

# Control of Permafrost and Seasonal Frost on Stream and Groundwater Interactions in Alpine Catchment, Northeastern Tibet Plateau, China

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November 24, 2022

## Abstract

The role of groundwater in maintaining streamflow in the alpine area with distribution of permafrost and seasonal frost is a poorly studied topic of considerable interest. The stream and groundwater interactions and groundwater contributions to the Heihe River were investigated during this study in a representative subcatchment in the headwater region of the Heihe River Basin, the northeastern Qinghai-Tibet Plateau of China. The hydraulic, chemical and isotopic data as well as Bayesian mixing model results show that groundwater-stream water interactions were both spatially and temporally variable. The tributaries were primarily recharged by springs within the permafrost zone during the frozen period when the water source and sediments were frozen and the groundwater discharged to the mainstream within the seasonal frost zone to maintain the streamflow. The groundwater contribution to mainstream discharge decreased from 95% during the frozen period to 80-90% in the thawing period due to the inflow from tributaries. However, the stream and groundwater interactions vary several times along altitude during the thawed period from June to early September, due to the increased glacial/snow meltwater volume, deepened active layer, and melted seasonal frost. Groundwater contribution decreased to ~40-60% of the mainstream discharge during the thawed period because tributary streams contribution largely increased. As shown by ~70-90% contribution from groundwater to the mainstream discharge, the mainstream flow mainly sourced from the release of groundwater in aquifers in the freeze-back period. These data indicate that the variations in groundwater-surface water interactions were largely influenced by the distribution and freeze-thaw cycle of permafrost and seasonal frost. The importance of groundwater storage in maintaining streamflow in the Heihe headwater region was highlighted by this study.

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**Keywords:** alpine catchment; stream and groundwater interactions; permafrost; seasonal frost zone; freeze-thaw process

## 1 Introduction

Many large rivers, such as the Yukon River of Alaska, USA, the Lena and Kolyma rivers in Siberia, Russia, and the Yangtze, Yellow, Tarim and Heihe rivers in China, originate within the cryosphere, where water exists in surficial geological materials in solid form (often as permafrost). During the past decade, there has been a growing interest in the contribution of groundwater to these types of river systems. This increased interest stems largely from the observed changes in the flux of such rivers as the Yukon in Canada (Dornblaser and Striegl 2007, 2009; Schuster et al. 2011; O'Donnell et al. 2012), and the Ob', Yenisey and Lena rivers in Russia (Frey et al. 2007; Smith et al. 2007), which may reflect the melting of permafrost in response to climate change (Garey et al., 2013). While groundwater discharge to these rivers is readily apparent indicated by the river icings and the occurrence of open water throughout the winter, the subsurface groundwater flow paths and the contribution of groundwater to the total discharge remain uncertain (Woo and Marsh, 2005).

The existing studies on groundwater and surface water interaction in cryosphere focused mainly in arctic-subarctic area, where is characterized by low temperature, continuous distribution of thick layer permafrost with surface runoff mainly occurring in spring freshet period, and the active layer being deepest in summer (Chang et al., 2018). In comparison, the middle and low latitude alpine catchments in the Qinghai-Tibet Plateau are featured by the

variable terrain and landforms as well as large local altitude differences, and often underlie by co-existed continuous permafrost, discontinuous permafrost, and seasonal frost (Cheng and Jin, 2013). In this area, the majority of annual precipitation falls and glacier melt mainly occurs during summer, when the active layer also thaws to reach the maximum depth within one year (Lu et al., 2004). The characteristics of permafrost and seasonal frost distribution and hydrological conditions may lead to a complex flow path regime and frequent interactions between groundwater and surface water, further influencing the catchment discharge pattern (Woo, 2012). However, few studies have been conducted to investigate the controls of the complex distribution pattern and freeze-thaw processes of permafrost and seasonal frost on surface water and groundwater interaction in the alpine area.

Several methods have been widely used to investigate the interactions between surface water and groundwater, including the use of potentiometric data, groundwater temperature, and various types of isotopic (O, H, Sr) and geochemical (e.g. cation ratio) data (Mondal et al., 2010 and references therein). Hydrogeological data in remote cryosphere were often lacking due to limited infrastructure. Thus, geochemical and isotopic methods are becoming an increasingly important approach to the study of groundwater and surface-water interactions (Rautio and Korkka-Niemi, 2015). For example, Anderson et al. (2013) combined the analysis of remotely sensed imagery with lake water oxygen and hydrogen isotopic compositions to identify the existence and cause of lake area changes within Yukon Flats, a region of discontinuous permafrost in north-central Alaska. The isotope data indicated that ~5% of the water volume within the studied lakes was derived from the melting of snow and/or permafrost. Rautio and Korkka-Niemi (2015) also employed a combination of

chemical and isotopic tracers to assess groundwater and surface water interactions within a boreal lake catchment in Finland. The concentrations and isotopic ratios of dissolved inorganic carbon (DIC) have also been jointly used to determine groundwater and surface water interactions (Utting et al., 2013).

The mixing models are often employed when using tracers to quantify the contribution of groundwater to surface water. The simple linear mixing model has been widely used to determine the contribution fraction of each water source to a river given the assumption that there is limited variability in the geochemical composition of the source waters (e.g., Brassard et al., 2000). However, such model has been proven to be problematic, in part because the geochemical or isotopic compositions of source waters are often similar or may even overlap, significantly increasing uncertainty in the modeling results (Davis et al., 2015). Thus, Bayesian mixing model, which considers the uncertainties associated with the measured tracer concentrations in waters and their affecting factors, has been more widely applied to separate different sources in recent years (Cable et al., 2011; Arendt et al., 2015; Davis et al., 2015). For example, Cable et al. (2011) applied the Bayesian modeling technique with H and O isotope data to estimate the fractional contribution of glacier meltwater to Dinwoody Creek in the Wind River Range of Wyoming on a bi-weekly and seasonal time scale over for two years.

Heihe River, the second largest inland river in China, serves as an important water resource for domestic, agricultural and industrial needs in the Qinghai, Gansu and Inner Mongolia provinces of northwestern China (Wei et al., 2018). The headwater region of the Heihe River is located in the Qilian Mountains, which is covered by continuous and

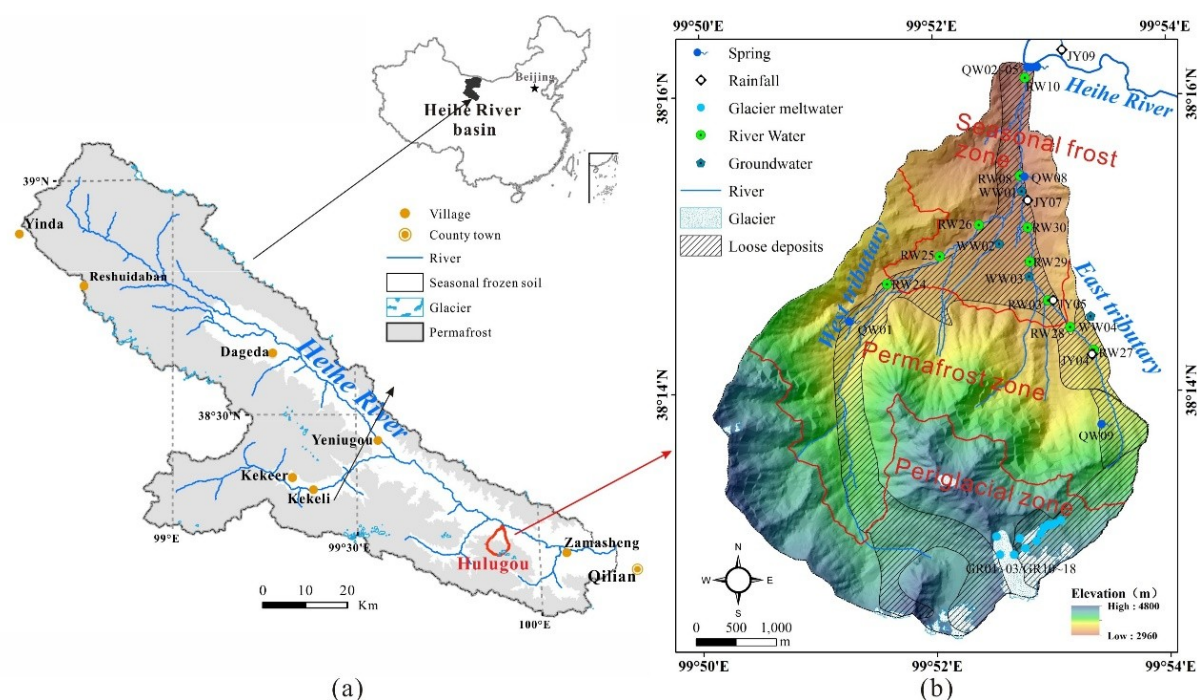
discontinuous permafrost and seasonal frost. Previous studies in the area focused on the hydrological processes of surface water (Jia et al., 2009; Wang et al., 2018; Gao et al., 2018) and the contribution of glacier and snow meltwater to river discharge (Li et al., 2014; Chang et al., 2018). The numerical models were developed to predict the effect of climate change on groundwater discharge to river (Evans et al., 2015). However, the model oversimplified the field condition and has a large uncertainty, and the surface water and groundwater interactions, including the contribution of groundwater to streamflow, remain unquantified based on the field site configuration.

The objective of this study is to improve our understanding of the groundwater and surface water interactions and quantify the contributions of groundwaters via different flow paths to the Hulugou stream, a representative tributary of the Heihe River. The data of hydraulics, geochemistry and naturally occurring isotopic tracers as well as the Bayesian mixing model were employed to identify different flow paths and estimate the relative contributions of potential water sources to the stream discharge. Inherent in the study is an assessment of the controls that the distribution and freeze-thaw processes of permafrost and seasonal frost exert on groundwater flow and its discharge to the stream.

## **2 Study site and background**

The Hulugou catchment (located between 38°12'14"N-38°16'23"N latitude and 99°50'37"E-99°53'54"E longitude, Fig. 1) is an alpine catchment within the Qilian Mountains that rise along the northeast border of the Qinghai-Tibetan Plateau. The catchment possesses a drainage area of 23.1 km<sup>2</sup> and represents one of many headwater tributaries of the Heihe

River (Fig. 1). The elevation of the Hulugou catchment ranges from 2960 m a.s.l. to 4820 m a.s.l., and gradually decreases from south to north.



**Fig. 1.** (a) Location of the headwater region of Heihe River (a), and (b) Hulugou Catchment study area showing sampling sites.

The catchment is characterized by a continental climate. Mean annual precipitation is 400 mm at low altitudes and increases to 600 mm at higher altitudes; 70% of the annual precipitation occurs during July–September (Chen et al., 2014a). Open water evaporation ranges from 376 to 650 mm/year. The mean annual temperature decreases from approximately 3.1°C at 3000 m a.s.l. to −4.0°C at 4200 m a.s.l., whereas the average annual temperature throughout the catchment is −3.9°C (Chen et al., 2014a). Variations in daily precipitation, stream discharge and temperature in 2014 to 2015 are shown in Fig. 2, which can be accessed at the WestDC database ([http:// westdc.westgis.ac.cn/](http://westdc.westgis.ac.cn/)).



135 The area above 4200 m is mostly covered by five mountain glaciers (total area 0.827  
136 km<sup>2</sup> in 2011) and seasonal snow, which in combination accounts for 8.4% of the total  
137 Hulugou catchment area. This area provides the majority of the water resources in the  
138 catchment, most of which are derived from ice and snow. The glaciers are included in the  
139 headwater conservation zone of the Heihe River (Liu and Chen, 2016; Li et al., 2014). The  
140 catchment has complicated terrain, but in general, is characterized by a systematic change  
141 with decreasing elevation from permafrost area with glacial and periglacial features to  
142 seasonal frost area with fluvioglacial features in a piedmont sloping plain. The south part of  
143 the study area consists of bedrock outcrops, whereas the northern part is composed of  
144 fluvioglacial fan(s).

145 Three important types of depositional units that serve as aquifers have been identified in  
146 Hulugou catchment, i.e., pro-glacial moraine and talus deposits, moraine and fluvioglacial  
147 deposits on planation surface, and fluvioglacial and moraine deposits in piedmont plain  
148 (Chang et al., 2018). The piedmont plain in the Hulugou catchment is composed of several  
149 partially superimposed fluvioglacial fans. The thick (20–50 m) deposits composed of poorly  
150 sorted, subangular, mud-bearing pebble gravels in the plain provide favorable aquifers for  
151 groundwater storing and transmission (Chang et al., 2018; Ma et al., 2017).

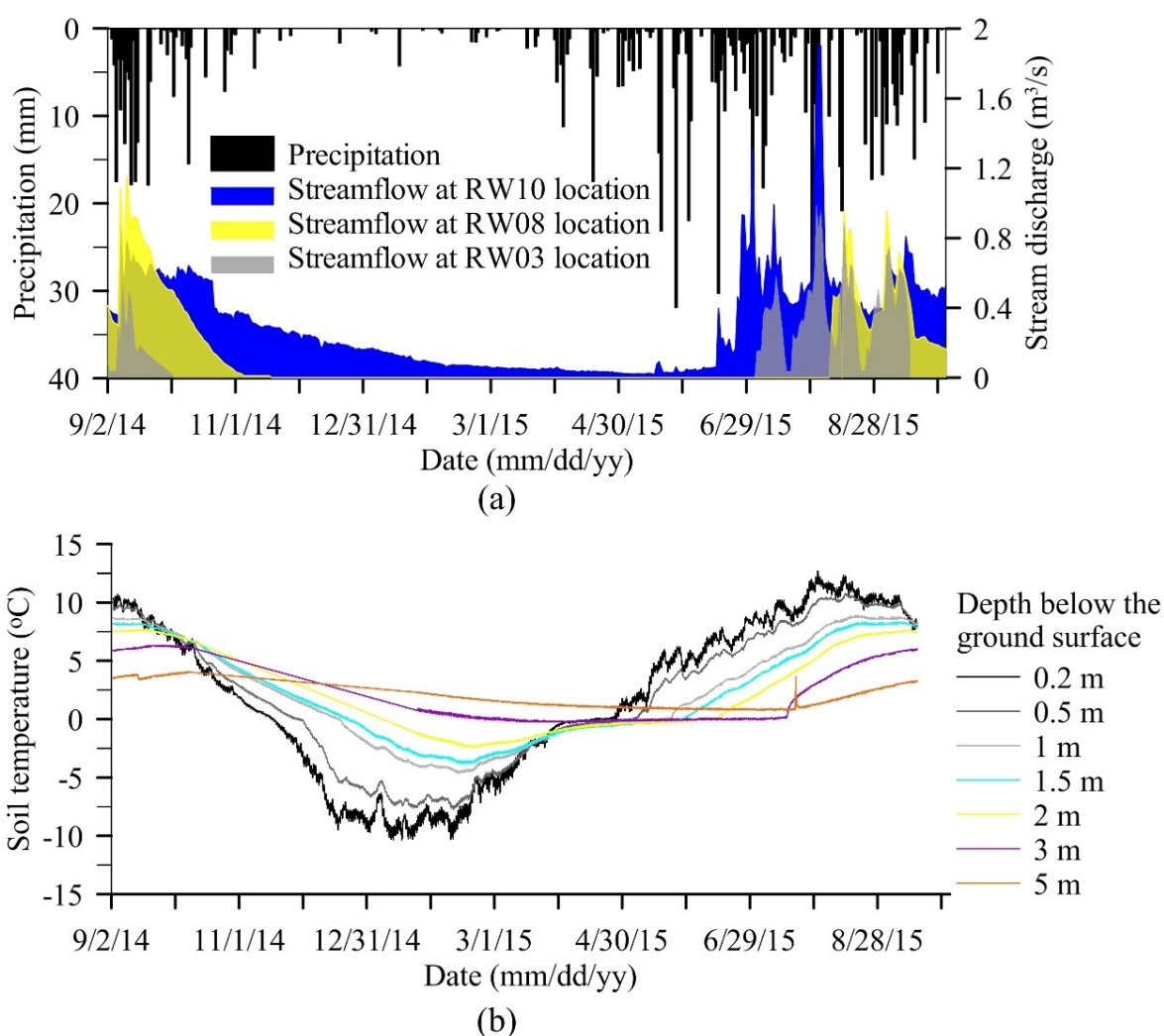
152 The permafrost is located at elevations higher than 3500 m a.s.l. (Wang et al., 2017),  
153 which serves as an aquitard in the area. The active layer is ~2 m thick and the underlying  
154 perennial frozen layer is ~20 m thick in the permafrost zone (Ma et al., 2017). The  
155 groundwater in the high mountains mainly occurred as suprapermafrost groundwater, while  
156 in the moraine and fluvioglacial deposits on the planation surfaces, suprapermafrost,

157 intrapermafrost and subpermafrost groundwater co-occurred. The exchange between  
158 suprapermafrost and subpermafrost occurred since the permafrost distributes discontinuously  
159 (Ma et al., 2017). Springs or seeps were common at the upper slopes of the hills whose top  
160 was a planation surface with thick deposits.

161 The seasonal frost is located at elevations below 3500 m a.s.l. The seasonally frozen  
162 depth was about 2~3 m (Ma et al., 2017). Groundwater in the seasonal frost area primarily  
163 occurred in the fluvio-glacial fan(s), as well as in the scree deposits of the mountains and the  
164 slope deposits of the hills. The deposits in the seasonal frost area mainly consist of sandy  
165 gravels which are highly permeable and groundwater flow directions generally correspond to  
166 the local topography. Thus, regional groundwater flow is oriented from the higher elevations  
167 in the south toward the lower elevations in the north and converges near the mouth of the  
168 catchment. Weathered sandstone was found at 22 m depth at the head of the alluvial plain  
169 (Ma et al., 2017).

170 The Hulugou stream is formed by the confluence of two large tributaries that are  
171 referred to as the east and west tributary. Both tributaries originate from glacial/snowmelt,  
172 progressively flow downstream through the glacial-periglacial area, permafrost area, and  
173 seasonal frost area, and converge at the elevation of 3400 m a.s.l. (Fig. 1). The east tributary  
174 is a seasonal stream, which is normally dry from November to May when the west tributary  
175 still has a small flux. From June to August the flow in both tributaries greatly increases (Fig.  
176 2; Data from the WestDC database, <http://westdc.westgis.ac.cn>). The mainstream flow at the  
177 catchment outlet, i.e., the Hulugou stream discharge, exhibits the seasonal change (Data from  
178 the WestDC database, <http://westdc.westgis.ac.cn>). It increases suddenly in May, reaching its

179 maximum value in July, then begins to decrease in October, and only remains a small value  
 180 throughout the winter and early spring. The shallow soil in the seasonal frost area is  
 181 completely frozen from December to March (frozen period), thawing from April to May  
 182 (thawing period), completely thawed from June to early September (thawed period), and  
 183 freezing back in late September to November (freeze-back period) (Fig. 2; Date from the  
 184 WestDC database, <http://westdc.westgis.ac.cn>). The ground temperature in the active layer in  
 185 the permafrost area also exhibits a similar dynamic change pattern (Ma et al., 2017). In this  
 186 study, the period from December to April was referred to as a cold season, and that from June  
 187 to September was referred to as a warm season.



**Fig. 2.** (a) Precipitation recorded at an elevation of 3649 masl (black line); Hulugou streamflow ( $\text{m}^3/\text{s}$ ) at different locations shown in Figure 1. (b) Soil temperature shown for various depths below the ground surface at an elevation of 3500 m within the Hulugou Catchment from September 2014 to September 2015.

### 3 Materials and methods

#### 3.1. Groundwater monitoring

Three nested sets of wells (numbered WW01, WW02 and WW03) are located in the fluvoglacial fan(s) characterized by seasonal frost (Fig. 1). Each nested well set includes four depth-specific wells, which are screened at depths of 5 m, 10 m, 15 (or 20) m and 25 (or 30) m below the ground surface, respectively. One nested well set (WW04) is situated in the permafrost area as shown in Fig. 1. Individual wells at this site are screened at depths of 1.5 m, 12 m and 24.3 m below the ground surface, respectively.

The groundwater tables in each well were monitored using pressure transducers (a HOBO U20-001-02 water level logger; Onset, Bourne, MA, USA). Atmospheric pressure was simultaneously measured using a barometric pressure sensor (S-BPB-CM50; Onset, Bourne, MA, USA). These atmospheric data allowed groundwater tables to be corrected for changes in atmospheric pressure. The data loggers recorded water tables at 15-minute intervals, which was consistent with data collected by temperature probes.

#### 3.2. Water sampling and analysis

Water samples were collected to analyze the concentrations of major ion, minor element Sr and Si, and dissolved organic carbon (DOC) and dissolved inorganic carbon (DIC) and the

211 stable hydrogen (D) and oxygen ( $^{18}\text{O}$ ) isotope compositions. The monitoring program  
212 included 12 regularly sampled sites for river water, 16 sites for groundwater, and 3 regularly  
213 sites for rain (Fig. 1). In addition to these regularly sampled sites, 28 sites were sampled for  
214 glacial/snow meltwater from the periglacial area, soil water or groundwater.

215 Samples of precipitation were collected weekly at elevations of 2960 m a.s.l., 3160 m  
216 a.s.l., 3360 m a.s.l., 3560 m a.s.l. and 4160 m a.s.l from 2011 to 2016. Springs and well  
217 waters from each nested well set (i.e., adjacent wells screened at different depths) were  
218 collected bi-weekly from 2012 to 2016, and from 2014 to 2016, respectively. Stream waters  
219 from the tributaries and mainstream were collected weekly from 2012 to 2016. In order to  
220 collect glacier/snow meltwater from beneath the snowpack, waters were collected seasonally  
221 from 2012 to 2015 at an elevation of 4680 m during the ablation period from small streams  
222 (4540 m a.s.l) on the glacier surface, and from waters located along the glacier front (4340 m  
223 a.s.l).

224 Groundwaters were sampled using a peristaltic pump after purging at least 2 well-  
225 volumes from the wells. Seven subsets of samples were collected from each site and filtered  
226 into polyethylene bottles that had been pre-washed with de-ionized water. There was no  
227 headspace when bottles were sealed for collecting samples for D and  $^{18}\text{O}$  isotope analyses.  
228 Filtering was conducted in the field using 0.22  $\mu\text{m}$  membranes. During groundwater  
229 sampling, physiochemical parameters of pH, electric conductivity, temperature and dissolved  
230 oxygen (DO) concentration were measured in situ using a portable Hach water quality probe  
231 and a pH meter (HACH HQ40d). The equipment was calibrated for pH and DO measuring  
232 daily. Alkalinity was titrated in the field with the Gran method.

Samples for cation and minor element analysis were acidified with ultrapure HNO<sub>3</sub> to pH=2. The water samples were subsequently transported to the laboratory and analyzed for major and minor ions. Major anions (SO<sub>4</sub><sup>2-</sup>, Cl<sup>-</sup>, NO<sub>3</sub><sup>-</sup>) were analyzed using ion chromatography (Dionex, model DX-120), whereas cations (Ca<sup>2+</sup>, Mg<sup>2+</sup>, K<sup>+</sup>, Na<sup>+</sup>) and Si and Sr were determined by ICP-AES (IRIS INTRE II XSP). All samples were analyzed within two weeks of sample collection.

An ultra-high precision isotopic water analyzer (L2130-I, Picarro, USA) was used to measure <sup>18</sup>O and <sup>2</sup>H compositions at the Laboratory of Basin Hydrology and Wetland Eco-restoration, China University of Geosciences (Wuhan). <sup>18</sup>O and <sup>2</sup>H compositions were expressed in  $\delta$  per milliliter relative to the V-SMOW (Vienna Standard Mean Ocean Water), with analytical precision of 0.025‰ and 0.1‰, respectively. Analyses for DOC and DIC were performed by an Aurora 1030W TOC analyzer by means of a wet chemistry method, whose precision was 50 ppb. Mean values, standard deviations ( $\pm$  SD) and the number of samples for chemical concentrations and H and O isotopic compositions in different types of water are presented in Table 1.

### 3.3. Bayesian mixing model

To quantify the contributions of different water sources to the mainstream flow and their variations throughout the year, a Bayesian Monte Carlo (BMC) isotope mixing model developed by [Arendt et al. \(2015\)](#) was adopted by this study and the model can be written as follows:

$$p(f_i) \propto p'(f_i \wedge I_i) L(o_j \vee f_i \wedge I_i) \quad (1)$$

where  $f_i$  are the fractional contributions,  $o_j$  are the isotopic measurements,  $I_i$  are the isotopic

compositions of the end-member components,  $p(f_i)$  and  $p'(f_i \wedge I_i)$  are the prior and posterior probability density functions (PDF), respectively, and  $L(o_j \vee f_i \wedge I_i)$  is a data likelihood function. Bayesian estimation tests the statistical likelihood of the calculated isotopic values against the observations and then converts the prior PDF to a posterior PDF.

The sum of the fractions from each source to the mixture is constrained to equal 1. Gaussian and uncorrelated uncertainties on the measured isotopic compositions were assumed in the calculation. The data likelihood function is presented as follows (Arendt et al., 2015):

$$L(o_j \vee f_i \wedge I_i) \propto \prod_j \exp \left[ -\frac{(o_i^p - o_j)^2}{2\sigma_j^2} \right] \quad (2)$$

where  $\sigma_j$  are the uncertainties on the isotopic measurements and  $o_j^p$  are the isotopic values predicted by a test model of  $f_i$  and realization of  $I_i$ .

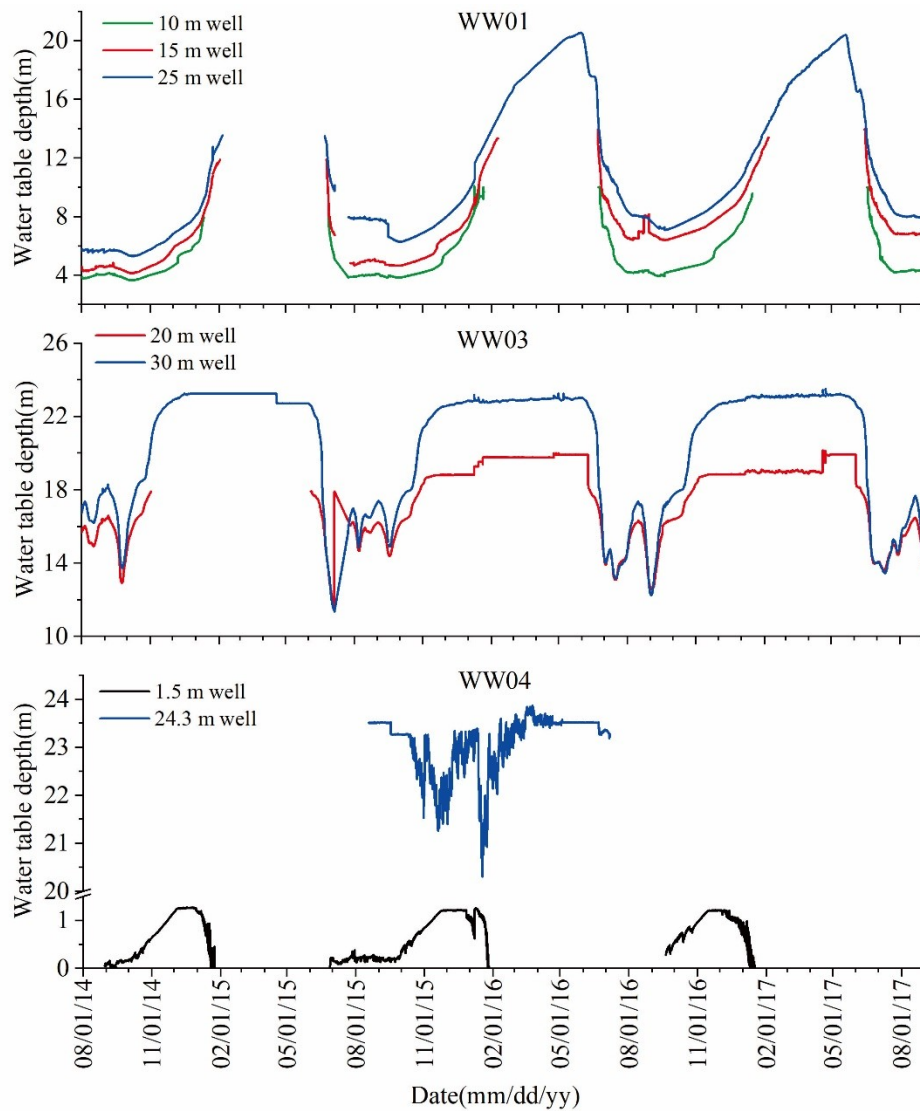
A straightforward Monte Carlo sampling scheme was adopted for model fitting. This scheme remained the selected samples of the prior as those of the posterior proportional to the likelihood based on the misfit between predictions and observations.

## 4. Results

### 4.1. Groundwater table depth

The groundwater table was deeper than the screen depth of 30 m at well WW02 in the middle of the piedmont plain and thus was not monitored during the study period. The groundwater depth over time at nested wells sets WW01, WW03 and WW04 are shown in Fig. 3. The groundwater depth in the 20 m and 30 m wells at the top of the piedmont plain

276 (WW03) changed from 13 m to 18 m below ground from June to September and declined to  
 277 22–23 m from November to next May. The groundwater table depth in the 10 m, 15 m and 25  
 278 m wells at the base of piedmont plain (WW01) was between 4 m and 6 m below ground from  
 279 June to November but dropped to ~16–22 m below ground from January to May. The water  
 280 table depth in the supraperafrost groundwater (WW04) was close to the ground surface  
 281 from June to September and decreased to 1.5 m below ground from October to December,  
 282 while it in the subpermafrost groundwater varied between 20.3 and 23.5 m below ground.



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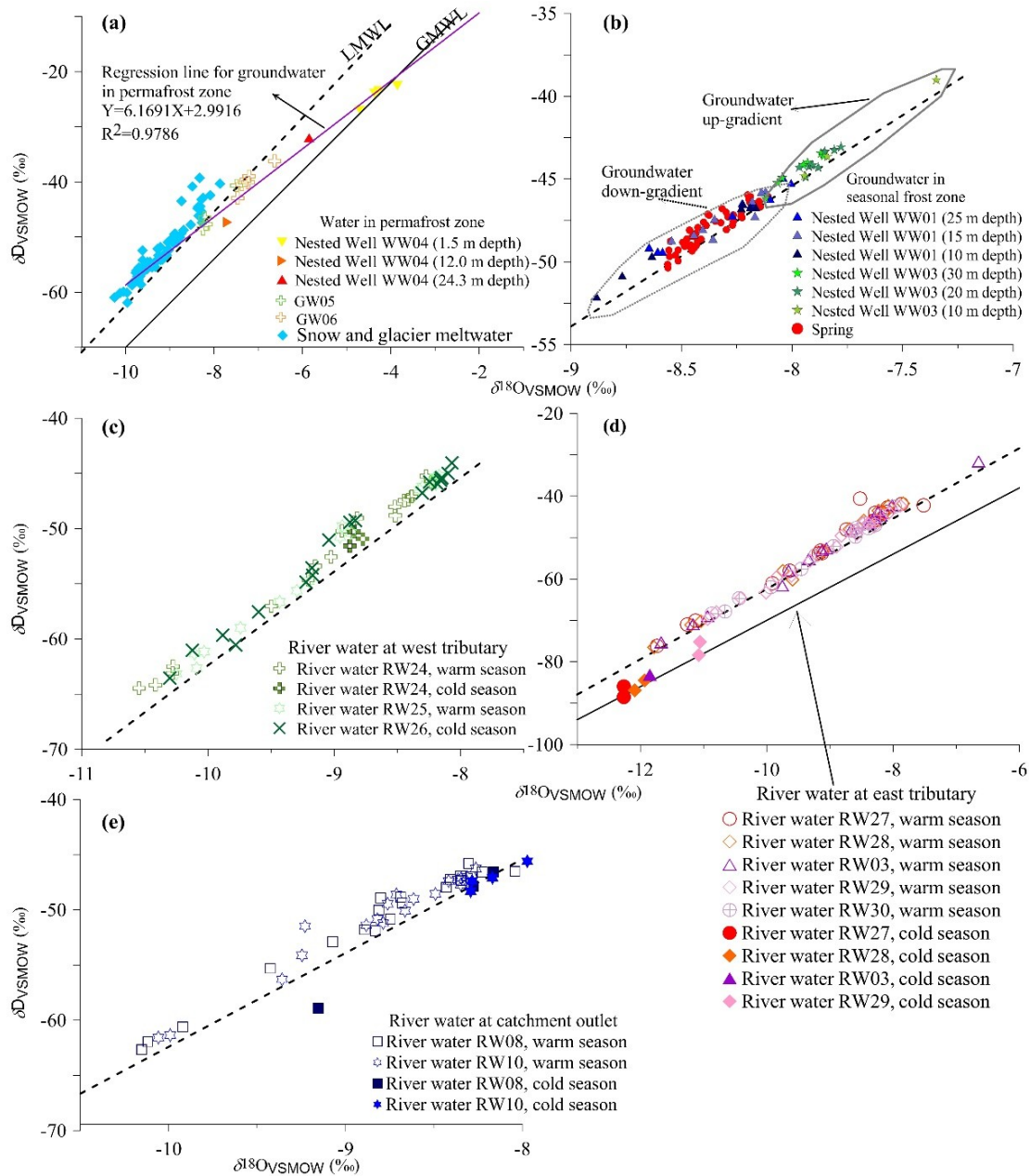
284 **Fig. 3.** Time series of water table depth in the wells at cluster WW01, WW03 and WW04.



The groundwater tables are deeper than wells screen depths during the missing data period.

#### 4.2. $^2\text{H}$ and $^{18}\text{O}$ isotopes

The  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  of precipitation varied between  $-119\text{‰}$  and  $-279\text{‰}$  and  $-15.7\text{‰}$  and  $-37.6\text{‰}$ , respectively. The Local Meteoric Water Line (LMWL) is  $\delta^2\text{H} = 8.5\delta^{18}\text{O} + 22.6$  ( $R^2=0.9886$ ,  $n=120$ ; Fig. 4) (Tong et al., 2016; Ma et al., 2017). The  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  of groundwater, surface water, and snow/glacier meltwater derived from over 200 samples collected during a two-year period can be clustered into four main groups (Fig. 4): (1) groundwater within the permafrost zone at different depths (nested well set WW04 and other samples, Fig. 4a), (2) groundwater within the seasonal frost zone extracted from four depth-specific wells of nested well sets WW01 and WW03 and springs (Fig. 4b), (3) glacier/snow meltwater at different elevations in the catchment (Fig. 4a), and (4) stream water collected along the channel of the west tributary (Fig. 4c) and the east tributary (Fig. 4d), and at the catchment outlet (Fig. 4e).



**Fig. 4.** The  $\delta^{18}\text{O}$  and  $\delta\text{D}$  values measured in groundwater within the permafrost zone (a), groundwater in the seasonal frost zone (b), stream water from the west tributary (samples RW24, 25 and 26) (c), stream water from the east tributary (samples RW27, 28, 29, 03 and 30) (d), and main stream water at the catchment outlet (samples RW08 and 10) (e) for different seasons between September 2014 to January 2016.

306 The  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  of glacier/snow meltwaters were between  $-10\text{‰}$  and  $-7.6\text{‰}$ , and  
307  $-60\text{‰}$  and  $-35\text{‰}$ , respectively (blue diamond symbol in Fig. 4a), which were larger than  
308 those in snowfall and glacial ice due to ablation (Li et al., 2015). The snow/glacier meltwater  
309 samples fall close to, but often slightly above, the LMWL in the  $\delta^{18}\text{O}$  vs.  $\delta^2\text{H}$  plot. Most of  
310 them exhibited isotopic values that overlap with the samples of groundwater from the  
311 seasonal frost zone and stream water. The regression line fitted to the groundwater samples  
312 from the permafrost zone crossed the LMWL line at the values of  $-50\text{‰}$  for  $\delta^2\text{H}$  and  $-8\text{‰}$   
313 for  $\delta^{18}\text{O}$ , similar to the isotopic composition of glacier/snow meltwater.

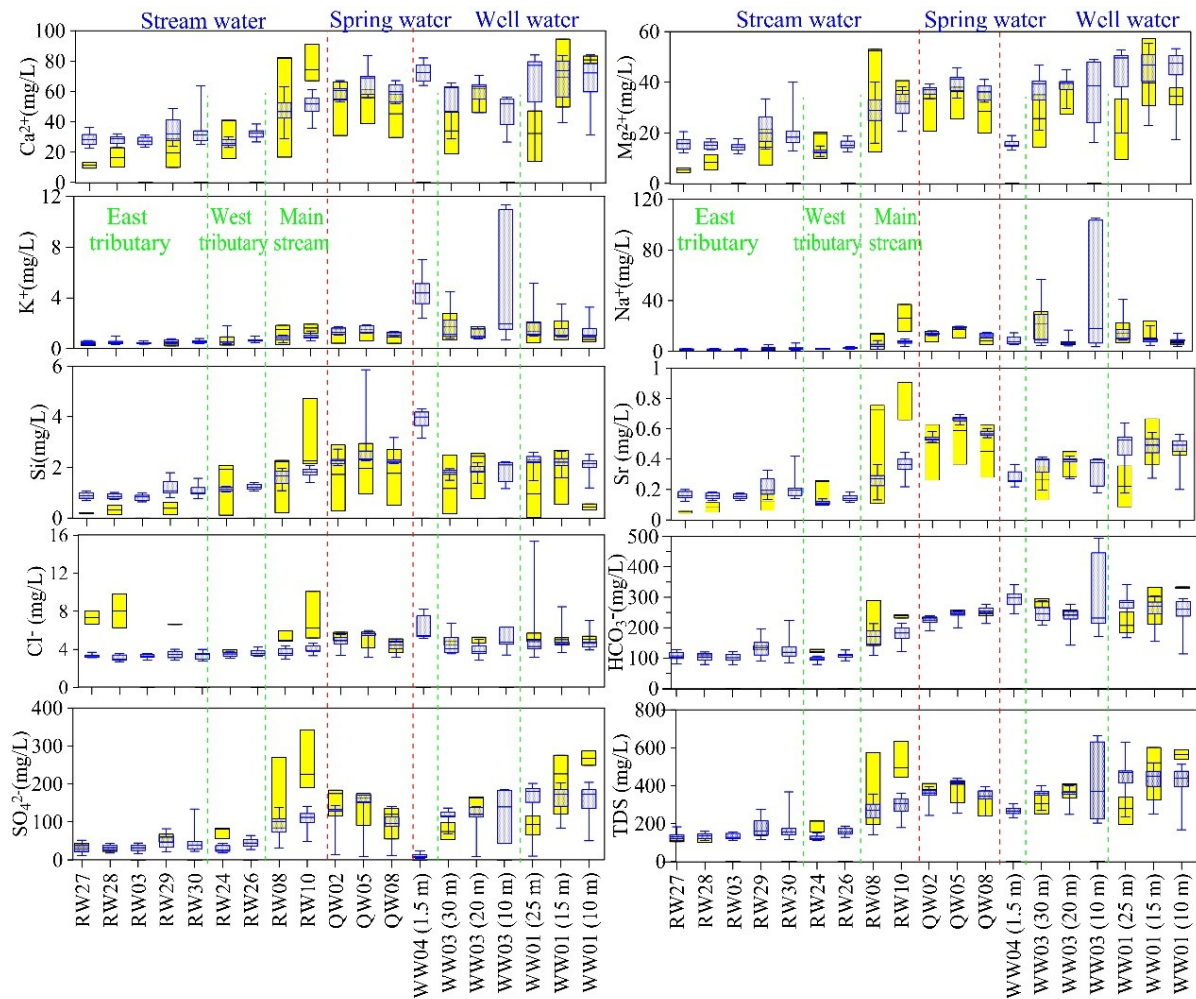
314 The stream waters varied the most isotopically, with  $\delta^{18}\text{O}$  values ranging between  
315  $-12.3\text{‰}$  and  $-6.7\text{‰}$ , and  $\delta^2\text{H}$  values ranging between  $-88.5\text{‰}$  and  $-31.6\text{‰}$ . Along a short  
316 reach of the west tributary, the  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  of the stream water varied in a relatively narrow  
317 range (Fig. 4c). However, the stream waters of the east tributary were relatively depleted in  
318  $^2\text{H}$  and  $^{18}\text{O}$  during the cold season (solid symbols in Fig. 4d) and enriched in  $^2\text{H}$  and  $^{18}\text{O}$   
319 during the warm season (hollow symbols in Fig. 4d). The stream water at the catchment  
320 outlet exhibited the opposite trend: the  $\delta^{18}\text{O}$  and  $\delta^2\text{H}$  values were more positive in the cold  
321 season (solid symbols in Fig. 4e) and negative in the warm season (hollow symbols in Fig.  
322 4e).

323 The groundwater in the recharge zone of the seasonal frost area (nested wells set  
324 WW03) ranges between  $-8.1\text{‰}$  and  $-7.7\text{‰}$  for  $\delta^{18}\text{O}$  and between  $-46\text{‰}$  and  $-42\text{‰}$  for  $\delta^2\text{H}$ .  
325 Unexpectedly, the groundwater in the discharge zone (nested wells set WW01), with the  $\delta^{18}\text{O}$   
326 ranging between  $-8.9\text{‰}$  and  $-8.0\text{‰}$  and the  $\delta^2\text{H}$  between  $-52\text{‰}$  and  $-45\text{‰}$ , was more  
327 depleted and fluctuated in a wider range in heavy isotopes than that in the recharge zone. In

328 general, the groundwater samples from wells at the recharge point (WW03) and discharge  
329 point (WW01) overlapped with the stream water samples collected along stream reaches  
330 during the warm season in the  $\delta^{18}\text{O}$  vs.  $\delta^2\text{H}$  plot (Fig. 4).

### 331 4.3 Water chemistry

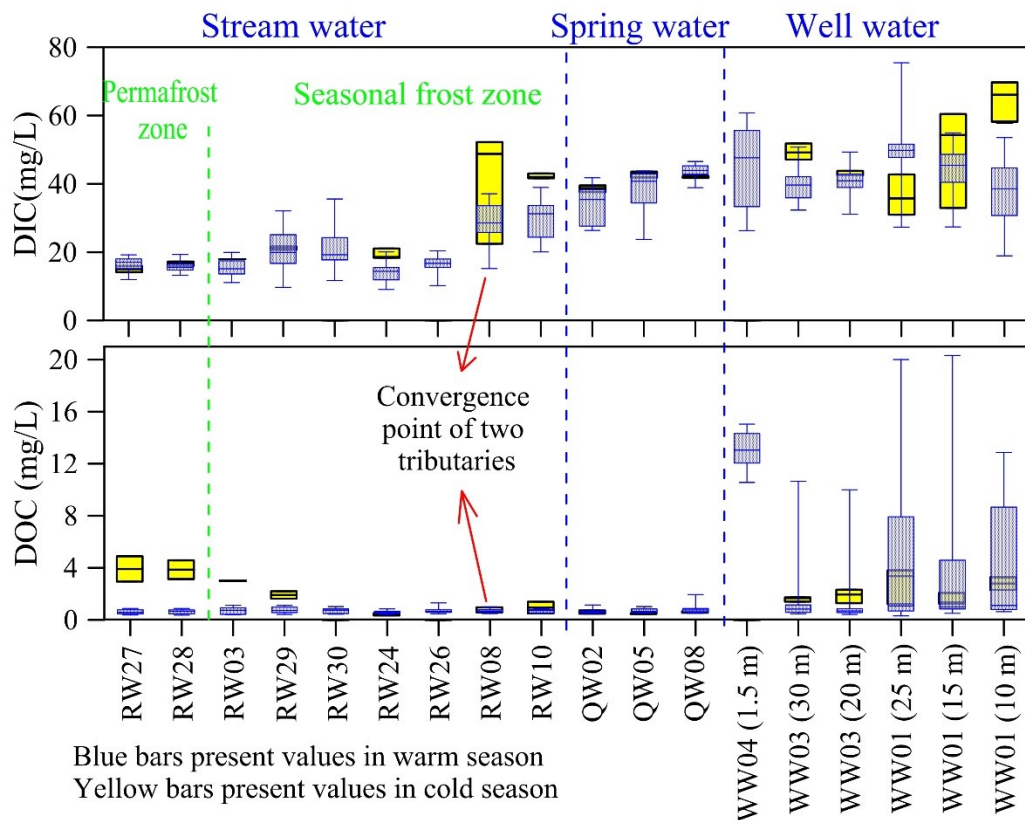
332 The chemical compositions in west tributary stream waters were similar between warm  
333 and cold seasons; whereas, for stream waters from the east tributary,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ , Si and Sr  
334 concentrations were higher and  $\text{Cl}^-$  concentration was lower in warm season than in cold  
335 season and other parameters exhibited similar values between the two seasons (Fig.5).  
336 Almost all major ions, minor elements (Si, Sr), total dissolved solids (TDS) and DIC were  
337 lower in the stream water samples from the two tributaries in comparison to the groundwater  
338 samples from springs and wells. However, the concentration of these solutes showed much  
339 higher variances for groundwater than for tributary water (Fig. 5). At the catchment outlet,  
340 the ion concentrations of stream water were approaching those measured in groundwater, and  
341 exhibited the largest geochemical variations in comparison to the other types of water on a  
342 seasonal basis. In January and April, the  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{SO}_4^{2-}$ , Si, Sr and TDS concentrations in  
343 shallow groundwater (from wells < 20 m in depth) were higher than those in deeper  
344 groundwater (from wells > 25 m in depth) (Fig. 5). The  $\text{Na}^+$  and  $\text{HCO}_3^-$  concentrations, by  
345 contrast, were higher in the deep groundwater. From June to September, the  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{K}^+$ ,  
346 Si, Sr,  $\text{HCO}_3^-$ ,  $\text{Cl}^-$ , TDS and DIC concentrations in both the shallow and deep groundwaters  
347 were similar. However, the  $\text{Na}^+$  concentration was still higher in the deep groundwater.



Blue bars present values in warm season; Yellow bars present values in cold season

**Fig. 5.** Box plots of major ions, Sr, Si and TDS concentrations measured within groundwater, river water, and springs from January to May (yellow color bar), and June to September (blue color bar), 2014-2016. Box range indicates lower quartile, mean (middle line) and upper quartile; whisker plots indicate maximum and minimum, respectively. Nested well set WW01 was located in the discharge zone of seasonal frost zone, WW03 in the recharge area of seasonal frost zone, and WW04 in the permafrost zone. The absence of yellow bars indicates that no samples were collected during the cold season.

The DOC concentration of stream water samples from the east tributary and catchment outlet was higher in the cold season than in the warm season, whereas the samples from the west tributary exhibited similar values during both seasons (Fig. 6). The DOC concentration in the suprapermafrost groundwater (from well WW04) within the permafrost zone was much higher than those in the groundwaters within the seasonal frost zone. The groundwater from well WW03 exhibited higher DOC concentration in cold season than in warm season, while that from WW01 had similar DOC values during both seasons (Fig. 6).



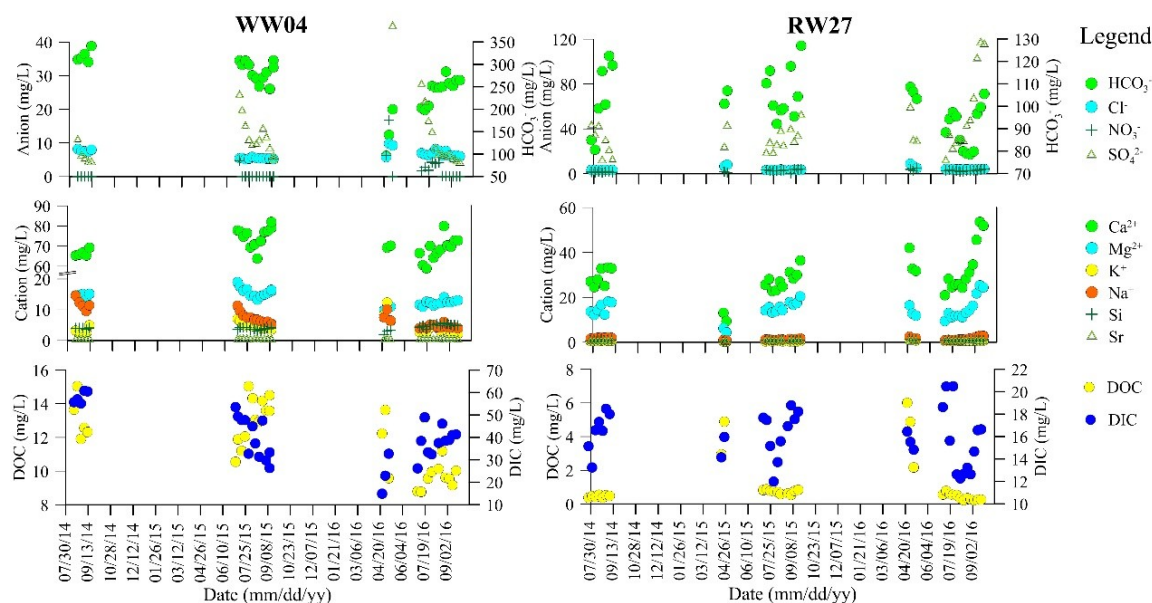
**Fig. 6.** Spatial changes in DIC and DOC in different types of waters. Samples are oriented from upstream to downstream, and were collected between January and April or May (yellow color bar) and June to September (blue color bar). Box range indicates lower quartile, mean (middle line) and upper quartile; whisker plots indicate maximum and minimum, respectively. The absence of yellow bars indicates that no samples were collected during cold



371 season.

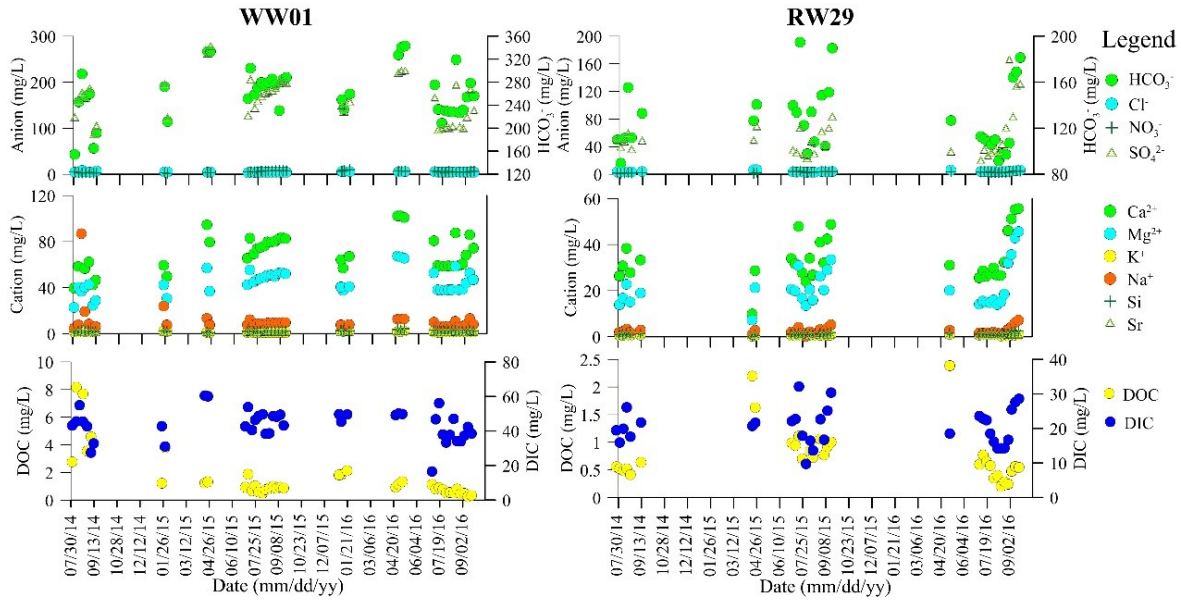
372

373 The suprapermafrost groundwater and stream water within the permafrost zone  
 374 exhibited higher major ions, Si, Sr, DIC and DOC concentrations in cold season than in warm  
 375 season, which was especially true for DOC concentration (Fig. 7). These solutes remained  
 376 relatively high concentrations in June, but decreased to their lowest values in July to August,  
 377 and then increased again in late September. The opposite trends were observed for the DIC  
 378 and DOC concentrations (Fig. 7). The geochemistry of groundwater and stream water in the  
 379 seasonal frost zone exhibited the trend similar to that in the permafrost zone, except that the  
 380 groundwater samples from the wells were relatively stable in geochemistry throughout the  
 381 warm season (Fig. 8). The DOC concentration of groundwater in the seasonal frost zone  
 382 showed no obvious increase in September. In comparison, the major ions, Si, Sr, DIC and  
 383 DIC concentrations in stream water at the catchment outlet were quite dynamic with the  
 384 general trends similar to those in the permafrost and seasonal frost zones (Fig. 9).

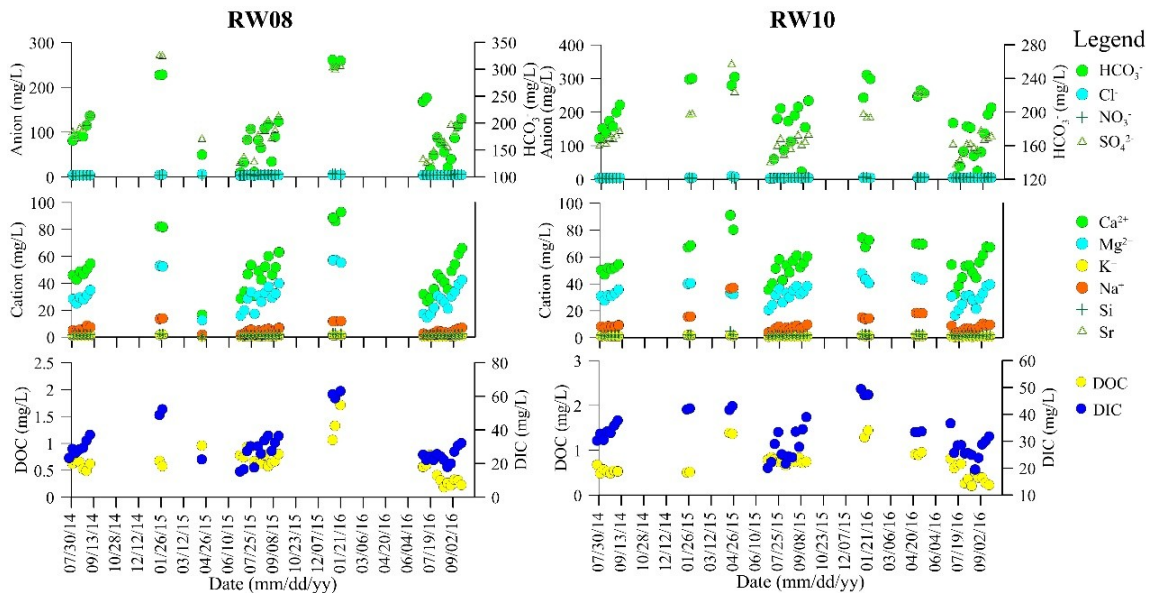


385

**Fig. 7.** Change in major ions, Sr, Si, DIC and DOC concentrations in suprapermafrost groundwater (WW04) and stream waters (RW27) from July 2014 to September 2016 within the permafrost area.



**Fig. 8.** Change in major ions, Sr, Si, DIC and DOC concentrations in groundwater (WW01) and stream waters (RW29) from July 2014 to September 2016 within the seasonal frost area.



**Fig. 9.** Change in major ions, Sr, Si, DIC and DOC concentrations in main stream waters



from July 2014 to September 2016 at the catchment outlet.

#### 4.4 The contributions of the waters from different flow paths to mainstream and

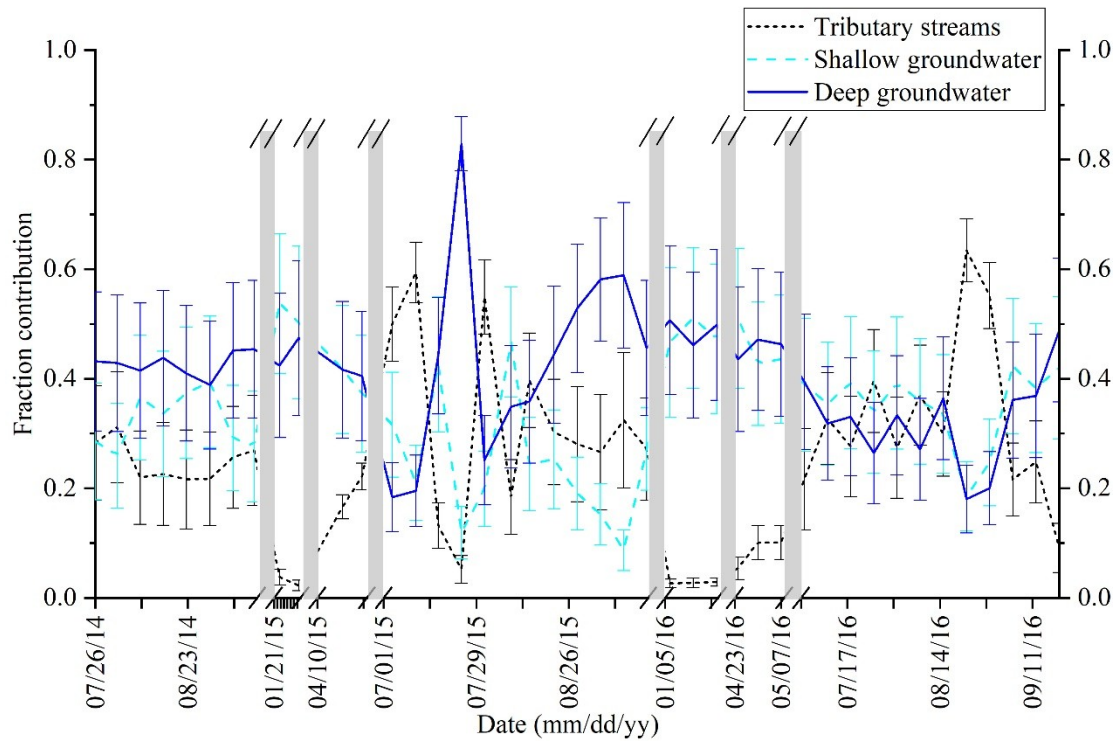
associated uncertainties

##### 4.4.1 The fractional contribution of different flow paths to mainstream

Though the precipitation and glacier/snow meltwater were the ultimate sources of the catchment discharge, they may be modified in isotopic signals during flow processes before entering the stream channel. Thus, it was difficult to evaluate the contribution of these ‘ultimate sources’ to the mainstream due to the overlap in their isotopic compositions. The water sources that directly recharged the axial channel of the Heihe River included stream-water from the east and west tributaries, shallow groundwater and deep groundwater. The contribution of groundwater to the mainstream is of concern in this study. Thus, the shallow groundwater, deep groundwater, and stream water from the two tributaries were treated as the dominant water sources and as geochemical endmembers. Their contributions to the mainstream were determined using the Bayesian model. The geochemistry of the tributary endmember was based on samples from RW27, RW28, RW29, RW30, RW24, RW25 and RW26 from both the permafrost and seasonal frost zones since the change in  $\delta^{18}\text{O}$  and  $\delta\text{D}$  for different stream waters at the same sampling time falls within a narrow range (Fig. 4). The O and D isotope values of shallow groundwaters, which follow a shallower flow path and contact with permafrost or seasonal frost, were similar to each other within the same season (Fig. 8). Thus, the D and O values of isotope values in the nested wells at sites WW01 were used to represent shallow groundwaters. Deep groundwater follows a deep flow path through the aquifer to the mainstream (Evans et al., 2015). Given that groundwater samples were not

417 obtained from wells at depths >30 m, the isotopic compositions of spring water at a lower  
418 elevation which was considered as discharge of deeper groundwater (Ma et al., 2017) was  
419 selected as an endmember for deep groundwater. Tributary stream-waters, shallow  
420 groundwater, and deep groundwater exhibited distinctly different values for  $\delta^{18}\text{O}$  and D in  
421 comparison with the stream waters at catchment outlet at different seasons (Fig. 5).

422       The results of the Bayesian mixing model show that the contribution of tributary streams  
423 to the mainstream discharge varied most (Fig. 10). It only accounted for  $\sim 5\%$  of total  
424 mainstream discharge in January,  $\sim 5\%$ – $20\%$  in April and May,  $\sim 20\%$ – $60\%$  in June to  
425 August, and  $\sim 10\%$ – $30\%$  in late September. Though differing between different seasons, the  
426 contribution of subsurface flow from aquifers always dominated the catchment discharge.  
427 Groundwater contributed  $\sim 95\%$  of total mainstream discharge in January, decreased to  $\sim$   
428  $80\%$ – $95\%$  in April to May, and further decreased to  $\sim 40\%$ – $80\%$  from June to August, then  
429 increased to  $\sim 70\%$ – $90\%$  in late September. The contribution of groundwater following the  
430 deep flow path remained relatively constant across the two seasons (except for July in 2015),  
431 accounting for  $\sim 40\%$  of the total mainstream discharge. In comparison, the contribution of  
432 groundwater from the shallow flow path varied significantly with the season, generally being  
433 higher in the cold season and lower in the warm season.



**Fig 10.** Time series graph of proportional contribution of three potential water sources to the main discharge channel of the Hulugou mainstream from July 2014 to September 2016 estimated by the BMC model. y axis error bars are the uncertainties produced in the BMC model.

#### 4.4.2 Uncertainty in estimates of water flow path contributions

The uncertainty in the calculated fractional contribution was presented in Fig. 10. It may originate from isotope variability of the waters associated with each source and the statistical distribution of isotopic tracers. While the isotopic values differed between water sources, flows along the stream channel or through the groundwater system or at different seasons may increase the isotopic variability of the waters associated with each source.

The uncertainties in contribution percentage of the tributary stream in the cold season (1% to 5%) were much smaller than those in the warm season (10% to 20%). The major

reason should be that isotopic values along tributary stream channel in the warm season have larger variation than the cold season. In comparison, the contributions of shallow and deep groundwater exhibited higher uncertainties in the cold season (~25%), and lower uncertainties (15-20%) in the warm season. The larger uncertainties of the fraction of shallow and deep groundwater maybe because their  $\delta^{18}\text{O}$  and  $\delta\text{D}$  values are relatively closer to each other compared to their differences with stream water composition.

## 5. Discussion

5.1 The control of permafrost and seasonal frost on groundwater and stream water interaction

### 5.1.1. Winter

The Bayesian model calculation confirmed that the groundwater contributed approximately 95% of mainstream discharge during the frozen period, indicating the release of groundwater stored under the frozen layer into stream since the water source and suprapermafrost aquifer were completely frozen. This addresses the significant impact of permafrost and seasonal frost on groundwater and stream water interaction during the frozen period.

Within the permafrost zone, both subpermafrost groundwater and stream water received a negligible recharge due to the frozen water source, resulting in the dry of the east tributary and the upper part of the west tributary. In the west tributary subcatchment, the groundwater stored in pro-glacial moraine and talus deposits discharged as a spring via talik (QW01 in Fig. 1). This perennial spring as observed during our field investigation fed the lower part of

west tributary within the permafrost zone. The narrow range of the geochemical concentrations,  $\delta^{18}\text{O}$  and  $\delta\text{D}$  values among stream waters along the west tributary reach and between the seasons also confirmed the constant water source for stream water, i.e. spring QW01 (Fig. 4, 5 and 7). The subpermafrost groundwater may discharge to the aquifers in the seasonal frost zone, but the lateral outflow should be very limited since the porous deposit connecting the permafrost and seasonal frost aquifers was very thin which was possibly frozen (Ma et al., 2017).

The west tributary was dry after entering the seasonal frost zone, indicating that all stream flux infiltrated into the aquifer via the local melt zone; while no streamflow recharge occurred in the east tributary since the stream was dry. Comparing with the thawing/thawed period, the hydraulic gradient between the top and base of the piedmont sloping plain within the seasonal frost zone is relatively small (Fig. 3), indicating a very limited recharge relative to discharge and a very slow flow rate.  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$ ,  $\text{Sr}$ ,  $\text{HCO}_3^-$ ,  $\text{SO}_4^{2-}$  concentrations in deeper groundwaters (from depth >15 m aquifer) were smaller than those in shallower depth in the frozen season (Fig. 5). It seems contradictory to the longer residence time resulted from the slow rate of groundwater. However, weathering of less soluble minerals, such as quartz and K-feldspar, may be an important contributor of solutes in deeper soil profiles; while the dissolution of carbonate minerals such as calcite and dolomite as well as the weathering of the silicates are likely responsible for the chemical composition of the groundwater in the shallower parts of the aquifer (Hu et al., 2019). The stream channel remained dry within the seasonal frost zone until down to the catchment outlet, where the springs such as QW10 emerged at the base of the piedmont sloping plain and fed the stream water. The emergence

of the springs indicates the release of groundwater stored in the aquifer within the seasonal frost zone since the surface water sources were frozen and the lateral flow from the permafrost zone was very limited. This was evidenced by the similar TDS, major ions concentrations and  $^{18}\text{O}$  and  $^2\text{H}$  compositions between groundwater at discharge zone (as shown in WW01), springs and mainstream water (RW08 and 10) (Fig. 8 and 9). The above analysis illuminates the mechanism of how the groundwater contributed a high percentage of total mainstream discharge and maintained the streamflow over the frozen period.

#### 5.1.2. Spring

The thawing of the frozen deposits led to two different ways of exchange between groundwater and stream water within the permafrost zone. Firstly, spring (QW09) in east tributary subcatchment recovered and the discharge of spring (QW01) in west tributary subcatchment increased as observed during field investigation due to the thawing of the moraine sediments and the recharge of melting glacier-snow. The springs fed the tributary stream waters and further increased the streamflow which was already recharged by melting glacier-snow at a higher elevation (Chang et al., 2018; Hu et al., 2019). This was confirmed by the overlap of most glacier/snow meltwater samples with groundwater and stream water samples in the  $\delta^{18}\text{O}$  vs  $\delta\text{D}$  plot (Fig.4). The second interaction way is via the shallow thawed soil. The active layer began to thaw but the thawing depth was small (Ma et al., 2017), leading to a small storage capacity of suprapermafrost reservoir as indicated by a near-surface water table (Fig. 3). The underlying frozen layer inhibited groundwater from infiltrating into deep mineral soil horizons, constraining it within shallow organic soil horizons. Thus, the ice meltwater from suprapermafrost aquifer and infiltrated glacier/snow meltwater flowed

through the shallow organic soil and discharged to stream at the favorable location, which was evidenced by a higher DOC and lower DIC concentrations in both streams and suprapermafrost groundwater (Fig. 6 and 7). A thin layer of salt on the soil surface in the permafrost zone was observed in the frozen period during our field investigation, which may be caused by the mineral precipitation during the soil freeze process (Wang et al., 2018). The water, that flowed through this shallow soil layer, had higher  $\text{Cl}^-$  and  $\text{Na}^+$  concentrations and depleted  $^2\text{H}$  and  $^{18}\text{O}$  compositions as indicated in water samples of RW27 and WW04 (Fig. 4 and 7), which further confirmed the discharge of shallow groundwater into stream water. Followed by the above processes, the chemistry of stream water had a significant fingerprint of suprapermafrost groundwater.

After flowing into seasonal frost zone, the dry of the east tributary and the decreased streamflow of the west tributary stream were observed at the top of the piedmont sloping plain during field investigation (Fig. 2), suggesting that the stream water infiltrated into groundwater via localized taliks. This was also confirmed by the rapid rise of groundwater level at the top of the piedmont sloping plain since no precipitation infiltration could occur due to the frozen of the lower part of seasonal frost (Fig. 3). DOC concentration in groundwater from the seasonal frost zone was expected to decline and solute concentrations increase in response to deeper flow pathways and enhanced soil-water contact time (Frey and McClelland 2009; Petrone et al. 2006). However, our results show that the major ions and DOC concentrations in both the groundwater and stream water increased (Fig. 9), further indicating the effect of tributary stream water with the chemical fingerprint from permafrost zone on groundwater within the seasonal frost zone via infiltration. The west tributary

streamflow flowed continuously until it merged into mainstream even after infiltrating into groundwater at the top of the piedmont sloping plain due to the increased streamflow from permafrost zone as previously analyzed. This explains the increased contribution fraction of tributary streams to mainstream with 10 to 20%. The groundwater at the base of the piedmont sloping plain discharged as springs (QW08, QW02-05) or directly discharged to the mainstream as base flow (Ma et al., 2017), and groundwater contribution fraction decreased to 80-90% compared to frozen period due to the inflow from tributaries into mainstream.

### 5.1.3. Summer

The continued thawing of moraine and talus deposits as well as fluvioglacial deposits within the permafrost zone, led to the increase in the spring discharge and change of the groundwater flow paths, further affecting the stream and groundwater interaction. The springs in the west tributary subcatchment exhibited the largest discharge among the year since the recharge source (glacier-snow meltwater) reached its largest volume and the thawed moraine aquifers with high hydraulic conductivity can rapidly transfer water from recharge to discharge points. Correspondingly, the west tributary streamflow increased due to the enhanced recharge from glacier-snow meltwater and springs. This was also suggested by the decreased DOC, DIC and major ions concentrations in stream water due to the dilution (Fig. 6 and 7). The active layer in east tributary subcatchment reached the maximum thaw depth and thus the storage capacity of suprapermafrost reservoir increased. However, supported by extensive recharge from local precipitation and overland flow, the suprapermafrost groundwater table rose to near the land surface and even exfiltrated to support surface water (Fig. 3). The very good recharge condition and shallow flow paths were suggested by a



558 decrease in DOC, DIC, major ions, Si and Sr concentrations in suprapermafrost groundwater  
559 (Fig.7). With the thinning out of the supra- and subpermafrost aquifers at the boundary  
560 between permafrost and seasonal frost zones, the supra- and subpermafrost groundwaters  
561 were mainly discharged directly into streams, or as seeps and springs at the upper portions of  
562 the hill slopes and then entered into streams or aquifers in the seasonal frost zone. Due to the  
563 enhanced recharge by glacier-snow meltwater, springs and surface runoff as well as rainfall,  
564 the streamflow greatly increased in the permafrost zone (Fig. 2).

565       The completely thawed seasonal frost favored the infiltration of the stream water into  
566 groundwater along the channel within the seasonal frost zone. The great rise of the water  
567 table at the top of the piedmont sloping plain indicated that a large volume of tributary stream  
568 waters infiltrated into porous aquifer when they entered the piedmont plain (Fig. 3). This was  
569 also suggested by the larger variability of concentrations of major ions, Si, Sr and TDS in  
570 shallow groundwater (10 m depth well) compared to that in deeper groundwater (Fig.5). Both  
571 the east and west tributary streamflows were still continuous in the downstream after  
572 infiltration due to the largely increased stream flux from the permafrost zone (Fig. 2). The  
573 difference in hydrogeochemistry between shallower parts (<20 m depth) and deeper parts  
574 (20–30 m) of the aquifer among different seasons suggested that different flow paths existed  
575 in the aquifers throughout the year (Hu et al., 2019) (Fig. 5). However, the most ions and  
576 TDS concentrations in the groundwater from different depths became closer during the  
577 thawed period, indicating that the waters from different flow paths were more interconnected.  
578 The increased groundwater hydraulic gradient enhanced the groundwater discharge to the  
579 mainstream, and the increased groundwater level enlarged the recharge length of stream

channel (Fig.3).

At the catchment outlet, the contribution of tributary streams to the mainstream reached the largest value (20%-60%) as shown by Bayesian model results (Fig. 10), which was also indicated by the general decreased trend of major ions,  $\text{Sr}$ ,  $\text{Sr}$ , DOC and DIC concentrations in mainstream due to the surface water dilution. The variations in contribution fraction from tributary streams led to a different mix ratio between groundwater and stream, resulting in a dynamic change in major ions,  $\text{Sr}$ ,  $\text{Sr}$ , DOC and DIC concentrations in mainstream waters (Fig. 9). The similar stream and groundwater exchange pattern from bedrock mountain to the catchment outlet in the thawed period was also reported in Sierra Nevada of CA (Ciruzzi & Lowry, 2017), Peru (Somers et al., 2016), and Swiss Alps (Volze, 2015).

#### 5.1.4 Autumn

The release of groundwater stored in aquifer into stream dominated the groundwater and stream water interaction again as indicated by the increased groundwater contribution fraction to mainstream flow (Fig. 10). This should be caused by the freezing of the sediments from the top down and the part of water sources.

The discharge of springs from moraine within the permafrost zone greatly decreased compared to the thawed period due to the less recharge caused by partly frozen glacier-meltwater and sediments. The decreased spring discharge and precipitation resulted in the large decline of tributary streamflow (Fig. 2). The supraperafrost aquifer on the planation surfaces became confined and the part of groundwater within it converted to ice due to the frozen of the upper part of the aquifer. Thus, the aquifer storage decreased, releasing the

groundwater into the surface water via localized talik. This process was evidenced by the increased DOC, DIC, major ions concentrations as well as depleted D and O compositions due to the fractionation between ice and water in both suprapermafrost and stream water samples as shown in Fig.4 and 7 (Belzile et al., 2002; Guo et al., 2012).

The tributary streamflows significantly declined after entering the piedmont sloping plain within the seasonal frost zone (Fig. 2), indicating that most flux from tributaries infiltrated into the porous aquifer via talik under streambed. However, the infiltration of stream flux during freeze-back period was much less than the thawed period, as suggested by a decline in groundwater table and an increase in major ions, Si and Sr concentrations at the top of the piedmont sloping plain (Fig. 3 and 8). The discharge of groundwater to mainstream via springs or baseflow at catchment outlet was also greatly decreased, as confirmed by the increased major ions and DIC concentrations in mainstream waters as well as lowered groundwater hydraulic gradient (Fig.3 and 9). However, the fraction of groundwater contribution increased since the tributary streamflow contribution decreased (Fig. 10).

## 5.2 The function of groundwater in maintaining streamflow at the catchment outlet

Recent studies have shown that groundwater storage and release may be more important in maintaining streamflow in the alpine environment than previously thought (e.g., Chang et al., 2018; Kobierska et al., 2015; McClymont et al., 2010). For example, studies in an alpine catchment in Colorado Front Range indicated that groundwater flowing from talus can account for 75% of streamflow during storms and the winter baseflow period (Clow et al., 2003). Field studies in other alpine catchments also showed that even during the high-flow

623 melt period groundwater can contribute more than half of the streamflow (e.g., [Andermann et](#)  
624 [al., 2012](#); [Hood et al., 2006](#); [Liu et al., 2004](#); [Shaw et al., 2014](#)). As shown by the Bayesian  
625 mixing model (Fig. 10), our results also confirmed that groundwater contribution plays a  
626 dominant role in maintaining streamflow in the alpine catchment.

627       Among three types of aquifers in the Hulugou catchment, previous studies have argued  
628 that pro-glacial moraines and talus slopes, despite their large storage potential, transmitted  
629 water quickly and thus were unlikely to sustain baseflow in the alpine catchment ([Clow &](#)  
630 [Sueker, 2000](#)). The present study suggests that groundwater storage and transmission in the  
631 piedmont plain likely dominated subsurface flow in the Hulugou catchment. This was also  
632 evidenced by comparing the contributions of surface water from mountains (via the two  
633 tributaries) and groundwater from piedmont plain to the stream discharge (Fig. 10). The  
634 seasonal variation in water storing and releasing of the aquifer composed of fluvioglacial and  
635 moraine deposits in the piedmont plain was mainly driven by the seasonal changes of the  
636 groundwater hydraulic gradient between the recharge area and discharge area.

637       As discussed in the above section and our previous studies ([Chang et al., 2018](#); [Ge et al.,](#)  
638 [2018](#); [Ma et al., 2017](#)), groundwater was recharged at the top of the piedmont plain by the  
639 seepage of streams and the lateral inflow from high mountains and hills in the permafrost  
640 zone, and discharged as baseflow to the Hulugou stream at the base of the piedmont plain.  
641 The recharge processes occurred mainly during the thawing/thawed seasons, causing the  
642 water table in the recharge area to be much higher than that during the cold seasons and to  
643 fluctuate in response to heavy rainfall events ([Ma et al., 2017](#)). Since the water table  
644 dynamics in the discharge area confined to a narrow range throughout the year, the hydraulic

645 gradient between the recharge area and the discharge area would remain high overall during  
646 the thawed seasons (Fig. 3). This would lead to a large seepage flux from the aquifers to the  
647 Hulugou stream at the base of the plain. In the early freezing period when the recharge  
648 decreased significantly, the hydraulic gradient would decrease rapidly with groundwater  
649 release to the Hulugou stream. The decreasing hydraulic gradient would, in turn, slow down  
650 the release of groundwater and the decline of hydraulic gradient, preventing the aquifers from  
651 being drained out during the cold seasons. With this feedback mechanism, the aquifers in the  
652 piedmont plain have the ability to switch in hydrological functions from the rapid  
653 transmission of groundwater (water conduction function) during the warm seasons to the  
654 slow release of stored groundwater (baseflow maintenance function) during the cold seasons.

655 The shallow and deep groundwater contributed 80-95% of the total flow to the  
656 mainstream, being a key factor in maintaining streamflow in the cold season. The deeper  
657 groundwater flow analyzed here is stored in a regional flow system (Evans et al., 2015),  
658 which has a large temporal capacity to adjust for water storage between seasons and from one  
659 year to the next. The contribution of deep groundwater flow to the mainstream varied small  
660 between different seasons, and therefore flow regimes. However, shallow groundwater flow  
661 flux in the piedmont plain was significantly affected by the permafrost and seasonal frost  
662 distribution and freeze-thaw process, such as the frozen water source and sediments in the  
663 frozen period, and enhanced recharge in thawed period. Thus groundwater flow contribution  
664 to mainstream water varied between seasons.

665 Due to difficulties in hydrogeological investigation, field-based research in the  
666 headwater region of Heihe River has been focused on the surface components of the

hydrological cycle, such as rainfall-runoff or snow accumulation and melt (Wang et al., 2018; Gao et al., 2018). This unintentionally caused to some extent an underestimate of the importance of groundwater in maintaining streamflow. Our study demonstrates that the aquifers within piedmont plain play key roles in regulating the rate and timing of the stream discharge from the Hulugou catchment, and this kind of “mountain-piedmont plain” catchment is common in the headwater region of Heihe River. The piedmont plains with thick unconsolidated deposits are widely distributed along the mainstream and its large tributaries, so that the glacier-snow meltwater and rainfall runoff from mountains must flow through these plains and probably percolate down into the coarse-textured aquifers largely before traveling to the rivers (Ma et al., 2017). The groundwater storage and flow within these piedmont plains played a significant role in hydrological regulation in the headwater region of Heihe River. More field-based hydrogeological research and modeling work should be conducted to better understand what groundwater contributes to river runoff and how groundwater may buffer the effects of climate change on mountain rivers.

## 6. Conclusions

The surface water and groundwater interaction in the middle and low latitude alpine catchments in the Qinghai-Tibet plateau may differ from those in arctic and subarctic areas due to the co-distribution of discontinuous permafrost and seasonal frost, and occurrence of concentrated precipitation and glacial/snow meltwater in the warm season. The contribution of groundwater to the Heihe River was previously unquantified in the headwater regions of the Heihe River located along the eastern Qinghai-Tibet Plateau, China. This study employed

689 hydraulic data, chemical and isotopic analyses to assess spatial and temporal changes in  
690 groundwater and surface water interactions controlled by the distribution of permafrost and  
691 seasonal frost and soil freeze-thaw process.

692       The exchange relationships between groundwater and surface water were complex along  
693 the different landforms of the catchment. The freeze-thaw process of pro-glacial moraine and  
694 talus deposits in the permafrost zone controlled the discharge rate of springs into tributary  
695 streams. Further, the active layer freeze-thaw processes altered the storage capacity of  
696 suprapermafrost aquifer and thus exchange relationships between suprapermafrost  
697 groundwater and surface water in different seasons. The groundwater and surface water  
698 interaction in the seasonal frost zone differed from that in the permafrost zone. The freeze-  
699 thaw cycle of the seasonal frost controlled the infiltration of stream flux at the top of the  
700 piedmont plain and along the channel, thus affecting the groundwater hydraulic gradient. The  
701 seasonal frost at the base of the piedmont plain confined the groundwater level and further  
702 affected the flow from the aquifer to the mainstream during the frozen period.  
703 Correspondingly, the Bayesian model results revealed significant seasonal variability in the  
704 contribution of tributary streams and groundwater following the shallow flow path to the  
705 mainstream. In response to groundwater and stream water interaction controlled by the  
706 freeze-thaw process, the contribution from groundwater accounted for ~95% of the total  
707 mainstream discharge during the frozen period when soils were completely frozen, decreased  
708 to 80-95% during the thawing period when the soils started to thaw, further changed to 40-  
709 80% during the thawed period when the soils were completed thawed, and increased to ~70-  
710 90% during the freeze-back period when the soils started to freeze.

The results here highlighted the important role of groundwater in maintaining the Heihe river discharge at different seasons and between years, especially during the cold season when the soils and water sources were frozen and few surface water generated. Thus, it implied that water resource estimation and management should consider the effects of permafrost and seasonal frost on the contribution of groundwater to large rivers which has been neglected for a long term.

## **Acknowledgements**

This research was financially supported by National Natural Science Foundations of China (NSFC- 91325101 and 41521001), the Strategic Priority Research Program of Chinese Academy of Sciences (No. XDA20100103). The data in this study will be provided through Zenodo website by acceptance of this manuscript.

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889 Table 1. Mean values, standard deviations ( $\pm$  SD) and number of samples used to determine chemical concentrations and H and O isotopic  
890 compositions in different types of waters from July to September, and from January to April or May. W refers to warm season; C refers to cold  
891 season; nh refers to sample numbers in warm season and nl refers to sample numbers in cold season. n.s. means that no samples were collected.

Chemical indicator (mg/L)		River waters		Spring	Well waters							Glacier meltwater	Snow meltwater
	periglacial and permafrost zone	seasonal frost zone	WW01 (25 m)		WW01 (<20m)	WW03 (30m)	WW03 (<20m)	WW04 (24.3m)	WW04 (1.5m)	WW04 (12m)			
	nh=48; nl=5	nh=129; nl=12	nh=99; nl=20		nh=19; nl=4	nh=50;nl=6	nh=19; nl=4	nh=25; nl=6	nh=1	nh=17	nl=1		
Ca <sup>2+</sup>	W	27.9±3.2	36.7±10.5	62.2±6.2	65.3±20.1	68.5±15.5	55.1±11.0	56.8±10.0	47.4	72.4±5.8	n.s.	19.8±11.4	9.4±10.6
	C	13.9±6.3	52.0±29.7	49.2±13.0	31.4±14.3	74.1±16.5	33.3±13.2	57.0±9.6	n.s.	n.s.	204.6	n.s.	n.s.
Na <sup>+</sup>	W	1.5±0.4	3.5±2.2	16.2±2.5	24.5±42.5	10.5±11.3	17.6±15.1	7.8±4.0	23.3	8.6±2.8	n.s.	0.9±0.6	0.9±0.8
	C	1.1±0.4	12.0±13.0	12.7±5.0	14.5±7.8	10.9±6.9	21.1±10.6	6.7±1.3	n.s.	n.s.	221.0	n.s.	n.s.
Mg <sup>2+</sup>	W	15.1±1.9	20.7±8.1	37.8±2.9	43.2±10.9	44.4±9.8	36.7±6.3	38±7.0	22.9	15.4±1.4	n.s.	7.7±4.3	1.8±1.5
	C	6.8±3.1	28.6±15.8	30.9±7.1	20.8±9.9	39.4±9.8	25.2±9.9	35.6±7.2	n.s.	n.s.	95.9	n.s.	n.s.
K <sup>+</sup>	W	0.5±0.1	0.7±0.2	1.4±0.3	1.7±1.2	1.3±0.6	1.6±0.9	2±2.8	6.4	4.3±1.3	n.s.	1.7±0.9	1.7±1.9
	C	0.4±0.1	1.1±0.6	1.0±0.5	1.3±0.8	1.3±0.7	1.7±1.1	1.4±0.4	n.s.	n.s.	9.7	n.s.	n.s.
SO <sub>4</sub> <sup>2-</sup>	W	30.7±9.5	59.1±33.9	132±40.0	158.9±51.6	160.3±41.9	115±16.8	117.7±40.3	64.7	10.2±5.5	n.s.	22.6±23.8	5.1±2.3
	C	31.6±10.3	161.9±103.9	145.2±34.4	92.4±22.0	230.8±63.0	74.9±24.6	148.8±26.5	n.s.	n.s.	4.1	n.s.	n.s.
NO <sub>3</sub> <sup>-</sup>	W	2.4±1.0	3.3±1.0	4.2±1.5	4.9±2.4	5.8±2.0	3.8±1.3	3.9±1.3	1.5	0.3±1.1	n.s.	1.9±1.3	0.3±0.6
	C	1.4±0.4	2.5±0.9	3.2±2.9	0.7±0.7	2.7±0.7	0.1±0.1	2.4±0.4	n.s.	n.s.	0.2	n.s.	n.s.
Cl <sup>-</sup>	W	3.2±0.2	3.6±0.4	5.1±0.7	5.2±2.7	4.7±0.8	4.5±0.9	4.2±0.8	17.6	6.1±1.1	n.s.	3.9±0.4	4±0.7
	C	7.7±1.6	5.7±1.89	5.2±0.6	5.2±0.4	5.0±0.3	4.9±0.3	5.1±0.4	n.s.	n.s.	106.4	n.s.	n.s.
HCO <sub>3</sub> <sup>-</sup>	W	103.1±12.7	131.4±37.3	238.4±16.4	270.6±43.0	254±44.5	243.8±24.9	250.9±73.0	237.5	294.3±25.7	n.s.	44.1±21.2	11.6±6.8
	C	105.7±4.4	192.3±68.4	240.9±7.6	212.1±32.5	302.5±50.8	282.4±14.7	250.2±9.0	n.s.	n.s.	833.6	n.s.	n.s.
Sr	W	0.2±0.0	0.2±0.1	0.6±0.1	0.5±0.1	0.5±0.1	0.4±0.1	0.4±0.1	0.3	0.3±0.0	n.s.	0.1±0.1	0.1±0.1
	C	0.1±0.0	0.5±0.3	0.5±0.2	0.2±0.1	0.5±0.1	0.3±0.1	0.4±0.1	n.s.	n.s.	2.7	n.s.	n.s.



Si	W	0.9±0.1	1.3±0.3	2.4±0.4	2.2±0.3	2.1±0.3	1.8±0.1	1.9±0.3	1.3	3.9±0.3	n.s.	4.4±2.9	2.7±1.9
		C	0.3±0.2	1.8±1.3	1.8±1.0	1.1±1.1	1.2±0.9	1.3±1.2	1.9±1.0	n.s.	n.s.	9.1	n.s.
	TDS	W	133.1±15.0	193.3±72.7	378.3±47.1	439.2±96.0	422.7±88.6	356.4±29.6	364±100.0	302.9	264.6±18.2	n.s.	81.8±47.9
		C	115.7±19.3	360.0±191.1	368.2±51.5	272.5±61.0	515.6±106.3	302.6±52.2	382.3±42.1	n.s.	n.s.	1059.4	n.s.
	DOC	W	0.7±0.2	0.7±0.2	0.7±0.2	4.6±7.1	3.0±4.3	2.2±3.0	2.3±7.1	n.s.	13.1±1.3	n.s.	0.4±0.1
		C	3.7±0.9	0.9±0.6	0.6±0.1	2.9±1.2	1.9±0.8	1.6±0.1	1.8±0.5	n.s.	n.s.	n.s.	n.s.
	DIC	W	16±2.1	21±7.5	38.7±6.3	48.2±10.3	43.1±6.3	39.8±4.9	43.1±13.7	n.d.	45.3±11.4	n.s.	6.9±3.2
		C	16.3±1.5	32.7±13.2	41.3±1.7	36.3±5.9	53±10.8	49±2.2	43±0.7	n.s.	n.s.	n.s.	n.s.
	$\delta^{18}\text{O}_{\text{VSMOW}}\text{‰}$	W	-8.5±0.5	-8.3±0.2	-8.4±0.1	-8.3±0.2	-8.4±0.2	-7.9±0.1	-7.9±0.2	-5.8474	-4.3±0.3	n.s.	-9±0.9
		C	-9.4±0.2	-8.4±0.3	-8.4±0.2	-8.3±0.5	-8.2±0.1	-8.1±0.1	-7.8±0.0	n.s.	n.s.	-7.8	n.s.
	$\delta\text{D}_{\text{VSMOW}}\text{‰}$	W	-47.7±4.5	-46.8 ±1.8	-48±1.2	-47.2±1.7	-48.1±1.8	-43.9±0.7	-43.7±1.7	-31.995	-24.1±1.7	n.s.	-50.8±8.11
		C	-58.2±1.7	-48.4 ±1.8	-48.5±1.2	-47.3±2.7	-46.7±1.2	-45.6±0.9	-43.2±0.1	n.s.	n.s.	-47.9	n.s.

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