## A Lagrangian view of moisture transport related to the heavy rainfall of July 2020 in Japan: Importance of the moistening over the subtropical regions

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#### Abstract

The transport and accumulation of moisture played an essential role in the extremely heavy rainfall of July 2020 in Japan. To better understand this event in terms of moisture sources and transport routes, backward particle trajectory analysis was conducted. We found two major moisture sources: transport from the tropics and uptake from the subtropics. A narrow moisture channel was found along the edge of the western Pacific Subtropical High (WPSH), transporting the moisture to the Baiu front. However, most moisture from the tropics was lost due to precipitation, and their contributions were reduced to about 15%. In contrast, the subtropical regions contributed over 80% moisture via evaporation and lower tropospheric convection. Among those regions, the western Pacific contributed the most (33 %). This study highlights the role of WPSH in moisture transport, and also demonstrated the importance of the moisture uptake during the transport.

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- 14
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- 16
- 17 Key Points:
- Moisture came from both the tropical regions and subtropical regions
- The western Pacific Subtropical High is major forcing of moisture transport and also
   helped the moistening of low-level flows
- Subtropical regions (especially the western Pacific) contributed the most to the moisture
   accumulation
- 23

#### 24 Abstract

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- rainfall of July 2020 in Japan. To better understand this event in terms of moisture sources and
- 27 transport routes, backward particle trajectory analysis was conducted. We found two major
- 28 moisture sources: transport from the tropics and uptake from the subtropics. A narrow moisture
- 29 channel was found along the edge of the western Pacific Subtropical High (WPSH), transporting
- the moisture to the Baiu front. However, most moisture from the tropics was lost due to
- precipitation, and their contributions were reduced to about 15%. In contrast, the subtropical
- regions contributed over 80% moisture via evaporation and lower tropospheric convection.
- Among those regions, the western Pacific contributed the most (33 %). This study highlights the
- 34 role of WPSH in moisture transport, and also demonstrated the importance of the moisture
- 35 uptake during the transport.

### 36 Plain Language Summary

- 37 Heavy rainfalls hit Japan in July 2020, and the related atmospheric river was found to be
- accumulated by the moisture from tropics and subtropics. The moisture was transported bya
- narrow moisture channel along the edge of western Pacific Subtropical High. But, due to the
- 40 precipitation during the transport, the moisture from tropics decreased. On the other hand, when
- the air flows passed over the subtropical regions, they were moistened by evaporation and lower
- troposphere convection. Thus, our results suggest that the moisture from subtropical regions
- 43 contributed the most in the moisture accumulation during the heavy rain event.

#### 44 **1 Introduction**

Extremely heavy rain fell over Japan in July 2020 (hereafter, 20HR). The rainfall continued during this whole period and included several severe rainfall events. As reported by the Japan Meteorological Agency (JMA), during this event, the 48 hr precipitation exceeded 1300 mm in many regions of western Japan (e.g., Kyushu, Gifu, Kochi, and Nagano). Specifically, some records indicate that the total precipitation was equal to nearly half of the historical annual mean (e.g., Fukuoka, Kagoshima) (JMA, 2020). It was reported that 82

fatalities occurred, and 18,380 buildings were destroyed or damaged during the heavy rains

- 52 (EOCJ, 2020).
- 53 Kamae (2020), based on a series of early analyses using the Japanese 55 year Reanalysis,
- showed that a narrow plume of water vapor transport (i.e., the atmospheric river, AR; also see
- 55 Figure 1a) played a key role in the 20HR. According to the results in Kamae (2020) and JMA
- 56 (2020), this AR started from the South China Sea (SCS) and passed through the southeast China
- 57 mainland, before turning west along the Baiu front. It was also reinforced by the low level flows
- from the south, similar to the previous heavy rains in Japan (e.g., Shimpo et al., 2019;).
  Meanwhile, it is likely that the westward extension of the western Pacific Subtropical High
- 60 (WPSH) also enhanced the northward moisture transport from the SCS to Japan (e.g., Naoi et al.,
- $61 \quad 2020$ ).

To date, the relationship between moisture transport and heavy rainfall during the Baiu season has been extensively studied (e.g., Zhou & Yu, 2005; Tsuji & Takayabu, 2019). Most studies have focused on the highly accumulated moisture itself (hence, the AR; e.g., Sampe & Xie, 2010; Guan & Waliser, 2019; Shimpo et al., 2019), but few of them paid attention to why such a massive amount of moisture accumulated and how was moisture transported (e.g., Bao et al., 2006; Sodemenn & Stohl, 2013). As to the winter time ARs associated with extratropical

- cyclones, Bao et al. (2006) showed that the local moisture convergence associated with the warm
- 69 conveyor belt (WCB) and cold fronts is the fundamental force of the cyclone related ARs, and
- they also uncovered the vigorous moisture gain/loss processes during the transport. Sodemann and Stohl (2013) further emphasized the essential role of the WCB airstream in the formation
- and stoni (2013) further emphasized the essential fole of the wCB anstream in the formation and maintenance of AR. However, even the strong convergence also occurred and helped the
- moisture accumulation near the Baiu front during the heavy rain (JMA, 2020), it remains unclear
- how moisture was transported to Japan, especially considering that the 20HR was preceded by
- the heavy rainfalls (hence, the great moisture loss) over southeastern China where the AR likely
- 76 passed (e.g., Zhong, 2020, JMA 2020).

This study addresses two scientific topics: (1) the moisture sources of AR and transport 77 routes and (2) moisture gain/loss processes along the routes. Since an Eulerian point of view has 78 limitations to clarify the sources of moisture and the processes affecting moisture accumulation 79 of AR (see Gimeno et al., 2012 and references therein), we performed a Lagrangian experiment 80 based on backward trajectories, which have proven to be computationally efficient and useful in 81 similar studies (e.g., Sodemann et al., 2008; Langhamer et al., 2018). We carried out a numerical 82 hindcast to obtain high-resolution atmospheric fields for backward tracing and to evaluate the 83 physical details. Due to the complexity of the multiple events during the 20HR, this study was 84 85 based on one major precipitation event (3–4 July in Kyushu; hereafter 20HR1) that caused a flooding disaster (NHK World News, 2020). 86

87 This paper is organized as follows. Section 2 describes the numerical hindcast, backward 88 trajectory experiment, and diagnostic variables for analyses. Subsections in Sec. 3 document the 89 results, including the moisture sources, routes, and gain/loss processes during transport. The 90 major findings are summarized in Sec. 4.

#### 91 2 Materials and Methods

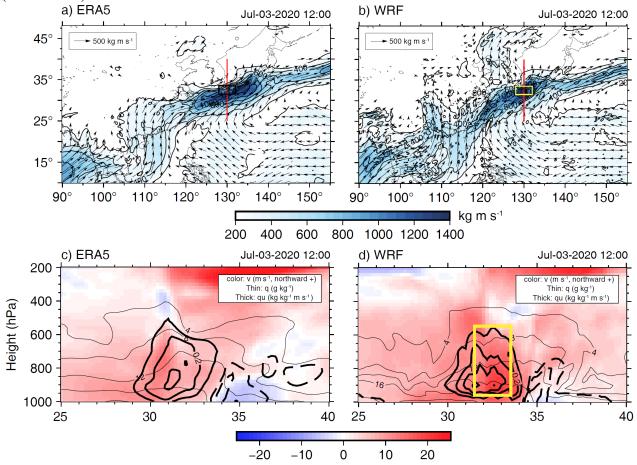
#### 92 2.1 Numerical hindcast

To obtain high spatial and temporal resolution atmospheric fields for the backward 93 trajectories, we performed a numerical hindcast based on the Weather Research and Forecasting 94 model (WRF V4.1.5). Our model covered the East Aisan region (Figure 1), with 9-km mesh 95 96 grids and 60 sigma layers from the surface to the top (50 hPa). The hindcast started from 27 June to 5 July. The boundary and initial conditions were interpolated from the 0.25-degree 6 hourly 97 NCEP GDAS/FNL dataset (NCEP, 2015) and the sea surface temperature (SST) from the daily 98 99 GHRSST MultiProduct Ensemble (Martin et al., 2012). The output was saved every 30 minutes, 100 and the first day was for spin-up and not used. We use the WSM6 microphysics scheme (Hong & Lim, 2006), the Yonsei University PBL scheme (Hong et al., 2006), the Revised MM5 surface 101 layer scheme (Jimenez et al., 2012), the United Noah Land Surface Model (Tewari et al., 2004), 102 the RRTMG Shortwave and Longwave Schemes (Iacono et al., 2008), and the Grell-Freitas 103 104 Ensemble cumulus scheme (Grell & Freitas, 2014).

105 To clearly represent the moisture transport and to validate the WRF output, we calculated 106 the IVT that have been commonly used in previous studies (e.g., Lavers et al., 2012):

107 
$$IVT = \sqrt{\left(\frac{1}{g}\int_{1000\ hPa}^{200\ hPa}qu\ dp\right)^2 + \left(\frac{1}{g}\int_{1000\ hPa}^{200\ hPa}qv\ dp\right)^2},\tag{1}$$

- where g is the gravitational acceleration, q is the specific humidity, p is the pressure, u and v are the zonal and the meridional wind, respectively.
- 110 We used the ERA5 reanalysis dataset (C3S, 2017) for model validation and comparison.
- As shown in Figure 1, our hindcast well reproduced the extremely high IVT, with strong water
- vapor fluxes from the surface to approximately 500 hPa. Our model showed good agreement
- 113 with two satellite-based precipitation datasets in the localized heavy rain over the Kyushu (see 114 Figure S1). Note that, although our model showed approximately 1 degree northward shift of the
- Figure S1). Note that, although our model showed approximately 1 degree northward shift of the front and a lesser northward tilt with height (Figure 1), extra analyses demonstrated that the
- conclusions of this study were not sensitive to these mismatches and parameterizations we chose
- 117 (see Text S1).



118

- 119 **Figure 1**. The vertically integrated water vapor transport (IVT, panels a and b) and vertical cross
- sections (panels c and d) along the 130 E on 3 July, 12 UTC based on ERA5 and WRF (FNL
- 121 based). Yellow boxes show the region for particle releasing, and red lines in upper panels show
- 122 the location of cross section.
- 123 2.2 Backward trajectories
- To identify the moisture source and transport route, we applied a backward trajectory analysis of the passive particles based on the FLEXPART-WRF model (Version 3.3.2; Brioude et al., 2013), which has been widely used in studies on moisture transport (e.g., Langhamer et al.,

2018). We used the snapshot wind obtained from WRF and the Hanna scheme as recommendedby Brioude et al. (2013) for the turbulence parameterization, with the convection scheme on.

Particles were released from 3<sup>rd</sup> July, 00 UTC to 4<sup>th</sup> July, 12 UTC during the 20HR1 with 129 a 12 hr interval over Kyushu from the 950 hPa to the 550 hPa height level, where the strong 130 water vapor fluxes located (129–132 E and 31.5–33.5 N; boxes in Figure 1). Each particle 131 represented an air parcel with specific mass, and 10,000 particles (with total mass of 20 kg) were 132 released in this study. All particles were backward traced to 28<sup>th</sup> June, and their locations and 133 properties would not change again when they reached a boundary. Because this study focused on 134 the moisture transport, we excluded particles originated north of 30 N, which are generally dry 135 and cold particles. As a result, 8868 particles remained for further analyses. 136

137 2.3 Diagnostic variables

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138 Changes in the moisture content of a specific particle  $i (\Delta Q_i)$  predominantly reflect the 139 effects of precipitation (p) and evaporation (e) processes (e.g., Stohl and James, 2004):

$$\Delta Q_{i,t} \approx Q_{i,t} - Q_{i,t-\Delta t} = \frac{m_{i,t}q_{i,t} - m_{i,t-\Delta t}q_{i,t-\Delta t}}{\Delta t} = e - p, \qquad (2)$$

where  $m_{i,t}$  is the mass of particle,  $q_{i,t}$  is the specific humidity, t is time,  $\Delta t$  is the time interval (i.e., 30 mins), and t = 0 is the release time. For simplicity, the moisture uptake is regarded as  $\Delta Q_{i,t} > 0$ , and the precipitation is defined when  $\Delta Q_{i,t} < 0$ . Specifically, if moisture uptake occurred within the planetary boundary layer (PBL; obtained from WRF), a bulk evaporative moisture source (*E*) is identified, and we also adopted a factor of 1.5 to account for the potential underestimation and small scale variations of PBL.

To better evaluate the contribution of different moisture sources, we applied the 'source 147 attribution' method as introduced by Sodemann et al. (2008). The general conception of this 148 method is: a) once moisture uptake is detected, its weighted contribution would be determined 149  $(f_{i,t} = \Delta Q_{i,t}/Q_{i,t})$ , and all previous contributions will be diluted; and b) if precipitation is 150 detected, all previously gained moisture will be reduced according to the weighted contributions. 151 In simple terms, moisture uptake at previous times would be 'filtered' by precipitation occurred 152 later (i.e., 'rain-off'). Readers may refer to Text S2 for an example iteration for this method and 153 Sodemann et al. (2008) for detailed descriptions. 154

155 The 'rain-off' filtered moisture uptake  $(\Delta Q_{i,t,rain-off})$  for all particles in a specific 156 atmospheric column yielded the final contribution for the accumulated moisture over the Kyushu 157 region:

$$\Delta Q_{tot} \approx \frac{\sum_{1}^{N} (\Delta Q_{i,t,rain-off})}{A}.$$
(3)

(4)

159 where  $\Delta Q_{tot}$  is the total 'rain-off' filtered moisture change, N is the total number of particles 160 within the atmospheric column over a unit area A (0.5-degree mesh grid in this study). Similarly,

moisture changes (e - p) for all particles in the column yielded the net surface freshwater flux (Romas et al., 2016):

163  $E - P \approx \frac{\sum_{1}^{N} (e - p)}{A},$ 

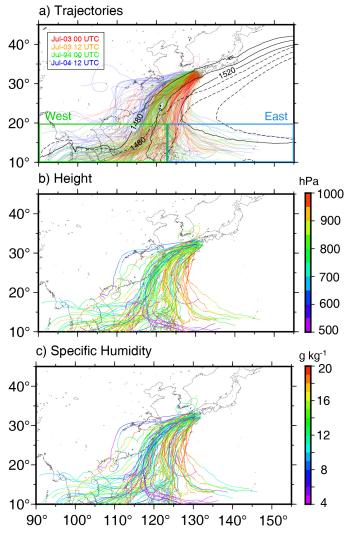
164 where E is the evaporation rate, P is the precipitation rate.

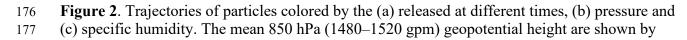
#### 165 **3 Results**

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166 3.1 Moisture transport route

Figure 2a shows the horizontal trajectories of particles (i.e., air parcels). The air parcels 167 of the 20HR1 mainly came from two tropical regions (Figure 1). Among the 8,868 particles, 168 6,380 (71.9%) of them came from the regions west of 122 E (the central longitude line of our 169 domain), including the tropical Indian Ocean (IO) and the regions south of SCS, and 2,285 170 171 (25.8%) of particles came from the east (i.e., the tropical Pacific). The amount of water vapor carried by each particle from the west was lower than those particles from the east when they 172 reached Kyushu (i.e., the release time). Moreover, our results also showed that all air parcels 173 became moister during transport (Table S1). 174





dashed (28<sup>th</sup> June-1<sup>st</sup> July) and solid lines (1<sup>st</sup> July-4<sup>th</sup> July). To avoid overcrowding, only one of
 every 100 trajectories was plotted.

The trajectories show that most particles travelled within mid-to-lower levels along the 180 periphery of the WPSH, exhibiting a narrow moisture channel (i.e., the AR). This moisture 181 channel varied from time to time, which is likely caused by the changing WPSH. For instance, 182 particles released on 3<sup>rd</sup> July mainly passed over the East China Sea (ECS) (red curves in Figure 183 2a), while other trajectories shifted westward due to the westward extension of the WPSH in 184 early July (see difference between the solid and dashed lines in Figure 2a). The particles 185 travelling along the edge of WPSH distributed within the whole mid-to-lower troposphere 186 (Figure 2b), while the particles in the east subducted and became moister simultaneous to the 187 dominant WPSH (Figure 2c). 188

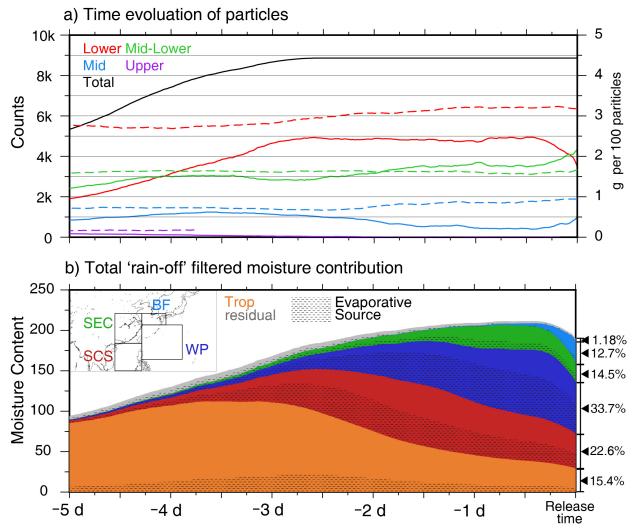
The moisture transport route of 20HR1 can be summarized as follows. In the first stage, 189 the air parcels from the tropical IO were transported eastward (and/or northeastward) to the SCS, 190 191 while other air parcels were transported westward from the tropical Pacific. After merging at approximately 20 N, the air parcels from these two tropical regions went farther north, guided by 192 the WPSH, passing over southeastern China and the WP (and the marginal seas) in next few 193 days. During the northward transport, some air parcels were subducted under the strengthening 194 of WPSH. Within 1 or 2 days, the air parcels encountered the Baiu front at approximately 30 N 195 and turned east along the front before reaching Japan. Readers may refer to an animation that 196 shows the backward tracing of released particles (Video S1; see Data Availability section). 197

198 3.2 Moisture evolution during transport

To further understand the changes in moisture content shown in Figure 2, we examined 199 200 the vertical distributions and moisture content of particles during transport. For simplicity, we consider the partiles in four layers: Lower (> 800 hPa), Mid-Lower (800-600 hPa), Mid (600-201 400 hPa), and Upper (< 400 hPa). As shown in Figure 3a, the variation trends of the particle 202 numbers of Lower and Mid-Lower layers were quite different, although the numbers of particles 203 were almost the same at both the release time and the end of tracing. More particles were 204 subducted into the Lower layer, which facilitated gains of moisture from the sea surface. As a 205 result, even we ignored the moisture gain-loss cycles, the net water vapor content of particles in 206 the Lower layer increased about 20% during transport (dashed lines in Figure 3a). In contrast, 207 while the particles kept entering the model domain, the particles in the Mid (Mid-Lower) layers 208 decreased (changed only slightly) in numbers with slight change in moisture content, suggesting 209 air parcels more likely subducted into lower levels, which is quite different from the results of 210 ARs formed by WCB-like processes (Bao et al., 2006). 211

Figure 3b shows the time evolution of the 'rain-off' filtered moisture contributions of 212 different regions. It is clear that the total moisture content continuously increased until the 213 parcels reached Japan; however, the cause of this increase were different. During the first 2 days 214 (day -5 and day -4), the increase was mainly due to the greater number of particles (hence, the air 215 masses) that entering the domain, especially the low-level air flows(see the black lines in Figure 216 3a). In day -3, after the particles numbers reached its maximum, the amount of moisture from the 217 tropics started decreasing due to the precipitation (the 'rain-off'), and it declined almost linearly 218 during the next two days. By contrast, more water vapor gained from the SCS and the WP, of 219 which more than half was gained by the evaporation (see masked parts in Figure 3b). Especially, 220 221 after day -1, the WP played the most important role in the moisture accumulation and finally

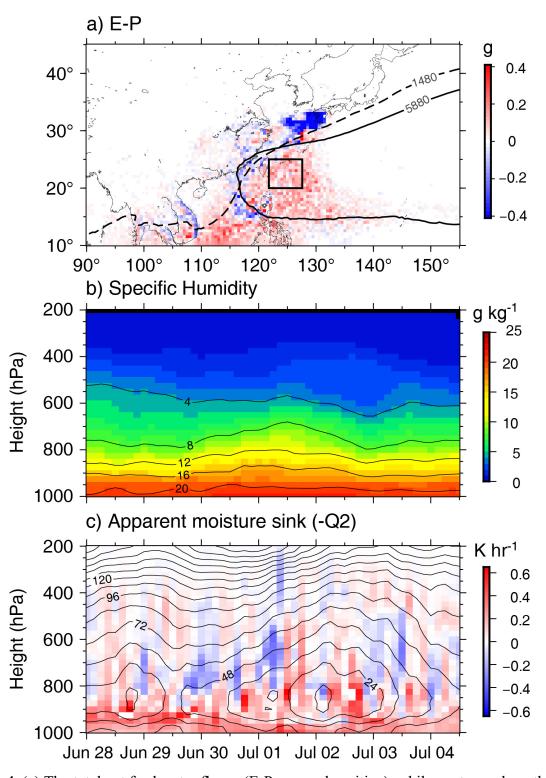
- contributed 33.7% moisture at day 0 (i.e., release time). Note that the moisture contributed by the
- southeast China and the Baiu front was highly related to the heavy rainfalls there, which was
- likely caused by the re-evaporation of raindrops and the convective moistening (see the
- Subsection 3.3).



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Figure 3. Composite time evolutions of (a) particle numbers (solid lines) and moisture (dashed lines; g per 100 particles) in different layers over the model domain, and (b) the total 'rain-off' filtered moisture contribution with their final fractions. Note that the 'Trop' includes the 'origin' moisture and moisture gained in tropical regions (south of 15 N, excluding the SCS).

To further show the horizontal distributions of moisture gain/loss processes along the 231 transport, we evaluated the net surface freshwater fluxes (E-P). The strongest downward 232 freshwater fluxes (hence, moisture loss) were found around 30 N, indicating heavy rainfall along 233 the Baiu front (Figure 4a). Moreover, negative values were also found along the periphery of the 234 WPSH and the coastal region where most of the Mid and Mid-Lower particles travelled (Figure 235 2b), suggesting that the moisture loss continued due to precipitaion along the transport routes. On 236 the other hand, widely distributed positive freshwater fluxes over the SCS and the WP suggested 237 the large amount of moisture was gained over those regions, which are consisting with the results 238 of 'rain-off' filtered contributions (Figure 3b). 239



240

Figure 4. (a) The total net freshwater fluxes (E-P, upward positive), while contours show the

242 WPSH by the mean geopotential height at 850 hPa (dashed) and 500 hPa (solid). (b) Time and

height diagram of the area-averaged specific humidity and (c) apparent moisture sink (-Q2,

positive means increase of moisture) with meridional geopotential height anomalies (contours)

245 over the region (122.5–127.5 E, 20–25 N; black box in panel a).

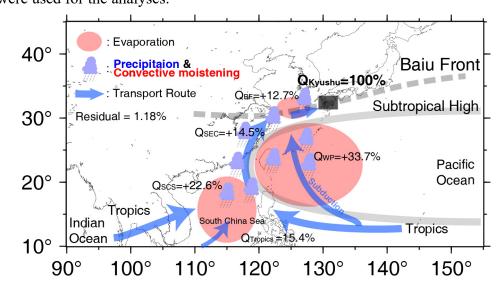
#### 246 3.3 Moistening processes

As shown in Figure 3b, about half of moisture was gained from non-evaporative sources 247 over the subtropics. To reveal what processes helped such moistening, we examined the temporal 248 variations in humidity and apparent moisture sink (Q2; e.g., Yanai et al., 1973; von Salzen et al., 249 2005) over the WP (see black box in Figure 4a). Figure 4b shows that rapid moistening occurred 250 at lower levels from June 30th to July 3rd, when the top of the high humidity layer (> 8 g kg<sup>-1</sup>) 251 rose from 800 hPa to 700 hPa. The upward moisture transport showed good agreement with 252 convection (see -Q2 in Figure 4c; positive means moistening), exhibiting the environmental 253 moistening by convection similar to that reported in tropical studies (e.g., Takemi, 2015). 254 Although convection mostly remained shallow over this region due to the dominant WPSH (no 255 clear precipitation, figure not shown), it facilitated lower troposphere moistening and boundary 256 layer thickening. 257

It is now clear that the non-evaporative moistening over the WP was caused by the lowto-mid level convection along the moisture channel, and the moistened air parcels were then transported to Kyushu by low level flows and contributed to the moisture accumulation. Our analyses also shows that such high humidity low level flows may further enhance the quasistationary line shaped precipitation systems via the "back building" process and, therefore, the heavy rain in Kyushu (e.g., Schumacher & Johnson, 2005; Manda et al., 2014; See Text S3 for the analyses).

#### 265 **5** Conclusions

This study investigated the moisture sources and transport processes of the high accumulation of moisture associated with the heavy rains in July 2020, based on numerical hindcast and backward trajectory analyses. The 10000 particles with random masses were released from 3 July, 00:00 UTC to 4 July, 12:00 UTC, and 8,868 particles that originated south of 30 N were used for the analyses.



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Figure 5. Schematic diagram for the transport route and contribution of the accumulated moisture during the 20HR1.

The backward trajectories exhibit a narrow moisture channel that started from the SCS at 275 20 N where most particles merged there. This channel was found to be located along the 276 periphery of the WPSH before encountering the Baiu front. Our finding about the moisture

- sources and transport are summarized schematically in Figure 5. There were two kinds of
- sources: (a) the moisture transported from tropical regions, including the IO, south of SCS and
- tropical Pacific, and (b) the moisture gained in subtropics during the transport. The moisture from tropical regions was continuously lost due to precipitation, resulting in a smaller
- from tropical regions was continuously lost due to precipitation, resulting in a smaller contribution to the final moisture accumulation of 20HR1. Besides the evaporation, our analyses
- suggest that the air parcels acquired half of moisture via the lower tropospheric convection,
- especially over the WP where they subducted. Finally, the moisture from tropics (15%) and
- subtropics (85%) were accumulated as the form of AR along the Baiu front and contributed to
- the heavy rainfall in Kyushu.

The current study demonstrates that, rather than the moisture from the tropics, subtropical regions played the most important role in moisture accumulation and the heavy rain. Moreover, unlike the ARs that formed by the WCB-like ascending background airflows in the winter extratropical cyclones (e.g., Bao et al 2006; Sodemann & Stohl, 2013), the non-evaporative atmosphere moistening in subtropical regions was mainly induced by the self-constructed convection, even under the WPSH.

Although we revealed how was the moisture accumulated and transported to Japan, this study was mainly based on one major event during the heavy rains of July 2020; multi-casts and longer simulations could reduce the potential uncertainties. On the other hand, our study also suggests potential impacts of recent increasing sea surface temperatures over the subtropical WP (e.g., Bulgin et al. 2020) on the recorded heavy rainfall in Japan by enhancing the local

evaporation and convection. These will be investigated as the next step of this study.

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- 302 Kyushu University.

#### 303 Data Availability

- The data used in this study were listed with the website URL as follows: GSMaP
- 305 (https://sharaku.eorc.jaxa.jp/GSMaP), GDAS/FNL (https://rda.ucar.edu/datasets/ds083.3), the
- 306 ERA5 (<u>https://cds.climate.copernicus.eu/</u>), GMPE (http://marine.copernicus.eu), and TRMM
- 307 (https://gpm.nasa.gov/data/directory). The trajectories used in this study and the trajectories
- animation are available on Zenodo.org (<u>https://doi.org/10.5281/zenodo.3986631</u> and
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