Whistler and Broadband Electrostatic Waves in the Multiple X-line Reconnection at the Magnetopause

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Abstract

We investigate whistler-mode waves and broadband electrostatic waves (EWs) within an ion diffusion region (IDR) at the magnetopause. The quasi-parallel whistlers are observed in the separatrix regions associated with the electron anisotropy or loss-cone, while the oblique whistlers, the Buneman-type waves, and the oblique EWs are observed in the center of the current sheet associated with the accelerated electron or ion beams. The whistlers are linked with Buneman-type waves by the electron Pacman distribution rather than the wave-wave process. The accelerated cold electron beams excite the Buneman-type instabilities and make the anisotropy or loss-cone of hot electrons less apparent, which led to the conversion of the whistlers from quasi-parallel to oblique. Additionally, the oblique EWs are associated with the ion beams produced by the multiple X-line reconnection. These results provide a further understanding of the relation between plasma waves and plasma kinetics in the IDR.

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Key Points:

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| 10 | • Large-amplitude electromagnetic and electrostatic waves are observed in the sep- |
|----|--|
| 11 | aratrices and center of the diffusion region, respectively. |
| 12 | • Quasi-parallel whistlers, oblique whistlers, and Buneman-type waves are linked |
| 13 | via the evolution of electron Pacman distribution. |
| 14 | • The oblique broadband electrostatic waves associated with the ion beams are ob- |
| 15 | served in the diffusion region. |

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16 Abstract

We investigate whistler-mode waves and broadband electrostatic waves (EWs) within 17 an ion diffusion region (IDR) at the magnetopause. The quasi-parallel whistlers are ob-18 served in the separatrix regions associated with the electron anisotropy or loss-cone, while 19 the oblique whistlers, the Buneman-type waves, and the oblique EWs are observed in 20 the center of the current sheet associated with the accelerated electron or ion beams. The 21 whistlers are linked with Buneman-type waves by the electron Pacman distribution rather 22 than the wave-wave process. The accelerated cold electron beams excite the Buneman-23 type instabilities and make the anisotropy or loss-cone of hot electrons less apparent, which 24 led to the conversion of the whistlers from quasi-parallel to oblique. Additionally, the 25 oblique EWs are associated with the ion beams produced by the multiple X-line recon-26 nection. These results provide a further understanding of the relation between plasma 27 waves and plasma kinetics in the IDR. 28

²⁹ Plain Language Summary

The whistler waves and the broadband electrostatic waves are two common waves 30 produced by magnetic reconnection in space plasma. Generally, the whistler waves have 31 two modes, the quasi-parallel and the oblique to the ambient magnetic field associated 32 with different generation mechanisms. Both of them have been observed in the magnetic 33 reconnection, but their relation is still unclear. The broadband electrostatic waves are 34 sometimes simultaneously observed with the oblique whistlers. The relationship between 35 them is also inconclusive. Here, we present a new view of the relation between the quasi-36 parallel whistlers, the oblique whistlers, and the broadband electrostatic waves. They 37 are linked by the evolution of electron Pacman distribution, which is composed of the 38 hot magnetospheric population with the loss-cone and the cold accelerated magnetosheath 39 beam characteristic for magnetopause reconnection, in the reconnection diffusion region 40 rather than the wave-wave process. Besides, the oblique electrostatic waves associated 41 with ion beams are observed in the diffusion region. These results advance our under-42 standing of the relation between plasma waves and magnetic reconnection. 43

44 **1** Introduction

Magnetic reconnection is a fundamental process that converts magnetic energy to
plasma thermal and kinetic energy during the macroscopic changes in magnetic field topology (Biskamp, 1996). One of the important products of magnetic reconnection is plasma
waves. They can efficiently produce particle heating, diffusion, and anomalous effects,
which can potentially affect magnetic reconnection. In addition, plasma waves can pre-

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⁵⁰ cisely mark the deformation of electron and ion velocity distribution functions (VDFs),

51 corresponding to the small-scale plasma kinetics in magnetic reconnection.

The whistler-mode waves are generally characterized by a high degree of polariza-52 tion, positive and high ellipticity, and frequency range from $\sim 0.1 f_{ce}$ to $1 f_{ce}$ (Taubenschuss 53 et al., 2014). Previous observations and simulations show that the whistler-mode waves 54 exist in various regions in reconnection, such as separatrix regions (Graham, Vaivads, 55 et al., 2016; Wilder et al., 2016, 2017; Uchino et al., 2017; Zhou et al., 2011, 2018; Khotyaint-56 sev et al., 2020; Fujimoto & Sydora, 2008), exhausts (Le Contel et al., 2009; Khotyaint-57 sev et al., 2011; Viberg et al., 2014; Fu et al., 2014; Wei et al., 2007; Zhou et al., 2013), 58 diffusion regions (Tang et al., 2013; Cao et al., 2017; Wilder et al., 2019; Le Contel, Retinò, 59 et al., 2016), and magnetic flux ropes (MFRs) (Huang et al., 2016; Jiang et al., 2019; Wang 60 et al., 2019). Whistler waves are generated by unstable structures in the electron VDFs 61 created by magnetic reconnection, such as loss-cones (e.g. Graham, Vaivads, et al., 2016), 62 beams (e.g. Ren et al., 2019), perpendicular temperature anisotropies (e.g. Cao et al., 63 2017). They can also be excited through Cherenkov emission from electron holes (Goldman 64 et al., 2014; Steinvall et al., 2019). Generally, whistler-mode waves generated by loss-cones, 65 perpendicular temperature anisotropies, or electron holes have small wave normal an-66 gles, with respect to those generated by electron beams via Landau resonance (Wilder 67 et al., 2017; Khotyaintsev et al., 2019, 2020). It was suggested that whistler waves can 68 mediate reconnection (Deng & Matsumoto, 2001; Khotyaintsev et al., 2004; Mandt et 69 al., 1994) and efficiently interact with electrons to provide fast pitch-angle scattering. 70

The broadband EWs are another type of waves that have frequently been observed 71 in different regions of reconnection. The frequency of the broadband EWs extends from 72 below the ion plasma frequency to the electron plasma frequency (Khotyaintsev et al., 73 2019). Generally, the broadband EWs have a small wavelength (on the order of several 74 Debye lengths), and sometimes very large amplitude (above 100 mV/m). The proper-75 ties (e.g. phase speed, wave potential, frequencies, propagating direction) of the broad-76 band EWs are highly dependent on their generation mechanism (Graham et al., 2015). 77 The beam instability (Graham et al., 2015; Graham, Khotyaintsev, et al., 2016; Liu et 78 al., 2019; Khotyaintsev et al., 2020), Buneman instability (Khotyaintsev et al., 2010, 2016; 79 Norgren et al., 2015), and the streaming instability (Omura et al., 1996; Ergun, Holmes, 80 et al., 2016) are typically suggested to be responsible for the generation of these EWs 81 in magnetic reconnection. It has been confirmed that broadband EWs can contribute 82 to the thermalization of the plasma by wave-particle interactions in magnetic reconnec-83 tion (Che et al., 2009; Khotyaintsev et al., 2020). 84

The broadband EWs were occasionally observed simultaneously with whistler waves 85 during magnetic reconnection (e.g. Wilder et al., 2016, 2017; Zhou et al., 2018; Khotyaint-86 sev et al., 2020). The relation between the two has not been fully understood yet. Here, 87 we investigate the evolution and properties of the whistler-mode waves and broadband 88 EWs within an ion diffusion region (IDR) at the magnetopause using the measurements 89 from the Magnetospheric Multiscale (MMS) mission (Burch et al., 2016). These two waves 90 overlapped with each other in some MMS observations. Different to previous studies sug-91 gesting that the EWs are excited by the nonlinear evolution of whistler waves (Drake 92 et al., 2015; Wilder et al., 2017; Zhou et al., 2018), we show that the broadband EWs 93 are excited through particle instabilities. 94

95 **2** Event Overview

The MMS encountered the exhaust of magnetopause magnetic reconnection on 5 December 2015 when the spacecraft were located at [9.4, -3.4, -0.7] R_E (Earth radius) in Geocentric Solar Magnetospheric (GSM) coordinates (Zhong et al., 2019). The MMS data used in this study are from the following instruments: the Fluxgate Magnetometer (Russell et al., 2016); the Fast Plasma Instrumen (Pollock et al., 2016); the Electric Double Probes (Lindqvist et al., 2016; Ergun, Tucker, et al., 2016); the Search Coil Magnetometer (Le Contel, Leroy, et al., 2016).

Figures 1a-1d show MMS2 observations during 00:40:00 - 00:42:20 UT in the lo-103 cal boundary normal (LMN) coordinates. The spacecraft were located on the magne-104 tospheric side of the magnetopause current sheet in the southern exhaust region before 105 00:40:30 UT, which is characterized by the positive B_L (Figure 1b) and large ion bulk 106 velocity in -L direction, $V_{iL} \sim -400$ km/s (Figure 1d). The large $B_L \sim 50$ nT (Fig-107 ure 1b), the ion temperature sharply increasing to $\sim 1 \text{ keV}$ (Figure 1a), and the den-108 sity sharply decreasing to $\sim 1 \text{ cm}^{-3}$ (Figure 1c) at around 00:40:25 UT indicate that 109 MMS detected the magnetospheric separatrix of the primary magnetopause reconnec-110 tion. B_L decreases sharply and reverses sign several times during 00:40:25 - 00:42:03 UT, 111 indicating that the spacecraft crossed the magnetopause current sheet several times in 112 the exhaust. Finally, the spacecraft crossed the magnetosheath separatrix at around 00:42:03 113 UT, which is the edge of the ion outflow (Figure 1d), and moved into the magnetosheath 114 (negative B_L and very low ion bulk velocity). There are three ion scale MFRs generated 115 by multiple X-line reconnection in the exhaust (Zhong et al., 2019). These MFRs are 116 manifested by the bipolar signature of B_N and the enhancements of B_M and the total 117 magnetic field (Figure 1b). Figure 2a illustrates the MMS trajectory within the mag-118 netopause reconnection exhaust inferred from the spacecraft observations. 119

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Figure 1. Top: Overview of the southern exhaust of magnetopause reconnection observed by MMS2 on December 5, 2015. (a) ion differential energy flux and temperature (black line), (b) three components and total magnetic field, (c) electron and ion number density, (d) ion bulk velocity. Bottom: electron current sheet between the first two magnetic flux ropes. (e) three components and total magnetic field, (f) electron bulk velocity, (g) N component of the measured electric field (black), $-(V_i \times B)$ (red), $-(V_e \times B)$ (blue), (h) electron temperature, $T_{e\parallel}$, $T_{e\perp}$, (i) electron number density. The vector data have been transferred from GSM to LMN coordinates; L = [0.12, -0.52, 0.85], M = [-0.26, -0.84, -0.48], and N = [0.96, -0.16, -0.23].

We focus on the region between the first two MFRs (Figure 2) where a secondary 120 reconnecting current sheet is detected (Zhong et al., 2019). This secondary magnetic re-121 connection is responsible for the formation of these MFRs in the exhaust. Figures 1e-122 1i present the MMS2 observations during 00:40:29.60 - 00:40:34.00 UT. The current sheet 123 crossing from the magnetospheric side to the magnetosheath side can be seen as the re-124 versal of B_L from +20 nT to -20 nT (Figures 1e). In addition, the positive B_N (Fig-125 ure 1e) and negative V_{eL} (Figure 1f) indicate that the spacecraft were located southward 126 of the secondary reconnection site as shown in Figure 2b. A uniform $B_M \sim -20$ nT 127 is detected throughout this interval (Figure 1e), corresponding to a guide field equal to 128 the asymptotical magnetic field B_L . 129

The M component of electron bulk velocity, V_{eM} , is significantly increased in the 130 orange shaded area (Figure 1f) with the peak value $\sim 1,000$ km/s coincident with $B_L \sim$ 131 0. Figure 1g shows the N component of the electric field. The ion convective electric field 132 (red curve) is different from the measured electric field (black curve), while the electron 133 convective electric field (blue) balances the measured electric field over the orange shaded 134 area. Furthermore, the measured electric field shows a bipolar signal from positive to neg-135 ative in the ion frame consistent with variation of the Hall electric field (e.g. Zhou et al., 136 2016). Thus, the orange shaded area marks the IDR of the secondary magnetic recon-137 nection. The electron temperature and density increases significantly in the IDR (Fig-138 ures 1h and 1i). 139

¹⁴⁰ 3 Plasma Kinetics and Waves

Figures 3a-3f show the selected reduced two-dimensional (2D) electron VDFs, $f_e(v_{\parallel}, v_{E \times B})$, 141 within the IDR from the magnetospheric side to the magnetosheath side. The evolution 142 of the 1D electron VDFs along the magnetic field, $f_e(v_{\parallel})$, are shown in Figure 3g. At 143 the beginning of the interval, before 00:40:30.40 UT, the spacecraft are located inside 144 the MFR where lower energy symmetric $f_e(v_{\parallel})$ is observed (Figure 3g). After this, the 145 spacecraft move into the IDR where more energetic electrons are observed. At the mag-146 netospheric side edge of the IDR (around 00:40:30.5 UT), which is identified as the mag-147 netospheric separatrix region of the secondary magnetic reconnection, a close to sym-148 metric distribution is observed (Figure 3a and 3g). Figure 3a shows that the cold elec-149 tron population (composed of bi-direction beams) has a parallel temperature anisotropy 150 $T_{e\parallel} > T_{e\perp}$, while the higher energy population has a loss-cone, corresponding to a per-151 pendicular temperature anisotropy $T_{e\perp} > T_{e\parallel}$. Figures 3b and 3c show that the cold 152 beams are accelerated to higher energy when they get closer to the center of the current 153 sheet. Meanwhile, the accelerated beams become weaker and the hot population becomes 154



Figure 2. (a) Sketch of MMS trajectory within the southern exhaust of magnetopause reconnection, and (b) a zoom-in view of the reconnecting current sheet located between the first two magnetic flux ropes.

¹⁵⁵ more isotropic. These features indicate that the perpendicular temperature anisotropy ¹⁵⁶ $(T_{e\perp} > T_{e\parallel})$ of the hot population is subdued by the accelerated and scattered bi-direction ¹⁵⁷ cold beams (Figure 3c). Additionally, the Pacman distribution, which is composed of the ¹⁵⁸ hot magnetospheric population with the loss-cone and the cold accelerated magnetosheath ¹⁵⁹ beam characteristic for magnetopause reconnection, implies that the magnetic field line ¹⁶⁰ is opened inside the IDR (Khotyaintsev et al., 2019).

Figure 3g shows that $f_e(v_{\parallel})$ becomes more asymmetric during the interval 00:40:31.2 161 - 00:40:33.5 UT corresponding to the spacecraft moving into the central region of the cur-162 rent sheet and the magnetosheath side of the IDR. The evolution of the Pacman distri-163 bution from the magnetosheath side to the center of the current sheet is similar to that 164 from the magnetospheric side to the center of the current sheet. The anti-parallel cold 165 beam originating from the magnetosheath was accelerated when it moved from the mag-166 netosheath separatrix region (Figure 3f) to the center of the current sheet (Figures 3d 167 and 3e). Then, the accelerated anti-parallel cold beam partially filled the anti-parallel 168 loss-cone resulting in the loss-cone becoming less apparent at the central region of the 169 current sheet (Figures 3d and 3e). After 00:40:33.5 UT, the spacecraft crossed the mag-170 netosheath separatrix into the magnetosheath inflow region (Figure 2b), where $f_e(v_{\parallel})$ 171 becomes symmetric again (Figure 3g). It has been suggested that the Pacman distribu-172 tion can be unstable to both quasi-parallel and oblique whistlers at the same time (e.g. 173

¹⁷⁴ Khotyaintsev et al., 2019). Thus, two whistler-modes may be expected to appear in the¹⁷⁵ IDR.

Figure 3h shows the evolution of 1D ion VDFs in L direction, $f_i(v_L)$. The main 176 population consistent with the L component of the ion bulk velocity (white curve in Fig-177 ure 3h) is observed throughout this interval, which is the accelerated ion outflow pro-178 duced by the primary magnetopause reconnection. One can see that an additional nar-179 row beam (~ -500 km/s, above the bulk velocity) in -L direction is observed only within 180 the IDR, especially the central region of the current sheet. It is an unmagnetized ion beam 181 in the IDR produced by the secondary magnetic reconnection. The instabilities associ-182 ated with the ion beam may appear in the IDR. 183

As expected, there are intense E and B fluctuations observed within the IDR. We 184 investigate the plasma waves at frequencies above 30 Hz. Figure 3i presents the ampli-185 tude distribution of such E (black cross) and B (red circle) fluctuations related to the 186 change of the reconnecting magnetic field B_L in time. The magnitude of B_L can mark 187 the relative distance between the spacecraft and the magnetopause current sheet ($B_L =$ 188 0). Figures 3j and 3k show the waveforms of E and B fluctuations at frequencies above 189 30 Hz, respectively. The maximum amplitude of E fluctuations is above 100 mV/m (Fig-190 ure 3j), while that of B fluctuations is above 1 nT $\sim 5\%$ of the ambient magnetic field 191 (Figure 3k). One can see that these intense E and B fluctuations are localized within the 192 IDR associated with the unstable electron and ion VDFs. Furthermore, the large-amplitude 193 B fluctuations are present in the separatrix regions (both magnetospheric and magne-194 tosheath separatrix regions) far away from the central current sheet, while the large-amplitude 195 E fluctuations are present in the regions closer to the central current sheet (Figures 2b 196 and 3i-3k). 197

Figure 31 shows the power spectrum of B fluctuations for f > 30 Hz. They are 198 confined to $f < 0.5 f_{ce}$ (f_{ce} , electron cyclotron frequency) and have ellipticity close to 199 1 (right-hand polarization close to circular, Figure 3m), indicating that the B fluctua-200 tions are whistler-mode waves. The large-amplitude whistler-mode waves are present in 201 the magnetospheric and magnetosheath separatrix regions (Figures 2b, 3i, and 3k). Such 202 waves have small wave-normal angles ($\theta_k < 20^\circ$) corresponding to $\delta B_{\parallel} < \delta B_{\perp}$ (Fig-203 ure 3k). We find that these whistler-mode waves are field-aligned propagating in the mag-204 netosheath separatrix region (around 00:40:32.80 UT). Their phase velocity is $V_{ph} \sim 2,100$ 205 km/s estimated by $|\delta E_{\perp}|/|\delta B_{\perp}|$, where δE_{\perp} and δB_{\perp} are the perpendicular wave elec-206 tric field and magnetic field, respectively. The n = -1 cyclotron resonant speed of elec-207 trons (Kennel, 1966), $v_{\parallel} = \lambda_{\parallel}(f - f_{ce})$ where $\lambda_{\parallel} = V_{ph}/f$, is $\sim -12,000$ km/s (f = 1000 km/s (f = 1000 km/s) 208 90 Hz and $f_{ce} = 580$ Hz) corresponding to the hot antiparallel loss-cone in the mag-209

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netosheath separatrix region (Figure 3f). In addition, both field-aligned and anti-field-210 aligned propagating whistler-mode waves are observed in the magnetospheric separatrix 211 region (around 00:40:30.60 UT) with $V_{ph} \sim 2,800$ km/s. The n = -1 cyclotron res-212 onant electron speed of electrons is $\sim 13,000 \text{ km/s}$ (f = 140 Hz and $f_{ce} = 790 \text{ Hz}$) 213 corresponding to the hot perpendicular temperature anisotropy (Figures 3a and 3b). These 214 indicate that the quasi-parallel whistler-mode waves are generated by loss-cone or per-215 pendicular temperature anisotropy at the separatrix regions. We can see that the am-216 plitudes of B fluctuations are decreased in the central region of the current sheet (Fig-217 ure 3k). The amplitudes of the parallel component are similar to that of the perpendic-218 ular components consistent with the oblique propagation, $\theta_k \sim 50^\circ$, Such oblique waves 219 propagate both in the field-aligned and anti-field-aligned direction as well. The oblique 220 whistler-mode waves can be generated by the electron beam via Landau resonance (Fujimoto, 221 2014; Ren et al., 2019). 222

Figures 3n and 3o show the power spectra of E_{\parallel} and E_{\perp} fluctuations for f>30223 Hz, respectively. The large-amplitude E fluctuations show a broadband spectra both in 224 E_{\parallel} and E_{\perp} components (Figure 3n and 3o). There are two intense groups of broadband 225 E fluctuations observed in the central region of the current sheet. Additionally, there are 226 no corresponding B fluctuations observed, indicating broadband EWs. We focus on the 227 first group when we start to see the ion beam signature at -500 km/s (around 00:40:31228 UT). The frequencies of the maximum power of the E_{\perp} component (Figure 3n) is close 229 to ion plasma frequency $(f_{pi}, \text{ blue line})$ and above f_{ce} , while that of the E_{\parallel} component 230 (Figure 30) is less than f_{ce} (red line). This might imply that the broadband EWs are 231 composed of at least two different wave modes. 232

Figures 4a and 4b show the waveforms of this broadband EWs (gray shaded area), 233 which are separated into the high- and low-frequency (HF and LF, Figures 4a and 4b) 234 components by high-pass filtering at 600 Hz and low band-pass filtering between 200 and 235 600 Hz, respectively. Figure 4c shows the difference between the power of E_{\parallel} and E_{\perp} 236 components, $E_{\parallel}^2 - E_{\perp}^2$. It is clear that the LF waves have $E_{\parallel}^2 > E_{\perp}^2$, while the HF waves 237 have $E_{\perp}^2 > E_{\parallel}^2$. These two modes of the broadband EWs are mixed together in the gray 238 shaded area. We are unable to completely separate them in order to analyze their prop-239 agating direction. Fortunately, one of these two components of the broadband EWs is 240 observed separately in the vicinity of this mixed group. The purple shaded area in Fig-241 ure 4a marks the individual HF waves which are dominated by E_{\perp} (Figure 4c). We found 242 that the HF waves have k = [-0.91, 0.38, 0.13] in LMN coordinates obtained by the max-243 imum variance analysis of the wave electric field. This indicates that the HF waves are 244 propagating in the L direction and obliques to the ambient B ($\theta_k \sim 60^\circ$, Figure 4d) as 245

illustrated in Figure 2b. Here, the ambient B is dominated by guide field B_M . Furthermore, the yellow shaded area in Figure 4b marks the individual LF waves which are dominated by E_{\parallel} (Figure 4c). We found that the LF waves have k = [-0.05, 1.00, -0.03]in LMN coordinates. It is propagating in the *M* direction and quasi-parallel to the ambient B ($\theta_k \sim 16^\circ$, Figure 4e). The different propagation directions imply that the HF and LF waves have different generation mechanisms.

We use the 120-m separation between the spin-plane double probes for multi-probe 252 interferometry (Graham et al., 2015; Graham, Khotyaintsev, et al., 2016) to estimate the 253 phase velocity of the LF waves since the ambient B is close to the spacecraft spin plane 254 during this interval. Unfortunately, the phase velocity can be determined only for the 255 LF, but not for the HF waves since they are oblique to the ambient B. Figures 4f and 256 4g present the frequency-wavenumber power spectrum $P(f,k)/P_{max}$ in the gray and yel-257 low shaded areas, respectively. They are obtained from E_{sc-p3} and E_{p4-sc} of MMS2. We 258 see that a linear relation can be found between the frequencies of peak power and the 259 k_{\parallel} at LF range (f < 800 Hz). The k_{\parallel} of the LF is during 0.01-0.03 m⁻¹, indicating the 260 LF waves have wavelengths of the order of a few Debye lengths (200 - 630 m \sim 10 -261 $32\lambda_D$, where $\lambda_D \sim 20$ m is the local Debye length given $T_e = 130$ eV and $n_e = 15$ 262 cm⁻³) consistent with previous studies (e.g. Graham, Khotyaintsev, et al., 2016; Khotyaint-263 sev et al., 2016). Using linear fits to the data, we found that the wave speeds are about 264 -178 km/s and -142 km/s for the LF waves in the gray and yellow shaded areas, respec-265 tively. They are slightly less than the local ion thermal velocity. In addition, the second 266 broadband EWs group, which is located on the magnetosheath side (around 00:40:32.10 267 UT, Figure 3), shows similar properties to the LF waves. The slow speed of the LF waves 268 suggests the Buneman-type instabilities should be the sources of the observed LF waves. 269 The generation mechanism of the HF oblique EWs is unclear. 270

²⁷¹ 4 Discussion

Previous studies suggested that both the quasi-parallel and oblique whistler-mode 272 waves can be generated at the outflow regions and separatrix regions which are far away 273 from the IDR. A part of such whistler-mode waves can propagate toward and into the 274 X-line (e.g. Wilder et al., 2019). In this paper, both quasi-parallel and oblique whistler-275 mode waves are observed in the IDR. Their evolution is consistent with the evolution 276 of electron Pacman distributions, thus we suggest that they are generated by local un-277 stable electron VDFs within the IDR rather than propagating from the outside. We note 278 that the amplitudes of quasi-parallel mode are significantly larger than that of oblique 279 mode, indicating that the quasi-parallel mode carries more energy in reconnection. Ad-280

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Figure 3. Plasma waves associated with ion and electron reduced velocity distribution functions (VDFs). (a)-(f) 2-dimensional (2D) reduced electron VDFs observed at the times indicated in the panels, (g) integrated 1D electron VDFs along the magnetic field, (h) integrated 1D ion VDFs along the *L* direction, (i) evolution of waves related to *L* component of the magnetic field, (j) E and (k) B waveforms with the frequency greater than 30 Hz, (l) B spectrum and (m) ellipticity, (n) E_{\perp} and (o) E_{\parallel} spectra. f_{pi} and f_{ce} stand for ion-plasma and electron-cyclotron frequencies, respectively.

ditionally, the whistler-mode waves correspond to the enhancement of the electron tem-

perature. It may contribute to the non-adiabatic heating of electrons in the IDR (Khotyaintsev
et al., 2020).

For the broadband EWs, in addition to the phase velocity, the propagation direc-284 tion is also highly dependent on the generation source. For example, the LF Buneman-285 type waves propagating in the anti-field-aligned direction (+M direction in LMN coor-286 dinates) is consistent with the anti-parallel accelerated electron beams within the IDR. 287 Although the phase velocity of the HF waves cannot be obtained, we found that the prop-288 agation direction of HF waves is close to L direction. Furthermore, we found that there 289 are ion beams in -L direction (Figure 3h), while the unstable electron distributions are 290 observed in the M direction rather than L direction since the electrons are magnetized 291 within the IDR due to the guide field. Considering their frequency is close to f_{pi} , we sug-292 gest that the HF waves associate with the oblique ion beams are probably oblique ion-293 acoustic waves, but the related ion instability is unknown. The waves associated with 294 ion instability within the IDR have rarely been reported at present. Further studies are 295 needed to determine whether the EWs associated with ion beams are commonly present 296 within the IDR and their potential effects on magnetic reconnection. 297

It has been reported that intense broadband parallel EWs can be driven by the non-298 linear evolution of anisotropy-driven whistler-mode waves (Drake et al., 2015). We found 299 that the whistler-mode waves and broadband EWs are not linked via wave-wave processes 300 (e.g. nonlinear steepening) in this event, but rather by the evolution of the Pacman dis-301 tribution characteristic for magnetopause reconnection with significant temperature asym-302 metry across the magnetopause. The beams in the Pacman distributions originate from 303 the magnetosheath rather than the scattering of nonlinear whistler-mode waves. The strength 304 of the loss-cones and the beams vary across the IDR, generating different types of waves. 305 Close to the magnetospheric or magnetosheath side the electron beam is weak and the 306 loss-cone or temperature anisotropy is very pronounced, hence the quasi-parallel whistler-307 mode waves are generated, while closer to the central region of the current sheet the elec-308 tron beam is strong and the loss-cone or temperature anisotropy is weak, and thus the 309 dominant wave mode generated are EWs. And in the middle, we observe the mixture 310 of the two, with the addition of beam-driven oblique whistler-mode waves. 311

312 5 Conclusions

In conclusion, we have investigated the whistler-mode waves and broadband EWs observed in an IDR. This reconnecting current sheet of the multiple X-line reconnection was observed by MMS at the magnetopause under a moderate guide field $(B_g \sim 1B_L)$.

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Figure 4. Two components of broadband electrostatic waves. (a)-(b) E-HF and LF waveforms; (c) the difference between the power of parallel and perpendicular components of E fluctuations, $E_{\parallel}^2 - E_{\perp}^2$; (d) and (e) typical wave E polarization for oblique ion acoustic-like waves, sampled at 00:40:31.15 UT (purple area), and Buneman-type waves, sampled at 00:40:31.45 UT (yellow area), respectively; (f) and (g) frequency-wave number power spectra obtained from 00:40:31.00 UT (gray area) and 00:40:31.45 UT (yellow area), respectively.

The different waves are generated in different locations as illustrated in Figure 2. The key results are:

(1) The quasi-parallel whistler-mode waves are observed in the separatrix regions,
 while the oblique whistler-mode waves and broadband EWs are observed in the region
 closer to the central current sheet.

(2) The quasi-parallel whistler-mode waves are generated by the electron perpendicular temperature anisotropy or loss-cone and have large amplitude, while the oblique
whistler-mode waves are driven by the electron beam and have small amplitude. The evolution of the whistler-mode waves from quasi-parallel to oblique is attributed to the evolution of the electron Pacman distribution.

(3) The whistler-mode waves and the broadband EWs are not linked by the wavewave process in this event, but rather by the evolution of electron Pacman distribution
throughout the reconnection region. The broadband EWs are composed of the Bunemantypes waves and the oblique EWs associated with ion beams.

We have shown that the evolution and properties of the whistler-mode waves and the broadband EWs in the IDR reflect the localized evolution of electron and ion VDFs. Future work is needed to investigate wave generation in the diffusion region of reconnection under different ambient conditions (e.g. guide field, asymmetry, background flow).

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Figure 1.



Figure 2.



Figure 3.



Figure 4.

