama, Y., Yoshimi, M., Awata, Y., Maruyama, T., Azuma, T., Miyashita, Y., et al.
- (2016). Characteristics of the surface ruptures associated with the 2016 Kumamoto
- earthquake sequence, central Kyushu, Japan. *Earth Planet Space*, 68, 191.
- 654 https://doi.org/10.1186//s40623-016-0559-1.
- 655 Sugito, N., Goto, H., Kumahara, Y., Tsutsumi, H., Nakata, T., Kagohara, K., et al.
- 656 (2016). Surface fault ruptures associated with the 14 April foreshock (M_i 6.5) of the
- 657 2016 Kumamoto earthquake sequence, southwest Japan. *Earth Planet Space*, 68,
- 658 170. https://doi.org/10.1186//s40623-016-1547-5.
- Toda, S., Kaneda, H., Okada, S., Ishimura, D., & Mildon, Z. K. (2016). Slip-partitioned
- 660 surface ruptures for the M_w 7.0 16 April 2016 Kumamoto, Japan, earthquake, *Earth* 30

- 661 *Planet Space*, 68, 188. https://doi.org/10.1186//s40623-016-0560-8.
- Toda, S., Stein, R. S., Richards-Dinger, K., & Bozkurt, S., (2005). Forecasting the
- 663 evolution of seismicity in southern California: Animations built on earthquake
- stress transfer. Journal of Geophysical Research, 110, B05S16,
- 665 https://doi.org/1029/2004/JB003415.
- 666 Uchide, T., Horikawa, H., Nakai, M., Matsushita, R., Shigematsu, N., Ando, R., &
- 667 Imanishi, K. (2016). The 2016 Kumamoto–Oita earthquake sequence: aftershock
- seismicity gap and dynamic triggering in volcanic areas, *Earth Planet Space*, 68,
- 669 180. https://doi.org/10.1186//s40623-016-0556-4.
- Wesnousky, S. G. (2006). Predicting the endpoints of earthquake ruptures, *Nature*, 444,
- 671 358-360. https://doi.org/10.1038/nature05275.
- Wessel, P., & W. H. F. Smith (1998), New improved version of Generic Mapping Tools
 released, *EOS Trans. AGU*, 79, 579.
- Wiemer, S. (2001). A software package to analyze seismicity: ZMAP, Seismological
- 675 Research Letters, 72, 2.
- Yano, T. E., & Matsubara, M. (2017). Effect of newly refined hypocenter locations on
- the seismic activity recorded during the 2016 Kumamoto Earthquake sequence,

678 *Earth Planets Space*, 69:74, DOI 10.1186/s40623-017-0656-9.

- 279 Zhuang, J., Ogata, Y., & Wang, T. (2017). Data completeness of the Kumamoto
- 680 earthquake sequence in the JMA catalog and its influence on the estimation of the
- ETAS parameters, *Earth Planets Space*, 69:36, DOI 10.1186/s40623-017-0614-6.

682 **Table titles and figure captions**

Table 1. Stress conditions used to calculate slip tendencies and orientation of optimum slip planes. *R*, Stress ratio. σ_1 and σ_2 , Maximum and moderate principal stresses. σ_H and σ_h , Maximum and minimum horizontal stresses. σ_v , Vertical stress.

Table 2. Calculation results of slip tendencies of the Futagawa and Hinagu fault zones. There are uncertainties for the direction of the maximum principal stress (σ_1) and stress ratio (R). N55°E, N70°E, and N85°E are assumed for σ_1 directions. The stress ratios (R) are assumed to be 0.3 and 0.5. Furthermore, 40°, 70°, and 90° are assumed for dip angles of the Uto and North coast of Uto Peninsula (NCUP) sections of the Futagawa sections, because of no previously reported data.

Table 3. Parameters and results of the three cases of declustering.

Table 4. Paleoseismological data of the Futagawa fault zone and the Hinagu fault zone.
Those data are summarized on the basis of HERP (2013, 2019).

697 Figure 1. Regional (inset) and local (main) map showing the tectonic framework of the 698 Kyushu region, Japan. Epicenters and focal mechanisms of the foreshock 699 (green star and beach ball) and main shock (red star and beach ball) of the 700 2016 Kumamoto earthquake are shown (from the NIED F-net Broadband Seismograph Network). Earthquakes $(M_i \ge 3)$ with focal depth of less than 18 701km between 14 April 2016 and 30 April 2017 are also shown (from the JMA 702 earthquake catalog). Takano–Shirahata (T–S), Hinagu, and Yatsushiro sections 703 704 of the Hinagu fault zone (Hg Fz) are shown. The Futagawa (Ft), Uto, and 705 Northern coast of Uto Peninsula (NCUP) sections are shown for the Futagawa

- fault zone (Ft Fz). MTLFZ, Median Tectonic Line fault zone; EU, Eurasia
 plate; PHS, Philippine Sea plate; PA, Pacific plate; NA, North America plate.
 Red lines are active faults. Blue dashed lines mark the Usuki–Yatsushiro
 Tectonic Line (after Miyazaki et al., 2016).
- Figure 2. 3-D view of slip tendencies (Ts'; color scale) before the 2016 Kumamoto 710 earthquake for the active faults included in this analysis. The direction of the 711712maximum principal stress ($\sigma_1 = \sigma_H$) is assumed to be N70°E. The apparent friction coefficient (μ') and Poisson ratio are 0.4 and 0.25, respectively. The 713 stress condition to calculate is assumed on the basis of $\sigma_2/\sigma_1 = 0.9$ and a stress 714ratio = 0.3. The maps show the calculation results depending on the dip angles 715of the Uto and NCUP sections. (a) 40°, (b) 70° (c) 90°. T-S, Takano-716 Shirahata section; Hg, Hinagu section; Ys, Yatsushiro section; Ut, Uto section; 717NCUP, Northern Coast of Uto Peninsula section; Ys, Yatsushiro section. See 718 719 Table 2 for calculation results of other cases.
- Figure 3. Schematic illustration of the method used to identify seismic events within 5
 km of a fault plane. (a) Plain view of fault plane (red) and 5 km limit (blue)
 and (b) cross section A–B (location in panel a). Most seismic events selected
 are within 5 km on either side of the fault. The upper and lower depth limits
 for selected events are 0 and 18 km, respectively.
- Figure 4. Hypocenter distribution $(M_j \ge 1)$ of events associated with faults of the Futagawa (Futagawa section) and Hinagu fault zones that occurred between (a) 1 October 1997 and 14 April 2016 preceding the foreshock of the 2016 Kumamoto earthquake. (b) Hypocenter distribution $(M_j \ge 3)$ of events associated with faults of the Futagawa and Hinagu fault zones that occurred

730 between 14 April 2016 just after the foreshock and 30 April 2017, selected as 731 described in Figure 3. Data are from the JMA earthquake catalog. Blue solid quadrangles indicate the areal extents of fault planes used in this study. Blue 732 dashed quadrangles are not used in the analysis. Hypocenters and focal 733mechanisms for the 2000 (M_w 4.9) and 2005 (M_w 4.6) earthquakes are also 734 735shown from the JMA earthquake catalog and the NIED F-net Broadband 736 Seismograph Network. Abbreviations of the sections of the Futagawa and 737 Hinagu fault zones are as in Figure 1.

Figure 5. Spatiotemporal evolution (from 1 October 1997 to 30 April 2017 in the JMA 738 earthquake catalog) of seismicity ($M_i \ge 1$: from 1 October 1997 to 14 April 7392016 preceding the foreshock, and $M_i \ge 3$: from 14 April 2016 just after the 740741foreshock to 30 April 2017) in the Hinagu fault zone based on declustering results of three cases using two parameters (taumax and rfact). Focal depth 742743limits of seismic events along each section depend on fault models of each 744fault section in Table S1. (a) Taumax and rfact are 2 and 2, respectively, (b) 745taumax and rfact are 5 and 5, respectively, (c) taumax and rfact are 10 and 10, 746 respectively. Red quadrangles indicate the areal extent of the fault planes used 747 in this study. Red dashed quadrangles are not used in the analysis. Red dashed lines show the limits of the low-seismicity zone. 08/Jun/2000 and 03/Jun/2005 748 show the event times for the 2000 (M_w 4.9) and 2005 (M_w 4.6) earthquakes, 749respectively. 750

Figure 6. Time series of earthquakes after declustering by taumax 5 and rfact 5 between 1 October 1997 and 30 April 2017 from JMA earthquake catalog (M_j \geq 1: from 1 October 1997 to 14 April 2016 preceding the foreshock, and $M_j \geq$

7543: from 14 April 2016 just after the foreshock to 30 April 2017) for each755section of the Hinagu fault zone and for the low-seismicity zone identified in756this study. 08/Jun/2000 and 03/Jun/2005 show the event times for the 2000757 $(M_w \ 4.9)$ and 2005 $(M_w \ 4.6)$ earthquakes, respectively. Time series of758earthquakes without declustering are shown in Figure S4.

Figure 7. M–T diagrams for each section from 1 October 1997 to 30 April 2017 in JMA earthquake catalog ($M_j \ge 1$: from 1 October 1997 to 14 April 2016 preceding the foreshock, and $M_j \ge 3$: from 14 April 2016 just after the foreshock to 30 April 2017). (a) Takano–Shirahata section, (b) Hinagu section, (c) Yatsushiro section, (d) Low-seismicity zone. 08/Jun/2000 and 03/Jun/2005 show the event times for the 2000 (M_w 4.9) and 2005 (M_w 4.6) earthquakes, respectively.

Figure 8. Coulomb stress perturbation due to the 2016 Kumamoto earthquake. Red 766 767 rectangles show each fault model. The fault models are recreated based on 768 Asano & Iwata (2016) and HERP (2013) (Table S1). Green and black lines 769 show the surface and 10 km depth traces for each fault model, respectively. 770 The receiver fault (strike/dip/rake, $215^{\circ}/70^{\circ}/-164.4^{\circ}$) is used to evaluate stress changes on the faults of the Hinagu fault zone. (a) Regional view of the 771Coulomb stress perturbation, (b) enlarged view of the Coulomb stress 772perturbation. Annotated numerals are stress changes at selected points 773(white-filled circles) on the faults. Grid size for calculation is 2×2 km. 774Yellow and blue fault lines represent the rupture and receiver faults, 775776 respectively. Abbreviations of the sections of the Futagawa and Hinagu fault zones are as presented in Figure 1. The calculation results at 5 and 15 km are 777

35

- shown in Figures S5 and S6, respectively. And Coulomb stress perturbation
 for another receiver fault (strike/dip/rake, 215°/70°/–144.6°) is shown in
 Figure S7.
- Figure 9. Coulomb stress perturbation and optimum slip planes for the orientation of 781the regional stress field. The regional stress condition is the same as it used for 782 783the slip tendency analysis (σ_1 direction: N70°E, $\sigma_2/\sigma_1 = 0.9$ and a stress ratio = 7840.3), and the fault models follow the Coulomb stress change analysis (Table S1). Red rectangles, green and black lines are same as in Figure 8. Grid size 785for calculation is about 5×5 km. Yellow fault lines represent rupture faults. 786 Abbreviations of the sections of the Futagawa and Hinagu fault zones are as 787presented in Figure 1. The calculation results for the σ_1 direction of N55°E 788 789 and N85°E are shown Figure S8. The calculation results for the stress ratio of 790 0.5 and 0.7 are shown in Figure S9. The calculation results for the $\sigma_2/\sigma_1 = 0.7$ 791 and 0.8 are show in Figure S10.

Figure 10. 30-years exceedance probabilities for each section of the two fault zones. See text for detail.

Figure 11. Relationship between geology and seismicity around the Futagawa and
Hinagu fault zones. Hypocenter distribution of events is same as Figure 4a.
Base geological map is from GeomapNavi (https://gbank.gsj.jp/geonavi/).

797

direction of σ_1	R	σ_2/σ_1	$\sigma_1 = (\sigma_H)$	$\sigma_2 = (\sigma_v)$	$\sigma_3 = (\sigma_h)$
N55°E		0.9	293.0	264.0	207.0
N00 E	0.3	0.8	330.0	264.0	110.0
N70°E		0.7	377.0	264.0	0.3
		0.9	293.0	264.0	235.0
N85°E	0.5	0.8	330.0	264.0	198.0
		0.7	377.0	264.0	151.0

	$\sigma_{1} = N55^{\circ}E$			$\sigma_1 = N70^{\circ}E$					$\sigma_1 = N85^{\circ}E$									
	ŀ	R = 0.3	3	F	? = 0.5	;	F	R = 0.3	3	F	R = 0.5	;	F	R = 0.3	3	ŀ	R = 0.8	5
Futagawa fault zone																		
Futagawa	0.69		0.51		0.80		0.69			0.91		0.88						
	Dip (°)		Dip (°)		Dip (°)		Dip (°)			Dip (°)		Dip (°)						
	40	70	90	40	70	90	40	70	90	40	70	90	40	70	90	40	70	90
Jto	0.69	0.66	0.35	0.55	0.53	0.35	0.68	0.67	0.40	0.55	0.55	0.40	0.67	0.90	0.90	0.67	0.86	0.90
North coast of Uto Peninsula	0.69	0.65	0.30	0.55	0.51	0.30	0.68	0.69	0.44	0.55	0.57	0.44	0.67	0.91	0.92	0.67	0.87	0.92
	$\sigma_1 = N55^{\circ}E$				$\sigma_1 = N70^{\circ}E$				$\sigma_1 = N85^{\circ}E$									
	R = 0.3 R = 0.5			R = 0.3			R = 0.5			R = 0.3			R = 0.5					
Hinagu fault zone																		
Takano–Shirahata		0.94			0.92			0.87			0.87			0.64			0.66	
Hinagu Yatushiro	0.83 0.40		0.77 0.40			0.94 0.90		0.93 0.90		0.83 0.99		0.84 0.99						

	case 1	case 2	case 3
taumax	2	5	10
rfact	2	5	10
number of clusters (a)	617	11	9
number of declustered earthquakes (b)	3,232	25	71
number of independet earthquakes (c)	1,756	1,742	1,680
b+c-a	4,371	1,756	1,742
number of earthquakes within 5 km on each	fault plane of three section	าร	
Takano-Shirahata	743	655	609
Hinagu	1,155	1,060	1,013
Yatsushiro	303	301	298

	latest rupture event	penultimate event	average recurrence interval		
Futagawa fault zone					
Futagawa section	1800 – 1700 y. BP	5600 – 4500 y. BP	2000 – 3000 years		
Uto section	15760 – 9550 (or 2750)	n. d.	n. d.		
Northern Coast of Uto Peninsula section (NCUP)	n. d.	n. d.	n. d.		
Hinagu fault zone					
Takano – Shirahata section	1400 – 1100 y.BP	n. d.	2400 – 2500 years		
Hinagu section	low-seismicity zone 1900 – 1100 y. BP	n. d.	low-seismicity zone 3000 years		
	3100 – 2000 y. BP	7300 – 7000 y. BP	4250 years		
Yatsushiro section	1600 y. BP	n. d.	2100 years		

Figure 1.

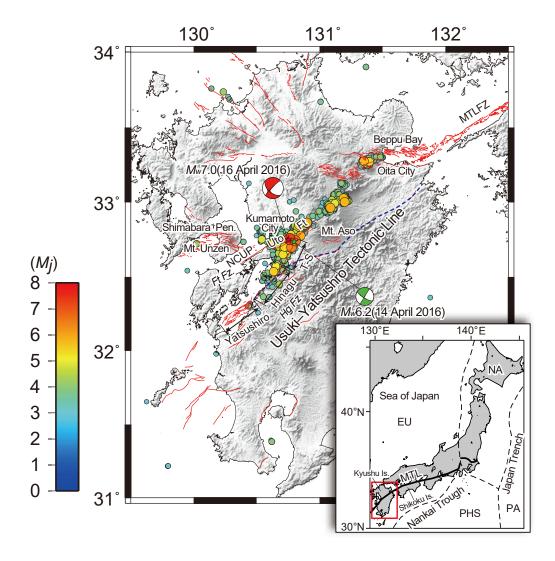


Figure 2.

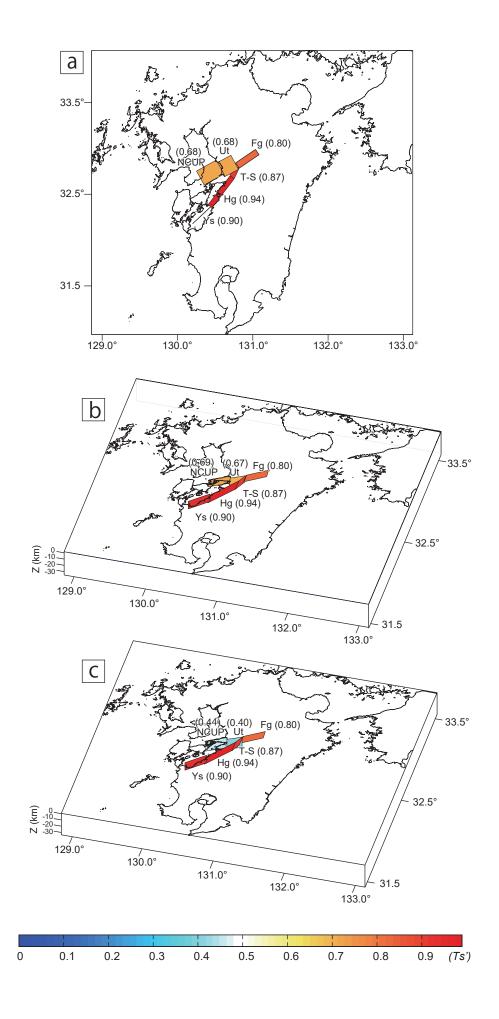
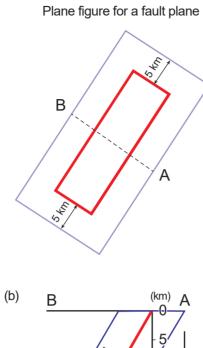
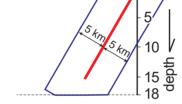


Figure 3.





Cross section figure for a fault plane

(a)

Figure 4.

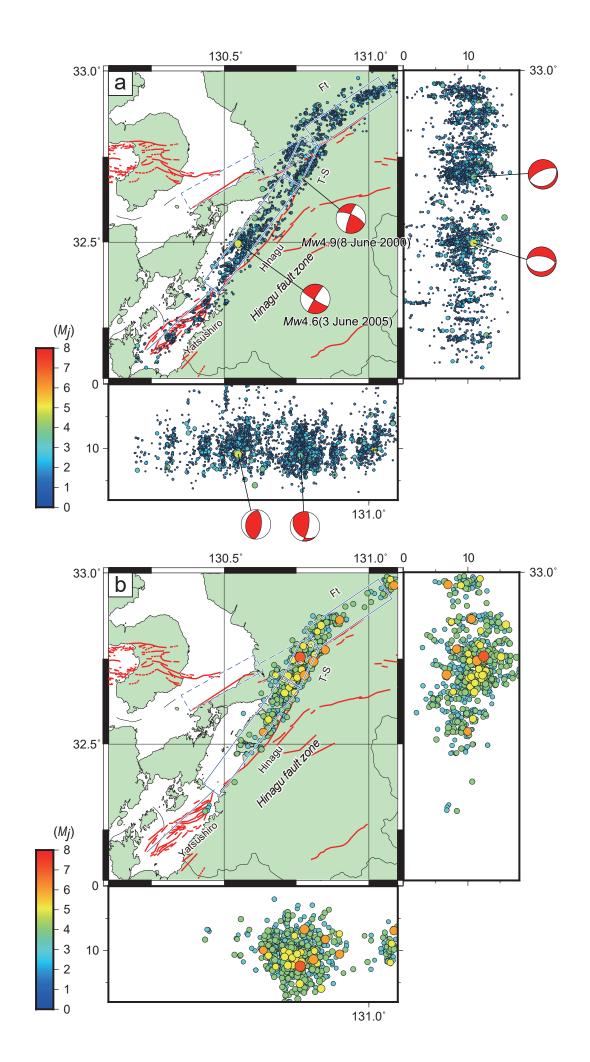


Figure 5.

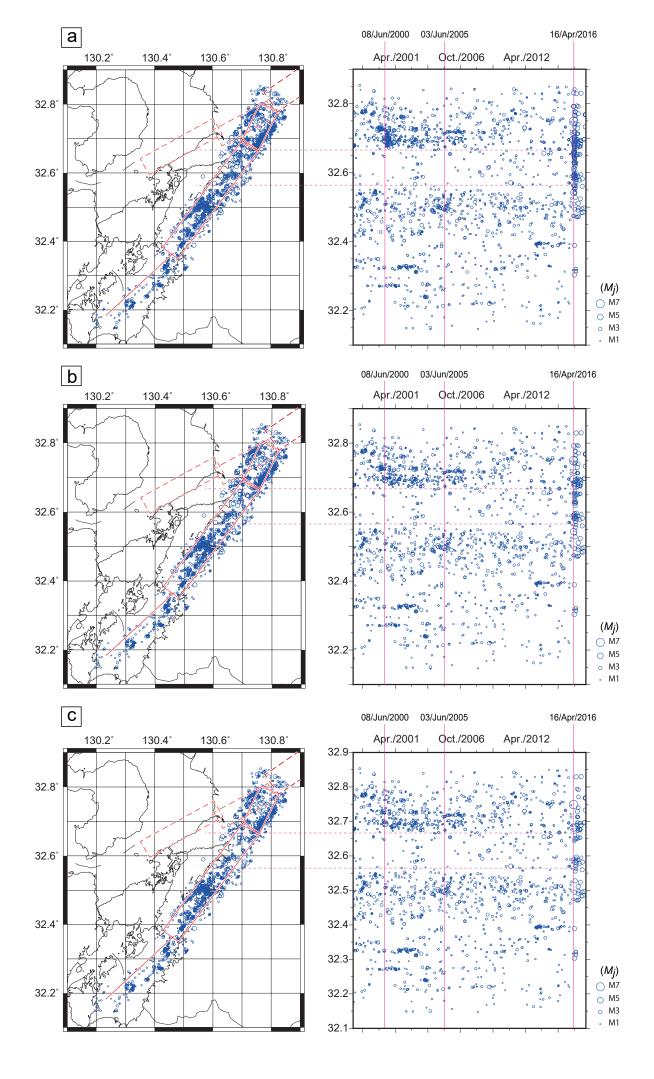


Figure 6.

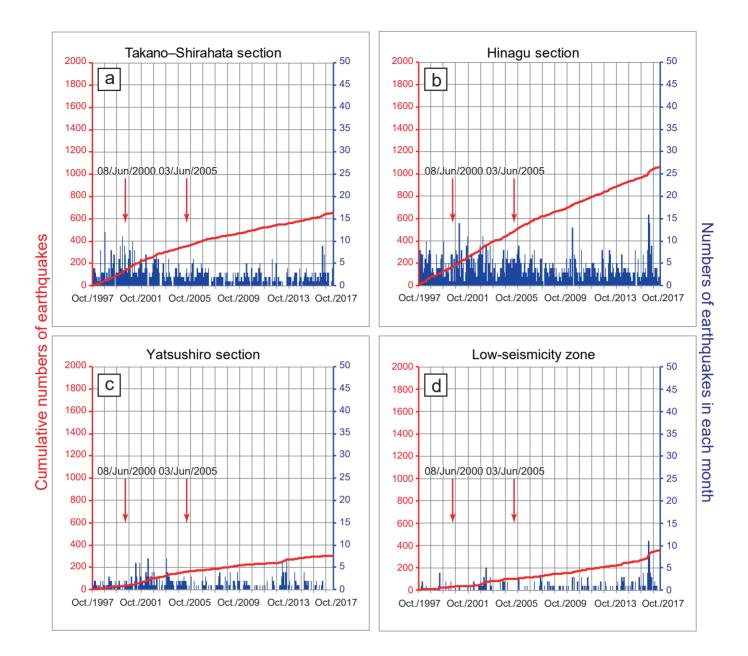


Figure 7.

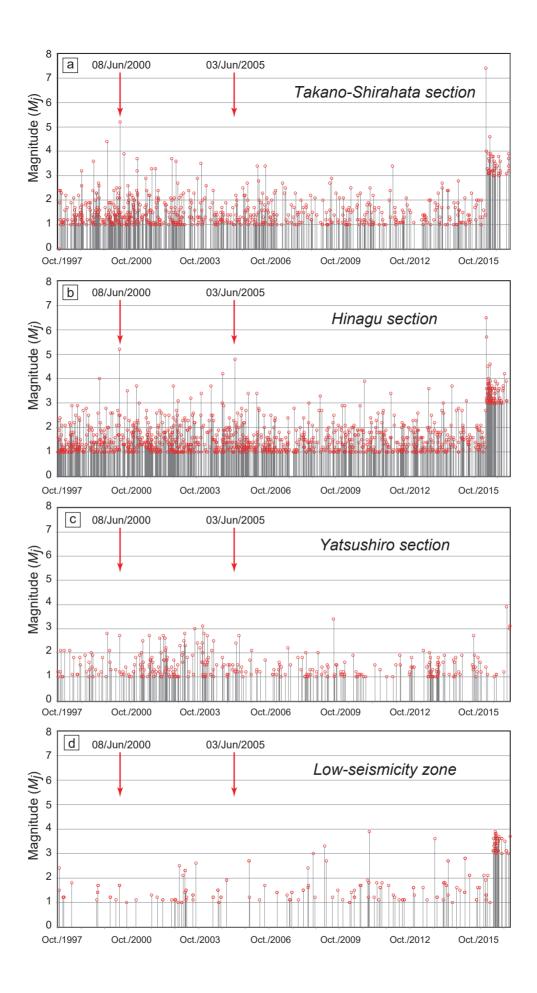


Figure 8.

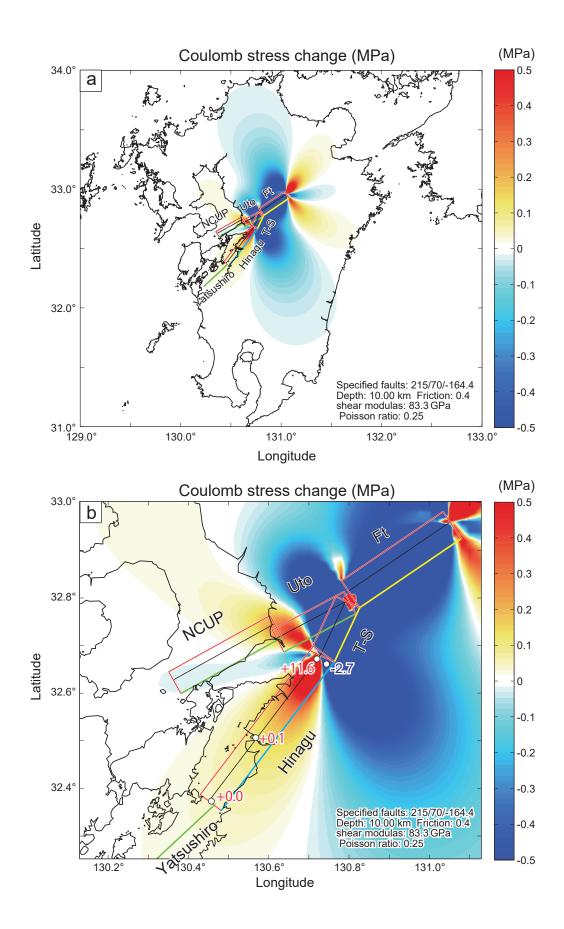


Figure 9.

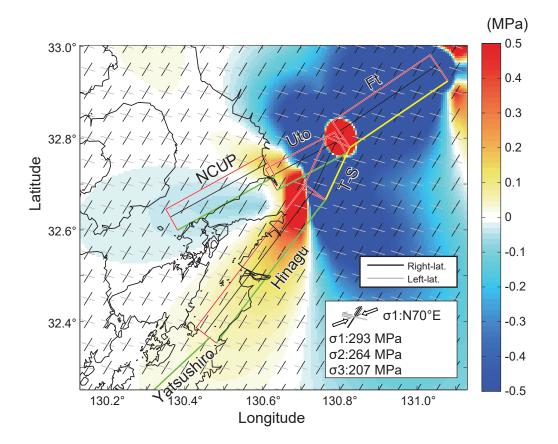


Figure 10.

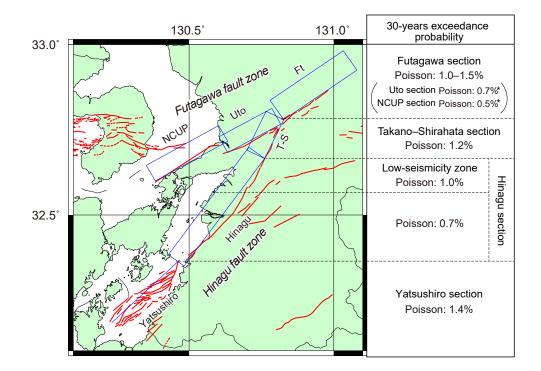


Figure 11.

