ChinaSpec: a Network for Long-term Ground-based Measurements of Solar-induced Fluorescence in China

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Abstract

Remotely sensed solar-induced fluorescence (SIF) has emerged as a novel and powerful approach for terrestrial vegetation monitoring. Continuous measurements of SIF in synergy with concurrent eddy covariance (EC) flux measurements can provide a new opportunity to advance terrestrial ecosystem science. Here we introduce a network of ground-based continuous SIF observations at flux tower sites across the mainland China referred to as ChinaSpec. The network consists of sixteen tower sites including 6 cropland sites, 4 grassland sites, 4 forest sites and 2 wetland sites. An automated SIF system was deployed at each of these sites to collect continuous high resolution spectra for high-frequency SIF retrievals in synergy with EC flux measurements. The goal of ChinaSpec is to provide long-term ground-based SIF measurements and promote the collaborations between optical remote sensing and EC flux observation communities in China. We present here the details of instrument specifications, data collection and processing procedures, data sharing and utilization protocols, and future plans. Furthermore, we show the examples how ground-based SIF observations can be used to track vegetation photosynthesis from diurnal to seasonal scales, and to assist in the validation of fluorescence models and satellite SIF products (e.g., from OCO-2 and TROPOMI) with the measurements from these sites since 2016. This network of SIF observations could improve our understanding of the controls on the biosphere-atmosphere carbon exchange and enable the improvement of carbon flux predictions. It will also help integrate ground-based SIF measurements with EC flux networks which will advance ecosystem and carbon cycle researches globally.

ChinaSpec: a Network for Long-term Ground-based Measurements of Solarinduced Fluorescence in China Yongguang Zhang^{1,2,3*}, Qian Zhang¹, Liangyun Liu⁴, Shaoqiang Wang⁵, Yangjian Zhang⁵, Weimin Ju^{1,2}, Jianwu Tang⁶, Xudong Zhu⁷, Guangsheng Zhou⁸, Li Zhou⁸, Feng Wang⁹, Ying Huang⁶, Jinsong Zhang¹⁰, Xuguang Tang¹¹, Zaoying Zhang^{1,2}, Bo Qiu¹, Xiaokang Zhang^{1,2}, Songhan Wang^{1,2}, Changping Huang⁴ ¹International Institute for Earth System Sciences, Nanjing University, Nanjing, Jiangsu 210023, China. ²Jiangsu Provincial Key Laboratory of Geographic Information Technology, Key Laboratory for Land Satellite Remote Sensing Applications of Ministry of Natural Resources, School of Geography and Ocean Science, Nanjing University, Nanjing, Jiangsu 210023, China. ³Huangshan Park Ecosystem Observation and Research Station, Ministry of Education, China ⁴Aerospace Information Research Institute, Chinese Academy of Sciences, Beijing 100094, China ⁵Key Lab of Ecosystem Network Observation and Modelling, Institute of Geographic Sciences and Natural Resources Research, Chinese Academy of Sciences, Beijing 100101, China ⁶State Key Laboratory of Estuarine and Coastal Research, Institute of Eco-Chongming, East China Normal University, Shanghai 200241, China ⁷Key Laboratory of the Coastal and Wetland Ecosystems (Ministry of Education), College of the Environment and Ecology, Xiamen University, Xiamen, Fujian 361102, China ⁸State Key Laboratory of Severe Weather, Chinese Academy of Meteorological Science, Beijing 100081, China ⁹Institute of Desertification Studies, Chinese Academy of Forestry, Beijing 100091, China ¹⁰Key Laboratory of Tree Breeding and Cultivation of State Forestry Administration, Research Institute of Forestry, Chinese Academy of Forestry, Beijing 100091, China ¹¹Chongqing Engineering Research Center for Remote Sensing Big Data Application, School of Geographical Sciences, Southwest University, Chongqing 400715, China Corresponding author: Yongguang Zhang (yongguang_zhang@nju.edu.cn) **Key Points:** ChinaSpec is a recently established network of ground-based Solar-induced Fluorescence (SIF) measurements.

- We present an introduction on the current status and challenges of ChinaSpec
 - ChinaSpec now consists of 16 tower sites including 6 cropland sites, 4 grassland sites, 4 forest sites and 2 wetland sites.

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36 Abstract

- 37 Remotely sensed solar-induced fluorescence (SIF) has emerged as a novel and powerful
- 38 approach for terrestrial vegetation monitoring. Continuous measurements of SIF in synergy with
- 39 concurrent eddy covariance (EC) flux measurements can provide a new opportunity to advance
- 40 terrestrial ecosystem science. Here we introduce a network of ground-based continuous SIF
- 41 observations at flux tower sites across the mainland China referred to as ChinaSpec. The network
- 42 consists of sixteen tower sites until 2019 including 6 cropland sites, 4 grassland sites, 4 forest
- 43 sites and 2 wetland sites. An automated SIF system was deployed at each of these sites to collect
- 44 continuous high resolution spectra for high-frequency SIF retrievals in synergy with EC flux
- 45 measurements. The goal of ChinaSpec is to provide long-term ground-based SIF measurements
- and promote the collaborations between optical remote sensing and EC flux observation
 communities in China. We present here the details of instrument specifications, data collection
- and processing procedures, data sharing and utilization protocols, and future plans. Furthermore,
- 49 we show the examples how ground-based SIF observations can be used to track vegetation
- 50 photosynthesis from diurnal to seasonal scales, and to assist in the validation of fluorescence
- 51 models and satellite SIF products (e.g., from OCO-2 and TROPOMI) with the measurements
- from these sites since 2016. This network of SIF observations could improve our understanding
- 53 of the controls on the biosphere-atmosphere carbon exchange and enable the improvement of
- 54 carbon flux predictions. It will also help integrate ground-based SIF measurements with EC flux
- 55 networks which will advance ecosystem and carbon cycle researches globally.
- 56 **Keywords:** Biosphere-atmosphere carbon exchange; ChinaSpec; Solar-induced chlorophyll
- ⁵⁷ fluorescence (SIF); Ground-based continuous measurements; Eddy covariance flux; Terrestrial
- 58 photosynthesis
- 59

60 1 Introduction

To understand the impacts of climate change, it is essential to monitor the dynamics of 61 ecosystem carbon and water fluxes and their response to environmental changes in a warming 62 world. Eddy covariance (EC) flux measurements have been widely used to quantify carbon, 63 water vapor, and energy exchange between biosphere and atmosphere and improve our 64 understanding of variations in these fluxes (Baldocchi, 2008). The global EC network 65 (FLUXNET), with more than 900 registered sites, has been running for more than 20 years since 66 the1990s (Baldocchi, 2019). However, the footprint of EC measurements is generally less than 1 67 km^2 , and the sites are unevenly distributed around the world with biased spatial coverage 68 towards flat topography and uniform ecosystem types. The insufficient spatial coverage of 69 networks of EC flux sites makes it difficult to estimate gross primary productivity (GPP) of 70 71 terrestrial ecosystem accurately at large scales. Therefore, from the global perspective, it is 72 necessary to upscale tower-based observations of EC flux at the ecosystem level to regional and global levels. 73

Remote sensing (RS) offers a unique way to parameterize explicit plant information 74 across multiple spatial scales, and thus improves simulations of carbon fluxes of terrestrial 75 ecosystems at regional to global scales (Hilker et al., 2008). RS techniques (e.g., MODIS sensor) 76 have long been used for large-scale assessments of vegetation conditions, usually through the so-77 called vegetation indices (VIs) and other vegetation parameters derived from spectral 78 measurements of surface reflectance (e.g., Huete et al., 2002). As a complement to reflectance-79 80 based VIs, solar-induced fluorescence (SIF) offers new possibilities to monitor vegetation function from space (Guanter et al., 2014). The recent technical advances have enabled the 81 global SIF retrievals from satellites sensors. Remote sensing of SIF in recent years has been 82 proven to be a novel indicator of photosynthesis or GPP. There is a growing number of space-83 borne missions with SIF retrievals that has opened up new possibilities to better monitor carbon 84 flux and upscale EC flux data (Guanter et al., 2014; Frankenberg et al. 2011; Joiner et al., 2013; 85 86 Köhler et al., 2015; Köhler et al., 2018; Sun et al., 2018; Du et al., 2018).

87 However, the fundamental scale mismatch in space and time remains a big challenge to 88 upscale the EC flux data with satellite remote sensing data. Compared to high frequency EC flux sampling, most satellite remote sensing data usually have moderate spatial resolution but limited 89 temporal resolution (e.g., 500 m and 16 days for MODIS). This sampling mismatch between 90 remote sensing and flux measurements hinder the direct comparison between these two types of 91 measurements. In this respect, ground-based spectral measurements offer a unique opportunity to 92 bridge the measurement gap between satellites and EC flux towers because they can be 93 94 conducted at an appropriate scale that more closely matches the spatial and temporal scales of the EC fluxes. Numerous studies have shown the advantages of ground-based measurements of 95 spectroscopy to connect vegetation optical properties to flux measurements (e.g., Hilker et al., 96 97 2008; Balzarolo et al., 2011; Cogliati et al., 2015; Porcar-Castell et al., 2015; Aasen et al. 2019; Mohammed et al. 2019). The recent advances in sensor design and application have enabled the 98 automated field optical sampling at the scale of flux tower footprints. With respect to the SIF 99 100 measurements, a number of hyperspectral instruments has been deployed in the field since 2014 to support the rapid development of SIF retrievals from satellite missions (Cogliati et al., 2015; 101 Yang et al., 2015; Yang et al., 2018a; Magney et al., 2019; Shan et al., 2019; Aasen et al. 2019; 102 Zhang et al., 2019). This is benefited from the use of some commercially available spectrometers 103 with high spectral resolution and signal-to-noise (SNR) ratio (e.g., QEPro from Ocean Optics, 104

Inc., Dunedin, FL, USA), which enable the direct retrievals of canopy SIF in the field. During 105 106 the last few years, several novel ground-based SIF systems, including SFLUOR box (Cogliati et al., 2015), FluoSpec2 (Yang et al., 2018b), Photospec (Grossmann et al, 2018), FAME (Gu et al., 107 2019), FLOX (JB Hyperspectral Devices), SIFSpec (Du et al., 2019), Rotaprism (Josep A. Berry, 108 personal communication), SIFPrism (Zhang et al., 2019), and Piccolo Doppio (MacArthur et al., 109 2014) have been developed and operated for autonomous continuous observations of canopy SIF 110 in the field over years covering different vegetation types around the world. These SIF 111 measurements are generally made together with EC flux observations providing opportunities for 112 the integration between them and also for direct comparison and validation of satellite SIF data 113 (Yang et al., 2015; Parazoo et al., 2019). Overall, ground-based spectral instruments could be 114 used as a "bridge" between the EC flux and satellite remote sensing data. In addition, drone-115 based or airborne SIF measurements could be further address the issue of scale mismatch 116

117 (MacArthur et al., 2014; Rascher et al., 2015; Frankenberg et al., 2018).

Within this context, it is necessary to build a network with coordinated field spectral measurements concurrently with EC flux observations. Similar to the EC FLUXNET community (https://fluxnet.fluxdata.org/), several regional or global optical measurements have been established. For example, SpecNet (http://specnet.info) was founded and has been operatinal since 2003 for the integration of optical sampling with EC flux measurements across flux sites (Gamon et al., 2006; Gamon et al., 2010). Although this network has stimulated an international

collaboration between remote sensing and flux communities, the field sites are mainly located in

125 North America (NA). Recently, the European remote sensing community has also started to

establish its own optical network, EUROSPEC, to conduct long-term ground-based optical

measurements at the representative EC towers in the European Union (EU) (Porcar-Castell et al.,

128 2015; Aasen et al. 2019; Cendrero-Mateo et al. 2019; Pacheco-Labrador et al. 2019).

129 EUROSPEC plays a fundamental role in supporting European satellite missions, such as the

130 Fluorescence Explorer (FLEX). Overall, these networks mainly cover the geographical areas of

EU and NA. Recently, the Chinese remote sensing and EC flux communities have also started to

132 conduct ground-based spectral measurements.

In China, a network of collaborating sites and investigators has been founded starting in 133 2016 to conduct ground-based continuous optical measurements along with EC flux for 134 135 ecosystem research. The network is referred to as ChinaSpec (http://chinaspec.nju.edu.cn). The goal of ChinaSpec is to promote the integration of optical measurements, especially the novel 136 SIF measurements, with EC flux measurements for better understanding the controls of climate 137 and environmental factors on the biosphere-atmosphere fluxes of carbon and water vapor in 138 China. A primary goal of ChinaSpec is to collect the long-term continuous SIF measurements in 139 140 the field over different vegetation types across the country, and to fill the gap between EC flux and satellite SIF observations. 141

The overall aim of this paper is to present an introduction on the current status and challenges of the ChinaSpec network. A primary ChinaSpec focus is on the ground-based SIF measurements at the flux sites within ChinaFLUX network (http://www.chinaflux.org/) (Yu et al., 2006; Yu et al., 2010), where the EC measurements have existed for more than ten years. Specifically, we emphasize on the current status of ChinaSpec and illustrate the usefulness of such network datasets for future research directions.

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149 **2 Instrumentation and data collection**

150 2.1 Instrument description

Many SIF systems have been recently developed for ground-based observations (see 151 reviews in Aasen et al., 2019). To have a high degree of consistency in instrumentation across 152 the ChinaSpec network, two similar automated SIF systems are mainly used in ChinaSpec: 153 Fluospec2 (Yang et al., 2018b) and SIFSpec (Du et al., 2019) (Figure 1a and Table 1) except for 154 155 one site with FLOX. Though the controlling software is different in these systems, the core spectrometer, light path-switching approach and spectrum sampling strategy are the same. Both 156 systems use purpose-built micro-computers to record data. The spectrometer used for SIF 157 observation is OEPro or OE65Pro (OceanOptics, Inc., Dunedin, FL, USA). OEPro is an 158 improved version of QE65Pro with high saturation threshold with digital number (DN) up to 159 200,000 (Max. DN of 65535 for QE65Pro). These two types of spectrometer are similar in 160 spectral resolution and SNR, and both are suitable for SIF measurements. As spectrometer with 161 only one input optical path, a "Y-shaped" splitter fiber-optic separating one optical path into two 162 paths, and a fiber-optic shutter TTL or two inline TTL shutters (OceanOptics, Inc., Dunedin, FL, 163 USA) switching between two input fibers are used to enable the spectrometers to nearly-164 simultaneously measure both solar irradiance and canopy radiance (Figure 1). One of the two 165 input fibers is fixed upward for measuring the downwelling solar radiation equipped with cosine 166

167 corrector CC-3 (OceanOptics, Inc., Dunedin, FL, USA), and another one is a bare fiber

(OceanOptics, Inc., Dunedin, FL, USA) to collect the upwelling canopy radiation (Figure 1) with
 field of view (FOV) of 25°.



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Figure 1. Schematic layout of the hyperspectral instrument used in ChinaSpec. (a) Fluospec2 or
 SIFspec system. (b) SIFprism system. (c) A drawing of field configurations for ground-based
 spectral measurements with upwelling bare fiber or cosine corrector CC-3.

The spectral range of these system is 650-800 nm (FWHM of ~0.3 nm) or 730-780 nm (FWHM of ~0.15 nm). Far-red SIF (760 nm) is measured at all the sites while red SIF (687) is only measured at some of these sites (Table 1). Recently, Zhang et al. (2019) compared the performances of these SIF systems (Fluospec2, SIFSpec, and FLOX) and found that they showed marginal differences in the diurnal patterns of SIF when measuring a homogeneous vegetation canopy. At several sites in ChinaSpec, another spectrometer HR2000+ (OceanOptics, Inc.,

- 180 Dunedin, FL, USA) with spectral range from 300 nm-1000 nm (FWHM of ~3 nm) is used to
- 181 measure hyperspectral reflectance (see Table 1 for the sites). For these SIF systems, a
- 182 temperature-controlled waterproof enclosure with external thermoelectric cooler is generally
- used for housing the spectrometer and keeping the environment temperature constant at around
- 184 25°C. This could ensure that the spectrometers work at a stable ambient temperature and
- stabilize the noise levels and radiometric response (Aasen et al., 2019).

186 2.2 Field instrumentation setups

Ground-based SIF measurement is complicated, and needs reliable field setups and 187 measurement protocols (Aasen et al., 2019). In order to make reliable and comparable canopy 188 SIF measurements in ChinaSpec, we adopt the recent design of automated SIF systems as in 189 section 2.1 to allow a consistency in instrumentation across sites. Meanwhile, the same sampling 190 strategy and instrument configurations are used to allow for inter-comparison between sites. In 191 192 the ChinaSpec network, the SIF systems used (Fluospec2, SIFSpec, and FLOX) are all using hemispherical-conical (with conical upwelling sensor) configurations for SIF measurements at 193 all sites (Table 1). These generally use bare fibers with FOV of 25° for upwelling 194 measurements. In ChinaSpec, the view zenith angle of the upwelling sensor is currently installed 195 as nadir or slightly off-nadir ($< 10^{\circ}$) to constrain the effects of sun-viewer geometry variability. 196 197 This uniform instrumentation setup could minimize the effects of viewing geometry across sites.

To integrate with EC flux measurements, proper instrumentation setups and protocols are 198 199 needed to gain reliable and comparable SIF measurements. In ChinaSpec, the SIF systems are typically installed on the top of flux towers or close to flux towers (Figure 2). For tall canopy 200 like forest, the systems are mounted on the platforms of tower as high as possible to gain a large 201 FOV, and could see a large part of canopy to avoid only seeing 1-2 trees. At these sites, we 202 suggest that the bare fiber can point slightly off nadir to avoid viewing any possible background 203 close to the tower. Since the towers are typically high and large, it is recommended that the fiber 204 205 optics should point to the south to avoid the influence of the shadow of the tower in other directions. The ends of fiber optics, where the solar and canopy radiation penetrate into the 206 system, are better if they are far off the platform to avoid interfering the optical signal into the 207 fiber. Therefore, the fiber optics should be extended along with horizontal-setup pipe (or 208 209 equivalent devices, such as sectional bar) about 2-4 m away from the edge of the platform. We recommend that the systems are installed at least 10 m above the canopy (> 4 m in diameter of 210 footprint) to view more trees. For short canopy (e.g., crop and grassland), a simple tripod with 211 bracket can be used to hold the fiber optics steady (e.g., XLHT in Figure 2). The place should be 212 within the footprint of the EC flux measurements. The measurement height is suggested at least 2 213 m above the canopy (> 1 m in diameter of footprint). Considering the homogeneity in these 214 ecosystems at the EC sites, it is assumed that the covering area by bare fiber represents the 215 canopy as covered by EC flux footprint. 216

When installing the SIF systems in the field, the downwelling fiber optics with CC-3 are vertically (upward) mounted on a horizontal metal plate installation device fixed on the pipe. The upwelling bare fibers are mounted on a horizontal metal plate which is fixed nadir or slightly offnadir at the end of the pipe. It is suggested that the irradiance (downwelling) and radiance (upwelling) channels are installed at the same height. The fiber optics should be also tightly fixed to avoid any disturbances or movements. Furthermore, the cosine diffuser (CC-3) is suggested to keep dust, insects, and birds away and a periodical check is recommended for possible

- degradation (Aasen et al., 2019). Additionally, a thermometer screen or similar instrument
- shelter could be used to cover the enclosure of the systems against precipitation and direct heat

radiation (Figure 2).

To maximize the footprint matching with EC measurements, bi-hemispherical 227 measurements with a fore optic diffuser are also conducted to capture both downwelling and 228 229 upwelling irradiance since 2019 at one site of Jurong. A newly developed system called SIFprism (Zhang et al., 2019), which is similar to Rotaprism (Joseph Berry, personal 230 communication), was installed to conduct bi-hemispherical measurements (Figure 1b and Table 231 1). A rotary prism, which is rotated by an electric motor inside a sealed and adjactinic box, is 232 used to collect both downwelling and upwelling irradiance sequentially. SIFprism collects the 233 spectrum with less integration time than that of Fluespec2 and SIFSpec (Zhang et al., 2019), 234 which allows for fast collection of upwelling and downwelling irradiance. With bi-hemispherical 235 configuration (Figure 1c), SIFprism can capture a larger field of the canopy even with lower 236 height than hemispherical-conical measurements (Figure 1C). This is more relevant to the 237 footprint of EC flux measurements (Gu et al., 2018). SIFprism has been tested in parallel with 238 Fluospec2 since June in 2019 at a paddy-rice site of Jurong (Table 1). This comparison will 239 provide a chance to investigate the pros and cons of different configurations for ground-based 240 SIF observations, especially in synergy with EC flux measurements. 241

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Figure 2. Examples of field set-up at several sites in ChinaSpec. The abbreviation of each site is referenced in Table 1.

246 2.3 Data collection and processing

All systems can automatically operate and collect spectral data under various field 247 conditions. Solar irradiance (E) and canopy radiance/irradiance (L) are sequentially acquired, or 248 a sandwich-method (*E-L-E*), which is helpful for evaluating if changes in illumination suitable 249 for SIF observation during this short period, is used to measure spectral data (Cogliati et al., 250 251 2015; Aasen et al. 2019; Zhang et al., 2019). Dark current (DC) is simultaneously recorded after each spectral measurement for DC correction. Integration time (IT) of spectral measurement is 252 automatically optimized to get as high and unsaturated values as possible to improve the SNR 253 ratio: 254

$$IT = IT_{ini} \times targetDN / maxDN$$
(1)

where IT_{ini} is user-defined initial *IT*; *targetDN* is set about 60%-80% of the saturation DN value of spectrometer; and *maxDN* is the maximum value of a spectrum acquired with IT_{ini} at the beginning of each measurement.

Spectral data is recorded as DN values, which need to be radiometrically calibrated. Pre-258 mounted laboratory calibration using a tungsten halogen light source (HL -2000-CAL, Ocean 259 Optics, USA) is conducted to calibrate hemispherical sensor (CC-3) and an integrating sphere to 260 calibrate conical sensor (bare fiber) (Cogliati et al., 2015; Aasen et al. 2019; Pacheco-Labrador et 261 al. 2019). In the field, regular cross radiometric calibration is generally performed using a light 262 source (HL-2000-CAL, Ocean Optics Inc., USA) to calibrate CC-3 (up-looking channel), and a 263 well-calibrated spectrometer with a standard reference panel (Spectralon, Labsphere, NH, USA) 264 to calibrate bare fiber (down-looking channel) under a clear sky day around noon (Cogliati et al., 265 2015). The conversion of DN to radiance or irradiance can be finally expressed as (taking 266 radiance as an example): 267

$$Radiance(\lambda) = \frac{L(\lambda) \times (DN_{obs}(\lambda) - DC_{obs}(\lambda)) \times IT_{cal}}{(DN_{cal}(\lambda) - DC_{cal}(\lambda)) \times IT_{obs}}$$
(2)

where λ represents the wavelength, Radiance (λ) is measured radiance by spectrometer, and $L(\lambda)$ is the radiance of standard light resource. The subscript *obs* represents the field observation data recorded by the spectrometer and *cal* represents the calibration data. Both *DN* and *DC* are normalized by the IT used in each measurement to one second. Since the temporal stability of the calibrations are essential for long-term field SIF measurements, we recommend a regular in-field calibration (around every 3 months) in addition to a radiometric calibration in the lab before installation. At least one calibration should be conducted before the growing season.

Data quality control is operated before SIF retrieval following the protocol presented by 275 (Cogliati et al., 2015) to exclude abnormal data caused by changing illumination conditions and 276 277 other unpredictable reasons. At the present, we propose that the approaches used for SIF retrieval are Spectral Fitting Methods (SFM, Meroni & Colombo, 2006) and three-band Fraunhofer Line 278 Depth (3FLD, Maier et al., 2003) in ChinaSpec. The 3FLD algorithm stems from the FLD 279 280 principle, which requires spectral measurements at two bands, one inside and one outside a Fraunhofer line (Theisen, 2002). The FLD method assumes that reflectance and SIF maintain 281 constant at the two bands. However, in fact, the two variables are far from being constant, 282 especially for reflectance at 687 nm and SIF at 760 nm. Therefore, the FLD assumption has been 283 questioned by several authors (Meroni & Colombo, 2006; Alonso et al., 2008; Gómez-Chova et 284

al., 2006; Meroni et al., 2009; Moya et al., 2006). The 3FLD method is based on an advanced
assumption compared with FLD, namely reflectance and SIF vary linearly in the spectral domain
considered, which overcomes the limitations given by FLD assumptions (Meroni et al., 2009;
Cendrero-Mateo et al. 2019). The 3FLD-based SIF at 760 nm can been derived as:

$$SIF_{760} = \frac{\left(E_{left} \times w_{left} + E_{right} \times w_{right}\right) \times L_{760} - \left(L_{left} \times w_{left} + L_{right} \times E_{right}\right) \times E_{760}}{\left(E_{left} \times w_{left} + E_{right} \times w_{right}\right) - E_{760}}$$
(3)

where w_{left} and w_{right} denote the weight of the band, which is proportion to the length between the right/left band and the inner band. The subscripts "left" and "right" represent the band at the left and the right side of the absorption domain.

292 Differently, SFM employs two mathematical functions to describe r and SIF, which 293 relaxes the assumptions of some FLD-based methods (Meroni et al., 2009; Cendrero-Mateo et al. 2019). Here, we use two linear functions to determine r and SIF in a restricted spectral domain 295 around the O_2 absorption bands. Therefore, $L(\lambda)$ can be expressed as:

$$L(\lambda) = \frac{r_{mod}(\lambda)E(\lambda)}{\pi} + SIF_{mod}(\lambda) + \varepsilon(\lambda)$$
(4)

where $r_{mod}(\lambda)$ and $SIF_{mod}(\lambda)$ are linear functions describing r and SIF, respectively. $\varepsilon(\lambda)$ represents the error between the simulated and observed L(λ). With a large number of spectrum

297 represents the error between the simulated and observed L(X). with a large number of spectrum 298 observations with high resolution less than 0.3 nm pledged by spectrometers and continuous

measurements, the Eq. (4) can been overdetermined. Least square method is applied to solve the

parameters (i.e. the respective gain and offset of $r_{mod}(\lambda)$ and $SIF_{mod}(\lambda)$) in the two functions.

301 Then, SIF at 760 nm can been determined as SIF_{mod} (760).

302 **3 Current status of ChinaSpec**

303 3.1 Current SIF Field sites in ChinaSpec

304 Sixteen sites have registered with ChinaSpec network, including 6 cropland, 4 grassland, 305 4 forest and 2 wetland sites across from subtropical to cold temperate zones and also covered 306 from humid to semiarid regions (Figure 3 and Table 1). Locations of these sites are shown in 307 Figure 3, and detailed information of each site can be found in Table 1. The spectral range of all 308 these devices covers the range for far-red SIF (760 nm) and some of them cover both red SIF 309 (687 nm) and far-red SIF (Table 1). Details on climate, soil and vegetation can be found in the 310 ChinaSpec website. Here, we briefly present some basic information for these sites.

The cropland sites are distributed on the Zhangye oasis in northwest China (DM), the 311 North China Plain (SQ), the Yangtze Plain (JR), the Northeast Plain (PJ) and North China Plain 312 (HL and XTS) that monitor maize, rice and winter wheat. Among these cropland sites, HL, PJ 313 and DM have only one growing season in a year with a maize or rice crop in the summer, while 314 the other three have a rotation of two crops every year. Among the four forest sites, DHS is an 315 evergreen broadleaf forest and QYZ is an evergreen needle forest. Both sites are located in a sub-316 tropical area with abundant rainfall and solar radiation. The BB site consists of managed pure 317 Osmanthus fragrans stand (Yang et al., 2009). The XLD site is located in Jiyuan county, Henan 318 province (410 m asl), and is dominated by cork oak (Quercus variabilis blume). The area of the 319

320 32-yr mixed plantation is approximately 7210 ha, with a stand density of 1905 trees ha⁻¹ (Tong 321 et al., 2019).

The four grassland sites include two alpine meadow (HY and AR), one semi-arid 322 grassland (XLHT), and one sparse forest grassland (ZLQ). HY is located in an alpine meadow of 323 the eastern Qinghai-Tibetan Plateau (3500 m asl) (Quan et al., 2019). The XLHT site (1250 m 324 325 asl) is located in the Xilin River Basin, Inner Mongolia. This site has been fenced since 1999 and no grazing or other disturbance occurred thereafter (Zhang et al., 2016). Vegetation in this site is 326 a typical steppe and dominated by perennial grasses (Leymus chinensis and Stipa grandis). The 327 ZLQ site is Elm Sparse Forest Grassland Ecosystem (1300 m asl), and is located in the north-east 328 of Otingdag Sandland, Inner Mongolia. The typical vegetation is natural sparse elm (Ulmus 329 *pumila*) forest, shrubs and grass (Wang et al., 2019). The AR site is located in the Heihe River 330 Basin (3000 m asl) with a typical temperate continental climate. The dominant vegetation is 331 alpine meadow. In addition, two wetland sites (JDS-S and YX) are also included in ChinaSpec, 332 which are very unique ecosystems for SIF measurements. JDS-S is a coastal salt marsh site 333 located in the Jiuduansha Shoal of the Yangtze estuary to the East China Sea. It is dominated by 334 Spartina alterniflora with 2 m height during the growing season. The YX site is located in the 335 area of the Zhanjiang estuary to the South China Sea. It is a subtropical intertidal wetland 336 vegetated with mangrove forests. The biggest challenge of observation for these two sites is the 337 protection of the observation systems from humid and salty air to avoid corrosion of metal 338

339 components.



Figure 3. Summary of current field SIF sites in the network of ChinaSpec. Site names are
 defined in Table 1. The subplots are the vegetation type at each site (blue star). The base map is

land cover map based on the MODIS Land Cover Type product (MCD12C1) at 500 m.

344 **Table 1.** Information of the field sites in ChinaSpec.

ID	Site Name	Site ID	Location	Coordinate	Heigh t (m)*	Footprint (m ²)	PFT	Instrument	Spectral range & resolution**	Time Period
1	XiaoTang Shan	XTS	Beijing	40.1786 N 116.4432 E	4 /2.6	2.47/1.04	Cropland (winter wheat and maize rotation)	SIFSpec with QE65Pro	650- 800 nm, 0.3 nm	06/2017-
2	HuaiLai	HL	Hebei Province	40.3489 N 115.7882 E	2.5	0.95	Cropland (maize)	SIFSpec with QE65Pro	650- 800 nm, 0.3 nm	0-/2017-
3	DaMan	DM	Gansu Province	38.8555 N 100.3722 E	23	81.68	Cropland (maize)	SIFSpec with QE65Pro	650- 800 nm, 0.3 nm	05/2017-
4	ShangQiu	SHQ	Henan Province	34.5870 N 115.5753 E	12/10	22.23/15.44	Cropland (winter wheat and maize rotation)	FluoSpec2 with QEPro and HR 2000+ [#]	730-780 nm, 0.15 nm	07/2017-
5	JuRong	JR	Jiangsu Province	31.8068 N 119.2173 E	8	9.88	Cropland (rice and winter wheat)	FluoSpec2 with QEPro and HR 2000+ [#]	730-780 nm, 0.15 nm	07/2016-
6	QianYan Zhou	QYZ	Jiangxi Province	26.7478 N 115.0581 E	15	34.74	Evergreen coniferous forest	FLOX with QEPro	650- 800 nm, 0.3 nm	03-12 /2017
7	XiLinhot	XLHT	Inner Mongolia	43.5513 N 116.6710 E	2.5	0.95	Grassland	FluoSpec2 with QEPro and HR 2000+ [#]	730-780 nm, 0.15 nm	06/2017-
8	DingHuShan	DHS	Guangdong Province	23.1733 N 112.5361 E	18	50.03	Evergreen broadleaf forest	SIFSpec with QEPro	650- 800 nm, 0.3 nm	08/2017-
9	HongYuan	HY	Sichuan Province	32.8404 N 102.5775 E	3	1.39	Alpine meadow	SIFSpec with QEPro	650- 800 nm, 0.3 nm	04-07/ 2018
10	A'Rou	AR	Qinghai Province	38.0444 N 100.4647 E	25	96.50	Alpine meadow	SIFSpec with QE65Pro	650- 800 nm, 0.3 nm	04/2019-
11	YunXiao	YX	Fujian Province	23.9240 N 117.4147 E	7	7.57	Mangrove	FluoSpec2 with QEPro and HR 2000+#	730-780 nm, 0.15 nm	01/2018-
12	BeiBei	BB	Chongqing	29.7627N 106.3191E,	10	15.44	Managed Forest (Osmanthus)	SIFSpec with QEPro	650- 800 nm, 0.3 nm	9/2018-
13	ZhengLanQi	ZLQ	Inner Mongolia	42.9656N 115.9589E	2	0.62	Sparse forest grassland	FluoSpec2 with QEPro	730-780nm, 0.15 nm	6/2019-
14	XiaoLangDi	XLD	Henan Province	35.029 N 112.469 E	20	61.76	Deciduous broadleaf forest(oriental oak)	SIFSpec with QEPro	650- 800 nm, 0.3 nm	6/2019-
15	Jiuduansha-S	JDS-S	Shanghai	31.1881N 121.9489E	2	0.62	Coastal wetland (Spartina)	SIFSpec with QEPro	650- 800 nm, 0.3 nm	3/2019-
16	PanJin	РЈ	Liaoning Province	40.8004 N, 122.0277 E	2.5	0.95	Cropland (rice)	FluoSpec2 with QEPro	650- 800 nm, 0.3 nm	5/2020-

345 * Height above the canopy; ** Spectral resolution of spectrometer (FWHM); # HR2000+ is used to reflectance measurements within the range of 400 – 1000 nm.

346 3.2 Data policy

ChinaSpec collaborates with the network of ChinaFLUX and other communities, and 347 shares ground-based spectral and SIF data acquired from the sites of the network. The intent of 348 sharing this dataset is to provide ground-based continuous SIF and reflectance measurements 349 across multiple ecosystems in China to the broad community of scientists. The website (Figure 4, 350 http://chinaspec.nju.edu.cn) is the platform for releasing the related information and sharing 351 datasets of the sites registered in the ChinaSpec network since 2016 when the first dataset was 352 collected. A few sites could also share EC flux data, but most sites are shared through 353 ChinaFLUX network (Yu et al., 2006; Yu et al., 2010). 354



355

Figure 4. Website of ChinaSpec (http://chinaspec.nju.edu.cn). Introduction, data sharing and other information are provided through this website.

358 The data usage polices for ChinaSpec is similar to that for FLUXNET dataset (especially the FLUXNET2015 Dataset). The use of spectral data in ChinaSpec will follow "the fair use 359 policy" (https://fluxnet.fluxdata.org/). In other words, the ChinaSpec datasets are open and freely 360 available for scientific and educational purposes by any registered user after acceptance of a 361 proposal submitted to the steering committee. That is, data users submit a proposal on the 362 intended use of the data before they download the data; this intended-use statement will be 363 emailed to the data producer(s) of the sites. This policy means that "(1) data producers are 364 informed of who uses the data and for what purpose and (2) that proper acknowledgment and 365 citations are given to all data used in a peer reviewed publication, via the following protocols: 366 providing a co-authorship to the site PIs or at least a citation of a publication for each site". It is 367 requested that every publication specifies each site used with the data-years used and brief 368 acknowledgment for funding (if provided by the PI) in the text. We recommended the users to 369 contact the site PIs before publishing to avoid potential misuse or misinterpretation of the data. 370

In particular, if a work is based on the SIF data from only a few sites, it is strongly recommended to contact the site PI about co-authorship or proper acknowledgment for their contribution.

The distribution of the data for each site will be shared after the first publication or 2-3 years later after data collections. At this stage, the dataset consists of metadata of each site, vegetation indices (e.g., NDVI, EVI, and PRI depending on sites), retrieved far-red SIF (760 nm) with two methods (3FLD and SFM) after data quality control. The temporal scale of the dataset is half hourly, which is processed from high frequency raw data (~1 minute for spectral data). Error/uncertainty estimations on retrieved SIF will be also included in the dataset in the future. Since the year of 2019, we have distributed the spectral dataset including reflectance and SIF for

1-2 years from 6 sites. The rest of the spectral dataset will be regularly updated with data from

new site years.

382 4 The usefulness of networking SIF observations

Field spectral and SIF measurements collected in ChinaSpec are not only essential for the integration of optical remote sensing and EC flux data across space and time, but also for investigating the dynamics of canopy SIF and its link to GPP across multiple spatial and temporal scales. These continuous ground-based measurements of SIF will also benefit validation of fluorescence models and satellite SIF retrievals.

4.1 Characteristics of canopy SIF and its link to GPP across multiple ecosystems

There are growing interests on how SIF changes with radiations and GPP across different 389 ecosystems. Among the sixteen sites in the ChinaSpec network, eight sites were selected as an 390 example to investigate the relationships of SIF with photosynthetically active radiation (PAR) 391 392 and GPP at diurnal scale (Figure 5). SIF generally varied with both PAR and GPP across these sites at diurnal scale, which indicates that SIF and GPP were both driven by PAR under the clear 393 sky conditions. For the broadleaf forest, SIF mostly varied with GPP though SIF and GPP 394 obviously decreased in the afternoon even PAR level was higher than that in the morning. At 395 396 seasonal scale, the results from four sites also show that the seasonal dynamics of SIF and GPP were generally consistent during the growing season, while NDVI was generally stable (Fig. S1 397 in Appendix). In particular, SIF captured the increase of GPP at the start of the growing season 398 (DM) and decreased at the end of the growing season (SQ), when PAR remained at a high level. 399 On the other hand, NDVI increased with GPP and SIF at the beginning of the growing season, 400 but maintained high values after SIF and GPP decreased after day 240. It then started to decline 401 402 until SIF and GPP approached zero, possibly contributing to the fact that plant LAI remained stable until the very end of the growing season. These results demonstrate the potential of 403 continuous SIF measurements for understanding diurnal and seasonal canopy SIF variations 404 across different vegetation types, and also highlight the importance of networking on SIF 405 measurements. 406





Figure 5. Diurnal variations of SIF, PAR, NDVI and GPP in a clear-sky day at eight SIF sites in
 ChinaSpec. For each site, we chose one clear-sky day for illustration. Site names are identified in
 Table 1.

Different relationships between SIF and GPP have been reported in different ecosystems 411 at the seasonal scale (e.g., Yang et al., 2015; Damm et al., 2015; Wieneke et al., 2018; Yang et 412 al., 2018a; Nichol et al., 2019; Li et al., 2020). At the diurnal scale, however, it is not clear how 413 this relationship varies across different vegetation types. With the observations from ChinaSpec, 414 we further analyzed the relationship between SIF and GPP at diurnal scale across different 415 vegetation types. Figure 6 shows that there are also significant diverse slopes of relationships 416 between SIF and GPP but comparable relationships between SIF and PAR at diurnal scale across 417 different vegetation types. The inconsistent relationships between SIF and GPP across different 418 ecosystems may be attributed to different canopy structures. The regression slopes of SIF with 419 PAR from different sites have less variations. Additionally, SIF is also affected by escaping 420 probability due to multiple scattering in far-red region. This indicates the necessity to expand SIF 421 observations covering a variety of ecosystems to investigate and improve the ability of SIF for 422 monitoring photosynthesis. With the growing SIF observations in ChinaSpec over multiple 423 ecosystems, further synthesis work could be done for better understanding the link between SIF 424

and GPP at different temporal and spatial scales by combining with satellite and drone-based SIF measurements.



427

Figure 6. Diurnal relationship between SIF and GPP across multiple sites from one-day
 measurements under clear-sky conditions. Site names are defined in Table 1.

430 4.2 Validation of fluorescence models with ground-based SIF observations

431 Development and validation of fluorescence models are reliant on observational data. Here we refer to examples of the utility of ChinaSpec data for validating these models. During 432 recent years, several fluorescence models have been developed for the purpose of canopy 433 radiative transfer of SIF. A widely-used model is the Soil-Canopy Observation Photosynthesis 434 and Energy fluxes (SCOPE), which is typically used to simulate SIF at the site level (Van der 435 Tol et al., 2009). To conduct global SIF simulations, several terrestrial biosphere models have 436 been also coupled with fluorescence model (e.g., Lee et al., 2015; Qiu et al., 2019). For example, 437 an efficient scheme accounting for the canopy scattering of SIF has been developed and 438 implemented into the Boreal Ecosystem Productivity Simulator (BEPS-SIF) (Qiu et al., 2019). 439

440 We use continuous ground-based SIF observations from three sites (JR-rice, SQ-maize and XLHT-grassland) in ChinaSpec to compare with SIF simulations by SCOPE and BEPS-SIF 441 model (See details in the Appendix A for model setup). Figure 7 shows the scatter plots between 442 observed SIF and simulated SIF from SCOPE and BEPS-SIF at both hourly and daily scales for 443 one year's data. For all the three sites, SIF simulations from two models are significantly 444 correlated with ground-based observations, though the relationship between BEPS-SIF 445 446 simulation and observation is slightly scattered. This result demonstrates that these two models are effective in simulating SIF for different vegetation types at both hourly and daily scales. The 447 equally good performance of BEPS-SIF with SCOPE also illustrates its effectiveness for regional 448 and global SIF simulations. This comparison between observations and simulations demonstrates 449 the potential of continuous ground-based SIF observations to validate fluorescence models at 450 multiple temporal scales. 451

Ground-based SIF measurements could also feature in the development of new model components. For example, SIF measurements at a subalpine forest in Colorado are used to account for sustained NPQ in the SIF model, which largely improves the seasonal variations of SIF simulations for evergreen conifer forest (Raczka et al., 2018). With more data available in
ChinaSpec, the synergy of ground-based SIF data and fluorescence models will help to improve
mechanistic understanding of photosynthetic activity and SIF at different temporal scales (i.e,
diurnal to seasonal), which is important for constraining large-scale simulations of terrestrial
carbon cycles from satellite SIF data.



Figure 7. Validation of the fluorescence models using ground-based SIF measurements for (a-c)
 SCOPE model and (d-f) BEPS-SIF model. Site names are identified in Table 1.

463 4.3 Validation of satellite SIF observations at the site scale

460

Validation against ground-based SIF observations is necessary for satellite SIF products. 464 Continuous ground-based SIF measurements in ChinaSpec over multiple vegetation types 465 provide opportunities for direct comparison and evaluation of satellite products. In particular, the 466 fine spatial resolution of OCO-2 and TROPOMI SIF have facilitated the comparison with 467 ground-based measurements, though it is still challenging due to spatial mismatch. Here, five 468 relatively homogeneous sites in ChinaSpec, including four croplands (JR, HL, DM, and SQ) and 469 one grassland (XLHT), are chosen to compare with SIF retrievals from TROPOMI and OCO-2. 470 They have overlap with the swath from both OCO-2 and TROPOMI. Land cover around each 471 site is shown in Figure 8, which also shows the available observations from OCO-2 from 2014 to 472 2018. To compare with ground-based observations, both TROPOMI and OCO-2 SIF 473 observations were averaged from all available data within a 50 km-buffer around each site (red 474 circle in Figure 8). 475



476

Figure 8. Surrounding land cover and available OCO-2 observations with buffers of 10 km, 30
km and 50 km radius at five SIF sites (DM, HL, JR, SQ and XLHT). The base map is land cover
type from MODIS Land Cover product (MCD12C1 v6). Black dots are OCO-2 overpasses at

480 each site from 2014 to 2018.

Figure 9 displays seasonal variations of SIF retrievals from ground-based observations 481 and two satellite products. Note that ground-based SIF values of each day are averaged at the 482 overpass local time of ~1:30 pm of OCO-2 and TROPOMI. As for OCO-2 SIF with a coarse 483 temporal resolution (16 days), multi-year (2014-2018) mean data are used as the climatic mean 484 SIF. Overall, both OCO-2 and TROPOMI SIF show seasonal consistency with ground-based SIF 485 measurements, capturing the timing and amplitude of seasonal features over a variety of 486 vegetation types. This is further confirmed by the correlation between TROPOMI and ground-487 based SIF (Figure 9). Significant relationships (p<0.001) were observed for all sites except for 488 HL during the growing season of wheat. The correlation (R^2) varied across different sites, 489 ranging from the minimal of 0.34 at XLHT to the maximal of 0.75 at JR. Considering that there 490 are still mismatch of the footprint between satellite and ground-based measurements; such direct 491 comparison demonstrates the potential of ground-based SIF measurements in ChinaSpec for 492 validation of satellite SIF data. 493

However, this rough and direct comparison also exemplifies the challenges for the 494 validation of different satellite SIF observations with ground-based SIF measurements. Lesser 495 agreement (i.e., HL and XLHT) might be due to the mismatch of footprints between satellite SIF 496 and ground-based measurements of SIF. It is important to filter out uniform vegetation, even for 497 mostly homogeneous areas. It would also be worthwhile to explore the synergy of UAV-based 498 499 and ground-based SIF systems to validate/evaluate satellite data across sensors over multiple sites in the network. The advances in UAV-based SIF systems (MacArthur et al., 2014; Bendig, 500 et al., 2018) offer unique opportunities for upscaling ground-based SIF measurements to satellite 501 level observations. 502



503

Figure 9. Comparison of seasonal variations of ground-based and satellite (TROPOMI and

- 505 OCO-2) SIF observations at five SIF sites. The correlation coefficient (R^2) in each subplot is 506 between TROMPO and ground-based SIF measurements. Site names are identified in Table 1.
- 507

508 **5 Outlook and challenges**

The dataset shared by ChinaSpec network will promote the developments of the methods and data quality of spectral measurements in China, and enable a new perspective of integrating spectral and EC measurements with the aim to increase the quality of the research and the collaboration on ecology and optical remote sensing communities. During the development of ChinaSpec network, we have also encountered a number of opportunities and challenges for integrating EC flux and remote sensing data.

515 **5.1 Implications for linking SIF with EC flux measurements**

516 Understanding the spatiotemporal dynamics of the carbon cycle and its response to climate change is still one of the great challenges. EC flux networks have played a unique and valuable 517 518 role in quantifying ecosystem carbon exchange at landscape scale. Satellite remote sensing data has been widely used to scale EC flux data in space and time. In particular, the recent 519 breakthrough in remote sensing of SIF has stimulated the research in monitoring terrestrial 520 photosynthesis at large scale. However, a direct comparison is difficult between remotely sensed 521 522 and ground measurements due to the differences in sampling scales in space and time. An opportunity to investigate the ability of SIF to monitor biosphere-atmosphere carbon exchange 523 with synthetic spectra and carbon flux observations under all weather conditions benefits from 524 the development of high-resolution spectroscopy technique (Porcar-Castell et al., 2015). In this 525 context, ground-based spectral and SIF measurements have comparable temporal and spatial 526 sampling scale to that of EC flux measurements, which could facility the integration of remote 527 528 sensing and ground-measured fluxes. Overall, ground-based SIF measurements are essential for upscaling remote sensing and flux data across space and time. The ChinaSpec network is 529 established with this goal in China and is also aligned with the long-term plan for Chinese 530 terrestrial ecosystem science (Yu et al., 2010). The development of the ChinaSpec network 531 within the framework in ChinaFLUX network (Yu et al., 2006; Yu et al., 2010) will help bridge 532 the integration of EC flux measurements and remote sensing. 533

A primary ChinaSpec goal is to improve the understanding of links between SIF and 534 photosynthesis or GPP across different vegetation types and scales. Understanding the linkage of 535 SIF-GPP is fundamental to monitoring global photosynthesis from satellite SIF data. Over the 536 last decade, most efforts to investigate the relationship between SIF and GPP derive from the 537 satellite SIF data (e.g., Guanter et al., 2014; Sun et al., 2018). Ground-based SIF measurements 538 have also recently emerged as a useful tool to study the temporal and spatial variability of SIF 539 and its link to GPP at the site scale (e.g., Yang et al., 2015; Damm et al., 2015; Nichol et al., 540 2019; Li et al., 2020). However, such analyses mostly focus on single site in different 541 ecosystems. Strong linkages between SIF and GPP have been found, but the form of the 542 543 relationships varies (non-linear or linear) depending on vegetation types and spatio-temporal scales (Mohammed et al., 2019). Furthermore, it is still unclear whether SIF is more related to 544 GPP or APAR for different plant functional types or species (e.g., Yang et al., 2018b; Magney et 545 al., 2019). Thus, establishing a network of ground-based SIF observation systems is important to 546 fully characterize the relationship between SIF and GPP by obtaining synchronous SIF 547 continuous observations and CO₂ flux measurements across different terrestrial ecosystems and 548 549 to understand photosynthetic activities of terrestrial ecosystems. A synergy of SIF and EC flux measurements in a network such as ChinaSpec could benefit the analysis of SIF and flux data for 550 a cross-site syntheses because relative standardized instrumentations and field-setups (see 551

section 2.1 and 2.2) are employed in ChinaSpec. As shown in Figure 6, a cross-site synthesis 552 sheds light on the controls of SIF- GPP linkages at diurnal scale in different vegetation types. 553 With ongoing continuation of SIF observations from different sites in ChinaSpec, it is possible to 554 study not only the short-term (diurnal and seasonal) but also inter-annual variability of SIF and 555 its linkage to GPP. Furthermore, long term ground-based SIF measurements have the potential to 556 be used to investigate the diurnal and seasonal changes in GPP across different biomes, canopy 557 structures and environmental conditions, which is not available for the current satellite due to the 558 discrete temporal samplings and instrumental difference among different satellites. 559

Long-term and continuous SIF measurements in ChinaSpec could also benefit the utility 560 of ground-based measurements to upscale to regional scales. Although satellite SIF has shown to 561 be a good proxy for GPP, the existence of scale mismatch between satellite SIF and flux tower 562 GPP hinders the full use of SIF to constrain GPP at the global scale. Meanwhile, we are working 563 with the SIF communities in Europe and USA, and will link ChinaSpec to other global SIF 564 networks. Similar to the global network of FLUXNET, this SIF network has the potential to 565 become an important ecological research tool for large-scale photosynthesis monitoring, 566 especially when made concurrently with EC flux observations. More importantly, the temporal 567 match between ground-based SIF measurements and flux data benefits the modeling and 568 validation of the linkages derived from the satellite data, which could improve the upscaling of 569 flux measurements from the footprint to the large scales. By integrating SIF and EC 570 measurements over space and time, we could also assess ecosystem function to a number of 571 biophysical forcings such as temperature, water, light and phenology. Overall, these high 572 frequency ground-based SIF and reflectance can complement the long-tern EC carbon and water 573 flux measurements, and help to bridge ground-based observation and satellite SIF products for 574 carbon flux prediction over a variety of ecosystems. 575

576 In addition, the regional network of ChinaSpec could also contribute to the calibration and validation of satellite SIF products, and help to resolve issues that derive estimates of large-577 578 scale GPP directly from satellite data. Currently, there are a number of spaceborne sensors with high-spectral resolution which enable the global retrievals of SIF at regional and global scales, 579 including GOSAT, GOME-2, OCO-2, and TROPOMI (Frankenberg et al., 2011; Joiner et al., 580 2013; Köhler et al., 2018; Sun et al., 2018). Airborne high resolution imaging sensors are also 581 582 available for retrievals of SIF at landscape scale (e.g., HyPlant and CFIS) (Rascher et al., 2015; Frankenberg et al., 2018). Therefore, it is urgent to develop ground-based measurements of SIF 583 in parallel to validate the accuracy of SIF retrievals from satellite or airborne platforms. Most 584 current satellite SIF is measured at a certain time of a day (e.g., GOME-2 local overpass time is 585 around 9:30 a.m.). Therefore, the validation of satellite-based SIF can provide robust information 586 on regional to global-scale plant photosynthetic function and provide important information for 587 ecosystem photosynthesis monitoring from satellite remote sensing. Concurrently, comparisons 588 with ground-based SIF also play an important role in the calibration of SIF models (e.g., 589 SCOPE). In addition, the information of red SIF retrieval has been investigated from ground-590 based SIF measurement but is not available for most current satellite SIF observations. Since red 591 SIF contains more information of the photosystem II, the knowledge obtained from ground-592 based measurements will help the use of red SIF from satellite mission (e.g., FLEX) to achieve a 593 combination of red SIF and far red SIF, which is important to understanding the mechanisms of 594 SIF emission by vegetation. 595

596 **5.2 Standardization of instrumentation and data process**

The main challenge to the establishment of an optical measurement network, as in any 597 other network, is the standardization and comparability of measurements across sites. The 598 standardization is especially important and challenging for the ground-based SIF measurements 599 across different vegetation types since optical measurements are more complicated. One of the 600 lessons from the development of ChinaSpec is that standardization is the first priority for a 601 successful network of SIF measurements. Lack of standardization of optical sensors and 602 sampling methods will make it difficult to directly compare measurements across sites (Porcar-603 Castell et al., 2015; Gamon, 2010). 604

Continuous automatic canopy SIF observation in the field is not as straightforward as are 605 EC flux measurements. Our ability to conduct continuous SIF observations is due to the 606 development of stable sensors and systems with high spectral resolution and SNR to measure 607 high-frequency spectral signals (e.g., Aasen et al., 2019; Parazoo et al., 2019). During the last 608 decade, a number of SIF instruments have been developed for ground-based measurements. 609 However, the standardization of SIF observations systems is still in its early stage compared to 610 EC flux instruments. In the ChinaSpec network, we propose the use of the relatively uniform 611 instrumentations (at least the same sensor) to produce comparable spectral data. Though different 612 SIF systems are used at different sites, the custom designed spectrometers, light path and 613 spectrum sampling methods are very similar for ground-based continuous spectral measurements 614 in ChinaSpec (see Section 2.1). The advantage of these spectrometers is the thermal stabilization 615 of the detector, and with high SNR (~1000:1). This reduces the uncertainties in making cross-616 site comparisons. However, there are still discrepancies in the spectral range and resolution 617 among the SIF systems at different sites. In the future, we suggest a more standardized systems 618 with a spectral range of 650-800 nm to be used to improve data quality, and benefit the 619 comparison of SIF data across sites. Furthermore, we suggest a complementary VNIR 620 spectrometer (~400-1000 nm) in these systems to collect broadband reflectance for deriving VIs. 621 It is also useful to have complementary biophysical measurements (leaf chlorophyll, LAI, etc.). 622

Field setups and protocols are also critical for ground-based optical measurements, 623 especially for hyperspectral measurements needed to retrieval SIF. Due to the very dynamic 624 nature of SIF, it needs particular and careful consideration in the measurement setups and 625 protocols. Much progress has been made in applying SIF systems for SIF observations at flux 626 sites over the past decade (e.g., Cogliati et al., 2015; Yang et al., 2015; Grossmann et al., 2018; 627 Aasen et al., 2019). Retrieving SIF at the canopy level in the field requires synchronously 628 measured incoming and outgoing solar irradiance. In general, incoming solar and outgoing 629 canopy radiation should be acquired as simultaneously as possible using the same sensor to avoid 630 spectral shifting from two sensors. Several instrument configurations can be applied to ground-631 based SIF measurements to collect incoming and outgoing solar radiation: hemispherical-conical 632 and bi-hemispherical configurations (e.g., Porcar-Castell et al., 2015; Yang et al., 2015; Gu et al., 633 2019). At the current stage of ChinaSpec, we utilized the hemispherical-conical configurations 634 635 with a nadir view at a single fixed point for continuous measurements at all the sites. We suggest in ChinaSpec that solar irradiance measurements use a cosine diffuser for continuous 636 observations. For canopy radiance, a conical foreoptic (bare fiber) is used to measure upwelling 637 radiance from canopy which is installed at nadir. The SIF systems are generally installed on the 638 flux towers or close to the towers to maximize the synergy of SIF and EC flux measurements. 639 The heights above the canopies are dependent on the vegetation structure and tower 640

641 infrastructures at each site. An appropriate height that instruments are installed is suggested to

represent the footprint of EC flux measurements. Overall, we propose consistent measurement

setups and protocols at this stage in ChinaSpec, which could help avoid any possible

- 644 uncertainties due to instrumentation and setups. However, an in-depth discussion on the proper 645 protocol of SIF measurement is still needed since the science of SIF observation is still under
- 646 development.

The last important aspect in a network is the standardization of data post-process and SIF 647 retrieval. Reliable retrievals of SIF need careful quality checks of the spectral data before 648 analysis. This is particular important for the automated field SIF systems measurements under 649 diverse environmental conditions. Though data collection and storage are conducted in different 650 ways in ChinaSpec, the spectral information, including raw DN, IT and DC, are all recorded for 651 post-processing. The same data processing and analysis techniques are also needed to better 652 standardize the SIF measurements across sites. At the current stage of ChinaSpec, we adopt the 653 data post-process chain introduced by Cogliati et al. (2015). As mentioned in Section 2.3, the 654 raw data should be corrected (dark current), calibrated (radiometric and wavelength calibration) 655 and non-linearity corrected, as well as data quality check following a standard procedure for 656 reflectance calculation and SIF retrievals (Cogliati et al., 2015). This is necessary for processing 657 such large amounts of spectra from unattended automated systems to exclude low-quality data 658 due to illumination changes (cloud overpass), high solar zenith angle, and instrument saturation. 659 As for the methods of SIF retrieval, we propose the use of SFM as the main retrieval algorithms 660 with 3FLD as a backup in the current stage of ChinaSpec. The SFM approach is one of the most 661 reliable SIF retrieval algorithms for ground-based SIF measurements (Cendrero-Mateo et al., 662 2019). Thus, we suggest that the output data for sharing at least consists of solar and canopy 663 radiation, PAR, reflectance and SIF retrievals using 3FLD or SFM in ChinaSpec. Ancillary 664 metadata are also necessary to describe the information on the site, observation system and data 665 structure, etc. Since SIF retrievals are also influenced by atmospheric conditions, information on 666 diffuse/total radiation, air temperature and pressure could be helpful for atmospheric correction. 667 Overall, it is important to conduct quality checks and provide quality flags in the metadata for a 668 better interpretation of SIF measurements across sites. 669

670 **5.3 Ongoing challenges and future prospects**

Though substantial progress has been made on networking the ground-based continuous SIF observations, we have identified several challenges and opportunities during the development of ChinaSpec. These includes standardization of measurement protocols and data processing, footprint-representativeness of measurements, integration with EC flux measurements, and connections with other regional network. This standardization will further advance our understanding of SIF signal and its link to photosynthesis by addressing these issues in the near future.

678 **5.3.1 Issues in measurements protocols at EC sites**

During the last decade, significant progress has been made for the development of instrumentation and protocols in SIF measurements. However, the SIF community still lacks a consensus on the standardization of SIF measurement protocols. Though we suggest the use of more consistent instruments and field setups in ChinaSpec, independent PIs may still adopt different solutions for SIF measurements without following a set of general guidelines. There are still some open questions that link to standardization of SIF instrumentation and protocols. The discussion in Sections 5.2 are considered as a starting point, but more research is needed in this direction. We identified several areas that still need further work.

Of particular concern is the radiometric and spectral calibration of SIF systems. This is a 687 new challenge to calibrating the automated SIF sensors on a continuous basis at the flux sites. 688 Since SIF retrievals need measurements of absolute solar irradiance and vegetation radiance, the 689 absolute radiometric calibration becomes especially critical to derive reliable and reproducible 690 SIF retrievals. Due to its continuous measurements over months to years, a regular onsite 691 calibration is definitely needed for the sensors to avoid drift over time. We find that some of the 692 SIF instruments were rarely calibrated over a year even though we suggest an onsite calibration 693 at approximately every 3 months. In addition, wavelength calibration of spectrometers are also 694 important to ensure that SIF retrievals are using the correct wavelength regions. Spectrometers 695 are initially calibrated by the manufacture and should be periodically recalibrated to correct bias 696 from true variations by sending them back to the suppliers. We suggest that the QEPro used in 697 the SIF instruments are recalibrated after one year of purchase by the manufacture. This regular 698 recalibration was rarely done in ChinaSpec. Thus, the stability of such sensors over time in the 699 field needs further investigation. We recommend routinely both spectral and radiometric 700 calibration of the instruments at least once per year at the beginning of the growing season. 701 Overall, any failure of regular radiometric and spectral calibration of these SIF systems may 702 703 result in non-reproducible and non-comparable data which is of limited scientific value. Apparently, there is an urgent need for more close collaboration between SIF scientists and 704 industry to foster the technological improvements. Such collaborations could help develop more 705 reliable and standardized SIF instruments. 706

707 There is also a need to develop more stable SIF retrieval methods and data quality control standards. The retrieval methods used in ChinaSpec, SFM and 3FLD, are based on the FLD 708 principle using O₂ A and O₂ B absorption features which are easily affected by atmospheric 709 scattering, surface pressure and temperature conditions. The atmospheric effects between the SIF 710 711 sensors and the observed canopy needs more attention. A few studies have suggested the necessary of atmospheric correction on SIF retrievals even though there are only a few meters 712 between canopy and sensor (Sabater et al., 2018; Aasen et al. 2019). Considering that some SIF 713 systems are mounted on flux towers at greater than 10 m above the canopy, we recommend the 714 715 use of an atmospheric correction routine before SIF retrievals (Liu et al., 2019). Otherwise, a SIF retrieval algorithm based on Fraunhofer line such as singular vector decomposition (SVD) could 716 717 be used to provide tolerance for atmospheric scattering effects. At the present, however, we still do not have a guaranteed method for SIF retrievals which can be used for all sky conditions. In 718 addition, the retrieval of red SIF (F_{687}) at the O₂ B band is more challenging, and a systematic 719 720 assessment of the current SIF method in the near future is needed to ensure a stable approach for red SIF. Clearly, there is still much work needed on SIF retrievals and data quality control on the 721 ground-based SIF measurements for future research. 722

723 **5.3.2 Footprint match of SIF and EC fluxes**

Another challenge for the synergy of SIF and EC flux measurements research involve effectively integrating SIF and EC flux measurements. There is still debate on how to deal with the effect of SIF vs. flux footprint mismatch from a point of view of ground-based measurements. The current continuous SIF measurements can temporally match well with EC flux data. However, the issue of footprint mismatch is critical between SIF and EC flux measurements. It is well known that the EC flux footprints vary with wind direction, atmospheric stability, measurement height and canopy structure. On the contrary, the SIF systems are usually
deployed in a fixed direction (a bare fiber with nadir) on top of a flux tower, which generally

have a footprint of a few to a tenth of a meter squared. This will obviously result in footprint

- mismatch with flux observations, and miss the peak contribution area of the flux. In sites with
- homogenous canopy (e.g., crop, grassland), the spatial representativeness of SIF data may not be
- a critical issue. In places with heterogeneous vegetation like savanna, however, characterization
- of the footprint match could be critical for the joint use of SIF and flux data.
- This footprint mismatch between optical and flux observations clearly points out the need 737 for better SIF observations or post-processing to create representative SIF signal of flux 738 footprints. The influence view-geometry and canopy structure are also needed to account for the 739 interpretation of SIF, especially at diurnal scale. This is due to the distribution of light 740 throughout the canopy (i.e., the classic surface BRDF problem). A few studies have reported the 741 strong angular dependence of SIF emissions on sun-canopy geometry and canopy structure from 742 ground-based measurements (Pinto et al., 2017; Zhang et al., 2020). Thus, we find that new 743 tools/protocols are needed to address these issues. One option is the use of a multiangular SIF 744 system, which can collect spectral measurements over a circular area surrounding the flux tower. 745 For example, Zhang et al. (2020) recently presented an automated multi-angle SIF system 746 (Multi-Fluo) capable of measuring the angular dependencies in measurements of top-of-canopy 747 SIF in crops. The Multi-Fluo system also uses QEPro (FWHM of ~0.3 nm, Ocean Optics, 748 Dunedin, FL, USA) to automatically acquire spectra with different viewing angles of the canopy 749 that cover different areas around a flux tower. Some correction of the directional SIF signal 750 could be done to derive total canopy SIF emission by combining canopy reflectance data (Yang 751
- and van der Tol, 2018; Liu et al., 2019; Zeng et al., 2019; Zhang et al., 2019).

753 The other option is to use the bi-hemispherical measurements with a cosine corrector with FOV of 180° (Zhang et al., 2019; Gu et al., 2019). The bi-hemispherical configurations 754 could enlarge the field of view to represent the average condition of the canopy and also reduce 755 the signal from non-vegetation factors. These systems could measure a large area around the flux 756 towers, which make them a valuable solution to address footprint variability. In the future, we 757 recommend conical measurement of radiance for homogeneous canopy (e.g., crop and 758 grassland), and hemispherical measurement for heterogeneous canopy (e.g., forest, savanna) 759 (Balzarolo et al., 2011; Porcar-Castell et al., 2015; Gu et al., 2019). In addition, cost-affordable 760 UAV-based SIF instruments (e.g., Piccolo, MacArthur et al., 2014) are also unique systems 761 available to quantify the footprint variability, since they have more flexibility and capacity to 762 measure the same canopy from different heights. This will be especially valuable in investigating 763 the different sources of error to upscale from ground-based SIF measurements to satellite pixel 764 level. However, further considerable work is still needed on this subject and our understanding of 765 how to address this scale issue at the flux sites requires more attention. 766

767 **5.3.3 Towards a global SIF network**

Through the integration of ground-based SIF and EC observations in a network, we are able to deepen our understanding of the controlling mechanisms of the biosphere-atmosphere carbon exchange. In the next few years, we anticipate a continuing expansion of ChinaSpec to more vegetation types/sites. Two more sites, Naqu site in Tibet (31.64N, 92.01E) and Shihezi in Xinjiang (44.91 N, 86.16 E), are starting the SIF measurements in 2020. In particular, the Naqu site is located in Northern Tibetan Plateau and with an altitude of 4,600 m and a vegetation of typical alpine meadow dominated by *Kobresia pygmaea* (*K. pygmaea*) (Zhu et al., 2020). These

- sites make ChinaSpec very unique in SIF measurements in the highest landscape. Some future
- projects have already been discussed for deploying automatic SIF systems over more forest,
- wetland and alpine meadow sites in ChinaFlux. As the network is growing with more sites in
- ChinaSpec and other regional network (Yang et al., 2018a; Aasen et al. 2019; Parazoo et al.,
 2019), we could expect a global ground-based SIF network similar to the global network of
- FLUXNET in the near future. Yet, there is still tremendous work needed to accomplish this
- 781 coordinated goal. The effort could benefit from communication and collaboration between the
- 782 SIF community and EC flux scientists. A relevant platform for communication among different
- communities would help to promote the development of such a global SIF network. Apparently,
- SIF observations alone cannot fully show the advantage of SIF for photosynthesis and carbon
- cycle research (Gu et al., 2019). Concurrent SIF and EC measurements could resolve some
- challenges in global photosynthesis estimation. However, much effort is still needed to establish
- 787 a global standard for measurement protocols and data processing. Further work should
- investigate how different approaches in different regional networks can be
- consolidated/synthesized in a meaningful way to gain the full SIF picture. It can be foreseen that
- a regional/global joint long-term measurements of SIF and EC flux are very useful for improving
- our understanding of biosphere-atmosphere interactions. Collaboration from data scientists is
 also needed for studies that can fully utilize the rich information contained in the large amount of
- ground-based SIF data that is and will be available.

794 6 Summary

795 An automatic spectral observation system has been used to continuously measure groundbased canopy reflectance, especially SIF, which is an efficient approaches for monitoring the 796 carbon budget of terrestrial ecosystems. The network of ChinaSpec was established to conduct 797 ground-based SIF measurements using automated instruments across various vegetation types in 798 799 China. The network currently consists of 16 sites including six cropland sites, four grassland sites, four forest sites and two wetland sites. Here, we specifically describe the details of 800 instrument configurations, data collection and processing procedures, data sharing and utilization 801 protocols. Based on data acquired from sites in last two years, we show examples how the SIF 802 803 observations can be used to track vegetation photosynthesis from diurnal to seasonal scale, to validate the fluorescence models and satellite SIF products (e.g., from OCO-2 and TROPOMI). 804 ChinaSpec is dedicated to facilitate integration of spectral and flux measurements for better 805 monitoring and prediction of carbon exchange between the atmosphere and biosphere, and 806 benefits both remote sensing and ecology research communities. Still, there are many challenges 807 in expanding the network and in reaching a standard protocol from data acquisition, processing 808 809 to utilization. This necessarily requires broader collaborations from interested researchers or groups to advance this research area. 810

811

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- 824 ChinaFLUX for providing EC flux data (<u>http://www.cnern.org.cn/data/meta?id=141840</u>).
- 825

Appendix A. Information on the model setups.

SCOPE is a vertical (1-D) integrated radiative transfer and energy balance model and has been widely used for simulating both photosynthesis and chlorophyll fluorescence processes. In this model, the canopy is divided into many elementary layers and the leaf angle is treated as 36 leaf azimuth classes and 13 discrete leaf zenith inclinations. SCOPE can simulate the fluorescence emitted from all the leaves and canopy-leaving fluorescence by considering the reabsorption and scattering processes within the canopy. The latest version of SCOPE (v1.73) is used for the simulation of SIF observed at the top of the canopy. The meteorology forcing data

for SCOPE simulations include incoming shortwave and longwave radiation, air temperature,

surface atmosphere pressure, atmospheric vapor pressure, and wind speed.

836 The BEPS model is an enzyme kinetic two-leaf model, which has been used to simulate water and carbon fluxes for different vegetation types at both site and global levels. An efficient 837 scheme to account for the canopy scattering in a SIF model has been developed and this 838 mechanistic representation of SIF is coupled to the BEPS model. The BEPS model demonstrated 839 the ability to reproduce global patterns of SIF observed by a satellite sensor and to capture the 840 seasonality of SIF reasonably well over different regions (Qiu et al., 2019). The forcing data for 841 BEPS-SIF simulations include relative humidity, wind speed, air temperature, surface 842 atmosphere pressure, incoming solar shortwave flux, and total precipitation. At the three sites, 843 LAI is obtained from the field measurements and used for SIF simulations for the SCOPE and 844 845 BEPS models. The other main input parameters for the SCOPE and BEPS simulations are shown

- 846 in Table S1 and Table S2.
- 847

Table S1 Main input parameters of three sites for the SCOPE simulations.

Parameter	Description	Unit	Shangqiu	Xilinhot	Jurong
C_{ab}	Leaf chlorophyll a+b content	µg/cm ²	80	20	60
Rdparam	Respiration	_	0.015	0.025	0.015
Slope (<i>m</i>)	Ball-Berry stomatal conductance parameter	_	9	4	9
LIDF _a	Leaf inclination parameter	_	-0.35	-1	-1
LIDF _b	Bimodality parameter	_	-0.15	0	0
V_{cmax}	Maximum carboxylation capacity	µmol/m ²	100	20	80
FQE	Fluorescence quantum yield efficiency	_	0.01	0.01	0.01

	VZA D A A	View zenith angle	Degree	0	0	0
	KAA	Relative azimutii angle	Degree	0	0	0
Table S2 Main input parameters of three sites for the BEPS simulations.						
	Parameters	Description	Unit	Shangqiu	Xinlinhot	Jurong
	V_{cmax}	Maximum carboxylation capacity	µmol/m²/s	100	20	80
	J_{cmax}	maximum electron transport rate	µmol/m²/s	200	193	200
	Ν	leaf nitrogen content	g/m ²	1.69	1.62	1.69
	χ_n	slope of V_{cmax} variation with N	m²/g	0.60	0.62	0.60
	Slope (<i>m</i>)	slope in the Ball-Berry equation	_	9	4	9
	Intercept (b)	intercept in the Ball-Berry equation	µmol/m²/s	0.0011	0.0011	0.0011
	Rdparam Respiration		_	0.015	0.025	0.015
	Ω	Clumping index	—	0.85	0.8	0.85

849 Appendix B.



850

Figure S1. Seasonal variations of daily mean SIF, PAR, GPP and NDVI at four SIF sites. The names are identified in Table 1.

853 Appendix C. Calibration coefficients from different time



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Figure S2. Three calibration coefficients acquired from two different times and before-aftercleaning dust, as well as fractions of change on the basis of coefficient obtained on August 12th

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Figure 1.



Figure 2.

Figure 3.

Figure 4.

Figure 5.

Figure 6.

Figure 6.

Figure 8.

115.2 115.4 115.6 115.8 116 116.2 116.4

Figure 9.

