Modeling Nitrate Export from a Mesoscale Catchment Using StorAge Selection Functions

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Abstract

StorAge Selection (SAS) functions describe how catchments selectively remove water of different ages in storage via discharge, thus controlling the transit time distribution (TTD) and solute composition of discharge. SAS-based models have been emerging as promising tools for quantifying catchment-scale solute export, providing a coherent framework for describing both velocity and celerity driven transport. However, due to their application in headwaters only, the spatial heterogeneity of catchment physiographic characteristics, land-use management practices, and large-scale validation have not been adequately addressed with SAS-based models. In this study, we integrated SAS functions into the grid-based mHM-Nitrate model (mesoscale Hydrological Model) at both grid scale (distributed model) and catchment scale (lumped model). The proposed model provides a spatially distributed representation of nitrogen dynamics within the soil zone and a unified approach for representing both velocity and celerity driven subsurface transport below the soil zone. The model was tested in a heterogeneous mesoscale catchment. Simulated results show a strong spatial heterogeneity in nitrogen dynamics within the soil zone, highlighting the necessity of a spatially explicit approach for describing near-surface nitrogen processing. The lumped model could well capture instream nitrate concentration dynamics and the concentration-discharge relationship at the catchment outlet. In addition, the model could satisfactorily represent the relations between subsurface storage, mixing scheme, solute export, and the TTDs of discharge. The distributed model shows comparable results with the lumped model. Overall, the results reveal the potential for large-scale applications of SAS-based transport models, contributing to the understanding of water quality-related issues in agricultural landscapes.

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| 11 | |
| 12 | Key Points: |
| 13 14 | • A nitrate transport model based on SAS functions was combined with a spatially explicit soil nitrogen model. |
| 15 16 | • Catchment-scale and grid-scale application of the SAS functions in a heterogeneous mesoscale catchment yield comparable results. |
| 17 18 | • Knowledge about the age of the oldest water is not required for characterizing solute export dynamics from a highly reactive system. |
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| 20 | |

21 Abstract

StorAge Selection (SAS) functions describe how catchments selectively remove water of 22 23 different ages in storage via discharge, thus controlling the transit time distribution (TTD) and solute composition of discharge. SAS-based models have been emerging as promising tools for 24 quantifying catchment-scale solute export, providing a coherent framework for describing both 25 velocity and celerity driven transport. However, due to their application in headwaters only, the 26 spatial heterogeneity of catchment physiographic characteristics, land-use management practices, 27 and large-scale validation have not been adequately addressed with SAS-based models. In this 28 study, we integrated SAS functions into the grid-based mHM-Nitrate model (mesoscale 29 Hydrological Model) at both grid scale (distributed model) and catchment scale (lumped model). 30 The proposed model provides a spatially distributed representation of nitrogen dynamics within 31 32 the soil zone and a unified approach for representing both velocity and celerity driven subsurface transport below the soil zone. The model was tested in a heterogeneous mesoscale catchment. 33 Simulated results show a strong spatial heterogeneity in nitrogen dynamics within the soil zone, 34 highlighting the necessity of a spatially explicit approach for describing near-surface nitrogen 35 processing. The lumped model could well capture instream nitrate concentration dynamics and 36 the concentration-discharge relationship at the catchment outlet. In addition, the model could 37 satisfactorily represent the relations between subsurface storage, mixing scheme, solute export, 38 39 and the TTDs of discharge. The distributed model shows comparable results with the lumped model. Overall, the results reveal the potential for large-scale applications of SAS-based 40 transport models, contributing to the understanding of water quality-related issues in agricultural 41

42 landscapes.

43 **1. Introduction**

Human activities, especially agricultural practices, have altered the Earth's landscape. 44 About 40% of the Earth's land surface has been converted to agricultural land (Foley et al., 45 2005). With a predicted increase in the global population until the middle of the 21st century, 46 agricultural activities will be further intensified to meet the global food demand (Godfray et al., 47 2010; Baulcombe et al., 2009). This may have negative impacts on the ecosystem and human 48 health. Nutrient pollution from agricultural sources has been identified as one of the major 49 threats to aquatic ecosystems and via drinking water to human health in many areas worldwide 50 (Vitousek et al., 2009; Alvarez-Cobelas et al., 2008). In recent years, there has been a call for a 51 'sustainable intensification' (increasing agricultural productivity from the same agricultural land 52 area while reducing its environmental impacts) of agricultural practices (Godfray et al., 2010; 53 Baulcombe et al., 2009). To achieve such an objective, understanding the transport and fate of 54 solutes from its entry into a catchment to the catchment outlet is necessary. 55

The age of a water parcel, i.e., the time passed since its entry into a catchment, provides 56 valuable information for understanding flow and transport processes at catchment scale (Botter et 57 al., 2011; Benettin et al., 2015a; Sprenger et al., 2019). This is because the age of a water parcel 58 encapsulates information about its flow path characteristics, the time it has been in contact with 59 catchment material, and the hydrological processes it has been subjected to (McDonnell et al., 60 2010; Rodriguez et al., 2018; Asadollahi et al., 2020). The water-age based concept, the 61 formulation of transport by transit time distributions (TTDs), has been emerging as a useful tool 62 for understanding how catchments store, mix, and release water and solutes in recent years 63 (Sprenger et al., 2019; Benettin et al., 2017; Hrachowitz et al., 2016; Rinaldo et al., 2015; Botter 64

et al., 2011; van der Velde et al., 2010). In many catchments, the response time of streamflow to

rainfall inputs could be several orders of magnitude faster than the response time of instream

67 solute concentration to solute inputs (Hrachowitz et al., 2016). For these catchments, transport

68 models explicitly based on the transit time are required to capture velocity-driven transport 69 phenomena.

70 There are few TTD-based models used to explore solute export at the catchment scale (Ilampooranan et al., 2019; van Meter et al., 2017; 2018; Rinaldo et al., 2006). These models 71 assumed that TTDs are time-invariant; however, experimental data and numerical studies have 72 indicated that TTDs (e.g., for discharge) are time-variant for many hydrological systems (Yang 73 et al., 2018a; Kaandorp et al., 2018; Rodriguez et al., 2018; Kim et al., 2016; Heibüchel et al., 74 2012; van der Velde et al., 2012). Temporal variations of TTDs are controlled by many factors, 75 76 e.g., changes in the flow paths, subsurface mixing, and boundary conditions (van der Velde et al., 2012; Kim et al., 2016; Hrachowitz et al., 2016). TTD-based models, which are often conceptual 77 hydrological models, could use the time-variant TTDs obtained from forward physically-based 78 groundwater models with particle tracking (e.g., van der Velde et al., 2012; Yang et al., 2018a; 79 Heidbüchel et al. 2020). In large catchments, however, a rigorous mathematical framework for 80 the representation and parameterization of time-variant TTDs is needed. This is because the 81 application of physically-based groundwater models in large catchments is not always possible 82

83 due to a lack of data and/or computational capacity.

TTDs can be transformed to what van der Velde (2012) called Storage Outflow 84 Probability (STOP) functions, which were later referred to as StorAge selection (SAS) functions 85 (Rinaldo et al. 2015; Harman et al., 2015; 2019). Compared to TTDs, SAS functions have a 86 clearer physical meaning and are more stable in time and easier for parameterization than TTDs 87 (van der Velde et al., 2012). SAS functions describe the probability that a water parcel of a 88 certain age in storage will contribute to the outflow, or in other words, how water parcels of 89 different ages in storage mix to produce outflows (van der Velde et al., 2012; Rinaldo et al., 90 91 2015). SAS functions can be considered as a generalization of TTDs (Harman et al., 2019). Numerical experiments have indicated that these SAS functions can be approximated by, for 92 example, a power law (Queloz et al., 2015) or beta distribution functions (van der Velde et al., 93 2012, Yang et al., 2018a). 94

95 SAS functions could be combined with storage-discharge functions to provide a coherent framework for describing both celerity driven water flow dynamics and velocity driven solute 96 transport mechanisms (Harman et al., 2019; Hrachowitz et al., 2016). For this purpose, there 97 have been several SAS-based models developed for modeling solute (or isotope) transport from 98 99 plot to catchment scales (e.g., Wilusz et al., 2017; Queloz et al., 2015; Harman, 2015; Benettin et al., 2013; 2015b; 2017; Bertuzzo et al., 2013; Lutz et al., 2017). An in-depth discussion of SAS-100 based models was provided by Hrachowitz et al. (2016). In general, these studies have proven 101 the effectiveness of the chosen models in capturing catchment-scale flow and transport 102 phenomena for small catchments (with an area less than 10 km²). However, validation of SAS-103 based models has not been done at larger spatial scales (for example, drainage areas of about 100 104 105 km²).

106 At larger scales, the catchment's landscape, meteorological conditions, and land use 107 management practices are often heterogeneous. As a result, the catchment responses, especially 108 the nutrient processes within the root zone, could be highly heterogeneous (e.g., Yang et al., 109 2019). While the SAS concept implicitly represents the heterogeneity in flow pathways, the spatial heterogeneity of biogeochemical processes, catchment characteristics, and meteorological

- 111 conditions have not yet been adequately addressed within the SAS concept. In other words,
- effects of spatial heterogeneity and a thorough testing of the concept for larger scales have not
- 113 yet been addressed. The main focus of this research is to fill those gaps.

The mHM-Nitrate model (Yang et al., 2018b) is a grid-based water quality (nitrate) 114 115 model with the hydrological and water quality concepts taken from two widely-used models, the mesoscale Hydrologic Model (mHM, Samaniego et al., 2010; Kumar et al., 2013) and the 116 HYdrological Predictions for the Environment model (HYPE, Lindström et al., 2010). The 117 mHM-Nitrate model could provide valuable insights into the spatial variability of water and 118 nitrate dynamics in the root zone (Yang et al., 2018b; 2019). The model is able to account for the 119 spatial heterogeneity in land used management practices, soil type, and meteorological forcing 120 explicitly. 121

In the mHM-Nitrate model, the use of an inactive groundwater storage compartment with 122 the assumption of complete mixing between active and inactive groundwater storage does, from 123 our point of view not properly represent velocity-driven transport. Under the complete mixing 124 assumption, a part of the solute input to groundwater would be instantaneously transported to the 125 stream. In other words, there is no time lag between input and output signals. In catchments with 126 velocity-driven transport, however, the time lag between input and output signals could be up to 127 decades (Ehrhardt et al. 2019, Meals et al., 2010). In addition, the subsurface could be far from a 128 completely mixed storage compartment (e.g., Yang et al., 2018a). Furthermore, the subsurface 129 (below the soil zone) nitrate submodel in the mHM-Nitrate model is very simple. Leached nitrate 130 out of the soil zone is considered as a non-reactive solute. However, nitrate leaching out of the 131 soil zone will be subject to additional removal processes along its flow path to the stream, for 132 example, via denitrification in the shallow and deep aquifers (e.g., Hiscook et al., 1991, Fukada 133 et al., 2003, Smith et al., 2004; Rivett et al., 2008; Kolbe et al., 2019; Knoll et al., 2020). 134 Nevertheless, the existing mHM-Nitrate model (Yang et al 2018b) provided a promising tool for 135 136 further development using the SAS concept.

The objectives of this study are to (1) replace the description of the nitrate submodel for 137 138 the subsurface (below the soil zone) in the mHM-Nitrate model with a time-variant SAS-based model, and by that (2) present a first test of a SAS-based transport model for at a mesoscale 139 catchment. The proposed model, hereinafter referred to as the mHM-SAS model, provides a 140 unified approach for modeling both celerity- and velocity-driven transport at the catchment scale 141 based on SAS functions. The model accounts for nitrate losses along its flow path from the 142 bottom of the soil zone to the catchment outlet. In this study, we provide not only a detailed 143 144 implementation of the SAS-based concept at catchment-scale (lumped approach), but also an insight into the potential application of the SAS-based concept at a spatially more resolved grid-145 scale (distributed approach). 146

147 **2. Methodology**

148 2.1. The mHM-Nitrate model

The mHM-Nitrate model is a grid-based hydrological and water quality (nitrate) model (Samaniego et al., 2010; Kumar et al., 2013; Yang et al., 2018b). Each grid cell consists of a series of leaky storage reservoirs, representing water storage in the soil zone, unsaturated zone, and saturated (groundwater) zone (Figure 1a). The soil zone has a depth of around 2 m,

- representing the root zone (the terms "soil zone" and "root zone" are used interchangeably in this
- study). The soil zone consists of three soil layers and the saturated zone is divided into active and
- 155 inactive groundwater storages. The mHM model is parameterized using the Multiscale Parameter
- 156 Regionalization (MPR) approach to account for the sub-grid variability of catchment properties
- and to avoid overparamerization (Samaniego et al., 2010).

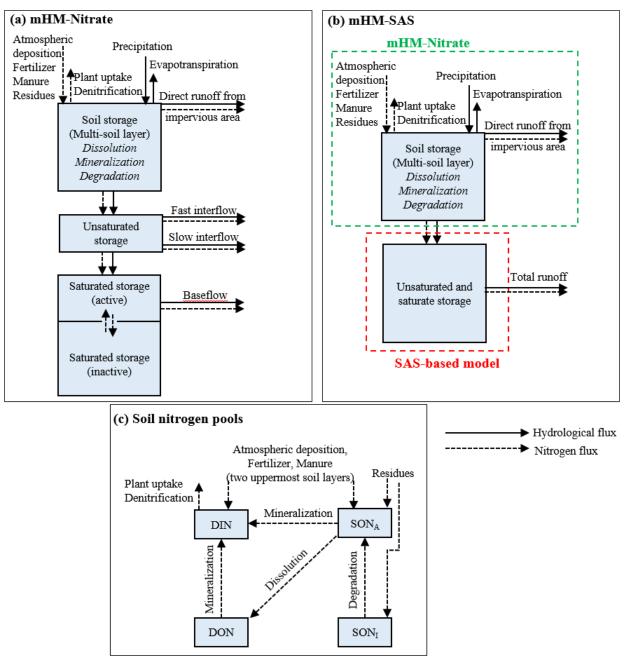


Figure 1. Conceptual model of (a, c) the mHM-Nitrate model (Yang et al., 2018b) and (b, c) theproposed mHM-SAS model.

161 The mHM-Nitrate model allows a spatially explicit representation of agricultural 162 management practices (e.g., crop rotation, fertilizer application). Within the soil zone, the model 163 tracks the fate of nitrogen in different pools: dissolved inorganic nitrogen (DIN), dissolved organic nitrogen (DON), active organic nitrogen (SON_A), and inactive organic nitrogen (SON_I)

- 165 (Figure 1c). The model assumes that nitrate-nitrogen (N-NO₃) is equivalent to DIN. Nitrogen can
- be transformed between different nitrogen pools via mineralization, dissolution, and degradation within the soil zone. Only DIN and DON are transported with water to the unsaturated, saturated
- within the soil zone. Only DIN and DON are transported with water to the unsaturated,
 zone, and eventually to the stream. The mHM-Nitrate model does not consider the
- transformation from DON to DIN and denitrification occurring below the soil zone. In the
- saturated zone (groundwater), the active and inactive groundwater storages are assumed to be
- 171 well mixed. The inactive groundwater storage, whose storage volume is set to be land-use
- dependent, is assumed to be much larger than the active storage. It should be noted that the
- inactive groundwater storage did not exist in the original mHM model and was introduced by
- Yang et al. (2018b) when implementing nitrate transport in the model. Parameters that
- characterize the transformation of nitrogen between different nitrogen pools (Figure 1c) and the
- denitrification rate in the soil are land use-dependent parameters. They are modified in space andtime according to the environmental conditions (soil moisture and soil temperature). For a more
- detailed description of the mHM-Nitrate model, the reader is referred to Yang et al. (2018b).
- 179 2.2. The proposed mHM-SAS model

The mHM-SAS model uses (1) the mHM concept for simulating hydrological processes, 180 (2) the mHM-Nitrate concept for describing the nitrogen dynamics within the soil zone, and (3) 181 the transit-time formulation of transport based on SAS functions for representing nitrate transport 182 and removal below the soil zone (Figures 1b and 1c). In contrast to mHM-Nitrate, mHM-SAS 183 considers the unsaturated and saturated zones over the entire catchment as a single hydrological 184 unit, in the following referred to as the SAS compartment. Hydrological fluxes into and out of 185 the SAS compartment were simulated by the hydrologic routines of the original mHM model 186 (Samaniego et al. 2010). Hydrological fluxes out of the SAS compartment is the summation of 187 groundwater flows to the stream (baseflow) and other shallow subsurface flows (interflow). The 188 spatially distributed hydrologic and nitrate fluxes from the soil zone to the SAS compartment are 189 190 spatially lumped over the entire catchment. In the SAS compartment, we only track the fate of nitrate in the DIN pool (representing mainly N-NO₃). 191

- 192 The SAS compartment is a hydrological system with inflow J(t) [L³T⁻¹] and discharge 193 Q(t) [L³T⁻¹] (Figure 1b). Total storage S(t) [L³] of the system at time t is:
- 194 S(t)

$$) = S_0 + V(t) \tag{1}$$

where S_0 [L³] is the initial storage and V(t) [L³] is the variation of storage:

196
$$\frac{dV(t)}{dt} = J(t) - Q(t)$$
 (2)

197 In the SAS concept, the system is conceptualized as storage of different water parcels with

- different ages (and solute concentration $C_S(T, t)$ [ML⁻³]), which is characterized by the residence
- time distribution $p_s(T, t)$ [T⁻¹] (Botter et al., 2011; van der Velde et al., 2012; Harman et al., 200 2015; Benettin et al., 2018). Similarly, discharge is characterized by the transit time distribution
- $p_0(T,t)$ [T⁻¹]. The corresponding cumulative distribution functions of the residence time (also
- 202 called the normalized age-ranked storage) and transit time distributions are $P_{\rm s}(T,t)$ [-] and

203 $P_Q(T,t)$ [-]. The volume of water with age younger than T, the so-called age-ranked storage 204 $S_T(T,t)$ [L³], is:

$$S_T(T,t) = S(t) \cdot \int_0^T p_S(T,t) \cdot dT = S(t) \cdot P_S(T,t)$$
(3)

(5)

The transit-time formulation of transport based on the SAS concept can be described as follows. The inflow to the SAS compartment and its associated transport component (nitrate) are considered to have an age of zero at the time of entry. Changes in the stored water volume with the age younger than T are induced by inflow J(t) [L³T⁻¹], discharge Q(t) [L³T⁻¹], and aging. This can be described by the water age balance equation (e.g., Botter et al., 2011; van der Velde

211 et al., 2012; Harman et al., 2015; Benettin et al., 2018):

212
$$\frac{\partial S_T(T,t)}{\partial t} = J(t) - Q(t) \cdot P_Q(T,t) - \frac{\partial S_T(T,t)}{\partial T}$$
(4)

Initial condition:
$$S_T(T, t = 0) = S_{T_0}$$

Boundary condition:
$$S_T(T = 0, t) = 0$$
 (6)

where
$$S_{T_0}$$
 [L³] is the initial age-ranked storage.

The key element of the SAS concept is providing a functional relationship between the 216 age distribution in storage and discharge. Several forms of SAS functions have been proposed 217 and discussed, e.g., SAS functions could be in the form of (1) absolute age T (Botter et al., 218 2011), (2) age-ranked storage $S_T(T, t)$ (Harman, 2015), or (3) normalized age-ranked storage 219 $P_{\rm S}(T,t)$ (Van der Velde et al., 2012). In this study, we used the SAS function as a function of 220 normalized age-ranked storage as it is easy to be parameterized. In other words, the relation 221 between the age distribution of storage and discharge is expressed as $P_0(T, t) = \Omega_0(P_s(T, t), t)$ 222 with $P_{s}(T, t)$ varies from 0 to 1. 223

Providing a specific SAS function, equation (4) can be solved for $S_T(T,t)$ and $P_Q(T,t)$. Solute concentration $C_Q(T,t)$ [ML⁻³] in discharge from the SAS compartment is calculated as follows (Queloz et al., 2015):

$$C_Q(t) = \int_0^\infty C_S(T,t) \cdot p_Q(T,t) \cdot dT = \int_0^\infty C_J(T,t) \cdot p_Q(T,t) \cdot exp(-kT) \cdot dT$$
(7)

227

229
$$p_Q(T,t) = \frac{\partial P_Q(T,t)}{\partial T} = \frac{\partial \Omega_Q(P_S,t)}{\partial P_S} \cdot \frac{\partial P_S}{\partial T} = \omega_Q(P_S,t) \cdot \frac{\partial P_S}{\partial T}$$
(8)

where
$$C_J(T,t)$$
 [ML⁻³] is the solute concentration associated with input $J(t)$ and k [T⁻¹] is the
first-order denitrification rate constant, $p_Q(T,t)$ [T⁻¹] and $\omega_Q(P_S,t)$ [-] are the probability density
functions of the transit times and SAS, respectively, P_S [-] is $P_S(T,t)$. Both $\omega_Q(P_S,t)$ and
 $\Omega_Q(S_T,t)$ are hereinafter referred to as the SAS function. In this study, point sources such as
discharge from wastewater treatment plants (WWTPs) and direct runoff from sealed areas are
added directly to the catchment outlet. The flow-weighted mean concentration was used to
calculate solute concentration at the catchment outlet.

- 237 2.3. Parameterization of the SAS function
- The mHM-SAS allows users to select either the power-law (Benettin et al., 2018) or the beta distribution function (van der Velde et al., 2012; 2015; Benettin et al., 2018; Yang et al.,

240 2018a) as an approximation of the SAS functions:

241
$$\omega(P_s, t) = plaw(P_s, \alpha) = \alpha \cdot P_s^{\alpha - 1}$$
(9)

242
$$\omega(P_s,t) = beta(P_s,a,b) = \frac{\Gamma(a+b)}{\Gamma(a)\Gamma(b)} \cdot P_s^{a-1} \cdot (1-P_s)^{b-1}$$
(10)

where α and a, b are parameters of the power-law (*plaw*) and beta (*beta*) distribution functions, 243 respectively (with $\alpha, a, b \in (0, +\infty)$), Γ is the gamma function. Different selection schemes of 244 discharge from storage can be represented by varying parameters of the power-law or beta 245 distribution functions within defined ranges (Table 1). Van der Velde et al. (2015) suggested 246 using the one-parameter beta function $beta(P_s, a, 1)$ to represent the young-water selection 247 preference and $beta(P_s, 1, b)$ to represent the old-water selection preference) instead of the two-248 parameter beta function. However, Yang et al. (2018a) demonstrated for a small agricultural 249 catchment that both parameters of the beta function might vary in a wide range. Hence, we opted 250 for the two-parameter representation of the beta function. 251

252

Table 1. Selection preference schemes and the corresponding parameter ranges of the power-lawand beta functions.

| Selection preference scheme | $plaw(P_s, \alpha)$ | $beta(P_s, a, b)$ |
|--|---------------------|-------------------|
| Young-water selection preference | $0 < \alpha < 1$ | $0 < a < 1 \le b$ |
| No selection preference (well-mixed) | $\alpha = 1$ | a = b = 1 |
| Old-water selection preference | $\alpha > 1$ | $a \ge 1 > b > 0$ |
| Both young- and old-water selection preference | - | 0 < a, b < 1 |

255

One way to parameterize the selection preference for discharge is to relate it to catchment 256 257 storage volume as a simple measure for catchment wetness. For example, a linear functional relationship between the selection preference scheme for discharge and storage was suggested by 258 van der Velde et al. (2015). This 'one-to-one' relation, however, might not be sufficient to 259 characterize the dynamics of the selection preference scheme for discharge due to hysteretic 260 behavior of the system (e.g., different selection preference schemes corresponding to the same 261 storage; Benettin et al., 2015). Yang et al. (2018a) found that the mixing scheme for discharge 262 depends not only on the current storage but also on the antecedent inputs (e.g., inflow to the SAS 263 compartment during the previous time steps). Furthermore, the authors found that the selection 264 preference scheme for discharge could be grouped together according to a seasonal hydrological 265 situation such as wetting and drying phase of a year. This makes sense for catchments with 266 significant seasonal variation in storage and meteorological forcing conditions as in Yang et al. 267 (2018a). 268

In this study, however, we introduce a new, more general approach for determining the transition between different selection preference schemes for discharge. In this approach, we assume that the young water fraction of streamflow increases with increasing catchment wetness as new fast shallow flow paths are activated, creating a different selection scheme (e.g., Yang et al., 2108a, Dupas et al., 2017). The catchment wetness is reflected in both antecedent inflow and outflow. Therefore, we propose using the following ratio for determining changes in the selection preference scheme:

276
$$r_t = \frac{\sum_{i=t-n}^t J_i}{\sum_{i=t-n}^t Q_i}$$
(11)

- if $r_t \ge 1$: preference for young water (Table 1)
- if $r_t < 1$: preference for old (and young) water (Table 1)

where J_i [L³T⁻¹] and Q_i [L³T⁻¹]) are the inflow to and the outflow from the SAS compartment at 279 time $i \in [t - n, t]$, t [T] is the current time, and n [T] is the number of time steps considered. 280 281 The ratio r_t [-] is a time-variant factor due to the temporal variations of inflow and outflow. The ratio r_t explicitly considers the antecedent inflow and implicitly considers the changes in storage. 282 For example, $r_t \ge 1$ ($r_t < 1$) indicates that the storage is filling (emptying). In this study, the 283 period with $r_t \ge 1$ is referred to as the wet period while the period with $r_t < 1$ is referred to as 284 the dry period. An example for the relation between r_t and storage is shown in section 3.4. The 285 advantages of relating the selection preference scheme to the ratio r_t are: (1) information about 286 the minimum and maximum storage is not required, (2) the initial storage does not affect the 287 selection preference scheme, and (3) no prior knowledge about the seasonal changes of storage is 288 needed. It should be noted that the proposed two selection preference schemes, preference for 289 young and preference for old (and young), were shown to be (1) sufficient for describing 290 subsurface mixing (van der Velde et al., 2015) and (2) the dominant selection schemes in a 291 subcatchment of the studied catchment (Yang et al., 2018a). 292

- 293 2.4. Case study
- 294 2.4.1. Study area and data

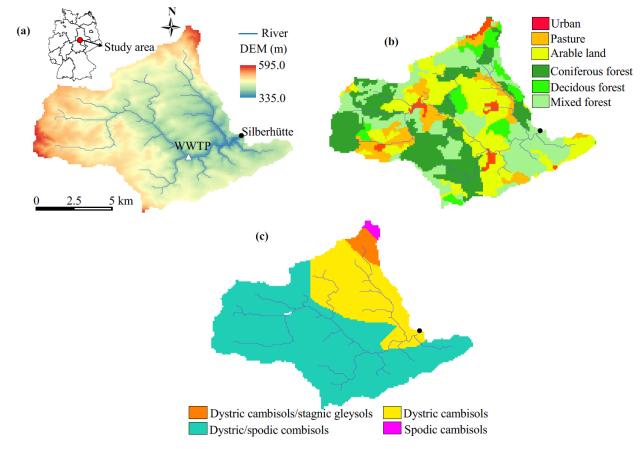
The study catchment is that of the upper Selke River (gauge Silberhütte), which is part of 295 the Bode catchment, a terrestrial environmental observatory within the TERENO network of 296 observatories in Germany (Wollschläger et al., 2017; Yang et al., 2018b). The study site covers 297 an area of about 100 km² with elevation ranging from 335 m to 595 m above mean sea level 298 (Figure 3a). Forest and agricultural land (pasture and arable land) are the dominant land 299 uses/land covers in the area, accounting for 61% and 36% of the total area, respectively (Figure 300 3b). The main crops planted in the area are winter wheat, triticale, winter barley, rye, rapeseed, 301 and corn (Jiang et al., 2014; Yang et al., 2018b). Spodic Cambisols from hard argillaceous and 302 silty slates accounts for about 70% of the study area while Dystric Cambisols from acid igneous 303 and metamorphic rocks account for 26% of the study area (Figure 3c). The geology of the study 304 area is predominantly characterized by Mississippian wacke/shale, covering 99% of the area 305 306 (Yang et al., 2018b). The aquifers of the study area are relatively shallow (Yang et al., 2018a, Dupas et al., 2017). 307

The study area has an average annual precipitation of 765 mm. The average monthly 308 temperature in the area ranges from -3.1 °C in December to 16.7 °C in July. The area has a strong 309 seasonal runoff regime with high flows during the cold season (November - April; average 310 discharge $Q_{average} = 1.7 \text{ m}^3/\text{s}$) and low flows during the warm season (May – October; $Q_{average}$ 311 $= 0.5 \text{ m}^3/\text{s}$). About 77% of the total runoff is generated during the cold season. Diffuse nitrogen 312 (N) from fertilizers applied to agricultural fields (with an average application rate of about 130 – 313 190 kg N ha⁻¹ yr⁻¹) is the main source of in-stream N (Kistner, 2007; Jiang et al., 2014). 314 Contribution from WWTPs to instream N is negligible during high flow periods. During low 315

flow periods, however, N from the WWTPs can account for up to 20% of the total N in the

317 stream.

- Input data were obtained from different sources. Daily weather data (precipitation,
- temperature) and potential evapotranspiration were obtained from the Deutscher Wetterdienst
- 320 (DWD), geographical data (digital elevation model of 30 m resolution. Land use and soil type at
- a scale of 1:1,000,000 were provided by the Federal Institute for Geosciences and Natural
- Resources, Germany (BGR). Agricultural practices (fertilizer/manure application, crop rotation)
- were obtained by field survey/interview (Yang et al., 2018b). Daily discharge and weekly
- instream nitrate concentration were taken from the State Agency for Flood Protection and Water
- 325 Management of Saxony-Anhalt.
- 326



- Figure 3. The study area with (a) the digital elevation model (DEM), (b) land use/land cover map, and (c) soil map. The black dot indicates the catchment outlet.
- 330 2.4.2. Selection of the SAS function and initial conditions
- In this study, the beta functions were used to represent SAS functions because of their 331 332 flexibility to represent more mixing schemes than the power-law function (Table 1). In addition, beta functions have been found to be good approximations of model-derived SAS functions 333 within a sub-catchment of the study area (Yang et al., 2018a). Beta functions with time-variant 334 parameters (a and b) were used to represent the temporal dynamics of the SAS functions. Two 335 SAS functions were defined according to the wetness condition indicated by r_t : SAS_{wet} for the 336 wet period $(r_t \ge 1)$ and and SAS_{dry} for the dry period $(r_t < 1)$. r_t was calculated with n = 90 337 days, which was defined manually using trial and error approach to have the most suitable 338
- 339 seasonal patterns of the selection functions. However, it could be treated as a model parameter

340 and determined via model calibration.

An initial nitrate concentration C₀ of 1.5 mg/L in subsurface water was selected based on 341 the average in-stream nitrate concentration. The initial subsurface storage S₀ indicates not only 342 the subsurface storage at the beginning of the simulation but also the subsurface storage capacity 343 in general. A reliable estimation of the subsurface storage, which actively participates in the 344 transport process requires extensive data (e.g., Halle et al., 2016). As this data was not available 345 for the study area we consider the initial storage as a model calibration parameter. The initial 346 age-ranked storage (S_{T_0}) is assumed to linearly increase from 0 to S₀ over the age range [0, 10] 347 years and all water in storage is assumed to have the same initial concentration C₀. 348

349 2.4.3. Model calibration and uncertainty analysis

The Elementary Effect Test (EET, Morris 1991; Campolongo et al, 2007; Pianosi et al., 2016) has been proven as an effective tool for parameter sensitivity analysis for the study area (Yang et al., 2018b; 2019). The EET is a global sensitivity analysis with a One-At-a-Time (OAT) sampling approach. With *m* model parameters and *r* trajectories in the parameter space, the EET requires $r \cdot (m + 1)$ model runs. The global sensitivity index μ_i^* of parameter x_i is the average of the absolute elementary effect in *r* trajectories (Campolongo et al, 2007), which is calculated as follows:

357
$$\mu_i^* = \frac{1}{r} \sum_{j=1}^r |EE_i^j| = \frac{1}{r} \sum_{j=1}^r \frac{|g(x^j + e_i \Delta_i^j) - g(x^j)|}{\Delta_i^j}$$
(12)

where x^{j} is the vector of parameter values in the j^{th} trajectory, EE_{i}^{j} and Δ_{i}^{j} are the elementary effect and finite variation of the parameter x_{i} in the j^{th} trajectory, respectively, $e_{i}(i = 1, m)$ is a vector of zeros except its i^{th} element being equal to 1, and $g(x^{j})$ and $g(x^{j} + e_{i}\Delta_{i}^{j})$ are values of the objective function at x^{j} and $x^{j} + e_{i}\Delta_{i}^{j}$, respectively. The interaction of the parameter x_{i} with other parameters is characterized by the standard deviation σ_{i} of the elementary effects (Morris 1991; Campolongo et al, 2007):

364 $\sigma_i = \sqrt{\frac{1}{r-1}}$

 $\sigma_{i} = \sqrt{\frac{1}{r-1} \sum_{j=1}^{r} \left(E E_{i}^{j} - \mu_{i}^{*} \right)^{2}}$ (13)

In the EET, higher values of μ_i^* indicate higher sensitivities of the respective parameter while higher values of σ_i indicate stronger interactions of that respective parameter with other parameters. In this study, the Sensitivity Analysis For Everybody (SAFE, Pianosi et al., 2015) toolbox was used to perform the EET for 54 global parameters, including the initial subsurface storage (S₀). Parameter sensitivity analyses were carried out separately for discharge and instream nitrate concentration at the catchment outlet.

For parameter optimization, we performed 20,000 simulations with parameters generated from Latin Hypercube Sampling (LHS). LHS has been demonstrated as an efficient global sampling procedure for optimization problems with a large number of parameters (Abbaspour et al., 2004). The best simulation was selected based on the following multi-criteria objective function (*OF*):

$$0F = max\left(\frac{NSE_Q + lnNSE_Q + NSE_C + lnNSE_C}{4}\right)$$
(14)

377
$$NSE_{x} = 1 - \frac{\sum_{i=1}^{n} (x_{i}^{obs} - x_{i}^{sim})^{2}}{\sum_{i=1}^{n} (x_{i}^{obs} - \overline{x^{obs}})^{2}}$$
(15)

378
$$lnNSE_{x} = 1 - \frac{\sum_{i=1}^{n} (lnx_{i}^{obs} - lnx_{i}^{sim})^{2}}{\sum_{i=1}^{n} (lnx_{i}^{obs} - \overline{lnx^{obs}})^{2}}$$
(16)

where *NSE* and *lnNSE* are the Nash-Sutcliffe Efficiency (Nash and Sutcliffe, 1970) and its logarithmic transformation, x^{obs} and x^{sim} are the observed and simulated values of discharge Q or instream nitrate concentration C, and $\overline{x^{obs}}$ and $\overline{lnx^{obs}}$ are the mean and the logarithmic transformation of the observed variables, respectively. The *NSE* and *lnNSE* were used to ensure accurate modeling of both high and low values of discharge and nitrate concentration. In addition to the *NSE* and *lnNSE*, the percentage bias (*PBIAS*, Equation 17) was also used to evaluate the best simulation:

386
$$PBIAS_{x}(\%) = 100 \cdot \frac{\sum_{i=1}^{n} (x_{i}^{obs} - x_{i}^{sim})}{\sum_{i=1}^{n} x_{i}^{obs}}$$
(17)

The model prediction uncertainty is defined as a function of parameter uncertainty. 387 Parameter uncertainty is characterized by the 95% prediction uncertainty (95PPU) estimated 388 from behavioral simulations obtained from 20,000 Latin Hypercube simulations. We classified 389 simulations with an objective function value greater than 0.65 as behavioral. The 95PPU was 390 calculated based on the 2.5% and 97.5% levels of the cumulative distribution of the output 391 variable at every simulated time steps (e.g., Beven and Binley, 1992; Abbaspour et al., 2004). 392 The goodness of the 95PPU is evaluated by the *p*-factor (the percentage of observed data 393 bracketed by the 95PPU) and *r*-factor (the average thickness of the 95PPU band divided by the 394 standard deviation of the observed data) (Abbaspour et al., 2004). The closer the *p*-factor to one 395 and *r-factor* to zero, the better the 95PPU. In this study, the model was run at a daily time step 396 with a two-year warm-up (1993-1994), 10-year calibration (2005-2015), and 10-year validation 397 period (1995-2004). The spatial resolution of each grid cell is 1 km^2 . 398

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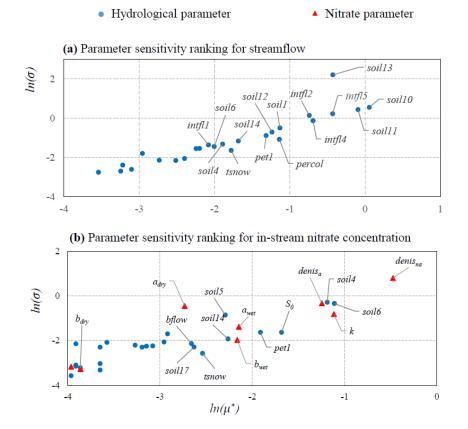
400 **3. Results and validation**

401

3.1. Parameter sensitivity analysis and calibrated parameter values

Parameter sensitivity analyses were carried out separately for discharge and instream 402 403 nitrate concentration at the catchment outlet. Results show that discharge generation is most sensitive to soil parameters (soil10, soil11, soil13), followed by interflow parameters (intfl5, 404 *intfl4*, *intfl2*, percolation (*percol*), evapotranspiration (*pet1*), and the snow parameter (*tsnow*) 405 (Figure 4a). Instream nitrate concentration is sensitive to both hydrological and nitrate 406 parameters (Figure 4b). With nitrate parameters, the denitrification rate constants in the soil zone 407 (denis_{na}, denis_{na}) and below the soil zone (k) are the most sensitive parameters. It is seen that the 408 initial subsurface storage (S_0) is also listed among the most sensitive parameters, indicating the 409 potential impact of subsurface storage capacity on catchment-scale nitrate export. Most of the 410 parameters of the SAS functions (a_{wet} , b_{wet} , a_{drv}) are identified as sensitive parameters. This 411 shows that the shape of the magnitude of the selection preference scheme for discharge is highly 412 relevant to the solute export dynamics. Regarding the interaction between parameters, the results 413 show that more sensitive (higher μ^*) parameters tend to have higher interaction (higher σ) with 414 other parameters. In this study, the most 15 sensitive parameters for discharge and instream 415 416 nitrate concentration are selected for optimization (Figure 4 and Table 2). In addition, the

- 417 parameter b_{dry} is also selected due to its high sensitivity ranking among nitrate parameters. Table
- 418 2 shows the optimal parameter set and the behavioral parameter ranges.
- 419



- 421 Figure 4. Parameter sensitivity analyses for (a) discharge and (b) instream nitrate concentration
- 422 at the catchment outlet. Only the most 15 sensitive parameters and b_{dry} were labeled. The
- 423 description of these parameters is given in Table 2. For visualization purposes, the log-transform
- 424 of μ^* and σ and only parameters with $ln(\mu^*) > -4$ and $ln(\sigma) > -4$ are shown.

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| | | Paramet | er range | Optimal value |
|---------------------------------------|--|---------|----------|------------------------|
| Parameter | Description | min | max | [behavioral range] |
| Snow fall/me | elt | | | |
| tsnow | Threshold temperature for snow/rain (°C) | -2.0 | 2.0 | 1.8 [-1.8, 2.0 |
| Soil moisture | | - | | |
| soill | Organic matter content in forest (%) | 0.0 | 20.0 | 10.3 [1.0, 18.1 |
| soil4 | PTF parameter for water retention characteristics | 0.65 | 0.95 | 0.81 [0.65, 0.93 |
| soil5 | " | 0.0001 | 0.0029 | 0.0018 [0.0001, 0.0027 |
| soil6 | " | -0.37 | -0.19 | -0.33 [-0.37, -0.22 |
| soil10 | PTF parameter for saturated hydraulic conductivity | -1.20 | -0.28 | -0.88 [-1.20, -0.33 |
| soil11 | " | 0.006 | 0.026 | 0.010 [0.007, 0.022 |
| soil12 | " | 0.003 | 0.013 | 0.012 [0.003, 0.013 |
| soil13 | " | 1.0 | 150.0 | 62.3 [4.4, 142.1 |
| soil14 | Fraction of roots in forest areas | 0.90 | 1.00 | 0.96 [0.90, 0.99 |
| soil17 | Shape factor for calculating infiltration | 1.00 | 4.00 | 2.27 [1.21, 3.86 |
| Evapotranspi | ration | | | |
| pet1 | Correction factor for potential evapotranspiration | 0.70 | 1.30 | 0.85 [0.72, 1.20 |
| Infiltration | | | | |
| intfl1 | Maximum holding capacity of the unsaturated zone | 75.0 | 200.0 | 75.0 [75.0, 192.5 |
| intfl2 | Interflow recession slope factor | 0.00 | 10.0 | 9.2 [3.0, 9.2 |
| intfl4 | Slow interflow recession constant | 1.0 | 30.0 | 22.7 [2.3, 25.8 |
| intfl5 | Slow interflow exponent | 0.05 | 0.30 | 0.11 [0.08, 0.30 |
| Percolation | | | | |
| percol | Effective percolation rate | 0.00 | 50.00 | 44.56 [14.51, 49.65 |
| Baseflow | | | | |
| bflow | Baseflow recession rate | 1.0 | 1000.0 | 92.9 [15.2, 990.8 |
| Denitrification | | | | |
| denis _a | Denitrification rate in agricultural soil (d ⁻¹) | 0.00 | 1.1 | 0.017 [0.00, 0.05 |
| <i>denis_{na}</i> | Denitrification rate in non-agricultural soil (d ⁻¹) | 0.00 | 1.1 | 0.009 [0.00, 0.05 |
| k | Denitrification rate below the soil zone (d ⁻¹) | 0.00 | 0.02 | 0.006 [0.00, 0.014 |
| Subsurface mixing and initial storage | | | | |
| a_{wet} | Parameter of the SAS function for the wet period | 0.01 | 1.00 | 0.44 [0.06, 0.71 |
| b_{wet} | " | 1.0 | 10.0 | 5.12 [3.64, 9.59 |
| a_{dry} | Parameter of the SAS function for the dry period | 0.01 | 10.0 | 0.10 [0.06, 0.40 |
| b_{dry} | | 0.01 | 1.0 | 0.22 [0.11, 0.49 |
| | Initial storage (mm) | 500.0 | 5000.0 | 780.7 [564.1, 4865.7 |

| 429 | Table 2. Selected | parameters for o | ptimization a | and their of | ptimal values. |
|-----|-------------------|------------------|---------------|--------------|----------------|
|-----|-------------------|------------------|---------------|--------------|----------------|

430 The sign (") indicates that the information in this cell is identical to the cell immediately above it.

431 PTF is the pedotransfer function.

432

433

434

435 3.2. Discharge and in-stream nitrate concentration

Visual assessment and model performance indices (NSE, InNSE, PBIAS) show that the 436 proposed model was satisfactorily calibrated and validated for discharge at the catchment outlet 437 (Figure 5a). Nonetheless, some high discharge events were under- and over-estimated (Figure 438 5a). The under- and over-estimation of individual high discharge events could be attributed to the 439 uncertainty in the rainfall data, e.g., under- and over-estimation of rainfall in some regions. From 440 the flow duration curve (Figure 5b), it is seen that low flows were well represented by the model. 441 442 In addition, the 95PPU band covers 96% of the observed values (p-factor = 0.96) with a narrow band (r-factor = 0.59). 443

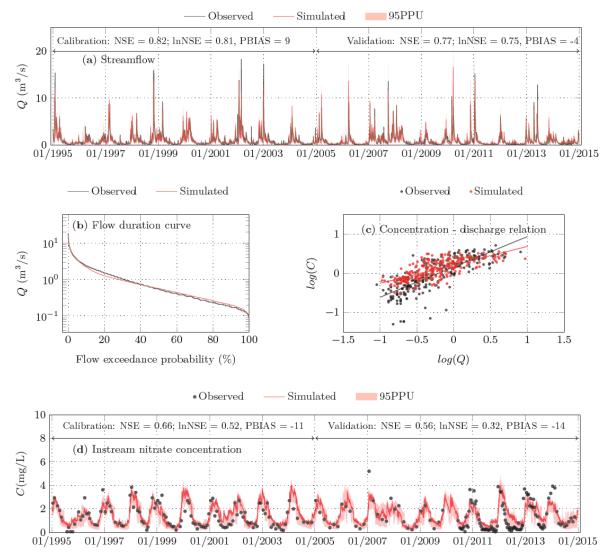




Figure 5. Observed and simulated (a) discharge and (d) instream nitrate (N-NO₃) concentration
at the Silbehütte gauging station during the calibration (1995-2014) and validation (2005-2014)
periods along with (b) the flow duration curve and (c) the concentration-discharge relation.

Figures 5c and 5d show that the proposed model can reproduce the seasonal patterns of in-stream nitrate concentration and the concentration-discharge relationship. A detailed analysis shows that some runoff events with high nitrate concentration were underestimated while runoff events with low concentration were overestimated (Figures 5c and 5d). The concentration-

discharge (C-Q) relationship shows that high concentrations are associated with high flows and low concentrations are associated with low flows. Therefore, the underestimation of high

low concentrations are associated with low flows. Therefore, the underestimation of high
 concentrations during high flow conditions could be attributed to (1) the unaccounted direct

455 transport of nitrate from the agricultural field to stream via direct surface runoff and/or (2) the

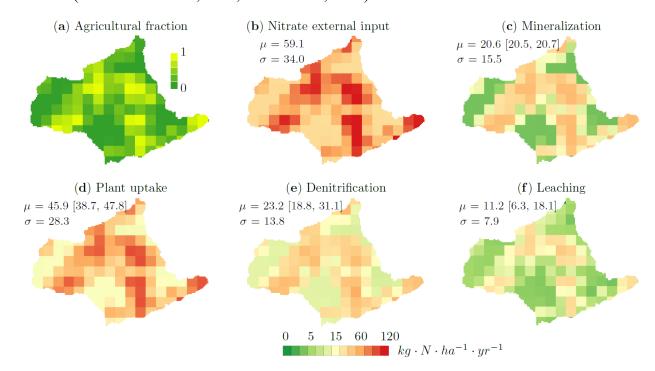
456 activation of preferential subsurface flow paths that are only activated during extreme events.

- The overestimation of low concentrations, however, only occurs during some years, especially
- during the validation period (Figure 5d). This could be due to the overestimation of N from
- 459 WWTPs in some years that was set constant in time in this model (Yang et al., 2018b). This is 460 the reason for a lower *lnNSE* found during the validation period compared with the calibration
- 461 period. Overall, the model performance statistics for the nitrate concentrations are within
- 462 acceptable ranges and the 95PPU covers 60% of the observed values (*p*-factor = 0.60). The
- 463 95PPU band for instream nitrate concentration (r-factor = 0.99) is higher than that for discharge
- 464 (r-factor = 0.59) because the water quality simulation is subjected to additional uncertainty from 465 the hydrological simulation.
- 466 3.3 Spatial nitrate dynamics

The mHM-SAS model could provide detailed insights into the spatial nitrate dynamics 467 within the soil zone (Figure 6). In general, the catchment experiences a strong spatial variability 468 in diffuse nitrate external input (mainly from fertilizer and manure application, Figure 6b), 469 mineralization (6c), plant-nutrient uptake (Figure 6d), denitrification in the soil zone (Figure 6e), 470 471 and nitrate leaching (Figure 6f). This is expected as the mHM-SAS and mHM-Nitrate (Yang et al., 2018b; 2019) models use the same concept for describing soil nitrogen processes. As 472 indicated from the input data, diffuse nitrate inputs from agricultural lands are the most dominant 473 diffuse N sources, a consistent pattern all over Europe (Leip et al. 2011). The simulated spatial 474 patterns of N-fluxes due to mineralization, plant uptake, denitrification, and nitrate leaching 475 mainly follow the spatial patterns of diffuse nitrate inputs (with a correlation coefficient > 0.95), 476 higher rates in agricultural areas and lower rates in forest areas. Denitrification rate in 477 agriculturally dominated soil (agricultural fraction > 0.5) is generally higher than in forest 478 479 dominated soil (forest fraction > 0.5), on average 2.7 times higher. In agriculturally dominated areas, it is seen that a significant amount of nitrate is leached out of the soil zone despite a high 480 rate of nitrate removal by plant uptake and denitrification. This is the major reason for the higher 481 nitrate concentration that is observed in the groundwater zone below agricultural areas compared 482 to forest areas (e.g., Knoll et al., 2020). 483

For a long-term nitrate balance within the soil zone, the model suggests that most of the 484 nitrate input (59.1 kg N ha⁻¹ yr⁻¹) and mineralization (20.6 kg N ha⁻¹ yr⁻¹) to the DIN soil pool 485 was removed via plant uptake (45.9 kg N ha⁻¹ yr⁻¹), followed by soil denitrification (23.2 kg N 486 ha⁻¹ yr⁻¹), and finally leaching to the deeper subsurface (11.2 kg N ha⁻¹ yr⁻¹). In agriculturally 487 dominated areas, denitrification in the soil zone is the largest nitrogen loss pathway, which is 488 common for European agricultural soils (Velthof et al., 2009) but also observed elsewhere 489 (Jawitz et al. 2020). Modeling results indicate that there is almost no long-term accumulation of 490 nitrate in the soil zone and less than 1% of external nitrate input remained in storage during the 491 period from 1994 to 2014. The simulated rate of mineralization (20.5-20.7 kg N ha⁻¹ yr⁻¹) and 492 denitrification (18.8-31.1 kg N ha⁻¹ yr⁻¹) in this study are within the measured range reported by 493 Heumann et al. (2011) (mineralization rate: 14-187 kg N ha⁻¹ yr⁻¹) from different soil types in 494

495 Lower Saxony, Germany and by Hofstra and Bouwman (2005) (denitrification rate: 8-51 kg N 496 ha⁻¹ yr⁻¹) from 336 agricultural soils located worldwide. The simulated yearly average N surplus 497 (nitrate input + mineralization – plant uptake) from the optimal parameter set is 33.8 kg N ha⁻¹ 498 yr⁻¹. This is comparable with the calculated N surplus (33 kg N ha⁻¹ yr⁻¹) from other studies for 499 the area (Häußermann et al., 2019, Winter et al., 2020).



500

Figure 6. Spatial distribution of (a) agricultural fraction and (b) nitrate external input from fertilizer, manure, and atmospheric deposition, (c) mineralization, (d) plant uptake, (e) denitrification, and (f) nitrate leaching out of the soil zone from the optimal parameter set. μ and σ are the mean and standard deviation. Numbers outside the bracket correspond to the optimal parameter set and numbers in bracket are the range of the 95PPU. Data were compiled for the

period from 1995-2014. The size of a grid cell is 1 km^2 .

A substantial part (about 32%) of the N surplus was leached out of the soil zone to the 507 SAS compartment (Figure 6f). Within the SAS compartment, nitrate is further denitrified along 508 its transport pathways and is removed via discharge. The long-term nitrate balance (from 1995-509 510 2014) from the optimal parameter set shows that about 37% of the leached nitrate (Figure 6f) was removed via denitrification and 62% (54% during the wet periods and 8% during the dry 511 periods) was exported to the stream. In the study area, different magnitudes of denitrification 512 potential in groundwater have been reported based on measured groundwater chemistry data, 513 ranging from high to low nitrate reduction potential (Hannappel et al., 2018). We thus conclude 514 that the modeled denitrification rate below the soil zone is acceptable. 515

516 3.4. Subsurface mixing and transport

517 The results show that the selection preference for discharge has a consistent seasonal 518 pattern (Figures 7a and 7b). In general, it is seen that the system preferentially selects young 519 water in storage for discharge during wet periods with high subsurface storage and (2) both 520 young and old water in storage for discharge during dry periods with low subsurface storage. The

- dominance of young water in discharge during wet periods mainly attributes to the activation of
- fast shallow flow paths under high wetness conditions (Yang et al., 2018a; Dupas et al., 2017).
- 523 The infiltrated rainfall takes a relatively short time to travel via these flow paths, providing 524 streamflow with dominant young water. This results in a much smaller median transit time
- 525 compared to the median residence time during the wet periods (Figure 7c). The preference for
- young water during selected dry periods is due to the fact that occasional rain events with high
- 527 intensity lead the activation of fast shallow flow paths. When there is no rainfall or rainfall with
- 528 low intensity, stream discharge is mainly composed of older water due to the deactivation of the
- fast shallow flow paths and a dominance of slow deep flow paths. As a result, the median transit
- time (TT_{50}) for discharge in the dry periods is considerably longer than that in the wet periods
- 531 (Figure 7c).

The temporal activation and deactivation of different flow paths affect the age

- composition of discharge, the young and old water fraction in discharge, and ultimately the
- dynamics of nitrate in discharge. This is because longer transit times indicate less time for
- 535 denitrification and a dominance of young water in discharge indicates a pronounced effect of 536 recent agricultural activities on instream water quality. It is seen that instream nitrate
- recent agricultural activities on instream water quality. It is seen that instream nitrate concentration in the wet periods is higher than that in the dry periods (Figure 7d). Higher nitrate
- concentration in the wet periods is higher than that in the dry periods (Figure 7d). Figure initiate concentrations in the wet periods are due to higher fractions of young water (with higher nitrate
- concentrations). Lower nitrate concentrations in the dry periods are due to a mixture of old water
- (with low nitrate concentration due to a long time of denitrification) and young water (with low
- nitrate concentration) (Figures 7a and 7d). Subsurface mixing and denitrification also result in a
- 542 lower temporal variability of instream nitrate concentration compared to that of leached nitrate
- 543 (Figure 7d).

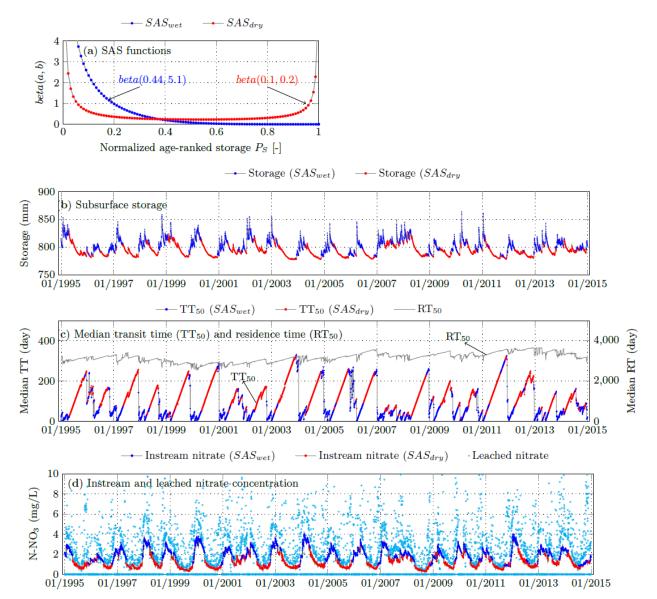


Figure 7. (a) SAS functions, (b) subsurface storage, (c) median transit time (TT₅₀) and residence
time (RT₅₀), and (d) instream and leached nitrate concentration correspond to the optimal
parameter set.

544

3.5. Transport and reaction time scales

To explore the interplay between transport and reaction rate on nitrate export, we use the 549 Damköhler number (Da, Ocampo et al., 2013). Da is a dimensionless ratio of the mean transit time 550 of discharge, \overline{T}_0 [T], to the inverse of the first-order reaction rate constant for denitrification, 1/k 551 [T]. D_a values > 1 indicate the dominance of reaction over transport while D_a values < 1 indicate 552 the dominance of transport over reaction. The simulated average D_a number for the wet periods 553 is 1.62 compared to the average D_a number of 8.03 for the dry periods. This shows that nitrate 554 transport during the dry periods is characterized by a much more pronounced dominance of 555 reaction over transport. 556

In the study area, the simulated mean transit time (MTT) over the simulation period 557 (1995-2014) is 2.34 years. This is comparable with the mean transit time estimated based on 558 stable isotope data for the Meisdorf gauging station (2.19 years) located further downstream of 559 the study area (Lutz et al., 2018). In their study, the MTT was calibrated using stable isotope data 560 and young water fraction under the assumption of a gamma-shape TTD. In a recent study for the 561 study area, Winter et al. (2020) assumed that TTDs follow a lognormal distribution. Parameters 562 of the lognormal were determined from a comparison of the long-term changes in annual N input 563 and flow normalized nitrate concentrations observed in the output. Their results indicate that the 564 MTT is about 2.12 years, which is comparable to our finding. However, the calculation of the 565 MTT in this study is subjected to a certain degree of uncertainty as described below. 566 Nevertheless, a similar mean transit obtained from our study compared to other, data-driven 567 approaches validate our findings and thus illustrate the potential of a robust application of the 568 proposed model. 569

In this study, we found that the variables MTT and Da which take into account the oldest 570 water are highly sensitive to the actual age of the oldest water. Information on the age of the 571 oldest water cannot be determined from the observed instream nitrate time series or the model 572 which is calibrated against that (e.g., Stewart et al., 2010). This is because nitrate in old waters 573 was effectively denitrified to the level that is below the lower detection limit. The 574 575 aforementioned MTT (2.34 years) was calculated with an assumption that the maximum age in storage is 10 years, older water is merged to the "old" water pool (with the age of 10 years and 576 an average volume of 46% the total subsurface storage). In other words, it means that old water 577 (water with age ≥ 10 years or $D_a \geq 22.2$) is assumed to be well mixed. Under the assumption that 578 the oldest water in storage is not restricted to a certain age (the oldest water becomes older as the 579 simulation time increases), the MTT of discharge shifts to 4.03 years. In terms of instream nitrate 580 concentration and TT₅₀, the two aforementioned assumptions give almost identical results 581 (section 3.6). Similar results (instream nitrate concentration and TT_{50}) are obtained if the 582 maximum age in storage is limited to 1 year. For solute export, the results indicate that when 583 584 reaction strongly dominates transport, mixing within the old water storage with very low nitrate concentration compared to young water does not affect solute concentration in the outflow. 585

586 3.6. Age composition of nitrate and discharge

Figure 8 shows the age composition of nitrate (nitrate age distribution) and discharge 587 (TTD) on the typical wet and dry days. It is seen that the age composition of nitrate does not 588 follow the age composition of discharge because of denitrification. The age of nitrate on the dry 589 day is much older than on the wet day. In both dry and wet days, the majority of nitrate in 590 591 discharge is from the young water fraction of discharge. On the dry (wet) day, about 75% (85%) of nitrate in discharge is younger than the median transit time. On the dry day, a very small 592 fraction (< 1%) of nitrate in discharge is older than a year despite a high overall fraction of old 593 594 water (about 40% of discharge is older than a year). This result further confirms that in the study area, a detailed representation of mixing inside the old water pool (> 1 year) is not necessary for 595 representing instream nitrate dynamics when the reaction is high. 596

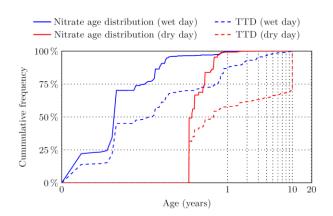
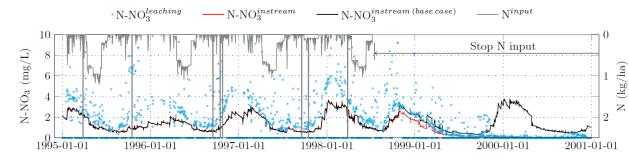


Figure 8. The age composition of nitrate (nitrate age distribution) and discharge (TTD) in a cumulative form on the typical wet day (15 December 2002) and dry day (9 August 2003). The x-axis is represented on a log-scale for better visualization. The data were derived from the optimal parameter set.

602 3.7. Time lags in catchment response

To understand the time lag between nitrogen input and catchment solute export, a 603 hypothetical scenario was set up. In this scenario, all nitrogen inputs to the soil (fertilizer, 604 manure, atmospheric deposition, residues) are stopped after a certain time (Figure 9). The time 605 606 lag between input nitrogen and instream nitrate concentration signals can be due to biogeochemical (soil) and hydrological (groundwater) time lags (Ilampooranan et al., 2019). In 607 this study, the biogeochemical time lag corresponds to the biogeochemical reaction time scale in 608 the soil zone while the hydrological time lag corresponds to the travel time of nitrate in the 609 subsurface. The time lag between input nitrogen and leached nitrate concentration signals 610 reflects the biogeochemical time lag while time lag between leached nitrate in instream nitrate 611 concentration signals reflects the hydrological time lag. The biogeochemical time lag in the study 612 is more pronounced compared to the hydrological time lag. This is indicated by an increase of 613 instream nitrate concentration following a decrease and a complete cessation of all input 614 nitrogens (Figure 9). However, the delay between leached nitrate and instream nitrate 615 concentration signals is not clear. This is because of a short transit time, a dominant of young 616 water fraction in discharge, and a high reaction rate as mentioned in the previous sections. 617



618

Figure 9. The response of instream and leached nitrate concentration following a complete
 cessation of all input nitrogens. The data were derived from the optimal parameter set. The base
 case is the case without stoping N input.

622

623 **4. Discussion**

624

4.1. Representation of spatial nitrate dynamics and subsurface nitrate transport

The simulated spatial nitrate patterns have highlighted the necessity of a spatially explicit 625 representation of nitrate dynamics within the soil zone. This could help to identify critical source 626 areas and to advise better management practices. In the catchment-scale application of the SAS 627 approach, the spatial patterns in nitrate leaching from the soil zone are not explicitly considered 628 in the transport process. This SAS approach transfers the transport problem into the time domain 629 and only considers the dynamic distribution of transit times due to the heterogeneity of 630 subsurface transport pathways. In other words, this approach provides insights into the time 631 origin of discharge and the solutes in discharge instead of their spatial origin. 632

The proposed mHM-SAS model uses the time-variant SAS functions to describe 633 subsurface mixing dynamics and time-variant TTDs of discharge. Within this approach, both 634 635 celerity- and velocity-driven transport mechanisms are taken into account. Transport of reactive solutes (e.g., nitrate) was implemented in a parsimonious manner. Compared to other approaches 636 that use the hydrologically inactive and active groundwater storage (e.g., Yang et al., 2018b; 637 Shafii et al., 2019), our approach provides a more general description for reactive solute 638 transport. For example, the hydrological active and inactive storage concept usually does not 639 account for biogeochemical processes of reactive solutes (e.g., denitrification) in the passive or 640 both passive and active groundwater storage (Hrachowitz et al., 2016; Yang et al., 2018b; Shafii 641 et al., 2019). In addition, this concept is often restricted to a well-mixed assumption (e.g., Yang 642 643 et al., 2018b).

644 4.2. Model capabilities and limitations

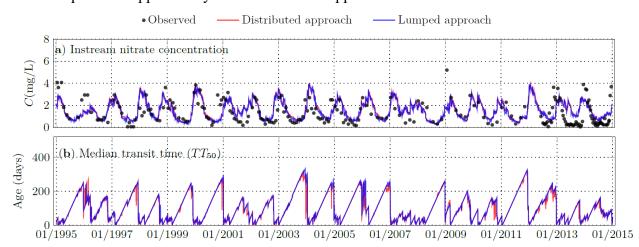
645 In this study, we have demonstrated the capability of the mHM-SAS model to provide insights into the functioning of the catchment (subsurface mixing) and the internal dynamics of 646 discharge (TTD) and solute in discharge unlike traditional conceptual water quality models 647 (Hrachowitz et al., 2016). The tested catchment is characterized by a small and reactive 648 catchment storage that leads to a fast reaction time of instream nitrate concentration to changes 649 in the input. In catchments with larger groundwater storages and transit times, the long-term 650 effects of biogeochemical and hydrological legacies can play out very differently (Ehrhardt et al., 651 2019, van Meter et al., 2018). Here, our modeling approach could serve as an investigation tool 652 for quantifying the long-term memory effects of historical agricultural practices on the present 653 surface water quality status. Understanding the temporal dynamics of subsurface mixing and 654 TTD also allows us to identify when instream water quality is more vulnerable to input 655 contaminants and to develop better management practices. 656

Despite the aforementioned model capabilities, the model is still a simplified 657 representation of the real system and further developments are suggested. The current version of 658 the model does not explicitly consider instream nitrate removal. Instream nitrate removal is 659 lumped with subsurface nitrate denitrification. However, the travel time in the stream network is 660 of different magnitudes compared to the travel time in the subsurface, therefore, separation of 661 these processes are required for the areas where instream nitrate removal is significant. In our 662 study area, instream nitrate removal is negligible (Yang et al., 2018b). The current lumped 663 version of the mHM-SAs model does not consider the spatial variability of leached nitrate out of 664 the soil zone. To preserve the spatial information of leached nitrated from the root zone in the 665 666 transport process or to answer the question about the spatial origin of discharge at the catchment

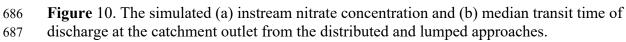
outlet, a spatially more resolved, grid-based application of the SAS concept is required. This also
 applies when the model is transferred to larger basins with a distinct spatial heterogeneity in
 subsurface properties that does not allow for an effective lumped parameterization.

4.3. Towards a fully spatially distributed SAS-based model

In the following implementation of the SAS approach in a grid-cell level, parameters of 671 the SAS functions (including the initial conditions) are assumed to be spatially homogeneous and 672 assigned as the optimal values obtained from the lumped approach (Table 2). This assumption 673 reflects a case with homogeneous hydrogeological settings where outflow from each grid cell is 674 directly discharged to the stream (no subsurface flow between grid cells). Changes in the mixing 675 scheme in each model grid cell are defined by the antecedent inflows and outflows as described 676 in section 2.3. The simulated instream nitrate concentration and the median transit time of 677 discharge at the catchment outlet from the two approaches are almost identical (Figure 10). This 678 indicates that the spatial information about nitrate fluxes from the root zone has only minor 679 effects on catchment nitrate export and the catchment scale median transit time of discharge. 680 However, this conclusion is only applicable under the assumption that the catchment is spatially 681 homogeneous in terms of mixing schemes and subsurface storage. Satisfactory results from the 682 distributed approach, even under the spatial homogeneity assumption for the SAS functions 683 show the potential applicability of the distributed approach. 684



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In the spatially distributed approach, the model can provide spatial information about, for 689 example, the age of storage (residence time, RT) and discharge (transit time, TT) (Figure 11). 690 This information has significant implications for the understanding of flow and transport of 691 contaminants. It is seen that even though the spatial patterns of residence times, which are 692 693 characterized by the median of the median RT is far from homogeneous (Figure 11a). In this example, the spatial patterns of the residence time are mainly controlled by the spatial pattern of 694 recharge, the median RT₅₀ is inversely correlated with the recharge rate with a correlation of -695 0.94. The recharge rate is further controlled by precipitation, land cover, topography, and soil 696 properties. In this study, it is seen that shorter residence times are observed in highland areas 697 while longer residence times are observed in lowland areas. Shorter (or longer) residence times 698

- 699 indicate a faster (or slower) responses of groundwater quality to changes in agricultural practices.
- At the same time shorter (or longer) residence times also indicate more or less nitrate removal
- via denitrification. The spatially distributed approach also allows us to explore the spatial
- patterns of the transit time of discharge (Figure 11b). It is seen that even though the mixing
 scheme is spatially homogeneous, the transit time of discharge is highly heterogeneous. In
- general, the spatial pattern of the transit time of discharge (Figure 11b) follows the spatial pattern
- of the residence time (Figure 11a). Shorter transit times indicate higher vulnerabilities of stream
- water quality to input contaminants. The evolution of the transit times along the river network is
- shown in Figure 11c. Changes in the transit time of discharge along the river network are
- expected because the main river receives discharges from tributaries with different transit time
- distributions along its course. The temporal variation of the RT and TT (lower panel, Figure 11)
- 710 indicates that the TT of discharge has a higher temporal variation than the RT. This is due to the
- seasonal changes in the mixing scheme.

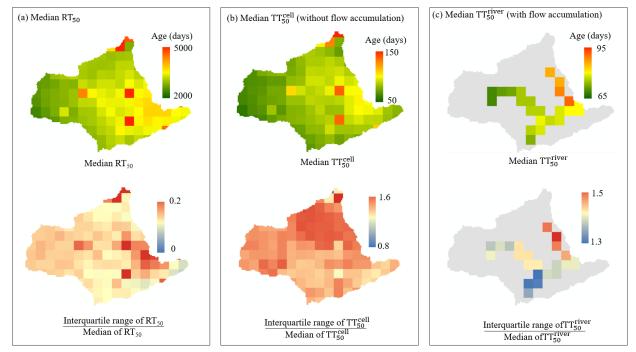


Figure 11. The upper panel: a) the median of the median residence time (RT₅₀), b) the median of the median transit time of discharge from each grid cell (TT_{50}^{cell}) without flow accumulation, and c) the median of the median transit time of discharge (TT_{50}^{cell}) at the main river network with flow accumulation. The low panel shows the ratio of the interquartile range over the median of the corresponding indicator.

A major disadvantage of most distributed conceptual hydrological models is that lateral 718 subsurface flow and transport between model grid cells is usually neglected, e.g., VIC (Variable 719 Infiltration Capacity model, Liang et al., 1994), mHM-Nitrate (Yang et al., 2018b), GROWA-720 DENUZ–WEKU (Kunkel et al., 2017). Thus, the maximum flow path length is limited to the cell 721 size. If the grid resolution is large (small cell sizes), water and solutes from the upstream grid 722 723 cell can be transported to downstream grid cells and mixed with water and solute in these grid cells before entering the river. The response of instream solute concentration to the input signal 724 725 from a cell located at a distance could be slower than the response to the input signal from a cell

- ⁷²⁶ located nearby. In other words, there would be legacy effects due to the longer transit times of
- nutrients from regions, which are not directly connected to the stream network (Figure 12). In a
- fully spatial distributed approach, which accounts for lateral subsurface flows between grid cells,
- the aforementioned flow and transport mechanisms could in principle be represented. For
 example, transport of water and solute from a grid cell located far away from the river could be
- conceptualized with a selection preference for older water compared to the selection preference
- for younger water for the cell located near the river (Figure 12). Mixing occurring along longer
- flow paths could be conceptualized as mixing in the river, where the flow contributions from the
- all distant and close grid cells are eventually combined. This example (Figure 12) indicates the
- 735 potential of application of the fully distributed SAS-based model for representing lag times of N
- inputs and outputs due to hydrologic legacy. For a reactive solute such as nitrate, the distributed
- approach would also allow to vary denitrification rates between grid cells.

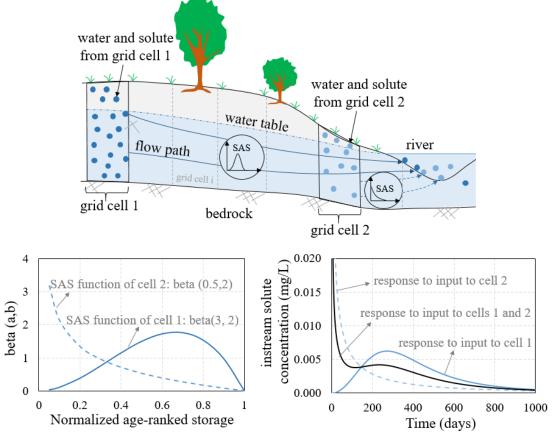




Figure 12: Example for the representation of transport of conservative solute from different grid cells to a river with the SAS-based approach. In this example, both grid cells are assumed to have the same initial storage ($S_0 = 500 \text{ mm}$), initial concentration ($C_0 = 0 \text{ mg/L}$), impulse input signal (C = 1 mg/L) at time t = 0, and constant input and output fluxes ($Q_{in} = Q_{out} = \text{constant} = 1 \text{ mm/day}$).

Despite these potential advantages of a fully distributed approach, several challenges would have to be overcome in its implementation. For example, the functional relationships between grid cell characteristics (e.g., meteorological forcing, hydrogeological properties, and location of the grid cell) and parameters of the SAS functions needs to be addressed. In addition, the fully distributed model will significantly increase the number of model parameters (e.g.,

parameters of the SAS function could be changed in space and time), which could lead to the

problem of overparameterization. The distributed approach will also require more computational

and storage capacity compared to the lumped approach. Furthermore, additional field data would

be required to constrain or verify the spatially resolved output from the model to ensure model

robustness. However, the advancement of physically-based groundwater models as tools to evaluate processes more mechanistically as well as an increasing amount of field data from

755 experimental catchments could help to alleviate some of these verification problems.

756 **5. Conclusions and outlook**

757 StorAge (SAS) selection functions have emerged as a novel tool for modeling solute transport at the catchment scale. However, a thorough representation of the spatial heterogeneity 758 of catchment characteristics (e.g., land use, soil, topography) in such models and a systematic 759 testing of SAS-function based models at larger scales (e.g., mesoscale-catchments) have not been 760 done to date. In this study, we took a step in this direction and integrated a SAS-function based 761 nitrate transport model into a fully distributed soil nitrate model (mHM-Nitrate) at both the 762 catchment as well as grid cell scales, resulting in the mHM-SAS model. Seasonal variations in 763 the age selection schemes of the catchments as represented by shifting SAS functions were 764 implemented in the model based on antecedent inflows and outflows to the subsurface 765 compartment of the model (i.e. entire catchment or on the grid cell level). For the first time, to 766 the best of our knowledge, the SAS concept has been evaluated in a mesoscale catchment (100 767 km²) with heterogeneous catchment characteristics (land cover, land use management practices, 768 soil types). Key results show that: 769

• Denitrification below the soil zone could be significant and should be accounted for 770 771 (e.g., the upper Selke in this study). • The lumped SAS-based approach could well represent streamflow and solute export 772 dynamics of a mesoscale heterogeneous catchment with realistic reaction rates and 773 transit times. 774 775 • Both lumped and distributed SAS-based approaches yield comparable results in terms of instream nitrate dynamics and median transit times of discharge at the catchment 776 outlet. 777 Temporal activation and deactivation of different flow paths control the transit time 778 of discharge and solute export dynamics of the catchment. 779 • Knowledge about the age of the oldest water in storage or discharge is not required 780 for characterizing solute export dynamics from a highly reactive system. 781 Temporal changes in the SAS functions could be related to the antecedent inflow and 782 outflow ratio, which does not explicitly require prior knowledge about subsurface 783 storage (e.g., minimum, maximum, seasonal changes). 784 Heterogeneity in the recharge rates controls the spatial patterns of transit times. 785

This study has demonstrated the general applicability of SAS-function based solute 786

787 transport models to mesoscale catchments. However, the application of the SAS concept at this

scale is still in an early stage. Testing of the SAS concept in other catchments with different 788

789 settings is needed. The mHM-SAS model can be considered as the first prototype for a parsimonious SAS-function based solute transport model for larger catchments. However, the 790

proposed general integration framework could easily be applied to other distributed water quality 791

- 792 models.
- 793

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SAS model. Source codes of the mHM-SAS model and relevant data for reproducing the work 799

- 800 are available online at https://git.ufz.de/nguyenta/mhm-sas and https://git.ufz.de/yangx/mHM-Nitrate.
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