Potential for electric vehicle adoption to mitigate extreme air quality events in China

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Abstract

Electric vehicle (EV) adoption promises potential air pollutant and greenhouse gas (GHG) reduction co-benefits. As such, China has aggressively incentivized EV adoption, however much remains unknown with regard to EVs' mitigation potential, including optimal vehicle type prioritization, power generation contingencies, effects of Clean Air regulations, and the ability of EVs to reduce acute impacts of extreme air quality events. Here, we present a suite of scenarios with a chemistry-climate model that assess the potential co-benefits of EVs during an extreme winter air quality event. We find that regardless of power generation source, heavy-duty vehicle (HDV) electrification consistently improves air quality in terms of NO₂ and fine particulate matter (PM_{2.5}), potentially avoiding 562 deaths due to acute pollutant exposure during the infamous January 2013 pollution episode (~1% of total premature mortality). However, HDV electrification does not reduce GHG emissions without enhanced emission-free electricity generation. In contrast, due to differing emission profiles, light-duty vehicle (LDV) electrification in China consistently reduces GHG emissions (~2 Mt CO₂), but results in fewer air quality and human health improvements (145 avoided deaths). The calculated economic impacts for human health endpoints and CO₂ reductions for LDV electrification are nearly double those of HDV electrification in present-day (155M vs. 87M US\$), but are within ~25% when enhanced emission-free generation is used to power them. Overall we find only a modest benefit for EVs to ameliorate severe wintertime pollution events, and that continued emission reductions in the power generation sector will have the greatest human health and economic benefits. **Potential for electric vehicle adoption to mitigate extreme air quality events in China**

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- 18 Key Points:
- Heavy-duty vehicle electrification in China consistently improves air quality regardless
 of power generation source
- Light-duty vehicle electrification offers less air quality benefits but consistently reduces
 total CO₂ emissions
- Power sector emission reductions are central to achieving co-benefits from electric vehicles
- 25

26 Abstract

Electric vehicle (EV) adoption promises potential air pollutant and greenhouse gas (GHG) 27 reduction co-benefits. As such, China has aggressively incentivized EV adoption, however much 28 29 remains unknown with regard to EVs' mitigation potential, including optimal vehicle type prioritization, power generation contingencies, effects of Clean Air regulations, and the ability of 30 EVs to reduce acute impacts of extreme air quality events. Here, we present a suite of scenarios 31 with a chemistry-climate model that assess the potential co-benefits of EVs during an extreme 32 33 winter air quality event. We find that regardless of power generation source, heavy-duty vehicle (HDV) electrification consistently improves air quality in terms of NO₂ and fine particulate 34 35 matter (PM_{2.5}), potentially avoiding 562 deaths due to acute pollutant exposure during the infamous January 2013 pollution episode (~1% of total premature mortality). However, HDV 36 electrification does not reduce GHG emissions without enhanced emission-free electricity 37 generation. In contrast, due to differing emission profiles, light-duty vehicle (LDV) 38 electrification in China consistently reduces GHG emissions (~2 Mt CO₂), but results in fewer 39 air quality and human health improvements (145 avoided deaths). The calculated economic 40 impacts for human health endpoints and CO₂ reductions for LDV electrification are nearly 41 42 double those of HDV electrification in present-day (155M vs. 87M US\$), but are within ~25% when enhanced emission-free generation is used to power them. Overall we find only a modest 43 benefit for EVs to ameliorate severe wintertime pollution events, and that continued emission 44 reductions in the power generation sector will have the greatest human health and economic 45 benefits. 46

47 Plain Language Summary

Electrric vehicles (EVs) offer potential air quality and climate change co-benefits, but due to varying power generation and vehicle types, and because air pollution chemistry is nonlinear, it is not clear to what extent EVs could provide mediation, especially during extreme air pollution episodes. China is both rapidly adopting EVs and frequently experiences poor air quality. We use an air quality model that simulates the comlex interplay between weather and air quality to examine the potential co-benefits of EVs in China during a historical pollution episode. We simulate both light- and heavy-duty vehicle adoption to show their individul benefits, and demonstrate the need for low-emission electricity generation to maximize co-benefits. Overall,

56 we find that heavy-duty fleet electrification consistently improves air quality and reduces

57 mortality, but offers little climate change benefits without enhanced emission-free electricity

58 generation. Light-duty vehicles, however, offer large climate change benefits but few air quality

59 improvements, highlighting the need for cross-modal adoption strategies.

60 **1 Introduction**

China faces the concurrent challenges of mitigating anthropogenic climate change and 61 improving air quality. China contributes ~30% of global CO₂ emissions (Boden et al., 2017) and 62 ambient pollution accounts for $\sim 17\%$ of its annual deaths (Rohde et al., 2015). Mitigation 63 strategies that simultaneously target both challenges, such as the electrification of the 64 transportation sector, are desirable and needed (Haines, 2017; Patz, 2020). China's transportation 65 sector contributes ~9% of its total CO₂ emissions (Zheng et al., 2018) and is responsible for 66 ~100,000+ annual air pollution related premature deaths (Anenberg et al., 2019). While electric 67 vehicles (EVs) remove on-road CO_2 and tailpipe pollutant emissions and precursors, electricity 68 demands increase emissions from fossil fuel-based electricity generating units (EGUs), which 69 comprise ~65% of China's grid mix (IEA, 2017). Recent studies suggest that extreme pollution 70 episodes will constitute a disparate share of China's future increases in air quality-related 71 mortality (Hong et al., 2019), and that the underlying meteorological conditions of their 72 73 formation and persistence (Zhang et al., 2015) have increased in likelihood due to anthropogenic climate change (Callahan et al., 2019; Cai et al., 2017; Zou et al., 2017; Zou et al., 2020). One 74 such extreme pollution episode occurred in January 2013, when over 600M people across China 75 76 were exposed to extremely high levels of fine particulate matter (PM_{2.5}) during a series of pollution episodes (Sheehan et al., 2014). Conditions in Beijing were particularly dire: visibility 77 was reduced to <1 km (Sun et al., 2014), emergency room visits increased ~30% (Ferrreri et al., 78 2018), and ~690 premature deaths occurred with health impacts totaling 250M+ US\$ (Gao et al., 79 2015). These episodes – often referred to as *Airpocalypse* in popular media (Beech, 2013; 80 Kaiman, 2013) – motivated significant pollution control efforts in the transportation and energy 81

sectors (Zhang et al., 2019), including a strong regulatory push toward "New Energy Vehicles"
like EVs (Reuters, 2020).

A simple accounting of the displacement of on-road to EGU-based emissions can be used 84 85 to quantify net CO₂ changes due to EV adoption (e.g., Huo et al., 2015), but pollutant emission changes are heterogeneous in space and time, and the efficacy of emissions to produce pollution 86 depends on numerous complicating nonlinear chemical and meteorological factors – unlike 87 spatially well-mixed and nonreactive CO₂. Therefore, efforts to evaluate air quality impacts of 88 89 EV adoption must use a chemistry-transport model (CTM) to capture complexities of air pollution chemistry, transport, and timing. CTM-based analyses of EV adoption in China are 90 91 limited despite growing widespread deployment (e.g., He et al., 2018). Moreover, comparisons are challenging due to methodological differences, and key findings can diverge. For example, 92 93 Peng et al. (2018) found that coal-intensive (75%) electrification of 30% of on-road vehicles 94 does not reduce GHG emissions but could avoid 41k+ deaths, while Liang et al. (2019) found that 27% EV adoption could reduce GHG emissions and avoid 17k+ premature deaths. Both 95 studies (Peng et al., 2018; Liang et al., 2019) simulate electrification of multiple modal types, 96 i.e., light-duty vehicles (LDVs) and heavy-duty vehicles (HDVs), which prevents disentangling 97 98 each mode's co-benefits. Indeed, the impact of electrifying one mode could mask impacts from 99 others. For example, Huo et al. (2015) used an emission accounting approach and found that in contrast to Peng et al. (2018), electrification of only LDVs could reduce GHG emissions even 100 under coal-intensive electrification. To clarify benefits and tradeoffs of EV adoption in China, 101 we focus on each mode's potential to reduce CO₂ emissions and mitigate extreme winter 102 pollution events. We utilize open-source data and an emission remapping algorithm (Schnell et 103 al., 2019) to estimate changes that result from different EV scenarios (Table 1). To constrain 104 differing emission profile impacts of modal choice we independently assess replacement of equal 105 electricity-demand fractions of China's HDV and LDV fleets (i.e., 40%). We use a regional 106 chemistry-climate model and quantify changes in CO₂ and air pollutants from a baseline 107 108 simulation to each EV scenario. Public health impacts and costs are calculated across seven health endpoints (Gao et al, 2015) caused by acute PM_{2.5} and NO₂ exposure, which we compare 109 to monetary consequences of CO₂ emission changes. Further experiments investigate EGU 110 111 emission rate sensitivities, potential co-benefits of renewable energy adoption, and consequences of coal-only power generation. EV adoption scenarios are simulated using meteorological 112

conditions from January 2013 to assess the potential for air quality remediation during an 113

extreme pollution episode. 114

2 Materials and Methods 115

2.1 Electric vehicle adoption experiments 116

Each simulation is run from December 22, 2012 to January 31, 2013, with the first 10 117

118 days discarded as model spin-up. Our control simulation is referred to as *BASE*. Our primary

electrification (HDV 2015) experiment replaces a total of 1.5M HDVs (~40% of the fleet), with 119

~33% of these HDVs placed in cities from He et al. (2018); hence, "EV-forward cities" (Figure 120

1). We assume an average operating efficiency of 1.3 kWh km⁻¹, similar to the specifications of 121

an electric bus or truck (e.g., https://www.nrel.gov/docs/fy16osti/65274.pdf; 122

123 https://www.tesla.com/semi). The electricity sector emission rates reflects those from the China

Statistical Yearbook (2015). To highlight the impact of recent EGU emission reductions, we 124

perform an experiment (HDV 2010) using emission rates for coal-fired EGUs set to 2010 levels 125

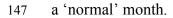
- (Liu et al., 2015), as well as an experiment that only uses these coal-fired EGUs (HDV COAL). 126
- We also simulate a scenario (HDV REN) in which 50% of the marginal electric demand to 127
- charge the EVs comes from emission-free sources (e.g., wind, water, solar). Emission rates for 128
- all generation types except coal-fired EGUs remain the same as in HDV 2015 throughout other 129
- experiments. 130

Table 1. Summary of modeling experiments.						
Scenario Name	Scenario Description					
BASE	Baseline January 2013 scenario					
HDV_*	~40% of HDV fleet electrified (1.5M vehicles)					
LDV_*	~40% of LDV fleet electrified (39.2M vehicles)					
*_COAL	EVs powered by coal-fired EGUs using 2010 emission rates					
*_2010	EVs powered by EGUs with 2010 emission rates					
*_CUR	EVs powered by EGUs with 2015 emission rates					
*FUT	*_CUR with electricity demand halved prior to remapping to EGUs					
*_2014	Scenario nudged to January 2014 meteorology					
NO_TRA	All on-road sector emissions removed from grid cells in China					
NO_ENE	All power sector emissions removed from grid cells in China					

131

We compare the co-benefits of e-HDV vs. e-LDV adoption by using the total electricity demand from the HDV experiments to instead electrify a fleet of LDVs. The equivalent of each 132

- 133 HDV* experiment is also performed for LDVs. For e-LDVs, we use operating efficiencies of
- 134 0.16 kWh km⁻¹, which represents a new compact EV (e.g., 2019 Tesla Model 3;
- 135 <u>https://www.fueleconomy.gov/feg/evsbs.shtml</u>); these parameters lead to an equivalent LDV
- adoption of 39.2M vehicles (coincidently, like HDV, ~40% of the fleet; Figure 1b). To capture a
- 137 greater uncertainty range for changes in CO₂ emissions, we compare results using a battery
- efficiencies for e-LDVs of 0.12 kWh km⁻¹ and 0.18 kWh km⁻¹ (Huo et al., 2015), and use the
- 139 same relative scaling for e-HDVs (i.e., 0.975 kWh km⁻¹ and 1.4625 kWh km⁻¹). Although the
- 140 total electricity demand is the same between e-HDV and e-LDV experiments, the spatial
- 141 distribution of the demand differs slightly due to differing intra- and inter-province fleet
- distributions. In general, LDVs are more concentrated in the most economically developed
- regions (Figure S1); i.e., the national capital region of Beijing-Tianjin-Hebei (BTH), the Yangtze
- 144 River Delta (YRD: Shanghai, Zhejiang, and Jiangsu), and the Pearl River Delta (PRD:
- Guangdong). In addition to January 2013, we also simulate *HDV_2015* and *LDV_2015* for a
- relatively 'clean' month (January 2014) to compare EV-impacts for an extreme episode month to



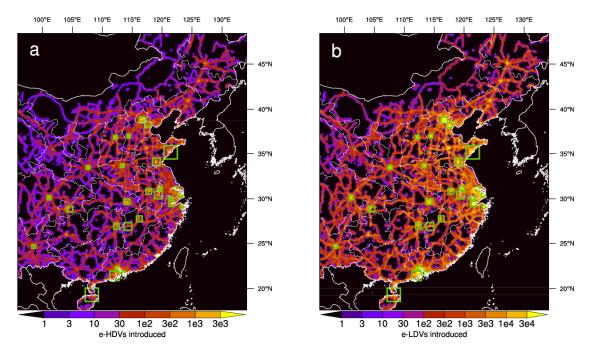


Figure 1. Number of electric vehicles introduced at each 12 km grid cell. (**a**) e-HDV, (**b**) e-LDV. EV-forward cities (see Materials and Methods) are shown in green.

148 2.2 Health impact and monetary value calculations

We calculate the acute health impacts and economic losses that result from surface PM_{2.5} and NO₂ exposure over the January 2013 episode following the methods of Gao et al. (2015), who apply a Poisson regression model (Guttikunda and Goel, 2013) to estimate the number of cases of mortality and morbidity over seven health endpoints, including premature mortality, respiratory and cardiovascular hospital admissions, outpatient visits (ages 0–14 and 14+), bronchitis, and asthma (Table S1). The number of cases (ΔE) is estimated as equation (1):

156 (1)
$$\Delta E = \sum_{i=1}^{\# grids} \Delta POP * IR * \left(1 - \frac{1}{e^{(\beta \Delta C)}}\right)$$

157

where $\triangle POP$ is the population exposed to the incremental concentration $\triangle C$ in grid cell *i*, IR is 158 the incidence rate of the health endpoints, and β is the concentration-response function. For NO₂, 159 we only calculate premature mortalty and our β values come from Chen et al. (2017). For PM_{2.5}, 160 we use updated β values from Chen et al. (2018) for all-cause mortality, but apply the same input 161 data and parameters as Gao et al. (2015) in our calculations for other health endpoints: we use 162 the Gridded Population of the World v4 for the year 2015 for population data 163 (https://sedac.ciesin.columbia.edu/data/collection/gpw-v4) and β and IRs are from a range of 164 sources (Table S1). The ß values represent the increase in daily mortality and morbidity cases 165 due to a 10 µg m⁻³ increase in two day average PM_{2.5} or NO₂ and the IRs were converted from an 166 annual to a daily value assuming cases are equally distributed. Like Gao et al. (2015), we also 167 use the WHO 24-h average PM_{2.5} guideline value of 25 µg m⁻³ to obtain the incremental 168 concentration ΔC ; i.e., we assume no health impacts are incurred below this value. For NO₂ we 169 use a reference value of zero. We calculate the monetary value associated with each health 170 endpoint using the unit loss values from Table 2 of Gao et al. (2015), which are taken from 171 Huang and Zhang (2013). To calculate the avoided (or added) health and economic impacts due 172 to fleet electrification, we subtract the impacts of the sensitivity simulation from the impacts 173 174 calculated for BASE.

- 175 2.3 Air quality model description
- Our experiments use the two-way coupled Weather Research and Forecasting (WRF, 176 v3.8; Skamarock et al., 2008) and Community Multi-scale Air Quality (CMAQ, v5.2; Byun et 177 178 al., 2006) modeling system (WRF-CMAQ; Wong et al., 2012). WRF is run with 30 vertical levels from the surface to 50 hPa at 12 km horizontal resolution extending from 17.6°S–49.6°N 179 and 95.8°E–134.2°E (244 x 294 grid cells). The lowest model layer is ~30 m thick, with the first 180 \sim 7 layers in the bottom 1 km. Initial and time-varying boundary conditions are provided by the 181 182 NCEP FNL Operational Model Global Tropospheric Analyses dataset (https://rda.ucar.edu/datasets/ds083.2/). The model is run with analysis nudging above the 183 184 boundary layer using Four Dimensional Data Assimilation (FDDA) with nudging coefficients of $3.0 \times 10^{-4} \text{ s}^{-1}$ for temperature and winds and $1.0 \times 10^{-4} \text{ s}^{-1}$ for water vapor mixing ratio. The 185 model physics options include the Morrison 2-moment microphysics scheme (Morrison et al., 186 2009), version 2 of the Kain-Fritsch (KF2) cumulus cloud parameterization (Kain, 2004), the 187 Asymmetric Convective Model version 2 (ACM2) for the planetary boundary layer (Pleim, 188 2007ab), and the Pleim-Xiu land surface model (Xiu and Pleim, 2001) with soil moisture 189 nudging (Pleim and Xiu, 2003; Pleim and Gilliam, 2009) during the 10-day spin-up period. We 190 191 use the Rapid Radiative Transfer Model for GCMs (RRTMG) for both our shortwave and longwave radiation schemes, for which the two-way model has been developed to use. WRF is 192 run with a 60 second time step and a 20 minute radiation time step. CMAQ is run with the CB05 193 gas phase mechanism with version 6 of the aerosol module (AERO6) and aqueous/cloud 194 195 chemistry. CMAQ is coupled to WRF at a frequency of 1:5 (i.e., CMAQ is run every 5 minutes). Sensitivity tests over our domain show only small differences in simulated PM_{2.5} abundances for 196 higher frequency coupling. Initial and time-varying chemical boundary conditions are from 197 MOZART-4/GEOS5 (https://www.acom.ucar.edu/wrf-chem/mozart.shtml). 198 Anthropogenic emissions were generated with raw inputs from EDGAR version 4.3.2 199
- (http://edgar.jrc.ec.europa.eu/overview.php?v=432_AP, last access April 10, 2020) using the
 methods of Wang et al. (2014). Primary PM and VOCs are speciated to model species based on
 the SPECIATE 4.2 database (Hsu and Divita, 2008). Biogenic emissions are generated using the
 Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.10 (Guenther et al.,
 2006), while open burning emissions are generated based on the Fire Inventory from NCAR

(Wiedinmyer et al., 2011). Emissions of dust and sea salt are calculated online. Although the 205 EDGAR emissions represent year 2010, total Chinese emissions in 2013 are similar (Zheng et 206 al., 2018). In general, transportation emissions increased and power sector emission decreased 207 over the 2010-2013 time period. Onroad and power sector emissions were processed separately 208 and merged after modifications for individual scenarios. The premerged processed emissions that 209 exclude onroad and power sectors were anomalously high in some grid cells, which compounded 210 PM_{2.5} simulation biases. To remedy these biases we smoothed the 50 largest anomalous values of 211 each emitted species in each emission layer prior to merging with the unmodified onroad and 212 power sector emissions. Anomalous values were smoothed by averaging the eight neighboring 213 grid cells. Grid cell smoothing sensitivity tests were performed until a near-zero mean bias over 214 Beijing was attained. 215

216 2.4 Model evalution

217 Figure S2 compares the time series of WRF-CMAQ simulated daily averaged surface temperature, relative humidity, and 10 m wind speed as compared to NOAA National Centers for 218 Environmental Prediction Integrated Surface Database (https://www.ncdc.noaa.gov/isd/data-219 access). Our comparisons are with observations sites closest to the U.S. Embassy locations that 220 measure PM_{2.5}. Overall, the model performs very well for these variables at these locations. 221 WRF generally underestimates surface temperatures (mean bias (MB) = -0.4 to -1.5) but matches 222 223 daily variability well – correlations (r) range from 0.85 to 0.97. Relative humidity performance is good over Beijing (MB = -3%, r = 0.84), though over Chengdu, WRF is biased low by over 20% 224 (r = 0.66). Wind speed is also simulated well, with MBs ranging from -1.2 m s^{-1} to 0.2 m s^{-1} and 225 high correlations, particularly over Shanghai and Guangzhou. 226

Figure S3 show the hourly and daily averaged $PM_{2.5}$ time series for WRF-CMAQ as compared to surface observations from United States Embassy sites in Beijing, Shanghai, Guangzhou, and Chengdu (<u>http://www.stateair.net/web/historical/1/1.html</u>). The model is biased high over three of the four locations, ranging from -0.7 µg m⁻³ (-0.4%) over Beijing to 88 µg m⁻³ (106%) over Guangzhou. The lowest (highest) bias generally occurs during midday (evening) when PM_{2.5} is at a minimum (maximum). Comparing the observed timeseries to the average time series of the nine grid cells around the observation site reveals extremely pronounced spatial

variability that the emissions or model may not appropriately delineate. For example, Beijing's 234 bias decreases from -0.7 to -69 ug m⁻³: Shanghai from 65 to 21 ug m⁻³: Guangzhou from 88 to 67 235 μg m⁻³; and Chengdu from 33 to -8.4 μg m⁻³. Over Beijing, Shanghai, and Chengdu, WRF-236 CMAQ matches both the hourly (Pearson correlation, $r_{hour} = 0.51-0.74$) and daily ($r_{dav} = 0.64-$ 237 0.88) variability of PM_{2.5} well, but it performs poorly over Guangzhou ($r_{dav} = 0.21$). 238 Comparisons with Guangzhou's adjacent grid cells yield similarly poor agreement. We 239 attempted to remedy the poor performance in the vicinity of Guangzhou by testing several WRF 240 physics options (e.g., cumulus physics, stronger nudging and/or nudging in the boundary layer, 241 number of vertical layers, time step(s), etc.). Using stronger nudging coefficients within the 242 boundary layer and at the surface slightly improved the performance over Guangzhou in terms of 243 matching daily variability, but doing so increased the bias in the four cities substantially, and so 244 we retained our original parameters. We also perform a sensitivity simulation without the 245 aerosol-radiation feedback, which reduces PM2.5 concentrations (and thus decreases the bias at 246 three of the four sites), but it decreases the correlation at each site (orange lines in Figure S3). On 247 the final two days of our simulation (Jan 30-31), we observe a substantial high bias in simulated 248 249 PM_{2.5} over Beijing, which accounts for nearly 30% of the total monthly deaths.

250 2.5 Emission remapping

We construct our vehicle electrification emission datasets using the methods described in Schnell et al. (2019). We slightly modify the methods due to differences in data sources and modeling system. Our electrification emissions (E^*) are calculated as equation (2):

254

255 (2)
$$E_{s,t,j}^* = E_{s,t,j}^0 - E_{s,t,j}^{ICE} + E_{s,t,j}^{EGU}$$

256

where $E_{s,t,j}^{0}$ is the unmodified CMAQ-ready emissions (i.e., hourly, on the 12 km grid, and speciated to the chemical mechanism) for species *s* at hour *t* and grid cell x_j , $E_{s,t,j}^{ICE}$ are the emissions associated with conventional internal combustion engine vehicles (ICEVs) transitioned to EVs, and $E_{s,t,j}^{EGU}$ is the emissions from electric generating units (EGUs) that power the added EVs. 262 2.5.1 Emissions of replaced internal combustion vehicles

263 We calculate the emissions of the replaced ICEVs as:

264

265 (3)
$$E_{s,t,j,m}^{ICEV} = \sum_{m=1}^{M} f EV_{j,m} \cdot f E_{s,j,m}^{ICEV} \cdot E_{s,t,j}^{ONR} + (r_{TW} - 1)E_{s,j,m}^{TW} + (r_{RW} - 1)E_{s,j,m}^{RW} + (r_{BW} - 1)E_{s,j,m}^{BW}$$

267

where $f EV_{j,m}$ is the fraction of the ICE vehicles in grid cell j and mode m converted to EVs, 268 $fE_{s,i,m}^{ICEV}$ is the fraction of on-road transportation emissions from mode m, $E_{s,t,i}^{ONR}$ is the total on-269 road emissions, and $r_{TW}E_{s,j,m}^{TW}$, $r_{RW}E_{s,j,m}^{RW}$, and $r_{BW}E_{s,j,m}^{BW}$ are respectively the scaled non-exhaust 270 emissions of tire wear, road wear, and brake wear. For $f E_{s,j,m}^{ICEV}$, we use province-level data from 271 the GAINS model that is linearly interpolated to 2013 using 2010 and 2015 data. To calculate 272 $f EV_{i,m}$, we first determine the number of vehicles of each mode in each grid cell using GAINS 273 vehicle fleet counts, which we map onto our 12 km grid using the on-road emissions of NOx (NO 274 + NO₂) as weights for HDVs; for LDVs, we use CO. We then choose the total number of ICEVs 275 276 to transition and distribute them accordingly. First, we distribute a fraction of the total EVs to the 30 cities that collectively represent over 80% of the EVs in 2015 (He et al., 2018) using their 277 battery EV market size as a weight. To determine in which grid cells those EVs are placed, we 278 choose the smallest box around the city center (i.e., 1, 9, 25, etc.) such that 100% of the ICEVs in 279 the center grid cell can be replaced and no more than 75% in the surrounding cells. This method 280 leads to an unrealistic EV adoption 'footprint' for the city of Lanzhou, so we do not simulate 281 enhanced EV adoption there. Also, due to the near-overlapping proximity of Xiangtan and 282 Zhuzhou, we combine them into a single megacity. We then proportionately distribute the 283 remaining EVs outside the top 30 EV cities according to the vehicle fleet (i.e., grid cells with 284 more vehicles have greater adoption). We estimate the particulate emissions of tire, road, and 285 brake wear using GAINS data for the fraction of total on-road emissions associated with these 286 sources. For simplicity, we assume the EVs that replace ICEVs have the same curb weight and 287 also regenerative braking, i.e., we adopt best-case estimates for $r_{TW}E_{s,i,m}^{TW}$, $r_{RW}E_{s,i,m}^{RW}$, and 288 $r_{BW}E_{s,j,m}^{BW}$ of 1.0, 1.0, and 0.0, respectively. 289

290 2.5.2 Emissions from EGUs that power EVs

291 We calculate the EGU emissions that power EVs as:

292

293

(4)
$$E_{s,t,j}^{EGU} = ER_{s,t,j}^{EGU} \cdot V_{t,j}$$

294

where $ER_{s,t,i}^{EGU}$ is the average emission rate (g Wh⁻¹ or moles Wh⁻¹) of species s for the EGUs in 295 grid cell x_j , and $V_{t,j}$ is the marginal electricity generation (Wh) assigned to grid cell x_j . We 296 calculate $ER_{s,t,i}^{EGU}$ by co-locating all EGUs (including emission-free EGUs: solar, hydro, wind, 297 and nuclear) in the Global Power Plant Database [GPPD (42)] to a model grid cell. The grid cell 298 average emission rate is calculated as the weighted average of the individual EGU emission rates 299 with the weights equal to the EGUs' estimated generation. Because our emissions are prescribed 300 301 on an hourly basis, we are able to improve upon the methods of Schnell et al., (2019) by only allowing solar generation to be used during the day (we assume 7AM to 5PM), effectively 302 increasing nighttime emission rates. EGU emission rates are from the China Statistical Yearbook 303 (2015), which provides rates for NO_x, SO₂, total PM, the fraction of total PM that is $PM_{2.5}$, PM_{10} , 304 and PM_{2.5-10}, and the BC and OC fractions of PM_{2.5} for each province and EGU type. For model-305 306 simulated species without EGU emission rates (i.e., VOCs), we assume a conservative scaling factor equal to the lowest emission increase (associated with and only applied to EGU 307 emissions). Since PM_{2.5} emissions are highly speciated in the model emissions (18 species) but 308 the EGU emission rates only provide the fraction of PM2.5 that is OC and BC, we set the 309 310 emission rate of 'PMOTHR' (i.e., the unspeciated PM_{2.5} model emission species) equal to the emission rate of PM_{2.5} minus the emission rates of BC and OC. For some experiments (*2010), 311 we set coal-fired EGU emission rates to those in Liu et al. (2015), leaving all other EGU types 312 the same. We scale BC and OC emission rates by the PM_{2.5} rate change between the two 313 datasets. For CO₂, we use Liu et al. (2015) emission rates for coal-fired EGUs in *2010 314 experiments, and linearly interpolate to 2013 for the *CUR experiments. For all scenarios, we 315 use U.S. emission rates for gas-fired and oil-fired plants, which are respectively assumed to be 316 50% of the CO₂ emission rate of coal-fired EGUs and 743.4 g kWh⁻¹ (US DOE, 2016). 317

318 2.5.3 Marginal electricity generation

The marginal electricity generated at a grid cell x_j required to power EVs at each of K grid cells x_k is:

321

322 (5)
$$V_{t,j} = \sum_{m=1}^{M} \sum_{k=1}^{K} w_{k,j}^* \cdot Q_{t,k,m}$$

323

where $Q_{t,k}$ is the electricity requirement for the adopted EVs and $w_{k,j}^*$ is a combination of two individual weights, which are functions of distance ($w_{k,j}^D$, equation (6a)) and the estimated average electric load ($w_{k,j}^L$, equation (6b)).

327

328 (6a)
$$w_{k,j}^{D} = \begin{cases} D^{-1} & \text{if } |x_j - x_k| \le D_{min} \\ |x_j - x_k|^{-1} & \text{if } D_{min} < |x_j - x_k| \le D_{max} \\ 0 & \text{if } |x_j - x_k| > D_{max} \end{cases}$$

329

330 (6b)
$$w_{k,j}^L = L(x_j)$$

331

where D_{min} is a minimum distance parameter that prevents a singularity when x_j and x_k are the same grid cell (i.e., $w_{k,j}^D = \infty$, which would remap all of the additional electricity required from a grid cell to itself) is set to 100 km. This means that all EGUs within a 100 km radius of the grid cell that requires electricity receive equal distance weighting. D_{max} is a maximum distance parameter set to 1000 km.

- 337 2.5.4 Electricity required to power EVs
- The electricity need for the EVs in grid cell xk is calculated as:
- 339

340 (7)
$$Q_{t,k,m} = (1 - TL)^{-1} \cdot CE^{-1} \cdot (EV_{eff})^{-1} \cdot fEV_{j,m} \cdot w^{\nu kt} VKT_{t,k,m}$$

341

342 where TL fractional transmission loss (assumed to be 5%), CE is the charging efficiency (85%,

Huo et al., 2015; Tarroja et al., 2016), *EV_{eff}* is the efficiency (km Wh-1) of the adopted EV,

 $fEV_{j,m}$ as above is the fraction of the ICEVs transitioned to an EV, and $VKT_{t,k,m}$ is the vehicle

kilometers traveled by mode m in grid cell xk and time t. Schnell et al. (2019) used VKT to

calculate the electricity need for monthly averaged emissions; however, because our hourly

emissions have an imposed diurnal profile associated with anthropogenic activities (e.g.,

morning rush hour), we make a slight modification (w^{vkt}), which scales the hourly VKT by its

inverse (conserving total daily VKT); i.e., the diurnal cycle of EV charging (Q) and VKT are

inversely proportional. The GAINS model provides province-level VKT, which we map onto our

12 km grid in the same way as with the vehicle fleet. EV_{eff} is experiment dependent.

352 **3 Results**

353

3.1 Baseline historic extreme pollution event

Simulated January 2013 average PM_{2.5} concentrations range from $\sim 10 \ \mu g \ m^{-3}$ over 354 remote areas of China to ~200-350 µg m⁻³ over the North and Central China Plain (NCP) in our 355 baseline historic scenario (BASE; Figure 2a), consistent with observations (Wang et al., 2014). 356 High-population, high-emission, yet geographically diverse megacities of Beijing, Shanghai, and 357 Guangzhou are simulated as pollution hotspots, in addition to the Sichuan basin due to its 358 confining topography. NO₂, another pollutant with adverse health effects and has potential for 359 reduction through EV adoption, is similarly elevated in megacities, throughout the NCP, and 360 along major highways (Figure 2b). We estimate that across China acute exposure to PM_{2.5} and 361 NO₂ during the January 2013 episode led to \sim 32k premature deaths, \sim 1M hospital admissions, 362 ~8M outpatient visits, ~3M cases of bronchitis, and ~2M cases of asthma, with total economic 363 losses of 14.7B US\$ across seven health endpoints (Table S1). 364

365 While monthly average PM_{2.5} concentrations were high in many locations during January 2013, the core event and damages were particularly acute in Beijing (e.g., Sun et al., 2014; 366 Ferreri et al., 2018; Gao et al., 2015). During the period of peak PM_{2.5} concentrations (10–15 367 Jan), modeled PM_{2.5} across Beijing exhibits a strong north-south gradient, ranging from ~50 µg 368 m^{-3} in the north to over 300 µg m^{-3} in the south (Figure 2b). Observations at the US Embassy 369 recorded concentrations that ranged from 56–886 µg m⁻³, while our model simulates 370 concentrations of 69–539 µg m⁻³ over the Embassy and misses the peak day magnitude (Figure 371 2d). Across all Beijing grid cells, simulated concentrations range from 5–875 µg m⁻³ (Figure 2d). 372 During the most severe days of the episode (10–15 Jan, Figure 2c-d), we estimate 122 premature 373

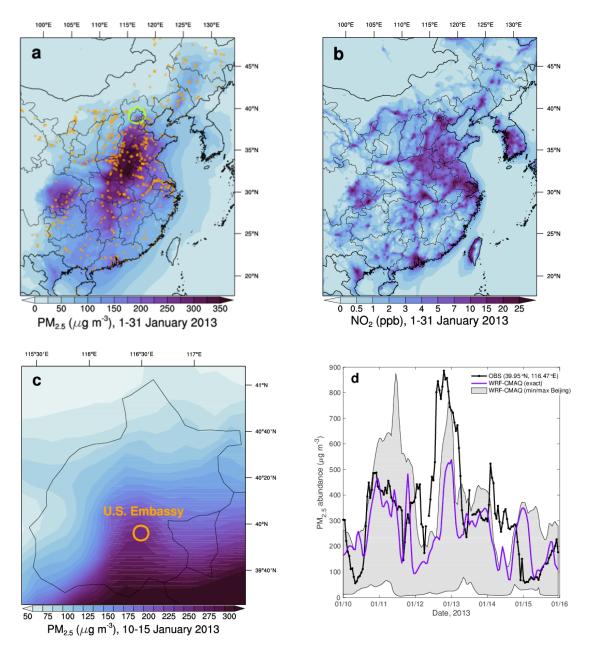


Figure 2. Summary of surface $PM_{2.5}$ for the January 2013 pollution episode over China. (**a**) Modeled monthly mean $PM_{2.5}$ concentrations in *BASE* over the model domain. The Beijing province is denoted by the green circle, and the orange dots are the location of coal-fired EGUs, (**b**) as (**a**) but for NO₂, (**c**) Modeled peak episode (10-15 Jan) concentrations over Beijing. (**d**) Time series of hourly $PM_{2.5}$ abundance observed at the U.S. Embassy (orange in (**c**)), the model grid cell that contains the Embassy, and the min/max of all grid cells inside Beijing.

deaths from exposure to PM_{2.5} and NO₂ in Beijing, whereas for the month, we calculate a total of
486 premature deaths, with a total economic impact of over 132M US\$ summed across seven
health endpoints (Table S1).

377 3.2 Co-benefits of e-HDV and e-LDV adoption

378 We scrutinize the benefits and tradeoffs of EV policy and implementation decisions on the mitigation of extreme pollution events using metrics that capture emission rates, public health 379 impacts, and/or economic costs (Figure 3 & Table S2). Compared to BASE, a 40% conversion to 380 e-HDVs (1.5M vehicles; Figure 1a) powered by 2015 electricity generation emissions rates 381 382 (HDV 2015, Table 1) would have avoided 562 [95% CI: 410, 723] premature mortalities in China for the month, following an average PM_{2.5} reduction over China of $0.85 \pm 0.82 \ \mu g \ m^{-3}$ and 383 NO₂ reduction of 0.58 ± 0.13 parts per billion (ppb)(Figure 4). However, such a transition would 384 increase CO₂ emissions by 2.6 Mt Jan⁻¹ (i.e., a CO₂-tradeoff). The combined monetary impacts 385 of a CO₂ increase (valued at \$47 per ton CO₂ (Liang et al., 2019), a loss of 121M US\$) with 386 those of seven health endpoints (a savings of 208M US\$) largely offset one another such that e-387 HDV adoption yields a total savings of 87M US\$ for the month (Figure 3b). 388

389 We compare the co-benefits of e-HDV adoption with a scenario that uses the total electricity demand required for 40% e-HDV adoption to instead electrify a fleet of LDVs 390 391 (LDV 2015). Because of their substantially smaller per-kilometer electricity requirement, significantly more LDVs are electrified (39.2M; Figure 1b), though coincidently, this is also 392 393 ~40% of the existing LDV fleet. Air quality improvements for e-LDV adoption are less than for e-HDVs since HDVs contribute more to the on-road emission fraction of both NO_x and primary 394 395 PM_{2.5}. e-LDV adoption avoids 145 [95% CI: 38, 333] premature deaths due to a China-averaged PM_{2.5} (NO₂) reduction of $0.16 \pm 0.27 \ \mu g \ m^{-3}$ (0.02 ± 0.05 ppb). The adoption of e-LDVs avoids 396 ~25% of the number of deaths as e-HDVs, however, e-LDVs dramatically reduce CO₂ emissions 397 (2.2 Mt Jan⁻¹) such that the combined economic impacts of CO₂ reductions and human health 398 impacts yield a total savings of 156M US\$ (Figure 3b). 399

Province-level CO₂, PM_{2.5}, NO₂, and associated mortality changes (Figure S4) are
 expectedly more variable than national averages, but can provide insight into regionally targeted
 cross-modal EV adoption planning. Similar to previous work (Liang et al, 2019), we find the

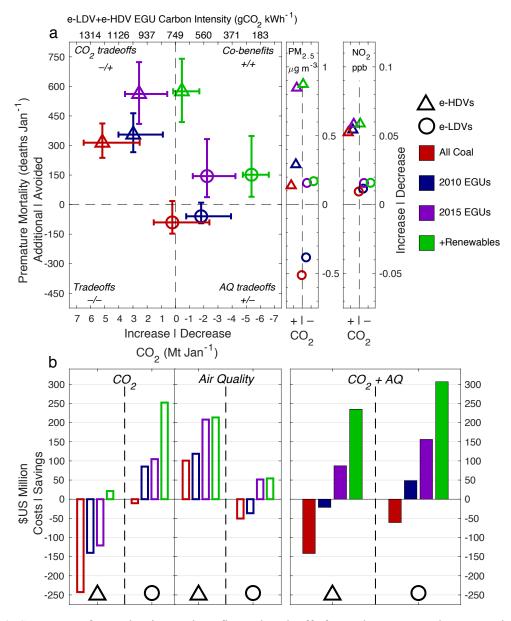


Figure 3. Summary of EV adoption co-benefits and tradeoffs for each e-HDV and e-LDV adoption and power generation scenario. (**a**) CO₂ emission reduction (Mt Jan⁻¹) and avoided premature mortality (deaths / January). Top x-axis provides the carbon intensity of the power sector that correspond with the bottom x-axis CO₂ emission changes for combined e-HDV+e-LDV adoption. Uncertainty bars for CO₂ are the range of battery efficiencies; for premature mortality, the 95% confidence interval of β (exposure-response). Plots at right shows the change in average PM_{2.5} and NO₂ over grid cells in China. (**b**) Monetary cost or savings (million US\$ / January) of EV adoption, shown individually for CO₂ and health/air quality, and their sum (right, filled bars).

403 major metropolitan regions of Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD), and

404 the Pearl River Delta (PRD)(Figure S1) generally experience the largest air quality

405 improvements for both e-LDV and e-HDV adoption scenarios, and thus experience larger

406 reductions in mortality. For *HDV 2015*, 48% of total avoided mortality occurs in these three

407 regions; for *LDV 2015*, 59%. Provinces in these regions also contribute 86% of total CO₂

408 emission reductions for *LDV 2015* while for *HDV 2015*, only 7 of the 30 provinces in our

409 domain decrease their CO₂ emissions – three of which are in the major metropolitan regions.

For a month with less extreme meteorology (January 2014), we find that e-HDV health gains are 14% less than those in 2013 due to a smaller reduction in domain-averaged $PM_{2.5}$; for e-LDVs, NO₂ is reduced similarly to 2013, but the average $PM_{2.5}$ reduction over China is just 0.01 µg m⁻³ (Table S2). Thus, while both e-HDV and e-LDV adoption improve air quality during an extreme meteorological set up, e-LDV adoption results in negligible $PM_{2.5}$ changes during less (un)favorable/extreme meteorological conditions.

Overall, we find that EV-induced $PM_{2.5}$ changes and resultant avoided premature 416 mortality due to acute $PM_{2.5}$ and NO_2 exposure are modest for this extreme event – a 417 consequence of the small fraction of both primary and precursor PM_{2.5} emissions in the on-road 418 sector (e.g., 13.2% of NO_x emissions and 3.5% of black carbon emissions in the on-road sector; 419 Table S3). Indeed, in an experiment that removes all on-road emissions over China (NO TRA), 420 average China NO₂ decreases by 0.5 ppb, average PM_{2.5} only decreases by 3.2 µg m⁻³, avoiding 421 1878 premature deaths. Over grid cells where we previously simulated EV adoption the PM_{2.5} 422 (NO₂) reduction is 4.0 μ g m⁻³ (0.8 ppb), and 11.2 μ g m⁻³ (3.0 ppb) over Beijing (Figure S5; 423 Table S2). PM_{2.5} reductions are also modest because reduced on-road sector emissions in our EV 424 experiments are offset by increases in power generation emissions, which constitute a much 425 greater fraction of PM2.5 (Table S3). Comparatively, removing all emissions associated with 426 power generation (*NO* ENE) decreases average PM_{2.5} (NO₂) by 21.2 μ g m⁻³ (0.3 ppb) over 427 China, by 25.1 µg m⁻³ (0.4 ppb) over EV adoption grid cells, and by 32.0 µg m⁻³ (1.2 ppb) over 428 Beijing, leading to 7k+ avoided premature deaths and total health impacts of 3.4B US\$ (Figure 429 S5: Table S2). 430

431 3.3 CO₂ benefits and tradeoffs

CO₂ reduction with EV adoption is dependent on battery charging demand. For our EV 432 adoption scenarios to be CO₂-neutral, the electricity generation mix must have an average CO₂ 433 434 emission rate less than ~480 g CO₂ kWh⁻¹ for e-HDVs and ~1015 g CO₂ kWh⁻¹ for e-LDVs, though these emission rates vary by -11% to +33% over a range of battery efficiency values (i.e., 435 distance-per-charge; Methods). Based on these CO₂-neutral rates alone, it is clear that e-LDV 436 adoption can achieve net-negative CO₂ emissions much more readily than e-HDV. Indeed, all e-437 438 LDV scenarios can reduce CO₂ emissions, except in a scenario when e-LDVs have low battery efficiencies and are solely powered by coal-fired EGUs prior to recent emission reductions 439 (LDV COAL; Figure 3a and Table S2). Conversely, for e-HDV adoption, only in the scenario 440 that assumes a uniform 50% marginal (i.e., the newly required electricity for EVs) carbon-free 441 power generation (HDV REN; Table 1) are CO₂ emissions reduced (5.4 Mt yr⁻¹). Likewise, the 442 50% decarbonized scenario for e-LDVs avoids 64.4 Mt yr⁻¹ of CO₂, 37.7 tons more than avoided 443 by LDV 2015. 444

Since our e-LDV and e-HDV experiments require equivalent electricity demands and 445 both electrify $\sim 40\%$ of their respective fleets, we can compute that an across-the-board 40%446 adoption of e-LDVs and e-HDVs would require an average CO₂ emission rate of ~750 g CO₂ 447 kWh⁻¹ (top x-axis in Figure 3a). By combining the CO₂ emissions changes for e-LDVs plus e-448 HDVs, we can also assess our results against recent work that electrifies multiple modes 449 simultaneously (Peng et al., 2018; Liang et al, 2019). To be sure, our experiments are not directly 450 comparable since Peng et al. (2018) electrify 'all on-road vehicles' and Liang et al. (2019) 451 452 electrify modes at differing rates (greater for LDVs). In any case, we find that combined e-LDV and e-HDV adoption under the 2015 EGU infrastructure would increase CO₂ emissions slightly 453 (+0.3 Mt Jan⁻¹, -3.7 to +2.3 over the battery efficiency uncertainty range; see Materials and 454 Methods), which aligns with the negligible or modest GHG reductions for cross-modal 455 456 electrification found previously (Peng et al., 2018; Liang et al., 2019).

457 3.4 Air quality benefits and tradeoffs

458 The adoption of 1.5M e-HDVs in China decreases average $PM_{2.5}$ by $0.9 \pm 0.8 \,\mu g \,m^{-3}$ 459 during an extreme pollution episode over the portion of China in our modeling domain (Figure

3a; Table S2). Reductions largely follow the pattern of average PM_{2.5} and occur at nearly all 460 locations except near a cluster of coal plants (orange markers, Figure 2a) on the Shandong and 461 Hebei border, as well as a few grid cells in western Yunnan. For grid cells that include "EV-462 forward cities" with enhanced EV adoption (see Materials and Methods), decreases are larger (-463 $2.2 \pm 0.9 \ \mu g \ m^{-3}$; Table S2). Percent reductions in PM_{2.5} are more homogeneous, across the 464 country (~2%) with slightly larger reductions in EV-forward cities. NO₂ changes over China (-465 0.12 ± 0.26 ppb) follow major roadways and are largest in the major metropolitan regions and 466 EV-forward cities $(-1.29 \pm 0.76 \text{ ppb})$. 467

For e-LDV adoption, the magnitude of mean $PM_{2.5}$ changes over all of our averaging locations and all experiments are < 1 µg m⁻³, with increases for *LDV_COAL* and decreases for all other scenarios (Table S2; Figure 4). All experiments have domain-average NO₂ decreases – and e-HDV experiments have 3-5× the decrease as e-LDV. The PM_{2.5} decreases in *LDV_2015* occur primarily in the southern half of the domain, with most of the North and Central China Plain (except Beijing and Tianjin) experiencing little change or PM_{2.5} increases (Figure 4).

All e-HDV adoption scenarios result in improvements in air quality and thus decreases in 474 mortality, even when the entirety of the electricity demand is powered by coal-fired EGUs. For 475 e-LDVs, however, only after recent emission reduction policies (i.e., 2015 emission rates) does 476 $PM_{2.5}$ air quality improve, and then only slightly – NO_2 decreases on average in all experiments 477 (Figure 4). These results align well with previous findings in that cross-modal strategies improve 478 air quality (Peng et al., 2018; Liang et al., 2019), while solely e-LDV adoption would increase 479 air pollutant emissions unless EGU emission rates are reduced below early 2010s levels (Huo et 480 al., 2015); i.e., the switch from AQ-tradeoffs to co-benefits for LDV COAL/2010 to 481 LDV 2015/REN in Figure 3a. 482

Under scenarios with significantly higher EGU emission rates, the impact of highemitting coal-fired units becomes more apparent, and the transition from net-positive to netnegative PM_{2.5} air quality benefits occurs for most locations. Under *HDV_COAL*, many regions see an increase in PM_{2.5} compared to the domain-wide decreases for *HDV_2015*, although a swath from Beijing to Chengdu and the Shandong province still experiences PM_{2.5} decreases. For

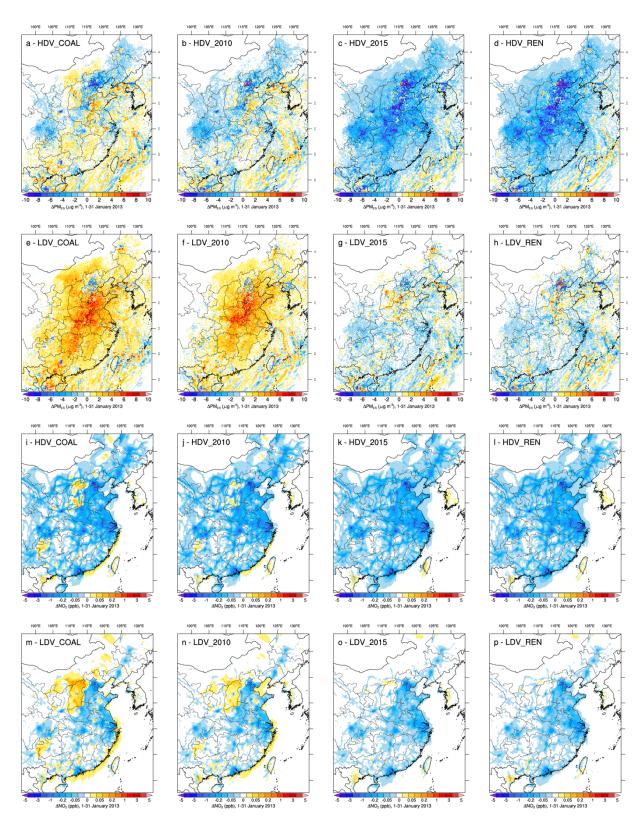


Figure 4. Mean changes PM2.5 (a-h, µg m³) and NO₂ (i-l, ppb) changes for each experiment.

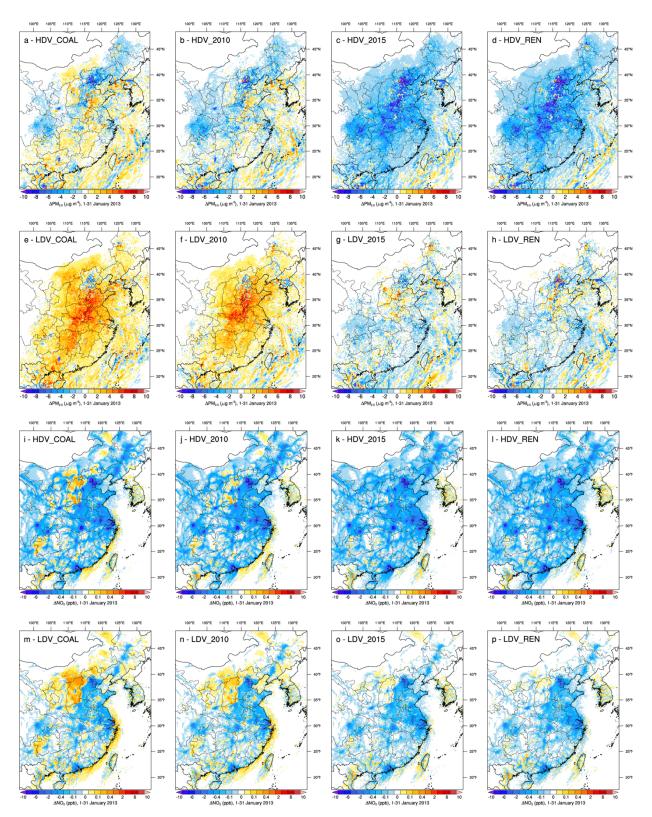


Figure 5. 95th percentile PM2.5 (a-h, μ g m³) and NO₂ (i-l, ppb) changes for each experiment.

488 LDV_COAL , Beijing, Tianjin, and a few grid cells in Guangxi and Shanghai experience PM_{2.5} 489 decreases, but the majority of the country's average PM_{2.5} increases by over 2 µg m⁻³.

While the benefits of enhanced renewable power generation are clear in terms of CO₂ 490 491 emissions, it has a surprisingly small impact on air quality in our simulations. To be sure, emission rates from the China Statistical Yearbook (2015) that are used in the *_2015 scenarios 492 (Table S4) are significantly lower than those used in recent analyses for 'present-day' rates (e.g., 493 Huo et al., 2015), thus the difference in the emission rate of power sector pollutants between 494 2015 and REN is relatively small compared to the change from 2010 to 2015. For HDV REN, 495 $PM_{2.5}$ (NO₂) is reduced by 1.1 µg m⁻³ (0.2 ppb) over EV adoption cells which leads to 575 496 avoided deaths over China, 1.8× that compared to HDV 2010. For LDVs under 2010 emission 497 rates, although NO₂ decreases (-0.02 ppb) average PM_{2.5} increases (+0.63 µg m⁻³) resulting in 498 mortality increases (59 deaths incurred), but slightly decreases in the REN scenario ($\Delta PM_{2.5} = -$ 499 0.17 μ g m⁻³, Δ NO₂ = -0.03 ppb, and 310 deaths avoided). 500

Changes in peak PM_{2.5} (95P) are substantially more heterogeneous (Figure 5 and Table 501 S5), and are predominantly affected by proximity to power generation infrastructure. Under 502 HDV 2015, 95P PM_{2.5} decreases over most of the domain, and are largest in EV-forward cities (-503 $4.5 \pm 2.9 \,\mu\text{g} \,\text{m}^{-3}$) including a 15.5 $\mu\text{g} \,\text{m}^{-3}$ reduction over Beijing. However, some areas near 504 clusters of coal-fired EGUs in the North China Plain see large increases (>10 μ g m⁻³), 505 demonstrating a clear example of a 'spillover effect' (Fang et al., 2019); i.e., the transfer of urban 506 traffic emissions to rural power generation sites. For LDV 2015 (and further for LDV 2010 and 507 LDV COAL) PM_{2.5} hotspots near coal-fired EGUs grow in number, extent, and magnitude as 508 509 they are offset by fewer on-road reductions compared to HDV 2015.

510 4 Conclusions and discussion

We have evaluated the potential co-benefits – quantified in terms of avoided acute health impacts and CO₂ emissions – of hypothetical widespread EV adoption in China during an extreme pollution episode. We have compared our results across vehicle types targeted for electrification (i.e., HDVs vs. LDVs) and demonstrated the sensitivities of the actualized cobenefits of EV adoption to power plant emission rates. Overall, we have shown that the air quality benefits of EV adoption during the January 2013 are modest, with e-HDVs yielding air 517 quality improvements for all power generation scenarios, and e-LDVs requiring emission rate

reductions beyond 2010 levels (Figure 3). The reverse is true for CO₂ reductions: i.e., e-LDVs

⁵¹⁹ reduce CO₂ emissions for all power generation scenarios except when powered by all coal-fired

520 electricity generation, while e-HDVs only reduce CO₂ in a scenario that assumes 50% emission-

- 521 free marginal electricity generation. Co-benefits are predominately realized in high-population
- 522 urban centers and industrialized provinces.

A key difference between our work and others examining EV adoption in China is that 523 524 we only consider acute health impacts and do not consider chronic exposure. Previous annual (i.e., considering chronic exposure) work (Liang et al., 2019) estimated that ~22% of total 525 526 avoided premature mortality from EV adoption was driven by surface ozone reductions, which we do not consider here since we simulate a cold-season month when ozone is not generally 527 elevated and thus not a health risk. Moreover, the meteorology, chemistry, and pollutant 528 concerns of winter are vastly different than those of summer, and so modal electrification 529 choices also would impact resultant air quality during warm months. For example, compared to 530 e-HDVs, e-LDV adoption would favor relative VOC reductions over NO_x reductions, potentially 531 leading to larger ozone decreases than for e-HDVs in many Chinese cities that are under VOC-532 533 limited regimes.

China's chemical landscape is rapidly evolving due to widespread industrialization and 534 535 substantial pollutant remediation efforts at national and provincial levels. Due to policy-driven changes in energy sector emission rates alone, we find that in less than a decade the air quality 536 537 benefits of e-LDV adoption switch from a net-negative to a net-positive. Further, air quality will likely continue to improve as the power generation sector decarbonizes and reduces allowable 538 emission rates from fossil fuel-fired EGUs - indeed, an e-LDV purchased in 2013 will be 539 'cleaner' in 2020 than when it was new. Moreover, if reduced fossil fuel-fired energy generation 540 541 projections are actualized (IEA, 2017), by 2030 the CO₂ reduction potential from e-LDV adoption will more than double compared to 2015. In terms of the extreme winter pollution 542 episode mitigation potential of EVs, we find a notable but modest role for widespread EV 543 adoption; however, the long-term benefits are likely at least an order of magnitude greater based 544 on similar pollutant reductions in other EV studies (Peng et al., 2018; Liang et al., 2019). We 545 estimate that acute PM_{2.5} and NO₂ exposure during the January 2013 extreme pollution episode 546

⁵⁴⁷ led to ~32k premature deaths and economic losses of 14.7B US\$ across seven health endpoints.

- 548 Our simulations demonstrate that widespread (40%) e-HDV adoption would reduce just \sim 1-2%
- of these premature deaths, while removal of all on-road transportation sector emissions leads to
- an ~6% reduction in deaths. Removal of all energy sector emissions however, produces an ~24%
- drop in premature deaths. Clearly then, carbon- and pollutant-free energy generation is central to
- the actualization of air quality and climate co-benefits of vehicle electrification in China.

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- 563 <u>https://www2.mmm.ucar.edu/wrf/users/download/get_sources.html;</u> CMAQ:
- 564 <u>https://github.com/USEPA/CMAQ</u>). GAINS data is available here:
- 565 <u>https://iiasa.ac.at/web/home/research/researchPrograms/air/GAINS.html</u>. Global Power plant
- ⁵⁶⁶ database data is available here: <u>https://datasets.wri.org/dataset/globalpowerplantdatabase.</u>
- 567 Evalution and plotting scripts and selected model output data (hourly surface PM_{2.5} for *BASE*,
- 568 *HDV_2015*, and *LDV_2015*) is available at <u>10.6084/m9.figshare.c.5101955</u>. Due to model output
- size limitation, specific model output requests can be made to the corresponding author.

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Earth's Future

Supporting Information for

Potential for electric vehicle adoption to mitigate extreme air quality events in China

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Figures S1 to S5 Tables S1 to S5

Introduction

This supplementary information includes figures and tables that provide model evaluation, parameter values, and other summary statistics.

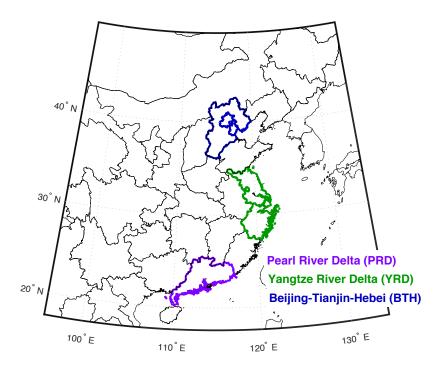


Figure S1. Major industrialized regions.

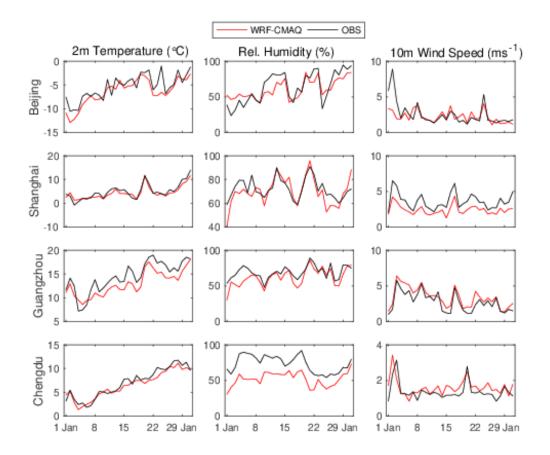


Figure S2. Meteorological comparison of WRF-CMAQ (red) against surface observations sites (NOAA NCEP Integrated Surface Database (<u>https://www.ncdc.noaa.gov/isd/data-access</u>)) nearest the sites where PM_{2.5} is measured.

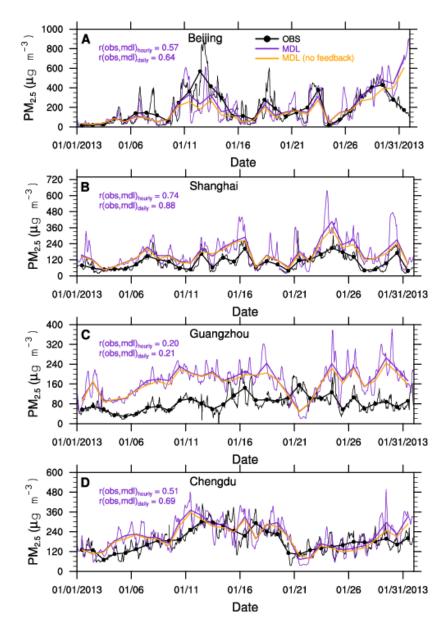


Figure S3. Comparison of WRF-CMAQ to U.S. Embassy observations. Purple lines are the single grid cells over the observation sites for *BASE*, orange is the simulation without shortwave aerosol-radiation feedback.

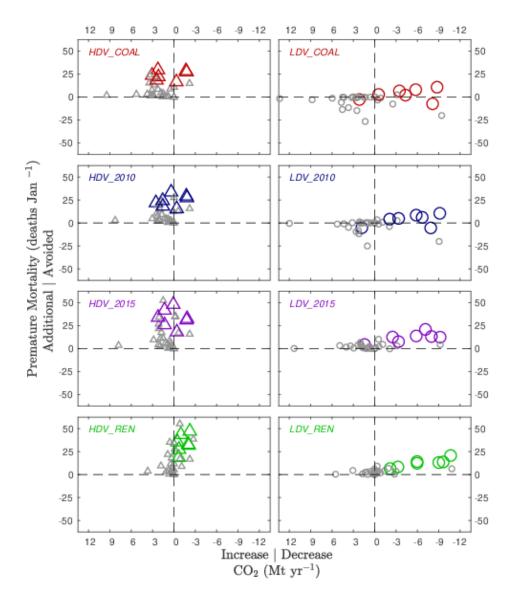


Figure S4. Province co-benefits for each experiment. Colored, large markers are provinces in major industrialized regions (Fig. S1).

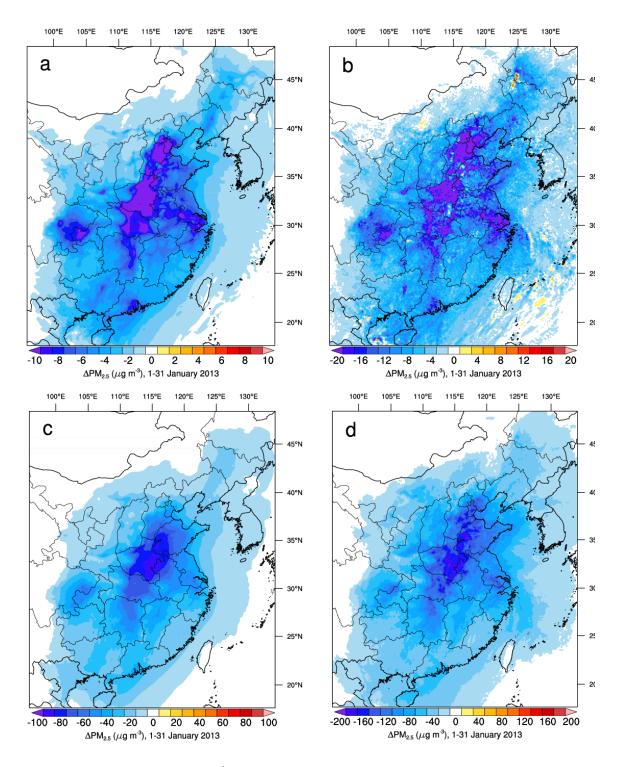


Figure S5. Mean (a, c) and (b, d) 95th percentile changes for (a, b) NO-TRA and (c, d) NO-ENE.

Health endpoints	β (per 10 μg m ⁻³ , 95% CI) (%)	References	IRs (‰)	References	Unit Loss (US\$/case)	# BASE
Mortality	0.22 (0.15, 0.28)	Chen et al. (2017)	0.022377	BMBPH (2012)	273,513.36	67914
Respiratory hospital admission	2.0 (1.33, 2.67)	Aunan and Pan (2004), Zhang et al. (2007)	0.051925	BMBPH (2012)	2761.04	503402
Cardiovascular hospital	1.17 (0.5, 1.83)	Aunan and Pan (2004), Zhang et al. (2007)	0.093509	BMBPH (2012)	2761.04	581086
Outpatient visits—internal medicine (15 +)	0.57 (0.32, 0.82)	Xu et al. (1995), Zhang et al. (2007)	2.92083	Zhang et al. (2007)	83.86	8671799
Outpatient visits— pediatrics (0–14)	0.65 (0.23, 1.07)	Xu et al. (1995), Zhang et al. (2007)	0.811925	Zhang et al. (2007)	83.86	256173
Acute bronchitis	9.17 (3.15, 15.18)	Jing et al. (2000), Zhang et al. (2007)	0.140377	Zhang et al. (2007)	407.03	3467216
Asthma	2.1(1.45, 2.74)	Xie et al. (2009)	0.215982	Zhang et al. (2007)	299.61	2175469

Table S1. Parameters, references, and number of cases for *BASE* for seven health endpoints. Parameters and references are a reproduction of Tables 1 and 2 from Gao et al. (2015).

	ΔPM _{2.5}			ΔNO_2				Amidad	10 ⁶ US\$
Experiment	China	EV grid cells	e-forward cities	China	EV grid cells	e-forward cities	ΔCO_2	Avoided Mortality	Saved
HDV_COAL	-0.14	-0.20	-0.88	-0.11	-0.18	-1.24	62	314	-141
HDV_2010	-0.29	-0.37	-1.05	-0.11	-0.19	-1.25	36	355	-21
HDV_CUR	-0.85	-1.04	-2.18	-0.12	-0.20	-1.29	31	562	87
HDV_REN	-0.87	-1.07	-2.25	-0.12	-0.20	-1.29	-5	575	235
LDV_COAL	0.51	0.60	0.66	-0.02	-0.04	-0.38	3	-90	-60
LDV_2010	0.38	0.46	0.51	-0.02	-0.04	-0.39	-22	-59	48
LDV_CUR	-0.16	-0.20	-0.62	-0.03	-0.05	-0.43	-27	145	155
LDV_REN	-0.17	-0.21	-0.61	-0.03	-0.06	-0.43	-64	152	306
HDV_CUR (2014)	-0.56	-0.70	-1.70	-0.13	-0.21	-1.33	31	485	54
LDV_CUR (2014)	-0.01	-0.04	-0.46	-0.04	-0.06	-0.46	-27	108	137
NO_TRA	-3.21	-3.95	-7.42	-0.46	-0.75	-2.97	n/a	1878	715
NO_ENE	-21.15	-25.13	-40.85	-0.30	-0.39	-1.15	n/a	7687	3394
Health impact only									

Table S2. Summary of EV co-benefits: mean changes in $PM_{2.5}$ (µg m⁻³) and NO₂ (ppb), CO₂ emission changes (Mt yr⁻¹), avoided mortality (deaths/January, and economic valuation (CO₂ + seven health endpoints) for each experiment.

	NO _x	SO_2	BC	PMOTHR	СО	НСНО
On-road	13.3	0.2	3.5	0.2	9.7	25.0
Energy	35.3	27.0	1.4	11.4	0.8	0.0

Table S3. Fraction of total emissions in the on-road and energy generation sectors.

Experiment	CO ₂	SO ₂	NO _x	PM _{2.5}
COAL/2010	905.6	2.48	2.67	0.27
2015/FUT	861.0	0.42	0.35	0.10

 Table S4. Average coal-fired EGU emission rates.

		$\Delta PM_{2.5}$			ΔNO_2			
Experiment	China	EV grid cells	e-forward cities	China	EV grid cells	e-forward cities		
HDV_COAL	-0.25	-1.53	-1.52	-0.37	-4.21	-4.26		
HDV_2010	-0.62	-2.19	-2.20	-0.38	-4.23	-4.28		
HDV_CUR	-1.86	-4.58	-4.53	-0.40	-4.29	-4.35		
HDV_REN	-1.94	-4.79	-4.73	-0.41	-4.28	-4.34		
LDV_COAL	1.25	1.72	1.57	-0.08	-1.32	-1.46		
LDV_2010	0.96	1.47	1.26	-0.10	-1.33	-1.47		
LDV_CUR	-0.26	-1.07	-1.07	-0.12	-1.40	-1.54		
LDV_REN	-0.32	-1.26	-1.42	-0.12	-1.41	-1.56		
HDV_CUR ('14)	-1.49	-4.37	-4.33	-0.42	-4.28	-4.37		
LDV_CUR ('14)	-0.08	-0.94	-1.11	-0.13	-1.41	-1.59		
NO_TRA	-6.87	-15.20	-15.38	-1.41	-8.62	-9.62		
NO_ENE	-48.63	-91.84	-88.70	-0.81	-1.86	-3.62		

Table S5. 95th percentile PM_{2.5} changes (μ g m⁻³) for each experiment.