# Slope-aspect induced climate differences influence how water is exchanged between the land and atmosphere

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#### Abstract

High resolution air temperature, relative humidity, soil moisture, insolation, and sap velocity observations on 14 madrone trees spanning adjacent north and south slopes at the University of California's Angelo Coast Range Reserve show that cross-slope climate differences in the mid-latitudes are ecologically important, and impact vegetation-mediated water balance between the earth surface and the atmosphere. In this paper, we describe the cross-slope differences in direct observations of vapor pressure deficit (VPD) and sap velocity, which we use as a proxy for transpiration. We use a hybrid observation/model approach to estimate cross-slope insolation variations. We show that trees on opposing slopes do not follow a shared pattern of physiological response to transpiration drivers, meaning that the observed sap velocity differences are not due entirely to observed microclimate differences, but also due to population-level physiological differences, which may indicate acclimation to inhabited microclimate. While our present dataset and analytical tools do not positively identify any mechanism of possible acclimation, we speculate that differing proportions of sun-adapted and shade-adapted leaves, differences in stomatal regulation, and cross-slope root zone moisture differences could explain some of the observed and modeled differences.

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#### **Key Points:** 7

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#### · Solar radiation differences generate different microclimates across adjacent north-8 and south-facing slopes in the midlatitudes 9 • Continuous high frequency measurements document microclimatic differences and 10 covarying tree water use across a hillslope divide over a dry Mediterranean sum-11 mer 12 • Transpiration of a single evergreen tree species is higher on the drier sunnier south-13 facing slope, suggesting different water use and adaptation strategies.

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#### 15 Abstract

High resolution air temperature, relative humidity, soil moisture, insolation, and sap ve-16 locity observations on 14 madrone trees spanning adjacent north and south slopes at the 17 University of California's Angelo Coast Range Reserve show that cross-slope climate dif-18 ferences in the mid-latitudes are ecologically important, and impact vegetation-mediated 19 water balance between the earth surface and the atmosphere. In this paper, we describe 20 the cross-slope differences in direct observations of vapor pressure deficit (VPD) and sap 21 velocity, which we use as a proxy for transpiration. We use a hybrid observation/model 22 approach to estimate cross-slope insolation variations. We show that trees on opposing 23 slopes do not follow a shared pattern of physiological response to transpiration drivers, 24 meaning that the observed sap velocity differences are not due entirely to observed mi-25 croclimate differences, but also due to population-level physiological differences, which 26 may indicate acclimation to inhabited microclimate. While our present dataset and an-27 alytical tools do not positively identify any mechanism of possible acclimation, we spec-28 ulate that differing proportions of sun-adapted and shade-adapted leaves, differences in 29 stomatal regulation, and cross-slope root zone moisture differences could explain some 30 of the observed and modeled differences. 31

#### 32

## Plain Language Summary

The transfer of water from plants to the atmosphere is determined by the inter-33 action between plant physiology and local microclimate. We made high frequency ob-34 servations of sap velocity in two populations of Pacific madrone trees across a hillslope 35 divide containing a strong microclimatic gradient. The differences in sunlight between 36 the two slopes lead not only to different temperatures and humidities, but also to dif-37 ferences in energy available for photosynthesis, and hence transpiration. As a result, trees 38 on the south-facing slope transpire 20% more water over the dry Mediterranean sum-39 mer. Furthermore, we found that water use by trees on the north slope bears a differ-40 ent relationship to environmental conditions than water use by trees on the south slope. 41

## 42 **1** Introduction

Plant transpiration is a major conduit for the transfer of water from the land to
the atmosphere (Jasechko et al., 2013), and our understanding of how complex and sensitive the leaf-to-atmosphere link is to localized feedbacks, such as slope exposure and

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associated conditions, is increasing (e.g., P. Link et al. (2014); Harrison et al. (2020); Ami-46 trano et al. (2019)). Slope aspect influences microclimate (ambient air temperature (T), 47 vapor pressure deficit (VPD), soil moisture, and light) directly via insolation differences, 48 and this effect varies depending on latitude and slope characteristics. Cross-slope mi-49 croclimate differences provide a natural laboratory for investigating the physiological re-50 sponse of vegetation to altered T, VPD, and light regimes, as other environmental fac-51 tors such as precipitation, underlying lithology and soil type, and cloud cover are com-52 parable between adjacent hillslopes. Investigation of water dynamics in this setting yields 53 insights into how vegetation-atmosphere water cycle interactions may evolve under fu-54 ture climates with different temperature and VPD regimes, which contributes to more 55 accurate projections of anticipated water fluxes under an altered climate. 56

The influence of natural microclimatic variations that can be associated with to-57 pographic position on vegetation water dynamics is poorly understood, and hence of-58 ten omitted in models, due to a lack of data (Mencuccini et al., 2019). No prior work 59 has, to our knowledge, investigated cross-slope transpiration dynamics in any of North 60 America, nor in a Mediterranean ecosystem, nor with such density of sap velocity ob-61 servations focused a single species. Prior work which investigated interspecies transpi-62 ration differences among five dominant tree species at our site reveals the importance 63 of controlling for species in order to accurately capture the species-specific signatures of 64 transpiration timing and volume, which can be large in this ecosystem: at the extreme, 65 differences in forest composition in the North Coast Range could alter anticipated sur-66 face temperatures by up to 3°C during the hottest summer months (P. A. Link, 2015). 67

We present a set of field observations and modeling exercises designed to investi-68 gate the effect of disparate microclimates on water fluxes from a single species of deeply-69 rooted drought-tolerant broadleaf evergreen tree. We use the climatic gradient created 70 by differences in solar radiation on adjacent north- and south-facing slopes of a hill to 71 explore the impact of variable microclimate on sap velocities, and thus transpiration, in 72 this single species of tree. We define microclimate by ambient air temperature and hu-73 midity beneath the canopy, incoming solar radiation adjusted for the slope and aspect 74 of the closed canopy, and soil moisture measured at 30 cm. 75

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In the following sections, we describe the research site and our network of direct observations, including our hybrid observation/model approach to simulating sunlight.

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We then give a detailed analysis of the climatic features and sap velocity measurements of the two slopes, and present our parameterization of a transpiration model based on these cross-slope differences in microclimate and sap velocity. We conclude by exploring the implications of cross-slope differences in ecological response parameters for forest resilience in this region under future climates, including a discussion of the limitations of our analysis and proposed next steps.

 $^{84}$  2 Methods

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## 2.1 Site description

At the University of California's Angelo Coast Range Reserve (39.729167, -123.644444), a site with large variation in year-to-year precipitation (e.g., 1027 mm in 2013-14, 2991 87 mm in 2016-17), there exists a heavily instrumented site spanning a forested north-facing 88 slope. The forest is composed of mixed broad-leaf and needle-leaf evergreen trees typ-89 ical of the Douglas fir Pacific alliance (USDA, 2008). Over a 8000  $m^2$  area, slightly larger 90 than a standard soccer field, over 1000 instruments have been collecting data since 2009. 91 Weather stations, wells, soil moisture probes, sap velocity sensors, streamflow gauging 92 stations, hanging arrays of temperature and humidity sensors, and a deep (25 m) lat-93 eral rock moisture sampling apparatus shed light on the path of water through this ecosys-94 tem. 95

For the first time at the site, our installations take the observations to the south 96 slope. Because of the near-direct north-south orientation of the hillslope, the microcli-97 matic differences between the two slopes are pronounced. The north slope of the hill is 98 cool and moist and has a river (Elder Creek) at the bottom. The south slope of the hill 99 is comparatively hot and dry and has no river. Our direct observations show that the 100 south slope can be nearly 7°C hotter and experience VPDs of up to 1.8 kPa greater dur-101 ing late September mornings (see Table 1). There is a visible transition in tree species 102 composition across the ridge of the hill (see Figure 1). Because these are two sides of the 103 same hill, we assume precipitation inputs and cloud-induced variations in solar radia-104 tion are identical. 105

For the purposes of examining cross-slope differences in sap velocity, we focus on the dry months (June–October). This is because the seasonal drought in California's Mediterranean climate simplifies our environmental conditions firstly by avoiding potential post-

-4-

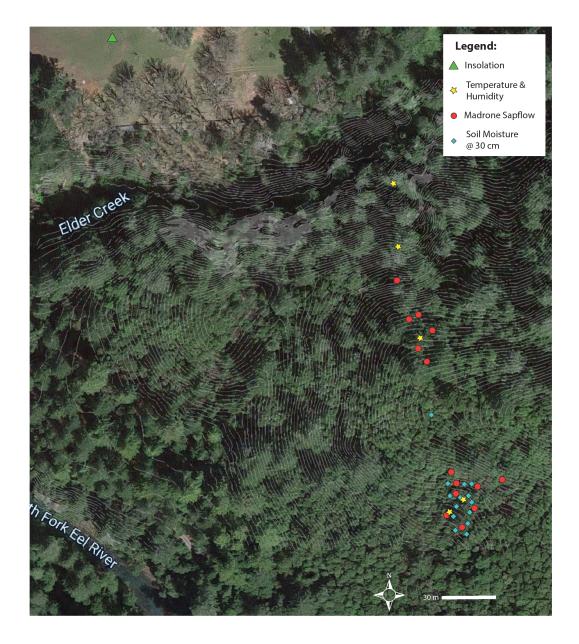


Figure 1. A map of the Rivendell field site in Northern California (39.729167, -123.64444), and the locations of data for this analysis. The canopy covering the north slope is largely made up of Douglas fir (*Pseudotsuga menziesii*), bay (*Umbellularia californica*), and evergreen oak tree species (Tan oak *Notholithocarpus densiflorus*, Coastal live oak *Quercus agrifolia*, Canyon live oak *Quercus chrysolepis*), with some Pacific madrone trees (*Arbutus menziesii*) in the upper half of the hillslope. In contrast, the south slope is mostly populated with Pacific madrone trees, with a few Douglas fir and oak trees primarily occurring in the upper half of the slope near the ridge. 1 m topographic lines are shown in light gray. Underlying high-resolution satellite imagery is from Maxar Technologies, accessed through Google Earth Engine (Gorelick et al., 2017).

rain leaf wetness (leading to possible sunny conditions with low transpiration), and secondly by simplifying the correlation of 30 cm moisture dynamics with those of deeper
moisture layers, which the trees at this site are accessing (Oshun et al., 2016). Thirdly,
during a time of continuously declining subsurface moisture availability, we hypothesize
that above-ground microclimatic variations may have the largest impact.

We focus on Pacific madrone (Arbutus menziesii) for two reasons: Firstly, their preva-114 lence on both slopes at our site in particular allows for higher rates of same-species sam-115 pling. Secondly, prior work on the north slope at this site (P. Link et al., 2014) has shown 116 that madrone trees reach their peak sap velocities latest in the dry season, around mid-117 to late-July, compared to other neighboring evergreen species on the site, which reach 118 their peak sap velocities up to two months earlier when soils are wetter. Thus, madrone 119 trees experience their sap velocity peak during the highest VPD conditions in combina-120 tion with the lowest soil moistures of any other tree species at our site. We hypothesized 121 that this would make madrones trees more sensitive to strong above-ground climate gra-122 dients, potentially resulting in a larger cross-slope signal in the sap velocity data. 123

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#### 2.2 Instrumentation

The field study collects 1) sap velocity measurements on Pacific madrone trees; 2) ambient understory temperature and humidity microclimate; 3) incoming solar radiation to an open meadow adjacent to the site; and 4) soil moisture at 30 cm (Figure 1).

Sap velocity sensors (Dynamax Granier-style Thermal Dissipation Probes, as in Granier 128 (1985) and Granier (1987)) are installed into 14 madrone trees, 8 on the south slope and 129 6 on the north slope (Figure 1, red dots). Each tree has two 80 mm long sensors with 130 thermocouple junctions at 15 and 70 mm, and sensors are placed approximately 180° apart. 131 We consider only data from the outer thermocouple junctions, at 15 mm depth, result-132 ing in 16 and 12 data streams on the south and north slopes, respectively. Sixteen soil 133 moisture sensors (Campbell Scientific CS650) monitor surface soil moisture at 30 cm in 134 a network that covers the south slope and ridge area (Figure 1, blue diamonds). Unfor-135 tunately, similar soil moisture observations on the north slope were compromised dur-136 ing the study period, and are therefore not used in this study. Three temperature and 137 humidity sensors (Campbell Scientific CS215) are installed 4-6 ft above the ground in 138 weather stations on the north slope, while eleven exist on the south slope, ten of which 139

hang in a vertical string from the canopy to the ground, and the last of which is installed
4-6 ft above the ground in a weather station (Figure 1, yellow stars; vertical string represented as one point). A weather station in an adjoining meadow provides information
about incoming radiation, wind speeds, and precipitation (Figure 1, green triangle).

There are several unique aspects of this field work: 1) the high resolution of sap velocity measurements (2 sensors per tree and 14 trees); 2) the comprehensiveness of the hydrological measurements, from ambient microclimate, sap velocities, soil moisture and ground water fluctuations; and 3) the high frequency (<15 minutes) of the measurements (Figure 4), a time scale that is commensurate with time steps of ecological processes in state-of-the-art climate models.

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## 2.3 Data processing

All data are collected at 1- to 15-minute intervals, and resampled to 5 minute intervals, with no interpolation. Cleaning and analysis of field data was conducted with Python 3.7.4.

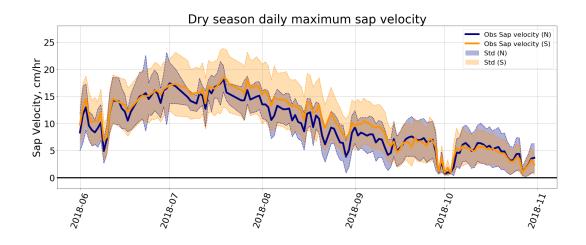
Sap velocity: Granier-type sap velocity probes present challenges to error quan-154 tification, especially with regard to sensor calibration (Davis et al., 2012), the choice of 155 constants in Granier's empirically-derived sap velocity formula, which may be species-156 specific (Sun et al., 2012), and fluctuations in sapwood heat capacity on time scales of 157 days to weeks (Ward et al., 2017). Additionally, asymmetries in tree tissues circumfer-158 entially (Oliveras & Llorens, 2001) result in different sap velocities even when measured 159 at a constant depth. Our study trees ranged from 14-28" in diameter, and we used two 160 probes per tree installed 5.5-6.5 ft from the ground, which we infer based on our own prior 161 experiments is insufficient resolution to capture the true scale of radial variation in sap 162 velocity. Yet, because the probes intrude into critical water-transporting tissues, over-163 saturating a tree with sensors may stress the tree, changing the degree to which the data 164 streams can represent an undisturbed population. 165

We confront these issues by assuming that any uncertainty introduced by variation in construction or calibration of sensors, or from incomplete sampling of radial sap velocity variation, is randomly distributed in our data set. We also assume that, given our focus on a single tree species, using sap velocity equation constants validated for madrone in specific is unnecessary, as our data all received identical scaling and no cross-species

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comparisons are made. Fluctuations in sapwood heat capacity are corrected via the standard practice of continuously redetermining a unique local 'zero flow' over a particular
window of time, to ensure comparability of data collected in different seasons during which
tree tissues may have had differing water content (Ward et al., 2017).

Our sap flow data processing begins with applying the standard zeroing procedure 175 to each data stream using a 5-day window. We then looked for outliers among the in-176 dividual sap velocity data streams, defined as having a mean sap velocity that is more 177 than twice the interquartile range away from the mean sap velocity for the group of sen-178 sors representing a particular slope. One outlier on the north slope was identified and 179 excluded, and all remaining data streams were averaged together by slope, resulting in 180 a sap velocity time series for an average north slope madrone tree and an average south 181 slope madrone tree. We assume that the number of remaining data streams on each slope 182 (11 data streams for the north slope and 16 for the south slope) is enough to ensure that 183 no systematic error remains from either endogenous sensor error or incompletely-sampled 184 radial variation in sap velocity. We interpret the standard deviation of our average-tree 185 data streams as representing total uncertainty in our measured sap velocity magnitude. 186 This is illustrated in Figure 2. 187



**Figure 2.** Daily maximum sap velocities for each slope, plotted with +/- 1 standard deviation reflecting spread among the data streams for each slope's tree population. While the south slope has faster peak velocities on average throughout most of the dry season up to the middle of September, during the end of the dry season the north slope experiences faster peak sap velocities on average. Nevertheless, as Figure 6 shows, the south slope transpires more water per sapwood area even during the month of October due to a longer diurnal cycle of transpiration.

**VPD**: Temperature and humidity are both reported by a single instrument (Campbell Scientific CS215, Figure 1, yellow stars). We averaged temperature and humidity data streams by slope, and then derived vapor pressure deficit (VPD) as:

$$VPD = SVP(1 - RH)$$

where *SVP* is the saturated vapor pressure (kPa) estimated as a function of temperature by the Clausius-Clapeyron equation (Bolton, 1980), and RH is the directly sensed relative humidity.

**Insolation:** Unobstructed total (combined direct and diffuse) solar radiation is mea-191 sured in an adjacent meadow (LI-COR LI200X-L, Figure 1, green triangle). To derive 192 the solar radiation on the north and south slopes, we first derived slope aspect from to-193 pographic maps. Because the slope undulates over the area enclosed by observations, we 194 use the average aspect of each sampled tree's location. The south slope's aspect is 189.1°, 195 where  $180^{\circ}$  is due south; the north slope's aspect is  $344.2^{\circ}$ , where  $360^{\circ}$  is due north. Thirdly, 196 we measure the canopy slope from 12 LiDAR cross-sections of the vegetation (e.g. Lee 197 et al. (2016)) on each slope, taken 10 m apart laterally along a N-S axis through the ob-198 servational footprint. For the north slope, on which broadleaf vegetation makes up a closed 199 canopy understory to a sparse canopy of emergent Douglas fir trees, the slope of the broadleaf 200 vegetation was measured. The south slope's canopy has a slope of 21.97°, while the north 201 slope is steeper, with a broadleaf canopy slope of 32.82°. 202

The clear-sky direct solar radiation for different times and days (solar zenith and azimuth angles) is calculated using python's 'solarradiation' library (Stafford, 2018) that follows the formulation of Duffie and Beckman (1991). The calculation is done for a flat surface  $(S_{flat})$  as well as for north and south slopes  $(S_N \text{ and } S_S, \text{ respectively})$ , using the latitude, canopy slope steepness, and slope aspect we have estimated for each slope. To obtain the total insolation for each slope, we scale the total radiation measured at the meadow by the scaling factor for each slope:

$$I_{North} = I_{meadow,Observed} \times \frac{S_N}{S_{flat}}$$
$$I_{South} = I_{meadow,Observed} \times \frac{S_S}{S_{flat}}$$

Figure 3 provides a visualization of the computed solar trajectories for the Rivendell site and the scaling factors for each slope. The relative angles of the hillslopes and solar trajectories illustrate why it is that early in the dry season, the north slope receives
more afternoon sunlight than the south slope, and late in the dry season, the north slope
gets very little direct sunlight at all. Late in the dry season, the south slope receives more
sunlight than the flat meadow, while the north slope receives less.

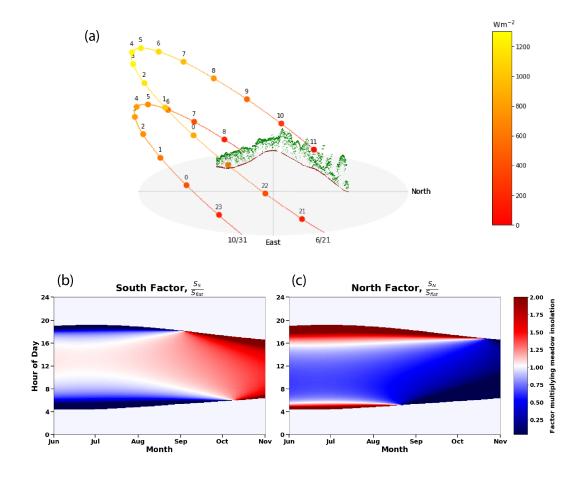


Figure 3. A summary of the solar model. Panel a: The solar trajectories at the latitude of the Rivendell site for the summer solstice (6/21) and the end of the dry season (10/31), showing that the sun rises and sets north of due East and due West for part of the dry season. The numbers indicate local time. A LiDAR cross section of the Rivendell site is provided for orientation. Panels b) and c): the scaling factor for each slope's insolation, as it evolves throughout the day (y-axis) and the dry season (x-axis). The asymmetry in panels b) and c) reflects the slightly westward aspect of both slopes, also visible in Figure 1.

216 217 Soil Moisture: Our dense network of 14 soil moisture sensors at 30 cm (CS650 Water Content Reflectometers, Figure 1, blue diamonds) shows large-magnitude vari-

218	ation in soil volumetric water content at a roughly 15 m length scale, independently con-
219	firmed by a limited amount of manual soil sampling. This variation in shallow soil mois-
220	ture is spatially organized, and appears to relate to the geomorphology and history of
221	shallow landslide disturbance in the area (W. Dietrich, private communication). This
222	variation does not appear to be correlated with variation in sap velocity magnitude of
223	proximate trees, suggesting lateral and vertical extents of the tree roots may be access-
224	ing moisture from a wider area and from deep moisture in weathered bedrock (Rempe
225	& Dietrich, 2018; Vrettas $&$ Fung, 2017). Lacking observations to capture these deep mois-
226	ture reservoirs, we assume that the overall dynamics of root-zone moisture are correlated
227	with those of 30 cm soil moisture over the summer dry season (i.e., both show a steady
228	decline), and use a site-wide average of 30 cm soil moisture for both slopes.

229

## 3 Results & Discussion

#### 230

## 3.1 Microclimate and sap velocities on the north and south slopes

To illustrate the high-frequency data stream, Figure 4 shows, for the dry season and beginning of the wet season (June–October) of 2018, the average microclimate and sap velocity data for the north and south slopes, as well as the averaged soil moisture data for the south slope. While soil moisture shows a steady decline through the dry summer, sap velocities on both slopes peak in July when 30 cm soil moisture is generally around 10%, about 1-2 months after the start of the dry season.

Monthly climatologies of the diurnally cycling variables (i.e., all except soil mois-237 ture) display the microclimate and sap velocity differences between the two slopes, and 238 provide a snapshot of how these variables evolve together throughout the dry season (Fig-239 ure 5). In the diurnal cycle, both the south and north slopes show sap velocities that peak, 240 not surprisingly, around mid-day. However, the south slope sap velocity is substantially 241 faster than north slope sap velocity in late morning, while the north slope flows slightly 242 faster than the south slope in the late afternoon and early evening. The cross-slope dy-243 namics of the sap velocity diurnal cycles are also descriptive of the cross-slope dynam-244 ics of the diurnal cycles in above-ground microclimate. 245

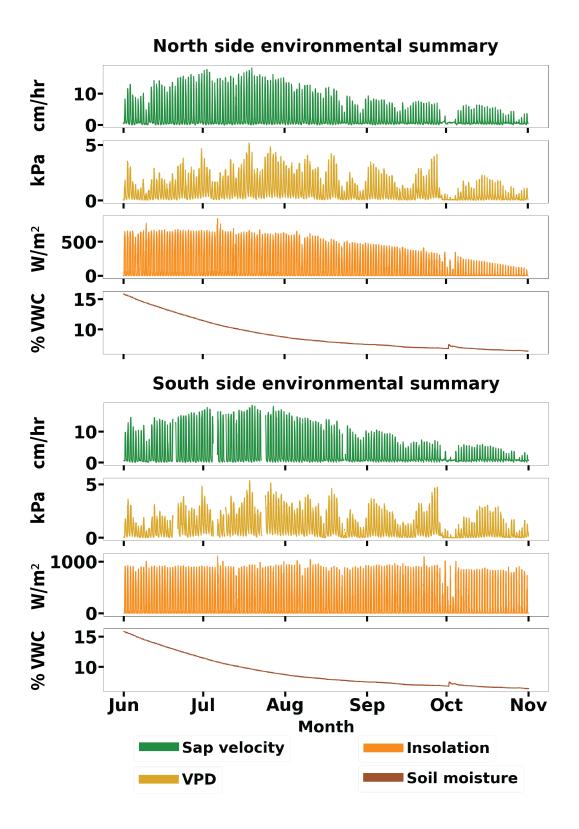
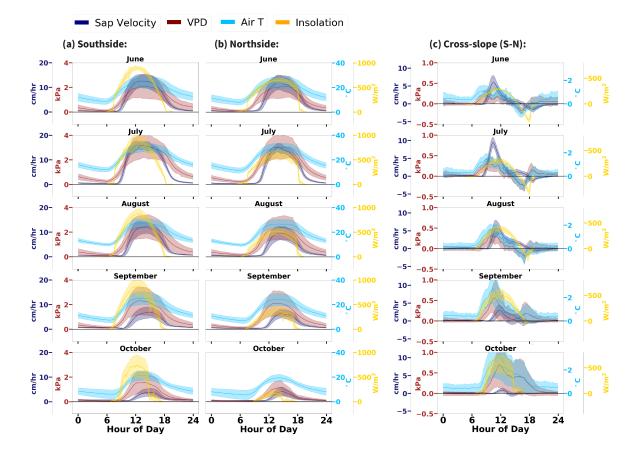


Figure 4. Time series of environmental drivers of sap velocity for each slope, showing what the MCMC parameterization process used as inputs. Soil moisture is identical for both slopes in this set up, though we tested other representations (see Figure 8). Sap velocities decrease to near zero during a rainstorm in early October when both insolation and VPD decline, and soil moisture increases. Thereafter both VPD and sap velocities picked up while soil moisture continues to decline. Day-to-day variations in VPD are large12nd show no significant trend through the dry season, but insolation varies substantially on the north slope over the dry season.



**Figure 5.** Monthly climatologies of diurnally cycling environmental drivers of sap velocity. Shading shows +/- 1 standard deviation of the monthly climatology, and thus reflects the variability over the month. For all the months of the dry season and on both slopes, while air temperature (pale blue) rises and falls in close concert with the sun (yellow), the VPD diurnal cycle (burgundy) lags behind, and sap velocity (purple) lags behind even further. Though cross slope differences in sap velocity peak in July, the cross-slope microclimate differences peak in the late dry season, in September and October.

Table 1: A month-by-month summary of shifting environmental conditions on each slope. Rows labeled "Max" refer to the maximum value observed over the whole month, and values are reported with the date, hour, and minute recorded. "ClmMax" refers to the climatological maximum, i.e. the maximum of the average diurnal cycle of each variable observed over the whole month. The climatological maxima correspond with the climatologies shown in Figure 5, but the time series maxima show the extremes for the whole month, to help set the context. For instance, in late September and October, the south slope can become nearly 7°C hotter with 1.8 kPa higher VPD, and this climate difference occurs in the late morning-early afternoon. In contrast, in July, the month of peak sap velocity and crossslope sap velocity differences, the largest cross-slope temperature and VPD differences are less than half the magnitude seen in October.

Sap Velocity (cm/hr) Maxima, by month:					
	June	July	August	September	October
	16.44	18.22	13.51	9.30	6.40
Max (N)	06-30 15:55	07-19 13:55	08-02 13:45	09-01 14:00	10-08 14:25
	16.65	18.45	16.01	10.48	5.83
Max (S)	06-30 15:35	07-19 13:00	08-02 13:40	09-04 14:05	10-14 15:10
	9.54	11.35	9.16	5.79	2.38
Max (S-N)	06-30 10:05	07-25 10:20	08-01 10:50	09-04 11:25	10-04 12:55
	3.23	3.11	0.94	2.41	1.53
Max (N-S)	06-23 18:45	07-01 18:50	08-07 19:45	09-20 14:25	10-19 14:25
ClmMax (N)	<b>12.08</b> , 14:15	<b>15.05</b> , 13:50	<b>9.65</b> , 14:20	<b>6.30</b> , 14:25	<b>4.09</b> , 14:50
ClmMax (S)	<b>12.62</b> , 13:05	<b>16.00</b> , 12:35	<b>11.89</b> , 14:05	<b>6.77</b> , 14:55	<b>3.83</b> ,15:00
ClmMax (S-N)	<b>5.97</b> , 10:30	<b>9.44</b> , 10:20	<b>5.72</b> , 11:15	<b>2.99</b> ,11:45	<b>0.92</b> ,12:15
ClmMax (N-S)	<b>1.66</b> ,18:45	<b>1.19</b> ,18:40	<b>0.46</b> ,19:40	<b>0.27</b> ,18:40	<b>0.32</b> ,14:30
VPD (kPa) Maxima, by month:					
	4.66	5.13	4.21	4.13	2.27
Max (N)					

	06-30 13:55	07-18 13:55	08-18 14:45	09-27 13:35	10-14 14:25
<b>M</b> (C)	4.79	5.30	4.59	4.69	3.03
Max (S)	06-30 13:55	07-18 13:50	08-18 14:35	09-27 12:40	10-16 12:20
	0.62	0.76	0.88	1.83	1.69
Max (S-N)	06-30 20:00	07-17 11:20	08-18 10:55	09-26 10:55	10-13 11:40
	0.36	0.54	0.46	0.18	0.11
Max (N-S)	06-24 16:15	07-28 17:00	08-08 16:55	09-10 17:05	10-27 17:30
ClmMax (N)	<b>2.07</b> , 15:25	<b>3.05</b> , 16:00	<b>2.37</b> , 14:50	<b>2.09</b> , 13:50	<b>1.15</b> , 14:00
ClmMax (S)	<b>2.12</b> , 14:00	<b>3.16</b> , 13:20	<b>2.46</b> , 14:30	<b>2.30</b> , 12:40	<b>1.56</b> ,13:50
ClmMax (S-N)	<b>0.20</b> , 09:10	<b>0.30</b> , 09:05	<b>0.32</b> , 10:25	<b>0.56</b> ,10:45	<b>0.71</b> ,11:45
ClmMax (N-S)	<b>0.17</b> , 16:30	<b>0.23</b> , 16:50	<b>0.18</b> , 16:55	<b>-0.001</b> ,	<b>0.01</b> , 05:40
				06:10	
Air Temperatu	ıre (°C) Maxi	ma, by month	:	·	
	34.53	35.95	32.21	32.22	23.46
Max (N)	06-30 14:35	07-18 14:00	08-18 14:50	09-27 14:10	10-13 14:15
Mara (C)	35.20	36.83	33.38	34.34	27.76
Max (S)	06-30 13:55	07-18 13:10	08-18 14:15	09-27 12:35	10-13 13:15
More (C N)	2.54	2.38	3.17	6.34	6.61
Max (S-N)	06-23 00:25	07-01 08:05	08-18 11:00	09-26 10:55	10-20 11:30
Morr (N.C)	1.97	1.57	1.71	0.89	0.78
Max (N-S)	06-17 15:50	07-28 17:00	08-21 15:30	09-05 18:25	10-22 17:10
ClmMax (N)	<b>24.28</b> , 15:20	<b>29.67</b> , 15:50	<b>26.61</b> , 14:05	<b>24.24</b> , 13:45	<b>19.37</b> , 14:25
ClmMax (S)	<b>24.73</b> , 12:55	<b>30.37</b> , 13:20	<b>27.34</b> , 14:15	<b>25.28</b> , 13:20	<b>21.29</b> ,14:15
ClmMax (S-N)	<b>1.14</b> , 08:25	1.44, 08:55	<b>1.60</b> , 09:45	<b>2.77</b> ,10:20	<b>3.30</b> ,11:40
ClmMax (N-S)	<b>0.82</b> , 16:30	<b>0.90</b> , 16:55	<b>0.79</b> , 16:55	<b>−0.30</b> ,	<b>-0.41</b> ,
				21:20	05:10
Insolation (W	/m²) Maxima,	by month:	-		
Mor (N)	774.4	841.9	654.5	475.9	347.5
Max (N)	06-09 16:35	07-06 14:55	08-05 13:50	09-01 13:30	10-04 13:55
Max (S)	1006	1108	1039	1096	1006
wax (o)	06-09 11:55	07-06 11:55	08-10 12:40	09-22 12:15	10-04 13:55
Max (S-N)	332.5	378.9	479.0	711.7	790.9
wax (o-w)	06-09 11:15	07-31 12:15	08-31 11:35	09-30 11:40	10-28 11:45

Mara (N.C)	360.0	487.8	277.08	115.6	0.00
Max (N-S)	06-20 17:55	07-07 17:50	08-02 17:45	09-01 17:25	10-04 05:55
ClmMax (N)	<b>650.5</b> , 14:10	<b>613.8</b> , 14:55	<b>524.4</b> , 13:50	<b>380.1</b> , 13:20	<b>171.9</b> , 13:20
ClmMax (S)	<b>897.5</b> , 12:25	<b>883.6</b> , 12:30	<b>890.0</b> , 12:40	<b>870.0</b> , 11:40	<b>743.9</b> ,12:05
ClmMax (S-N)	<b>288.7</b> , 11:20	<b>300.5</b> , 11:55	<b>391.0</b> , 11:45	<b>534.8</b> ,11:40	<b>593.2</b> ,10:50
ClmMax (N-S)	<b>320.0</b> , 17:45	<b>298.5</b> , 17:50	<b>166.71</b> ,	<b>27.5</b> , 17:15	<b>0.00</b> , 05:50
			17:30		

Figure 6 underscores the differences in timing and amount of sap velocity in the 246 diurnal cycle, both for the whole dry season (panel a) and for each month individually 247 (panels b-f). Cumulative integrals of the average sap velocity diurnal cycle show that 248 on average, south slope madrones transpire 20% more water per day over their combined 249 sapwood area during the dry season. August is the month with the peak percent cross-250 slope difference in sap velocity, with south slope madrones transpiring on average 32%251 more water per day over their combined sapwood area during this month. While for most 252 of the dry season the average south slope madrone tree moves water as fast or faster than 253 the average north slope madrone tree at their respective moments of daily peak sap ve-254 locity, Figure 2 shows that late in the dry season the north slope madrone trees are slightly 255 faster, although they still transpire less per day. 256

In our data preparation, we eliminated one of the north slope data streams as an 257 outlier. If this outlier is included, the cross-slope differences in integrated sap velocity 258 are ordinally the same for each time period considered, but of much smaller magnitude. 259 For instance, the dry season cross-slope percent difference in integrated sap velocity would 260 be 6.6%, rather than 20.1%, with the outlier included in the north slope average; and 261 August's cross-slope difference would be 13.7% instead of 32.4%. While we believe there 262 are legitimate reasons to exclude this outlier from the set of north slope data streams 263 due to the unique orientation of the canopy of that tree (which faces more westward than 264 northward, decoupling its solar access from the simulated sunlight we use in our anal-265 ysis), we also believe that the data from the excluded sensor are accurate. This is illus-266 trative of the fact that eleven high-frequency time series of sap velocity on the north slope 267 are inadequate for capturing the full range of possible variation on a steep slope. For this 268 reason, we do not provide a full error analysis for the cross-slope percentage differences 269

-16-

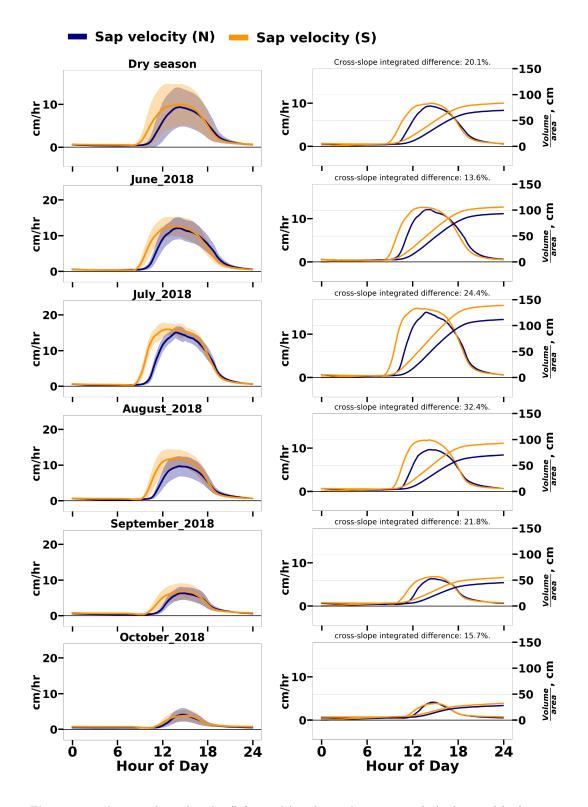


Figure 6. Average diurnal cycles (left panels) and cumulative integrals (right panels) of sap velocity for the entire dry season (June–October, panel a) and by month (panels b-f). The north slope is shown in purple and south slope is shown in orange; shading shows +/-1 standard deviation of the climatologies, reflecting the variability over the time period (month or dry season). The south slope exhibits higher rates of time-integrated sap velocity, a proxy for transpiration, beginning earlier in the day and also experiencing a longer stretch of high sap velocity. Later in the dry season, the north slope experiences faster peak sap velocities, although it still produces less cumulative transpiration. See Figure 2.

in sap velocity, because more data is required to provide statistically meaningful esti-270 mates. 271

272

#### 3.2 Description of sap velocity (transpiration) model

To understand the seasonal dynamics of daily maximum sap velocity across differ-273 ent tree species on the north slope of this site, P. Link et al. (2014) apply the concep-274 tual framework of the Jarvis model (Jarvis, 1976) in which the maximum bulk canopy 275 conductance  $(g_{cmax})$  under ideal conditions is modulated by ambient conditions to yield 276 the instantaneous bulk canopy conductance,  $g_c$ . Furthermore, by assuming total tran-277 spiration E, approximated as  $E = g_c \times VPD$ , is proportional to the normalized sap 278 velocity  $v_n$  with a proportionality constant  $\alpha$ :  $E = \alpha \times v_n$ , they obtain the equation 279

$$v_n = \frac{g_{cmax}}{\alpha} \times VPD \times f_{VPD}(VPD) \times f_{\theta}(\theta) * \times f_I(I)$$
(1)

280

The forms of the functions are taken from Lohammar et al. (1980), Feddes et al. (1978), and Waring and Landsberg (2011): 281

$$f_{VPD}(VPD) = \frac{1}{1 + \frac{VPD}{D_0}}$$

$$f_{\theta}(\theta) = \frac{1}{1 + exp(-\beta(\theta - \theta_0))}$$
$$f_I(I) = \gamma * (I - 1000) + 1$$

where  $D_0$ ,  $\beta$ ,  $\theta_0$  and  $\gamma$  are parameters determined for each tree species using daily 282 maxima of normalized observed sap velocity, VPD, insolation and soil moisture from Febru-283 ary 2009 to October 2011. 284

Equation 1, developed to investigate the seasonality of normalized daily maximum 285 sap velocity across tree species on the same slope (and same microclimate), is not directly 286 applicable for modeling the diurnal cycle during the dry season, where hysteresis in the 287 response of sap velocity to VPD and insolation is observed (Zhang et al., 2014; Gimenez 288 et al., 2019). We modify Equation 1 by allowing for a lag in the sap velocity response 289 to diurnally cycling VPD and insolation of 1 and 2 hours previous. We assume no di-290 urnal variations in soil moisture  $\theta$ , and thus we do not provide lagged terms for  $\Phi_{\theta}$ . We 291

- <sup>292</sup> further modified the approach by using averaged, rather than normalized sap velocities,
- which at substantive n (11 data streams on the north slope and 16 on the south slope)
- <sup>294</sup> minimizes several potential sources of error that must be considered when using sapflow,
- and also provides the best match with the scale of our environmental data (see section
- 236 2.3). Using averaged rather than normalized sap velocities and splitting the  $\Phi_{VPD}$  and
- $\Phi_I$  expressions into three led to scaling differences in our parameters compared to P. Link
- et al. (2014), and in particular, our initial constant, the analog of  $g_{cmax}/\alpha$ , has less re-
- lation to a theoretical maximum bulk canopy conductance, so for clarity we rename it
- $\varepsilon$ . The resulting model is:
  - $v_s(t)$

$$= \varepsilon \times \Phi_{VPD}(VPD_t, VPD_{t-1}, VPD_{t-2}) \times \Phi_{\theta}(\theta_t) \times \Phi_I(I_t, I_{t-1}, I_{t-2})$$

$$= \varepsilon \times \left( \frac{VPD_t}{1 + \frac{VPD_t}{D_0}} \times \frac{VPD_{t-1}}{1 + \frac{VPD_{t-1}}{D_{-1}}} \times \frac{VPD_{t-2}}{1 + \frac{VPD_{t-2}}{D_{-2}}} \right) \times \left( \frac{1}{1 + exp(-\beta(\theta - \theta_0))} \right) \times \left( (\gamma_0(I_t - 1000) + 1) \times (\gamma_{-1}(I_{t-1} - 1000) + 1) \times (\gamma_{-2}(I_{t-2} - 1000) + 1) \right)$$
(2)

where t is time,  $t_{-1}$  and  $t_{-2}$  denote 1 and 2 hours previous, respectively. This results in additional parameters in Equation 2,  $D_0$ ,  $D_{-1}$ ,  $D_{-2}$ ,  $\gamma_0$ ,  $\gamma_{-1}$ , and  $\gamma_{-2}$ , in addition to  $\beta$  and  $\theta_0$ .

304

## 3.3 Slope-specific Parameters

To estimate the parameters in Equation 2 for the north and south slopes, we ran-305 domly selected 20% of the data (non-sequentially) and assigned it to a training data set, 306 while reserving the remainder for testing. We used Hamiltonian Monte Carlo (Betancourt, 307 2017), a type of Markov Chain Monte Carlo, and the No-U-Turn Sampler (Hoffman & 308 Gelman, 2014) to derive our parameters for each slope. Parameter estimation used the 309 pymc3 package in python (Salvatier et al., 2016). We repeated this procedure 5 times, 310 to ensure that our parameter estimates did not change substantively depending on the 311 sample assigned to the training data set. Our final reported parameters are the mean 312 of all five runs for each slope. Figure 7 shows the mean parameters as well as the spread 313 of parameters from each of the five runs. 314

We use the same priors (bounded normal distributions bound at zero; see Table 315 2) for each model run, to ensure that emergent parameter differences arise from relations 316 in the data and are not forced from priors. Choice of priors is informed through a com-317 bination of literature sources and empirical exploration of the data. The priors for the 318  $\gamma$  parameters are chosen so that the function  $\Phi_I$  ranges roughly between 0 and 1 over 319 the range of observed insolation. The prior for  $\beta$  is the most restrictive, and is chosen 320 such that both slopes will fit into a tightly curved sigmoid. The south slope data set pre-321 dictably fits a tightly curved sigmoid even with an uninformative prior, but the north 322 slope data set, appearing to be unconstrained by soil moisture, has the tendency to de-323 generate into a flat line (i.e., small  $\beta$ , arbitrary  $\theta_0$ ) if not constrained by the prior. The 324 prior for  $\theta_0$  is chosen based on an empirically-informed guess at the critical soil mois-325 ture threshold that begins to constrain sap velocities. The priors for the D parameters 326 are chosen such that  $\Phi_{VPD}$  ranges roughly between 0 and  $VPD_{max}$ . The prior for  $\varepsilon$  is 327 chosen to be of the correct magnitude to scale the other portions of the equation to a 328 hypothetical maximum sap velocity. Table 2 shows the means and standard deviations 329 of priors and posteriors for each parameter. The distributions of the parameters estimated 330 for the north and south slopes, and their impact on sap velocities, are shown in Figures 331 7 and 9. 332

> Table 2: Priors for our MCMC parameterization, and the resulting posteriors. All runs began with identical priors. Posterior means and standard deviations are derived from five separate runs, each using a randomly selected 20% of datapoints.

		MCM	IC parameters	
	Prior mean	Prior SD	Posterior mean	Posterior SD
South Slo	ope Parameters:			
ε	6	60	900	26.0
$D_0$	3.0	1.0	0.380	1.88 E-2
$D_{-1}$	3.0	1.0	0.330	$1.92 \mathrm{E}{-2}$
$D_{-2}$	3.0	1.0	0.223	9.61 E-3
β	160	6	163	2.32
$ heta_0$	0.07	0.01	$7.28\mathrm{E}{-2}$	$8.59\mathrm{E}{-5}$
$\gamma$	$6.0 \mathrm{E}{-4}$	$2.0\mathrm{E}{-4}$	$6.83\mathrm{E}{-7}$	$6.81 \mathrm{Err}{-7}$

$\gamma_{-1}$	$6.0  \mathrm{E}{-4}$	$2.0\mathrm{E}{-4}$	$8.30\mathrm{E}{-4}$	$2.89\mathrm{E}{-6}$
$\gamma_{-2}$	$6.0\mathrm{E}{-4}$	$2.0\mathrm{E}{-4}$	$1.20\mathrm{E}{-6}$	$1.20\mathrm{E}{-6}$
North S	Slope Parameters:			
ε	6	60	779	30.5
$D_0$	3.0	1.0	0.216	$1.42 \mathrm{E}{-2}$
$D_{-1}$	3.0	1.0	0.344	$2.43 \mathrm{E}{-2}$
$D_{-2}$	3.0	1.0	0.801	$3.02 \mathrm{E}{-2}$
$\beta$	160	8	163	5.94
$\theta_0$	0.07	0.01	$3.08\mathrm{E}{-2}$	$3.99 \mathrm{E}{-3}$
$\gamma$	$6.0 \mathrm{E}{-4}$	2.0 E-4	4.30E-4	1.00E-5
$\gamma_{-1}$	6.0 E-4	2.0 E-4	$6.08 \mathrm{E}{-4}$	$1.43 \mathrm{E}{-5}$
$\gamma_{-2}$	$6.0 \mathrm{E}{-4}$	$2.0\mathrm{E}{-4}$	$5.05\mathrm{E}{-4}$	$1.76 \mathrm{E}{-5}$
Examp	le Soil Moisture E	xperiment North	Slope Paramete	rs: Uniform $+2\%$ , Non-linear $+5\%$
ε	6	60	780	30.4
$D_0$	3.0	1.0	0.215	1.41 E-2
$D_{-1}$	3.0	1.0	0.344	2.42 E-2
$D_{-2}$	3.0	1.0	0.800	$3.02 \mathrm{E}{-2}$
β	160	6	160	5.98
$\theta_0$	0.07	0.01	$6.94\mathrm{E}{-2}$	9.62 E-3
$\gamma$	6.0 E-4	2.0 E-4	4.30E-4	1.00E-5
$\gamma_{-1}$	6.0 E-4	2.0 E-4	$6.08\mathrm{E}{-4}$	$1.44 \mathrm{E}{-5}$
$\gamma_{-2}$	6.0 E-4	2.0 E-4	$5.07\mathrm{E}{-4}$	$1.76 \mathrm{E}{-5}$

333

The resulting parameterizations for each slope show key differences in response to

environmental drivers. With the VPD parameters  $D_0$ ,  $D_{-1}$  and  $D_{-2}$ , a larger param-

eter value points to a greater sap velocity sensitivity to the variable (cf Equation 2). The

south slope has  $D_0$ ,  $D_{-1}$  and  $D_{-2}$  values of 0.380, 0.330, 0.223, respectively, suggesting

that south slope sap velocities are most sensitive to instantaneous VPD, but also to VPD

from 2 hours prior, though lagged VPD plays a slightly smaller role. The correspond-

 $_{339}$  ing values for the north slope are 0.216, 0.344 and 0.801, suggesting that on the north

slope  $VPD_{t-2}$  has the largest influence on sap velocities.

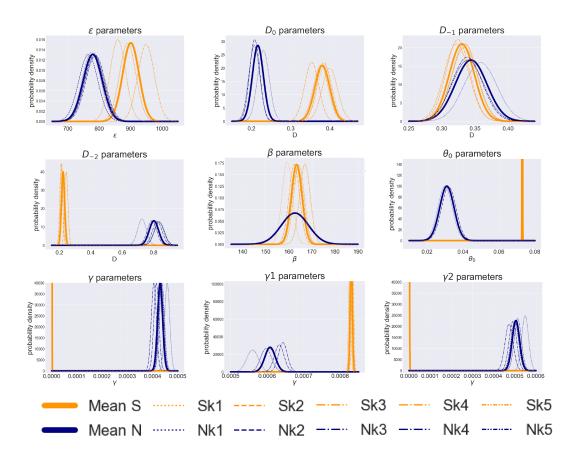


Figure 7. Posterior distributions of fitted model parameters for the north slope (orange) and south slope (blue). Results from each of the five randomly-selected training datasets are shown as dotted lines; and the mean as a bold line. Different subsets of data (k1-k5) used to parameterize the model result in very little difference in the fitted parameters, which is demonstrated in the narrow spread among the thin dotted lines.

The posterior estimates for all D parameters deviate from our prior D by roughly 341 a factor of ten. This is compensated by the growth of  $\varepsilon$  away from our prior guess. Be-342 cause  $\Phi_{\theta}$  and  $\Phi_{I}$  vary between 0 and 1, the scaling of modeled sap velocity depends on 343 the product of  $\Phi_{VPD}$  and  $\varepsilon$ , which is responsible for the inverse relation in their respec-344 tive deviations from our priors. Because smaller D parameters change the shape of  $\Phi_{VPD}$ 345 to a more curved shape, bending the function downward away from the y = x line, and 346 decreases the magnitude of the function overall, this relocation of modeled sap velocity 347 magnitude away from  $\Phi_{VPD}$  to  $\varepsilon$  implies that the effect of VPD on sap velocities seen 348 in our observations is less linear, and "saturates" more quickly, than our prior param-349 eters allow. Based on the performance of the resulting models, we interpret that our pri-350 ors were broad enough to avoid unduly influencing the parameterization process, despite 351 the strong deviation from prior to posterior. 352

For insolation, the  $\gamma_0$  and  $\gamma_{-2}$  for the south slope are near zero, suggesting that sap velocities there respond mainly to insolation of the past hour  $(I_{t-1})$ . For the north slope, the results suggest that sap velocities are sensitive to contemporaneous insolation as well as insolation of the past two hours, as  $\gamma_0$ ,  $\gamma_{-1}$  and  $\gamma_{-2}$  have comparable values.

For soil moisture,  $\beta$  controls the slope of the sigmoid, and  $\theta_0$  controls the midpoint. 357  $\beta$  is similar between the two slopes, while  $\theta_0$  differs. The partial function  $\Phi_{\theta}$  (Figure 9 358 panel b) shows that while soil moisture is a strongly limiting factor on south slope sap 359 velocities after approximately 10% VWC, it does not cause any limitation for north slope 360 sap velocities. Because soil moisture creates no constraint on sap velocities in the north 361 slope model, there is less certainty in the exact parameter values, as seen in the larger 362 spread of the north slope parameters compared to the south slope parameters (Figure 363 7). 364

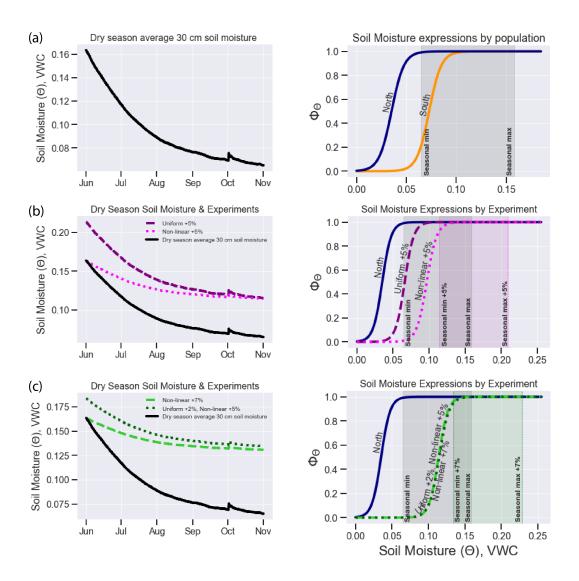
The lack of soil moisture observations on the north slope leaves uncertainty in the 365 north slope sap velocity response to soil moisture. Our field experience suggests that north 366 slope soils are less dry than the south slope, and while soil moisture is expected to de-367 cline through the dry summer, we do not have observations of its magnitude and rate 368 of decline on the north slope. Therefore, we conducted several modeling experiments in 369 which we artificially increased the soil moisture data stream used for the north slope pa-370 rameterization in both uniform and non-uniform ways: 1) Uniform +5%: we added a uni-371 form 5% increase to observed south-slope soil moisture; 2) Non-linear +5%: we adjusted 372

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the rate of soil moisture decline to half of the observed rate, which amounted to a +5%373 difference in soil moisture by the end of the dry season; 3) Non-linear +7%: we adjusted 374 the rate of soil moisture decline to one third of the observed rate, which amounted to 375 a + 7% difference in soil moisture by the end of the dry season; and lastly, 4) Uniform 376 +2% and non-linear +5%: we added a uniform 2% increase to observed soil moisture, 377 and then additionally adjusted the rate of soil moisture decline to half of the observed 378 rate, which amounted to a +7% difference in soil moisture by the end of the dry season. 379 We then re-ran the north slope MCMC parameterization process with these alternative 380 soil moisture states. We found that between the standard and experimental runs, none 381 of the final parameters changed substantively except  $\theta_0$  (Table 2). The changes in  $\theta_0$ , 382 in light of the co-occuring shift in hypothetical minimum soil moisture, do not under-383 mine the finding that soil moisture is not a limiting factor on the north slope. Put an-384 other way, changes to the representation of north slope soil moisture do not apprecia-385 bly change the shape of  $\Phi_{\theta}$ , but only shift it along the x-axis with changes in  $\theta_0$  (see Fig-386 ure 8). Thus, we conclude that, in our model, the sap velocities of the north slope are 387 not constrained by soil moisture, and that this conclusion is not rooted in an imperfect 388 soil moisture representation. 389

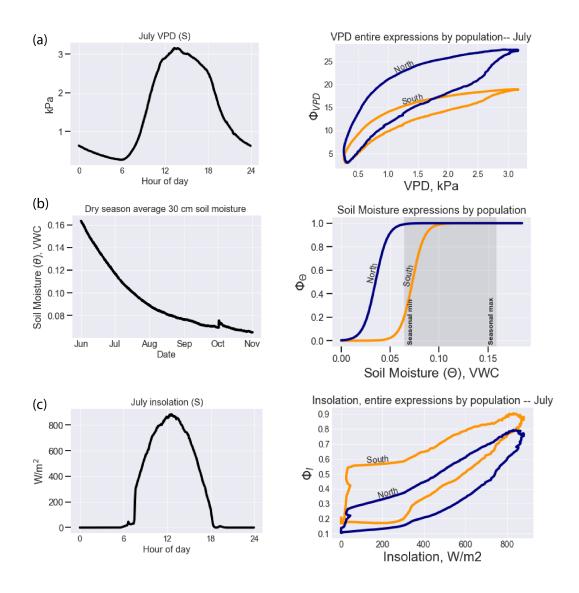
To compare the sensitivities of sap velocities on the two slopes to VPD and inso-390 lation, we computed mean diurnal cycles of VPD and insolation for July, and use the same 391 mean cycles as inputs to  $\Phi_{VPD}$  and  $\Phi_I$  for each slope (cf Equation 2). Figure 9 shows 392 the hysteresis loops in the sap velocity responses. For the same VPD diurnal cycle, the 393 north slope model's  $\Phi_{VPD}$  attributes more sap velocity amplitude variations to varia-394 tions in VPD than does the north slope model. This is visible in the greater functional 395 range (plotted on the y-axis) of  $\Phi_{VPD}$  over the same VPD range (x-axis). Also, at ev-396 ery value of VPD, the north slope model has a larger sap velocity response than the south 397 slope model. This shows that the north slope model has both a higher baseline response 398 to VPD as well as a higher proportional response to increases in VPD than the south 399 slope model. The  $\Phi_I$  functions show similar responsiveness to sunlight between the slopes, 400 visible in the comparable functional range (y-axis) covered by  $\Phi_I$  to the same insolation 401 cycle. However, the south slope  $\Phi_I$  has higher values over the range of observed sunlight. 402 This implies that modeled sap velocity on the south slope has a higher baseline response 403 to sunlight, although the two slopes show proportionally similar responses to increases 404 in sunlight. Lastly, the south slope's soil moisture function shows that the south slope 405

-24-



**Figure 8.** Experimental manipulations of north slope soil moisture time series reveal that under a range of hypothetical conditions, the MCMC parameters fitted for the north slope consistently indicate a lack of soil moisture constraint.

- 406 model uses soil moisture as a limiting factor on sap velocity while the north slope model
- does not, within the range of observed soil moisture over the whole season. Taken to-
- 408 gether, the model results indicate population-level differences in response to environmen-
- tal drivers of transpiration. This is explored further in section 3.6.



**Figure 9.** Partial expression plots of Equation 2 show differing sensitivity to environmental drivers among the two populations. The north slope model is more sensitive to VPD, and less sensitive to soil moisture and insolation, indicating that the trees on the north slope do not feel additional transpiration constraint from drying soils over the course of the dry season, beyond that imposed by the light limitation.

Because in our data preparation we excluded an outlier that substantially changed the magnitude of the mean north slope sap velocity, we explored the impact of this outlier by repeating our MCMC analyses with the outlier included. Doing so led to some slight changes in magnitude, but no ordinal differences, in the parameter differences found. The largest differences were in  $\Phi_{VPD}$ , with the outlier-inclusive average north slope sap velocity even more responsive to VPD, but the conclusions regarding cross-slope differences in parameters are identical both with and without the outlier.

417

## 3.4 Model performance

With slope-specific parameters in combination with slope-specific microclimate data streams, we computed model sap velocity data streams for north and south slopes. To assess the performance of our models, we looked at four metrics: a) reproduction of the dry season time series; b) reproduction of dry season time-integrated sap velocity; c) reproduction of dry season climatological sap velocity; and d) reproduction of dry season climatological cross-slope differences in time-integrated sap velocities.

Each set of parameters was applied to its unique test data set, resulting in five sim-424 ilar but separate estimates of the sap velocity time series. These estimates were then av-425 eraged together to create our final modeled time series, which was the basis for the nRMSE 426 computed in the test of time series data reproduction (a, Figure 10) and the reproduc-427 tion of time-integrated sap velocity (b, Figure 11). We also derived average parameter 428 means and standard deviations, which are reported in table 2 and were implemented in 429 our model runs which created our reproduction of dry season climatologies (c, Figure 12) 430 and cross-slope differences in time-integrated sap velocity (d, Figure 12). We opted for 431 presenting our results this way to adhere as closely as possible to the philosophy of keep-432 ing our model tests free of any data that was used to derive parameters. 433

434

#### 3.5 Influence of parameters vs microclimate

At the heart of our analysis is the question of whether cross-slope differences in sap velocity are proportional to the cross-slope differences in microclimate, or whether populationlevel differences in physiological function are also playing a role. Our parameter differences indicate population-level differences in physiological function, but as a way of more intuitively visualizing the impact of parameter differences outside the influence of dis-

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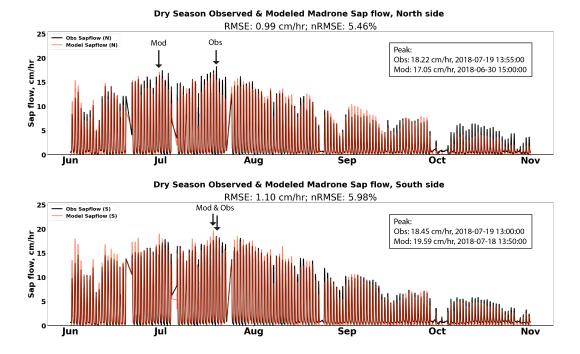


Figure 10. Performance assessment of the model reproduction of the dry season time series. Modeled sap velocity is in red, observations are in black. Model performance measured by nRMSE is similar for both slopes. The south slope model additionally comes close to accurately capturing the time period of seasonal peak sap velocity, while the north slope model is off by nearly a month. However, the month of October stands out as a period where the models systematically underestimate observations for both slopes. We consider reasons for this underestimation in section 3.7.

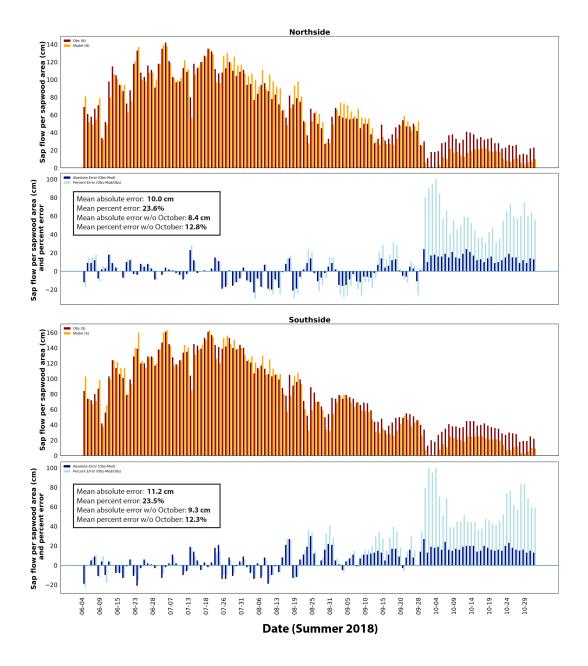
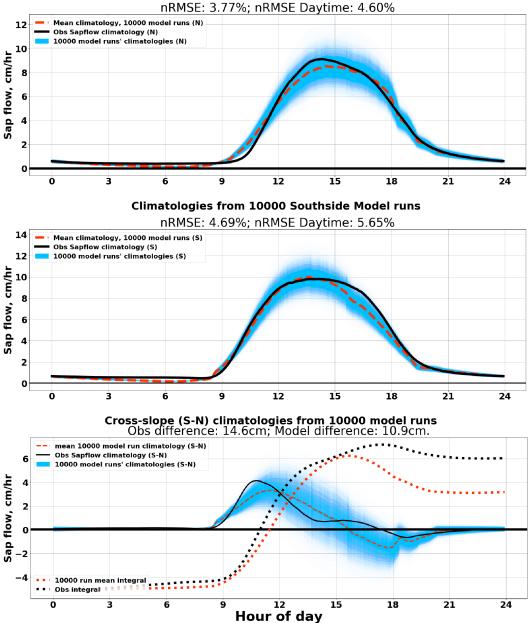
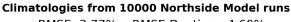


Figure 11. Daily integrals of modeled and observed sap velocities for each slope. The month of October is greatly underestimated due to seasonal shading of the light sensor positioned in the meadow, which is not representative of the tree environment. For the north slope, the model is able to capture 76% of dry season integrated sap velocity, and 87% of June–September integrated sap velocity. For the south slope, the performance is similar, with 76% of dry season integrated sap velocity and 88% of June–September integrated sap velocity represented.



**Figure 12.** Performance assessment of models relative to the dry season average diurnal cycle. Dry season climatologies of 10,000 model runs are in pale blue, with the mean in red, and observed sap velocity in black. The spread among the 10,000 model runs is a visual indication of model uncertainty arising from spread in the parameter estimates. The normalized root mean square error (nRMSE) of the model comparison to observations is computed relative to the mean of the model runs, and is roughly 4% and 5% for the north and south slopes respectively (top two panels); if error is computed only over the active portion of the diurnal cycle (7am-10pm), this rises to 5% and 6% nRMSE for the north and south models respectively. The models are able to capture 75% of the observed difference in time-integrated sap velocity between the slopes (bottom panel).



parate microclimates, we put our model through a series of simple experiments in which
we exchange some or all of the experienced microclimate between the slopes, and observe
the response.

We see that the parameter differences lead to dissimilar responses when the mod-443 els are placed in the same microclimate. The north slope model substantially over-estimates 444 observed sap velocity in the south slope microclimate (Figure 13 panel a), and the south 445 slope model underestimates sap velocity in the north slope microclimate (Figure 13 panel 446 b). Figure 13 (bottom four panels) further shows that while exchanging VPD environ-447 ment makes very little difference (Figure 13 panel c and d), exchanging the solar radi-448 ation environment makes a large difference (Figure 13 panel e and f), and artificially in-449 creasing soil moisture increases the sap velocities on the south slope but not the north 450 slope (Figure 13 panel g and h). 451

The individual responses to environmental drivers,  $\Phi_{VPD}$ ,  $\Phi_{\theta}$ , and  $\Phi_I$  (Figure 9) show that the over-estimation of the north slope model in the south slope microclimate is actually not associated with a stronger response to light from north slope trees, but instead with firstly a lack of moisture limitation on sap velocities, and secondly with a stronger response to VPD. Once the north slope model is freed from its light-limited environment by using south slope insolation, the added vigor of its VPD response compared to the south slope model becomes clear.

#### 459

## 3.6 Interpretation of sap velocity model results

The divergent parameterizations indicate different physiological responses to en-460 vironment between the two slopes, after controlling for inhabited microclimate. While 461 none of the parameters in our model is a direct metric of a particular physiological prop-462 erty of the trees, we consider them to represent an aggregation of functional or "behav-463 ioral" differences, integrated across all mechanisms that influence sap velocity response 464 to ambient environment, as in the interpretation of the formulas in Jarvis (1976) and Lohammar 465 et al. (1980). We acknowledge that extrapolations of disparate physiological properties 466 between the two tree populations from the differences in the models' statistically-indicated 467 controls on sap velocity can only be speculative, but we explore these speculations to be-468 gin a discussion about the degree and kind of acclimation that may exist between trees 469

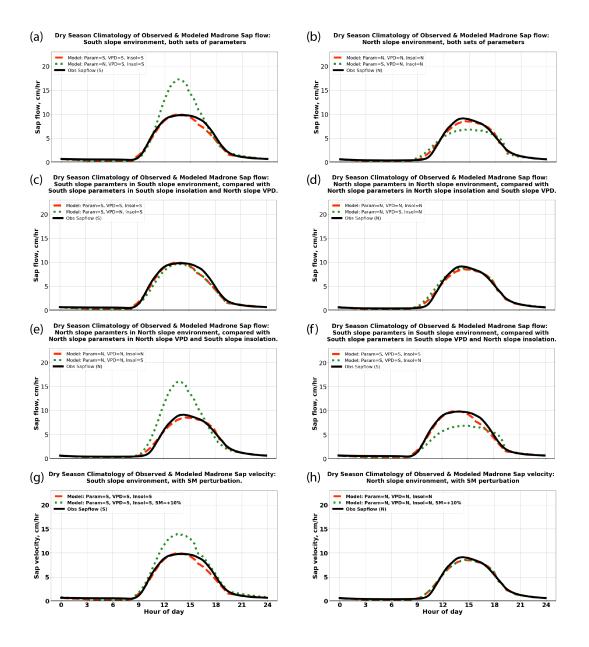


Figure 13. Using the slope-specific models in the opposite slope's microclimate shows the differences in response generated by the two parameterizations to the same microclimate. Panels (a) and (b) show that the north slope model in a south slope microclimate has a more vigorous response than both the south slope model and the observations in the same microclimate, while conversely the south slope model underestimates both the north slope model and observations in the north slope microclimate. Panels (c) and (d) show that while exchanging only the VPD portion of the microclimate between the two slopes makes very little difference to the sap velocities estimated by each model, exchanging the solar radiation environment (panels (e) and (f)) makes a large difference. Panels (g) and (h) show that increased soil moisture increases sap velocities in the south slope model, but not in the north slope model. The estimation by the north slope model of faster sap velocities than the south slope model in the south slope microclimate is due in roughly equal measure to a lack of soil moisture constraint and a more vigorous response to VPD. The radiation exchange produces this result because it frees the north slope model from serious light limitation.

on differing slope aspects. We will consider the differences in each partial function  $\Phi$  in turn.

**VPD**: The parameterizations indicate that north slope madrones are more sensi-472 tive to shifts in VPD than their south slope counterparts (Figure 9). Our intuition about 473 why this is the case is based on two population-level differences that we did not assess 474 in the field, but can presume are present to some degree. Firstly, because of the stark 475 differences in light environment between the two slopes of the hill, we expect that the 476 relative abundances of sun-adapted and shade-adapted leaves differ in the overall com-477 position of the madrone canopies representing each slope's population, with the north 478 slope presumed to have more shade-adapted leaves than the south slope. There is a sub-479 stantial body of literature describing the physiological differences between sun-adapted 480 and shade-adapted leaves (Boardman, 1977; Larcher, 1995), but because these differences 481 are usually described in terms of carbon assimilation rather than water use, directly re-482 lating them to differences in transpiration dynamics between the two populations is chal-483 lenging. To do this we would need information about relative water use efficiencies, which 484 leads to the second likely difference between the populations: differing canopy architec-485 tures along the lines of what is typical of sun-rich vs. shade-rich populations likely lead 486 to differing light exposure regimes between the two populations. If, for instance, the pro-487 portion of leaf area accessing direct sunlight as opposed to indirect light, or even sun-488 flecks, is less on the north slope, the north slope transpiration dynamics could be expected 489 to be based on lower water use efficiencies, due to differing strategies of stomatal reg-490 ulation (A. Knapp & Smith, 1987; Young & Smith, 1979). Woody vegetation using sun-491 flecks as a light source have been shown to leave stomata open during moments of low 492 light in order to assimilate the most carbon when leaves are illuminated (Stokes et al., 493 2010; Pearcy, 1998; A. K. Knapp & Smith, 1990). Thus, such differences in canopy ar-494 chitecture could result in tighter coupling between sap velocity and VPD in north slope 495 canopies, due to the likely prevalence of exposed stomata on leaves that do not contin-496 uously experience the top-of-canopy sunlight dynamics. While the impact of differing 497 proportions of sun-adapted vs shade-adapted leaves is obscured by an inability to resolve 498 the exact mechanisms involved, we do suspect that this also plays a role in shaping the 499 differences we observe. We thus speculate that, due to both lower light levels and dis-500 rupted exposure to what light there is, north slope trees are comparatively profligate wa-501

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ter users even in the midst of the dry summer, preferring to maximize carbon assimilation rather than conserve water.

Soil Moisture: The parameterizations indicate that north slope madrones are not 504 water limited over the dry season. In our model, artificially increasing soil moisture for 505 the north slope (i.e., 'watering' the trees) does not lead to increased sap velocity (see Fig-506 ure 13, panel h). Nor does artificially increasing the soil moisture availability in the data 507 feeding into the MCMC algorithm alter the resulting north slope parameters in mean-508 ingful ways (Figure 8). We hypothesize that this is because there is greater plant-available 509 moisture on the north slope. The north slope has a deep water table (20 m) and a thick 510 layer of weathered bedrock, and it has been shown to store around 30% of subsurface 511 moisture in the vadose zone (Rempe & Dietrich, 2018; Vrettas & Fung, 2017). While there 512 is evidence that trees on both slopes use this deep 'rock moisture' in the vadose zone for 513 part of the dry season (Oshun, 2016), we have less data about the subsurface structure 514 on the south slope, and data on respective rooting depths between the two populations 515 is inconclusive (Oshun, 2016). However, the stronger sunlight on the south slope leads 516 to higher evaporation, and the sap velocity data shows that the south slope trees cumu-517 latively extract more water. Even if the subsurface structures and rooting depths were 518 similar, there would be differences in water availability due to different rates of evapo-519 ration and vegetation extraction. Also, the north slope madrones grow in closer prox-520 imity to Douglas firs, which are known to exhibit hydraulic redistribution (Brooks et al., 521 2002, 2006), although we have no direct observations of this at our site. This could be 522 further contributing to increased moisture availability in the north slope rooting zone. 523

**Insolation**: The parameterizations indicate that south slope madrones have a slightly 524 greater overall sensitivity to insolation, and their overall insolation response function is 525 shifted upwards from the north slope function (cf. Figure 9 panel c). The slightly higher 526 sensitivity in the insolation response on the south slope could be explained by factors 527 similar to those influencing VPD response, namely a higher fraction of leaves exposed 528 to direct light, leading to stomatal regulation strategies that are more in phase with changes 529 in light than those on the north slope. The upwards shift in the magnitude of the response 530 could be explained by higher proportions of sun-adapted leaves in the south slope trees, 531 which, due to their enhanced stomatal area (Boardman, 1977), could have higher rates 532 of water use at every level of light intensity. In sum, we interpret that the north slope 533 trees appear to have a larger area of stomata exposed under certain combinations of con-534

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ditions (lower light, higher VPD) while the south slope trees appear to have a larger area
of stomata exposed under different combinations of conditions (higher light, and lower
VPD).

538

## 3.7 Examination of residuals & limitations of analysis

Because the month of October stands out as a period of systematic error in figures 539 10 and 11, we focus first on the model errors in October, and then consider the remain-540 der of the season separately. Firstly, the representation in a rough terrain of sunlight on 541 the slopes scaled from a flat meadow observation becomes less accurate as the solar arc 542 becomes lower in the sky (i.e., closer to the winter solstice), as shading from neighbor-543 ing hills, especially in early morning and late afternoon, is site-specific. In particular, we 544 are aware that the hill-shading received by our meadow-based light sensor begins sub-545 stantially earlier in the day, in the late dry season, than the shading experienced by the 546 trees under observation, which are positioned at a higher altitude. Secondly, we note that 547 October began with a rain storm which was the only substantive moisture input dur-548 ing the period under observation. This rain event likely altered the relationship between 549 surface and deep moisture reserves compared to the rest of the dry season, confounding 550 the representativeness of our  $\theta$  data stream for the month of October. 551

Apart from the month of October, the errors seem randomly distributed. We looked for, but did not find, correlations with wind speeds both in the time series and integrated over days. However, we can identify loose correlations of the residuals with daily integrated VPD. This suggests that there is a slight bias in our model towards overestimating sap velocity on exceptionally dry days, and underestimating it on more humid days.

Additionally, the model does not include variations of leaf area index through the 557 summer. Madrones are every reen, with leaf lifetimes of approximately 14 months, so that 558 there are both old and new leaves between May and July (Ackerly, 2004). Studies have 559 shown that the old leaves often contain less nitrogen than the new leaves on Pacific madrone 560 (Adams, 1999), and it is thus reasonable to suppose that there are likely physiological 561 differences in photosynthetic capacity, water use efficiency, or both between young and 562 old leaves (e.g., as seen in Field et al. (1983)), which could be producing an effect not 563 accounted for by our model expression. 564

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Lastly, we acknowledge that our field site is small and the microclimates represented may not represent the extent of microclimatic variation across all north- and south-facing slopes in the broader ecosystem.

## 568 4 Conclusions

It is known that different species of vegetation exhibit a wide range of responses 569 to ambient environment, which is pertinent knowledge to capturing several land-atmosphere 570 biogeochemical cycles. However, we show that even within a single species, substantial 571 variation can exist in the functional role that species plays in these biogeochemical cy-572 cles, based on acclimation to inhabited microclimate. In particular, 1) There are sub-573 stantive and quantifiable microclimate differences between slopes; 2) There are substan-574 tive sap velocity differences between tree populations inhabiting the north and south slopes, 575 and these indicate substantive transpiration differences between slopes; 3) A sap veloc-576 ity model parameterized only with ambient microclimatic conditions captures sap ve-577 locity for our site well; and 4) The parameter differences in our sap velocity model rep-578 resent different responses to ambient environment, and imply functional differences in 579 tree physiology, between the two populations. This is suggestive of acclimation to inhab-580 ited microclimate. 581

Our results strongly hint at acclimation in leaf and canopy structure and differing 582 stomatal regulation strategies (as in Wang et al. (2020)) between the two populations 583 of trees. We suggest that north slope trees, limited by sunlight rather than soil moisture, 584 have developed their canopies and stomatal regulation strategies to optimize for light 585 capture while spending water more profligately than their south slope counterparts. Through 586 this optimization, the north slope may be presumed to have different rates of carbon fix-587 ation per area of leaf and unit water transpired. This has implications for understand-588 ing water and carbon fluxes from forests today, and also for anticipating population-level 589 profiles of vulnerability to future conditions. 590

<sup>591</sup> Climate change is expected to alter current regimes of temperature (increase, Romero-<sup>592</sup> Lankao et al. (2014)), VPD (increase, Grossiord et al. (2020)), precipitation (slight in-<sup>593</sup> crease, although with decreased water availability, Romero-Lankao et al. (2014); Zamuda <sup>594</sup> et al. (2013)), and cloudiness (unknown direction of change, Zamuda et al. (2013)) over <sup>595</sup> California. All three of these changes directly impact the environmental covariates in this

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model. The model results suggest that the south slope trees become severely water lim-596 ited by the end of the dry season, and thus further water limitation may either limit their 597 growing season, or create conditions that limit their performance. In contrast, on the north 598 slope, the trees do not appear to be water limited. However, it is unclear whether this 599 makes them more resilient to a hotter or dryer future. In our interpretation of param-600 eter differences, north slope trees likely rely on much higher rates of water usage in or-601 der to assimilate carbon. If water becomes a limiting resource in the north-slope micro-602 climate in the future, and VPD levels continue to increase, these north slope trees may 603 be closer to crisis, choosing between cavitation or carbon starvation, than the south slope 604 trees would be under a more limited growing season (Wang et al., 2020; Grossiord et al., 605 2020). 606

More measurements could help elucidate specific mechanisms underlying the parameter differences we have found. Direct measurements of photosynthesis/gas exchange on the leaf level, or chemical analyses of leaf tissues including C:N ratios or isotopic composition, could help shed light on physiological differences in leaves between populations. These measurements were not practical in our study given our lack of canopy access, but more measurements on these trees, or parallel investigations in a greenhouse, could be useful as a future study.

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