

Joint local and teleseismic tomography in the central United States: exploring the mantle below the upper Mississippi Embayment and the Illinois Basin

Yu Geng¹, Christine A. Powell¹, and Arushi Saxena¹

¹University of Memphis

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**Joint local and teleseismic tomography in the central United States: exploring the
mantle below the upper Mississippi Embayment and the Illinois Basin**

Y. Geng¹, C. A. Powell¹, and A. Saxena^{1,2}

¹Center for Earthquake Research and Information, University of Memphis, Tennessee, USA.

²Department of Geological Sciences, University of Florida, Florida, USA.

Corresponding author: Yu Geng (ygeng1@memphis.edu)

Key Points:

- We present detailed 3D V_p and V_s models for the upper mantle beneath the central United States using data recorded by two FlexArrays.
- Two prominent low-velocity anomalies are imaged at 240 km and 370 km depth beneath the Illinois Basin.
- The origin of these anomalies is attributed in part to metasomatism of mantle rocks by silica rich fluids.

Abstract

Three-dimensional, high resolution crustal and upper mantle P- and S-wave velocity (V_p and V_s) models are presented for the central United States. The study utilizes local and teleseismic data recorded by the Northern Embayment Lithospheric Experiment (NELE) stations, the CERI New Madrid Seismic Network, the Earthscope Transportable Array (USArray), and the Ozark Illinois Indiana Kentucky Flexible Array (OIINK). The V_p and V_s solutions are very similar and are well resolved in the depth range 40 to 400 km. Two anomalously slow regions are present below the Illinois Basin forming a northwest dipping low velocity zone (LVZ) extending from ~200 to 400 km. Maximum anomaly magnitude in the LVZ reaches about -4 % and -5% for V_p and V_s , respectively. The LVZ appears to connect to a well-documented LVZ located below the northern Mississippi Embayment. As is the case for the northern Mississippi Embayment, the Illinois Basin velocity anomalies cannot be explained by elevated temperature alone and require elevated orthopyroxene content in addition to an increase in iron and water content. The need for additional orthopyroxene suggests that the LVZ is being produced by metasomatism of mantle rocks by hydrous, silica-rich fluids ascending from a slab fragment trapped in or near the transition zone. This supports previous interpretations for the existence of the LVZ below the Embayment. We suggest that the LVZs below the Mississippi Embayment and the Illinois Basin are linked to the presence of the large igneous province Hess plateau currently located below the central United States by inverse convection models.

Plain Language Summary

We present three-dimensional, high-resolution velocity models from the Earth's surface to 410 km depth under the central United States. Our study utilizes seismic tomography – a technique that images the velocity structure of the Earth using seismic waves that traveled from earthquake

sources to seismic stations. Travel-time data recorded by several seismic arrays (dense deployments of seismic stations) were acquired to increase the resolution of our model. In the resultant model, compressional wave and shear wave velocities are similar and are well-resolved in the depth range 40 to 400 km. An anomalously slow region is present below the Illinois Basin that dips to the northwest and extends to 400 km. The slow velocity cannot be explained by elevated temperature alone and requires an increase in iron and water content as well as high amounts of orthopyroxene. Elevated orthopyroxene suggests that the mantle rocks have been chemically altered due to fluids coming from a trapped slab fragment. We suggest that the low-velocity zone below the Illinois Basin is associated with the presence of a slab fragment containing the thick oceanic Hess plateau. According to several studies, the Hess plateau was subducted millions of years ago and is presently located underneath the central United States.

1 Introduction

The midcontinent of the United States is located far from a plate boundary but contains several concentrated areas of seismic activity. These include the New Madrid Seismic Zone (NMSZ), the Wabash Valley Seismic Zone (WVSZ), and the Ste. Genevieve Seismic Zone (SGSZ) (Figure 1). The presence of these zones poses a hazard to a region ill prepared for seismic activity. Understanding the underlying reason for the existence of these zones can help answer fundamental questions such as the expected longevity of the zones and the recurrence interval of large earthquakes. The Reelfoot Rift, located within the Mississippi Embayment (ME), hosts the NMSZ. Three large ($M_w > 7$) NMSZ earthquakes occurred in 1811-1812 (Nuttli, 1973; Johnston, 1966; Hough and Page, 2011; Van Arsdale and Cupples, 2013) and paleoseismic evidence indicates a repeat time of about 500 years for earthquakes of similar magnitude (Tuttle

et al., 2002; Van Arsdale et al., 2012). The WVSZ and the SGSZ, located to the northeast and northwest of the NMSZ, respectively, may have the same potential for creating large earthquakes. The WVSZ and the NMSZ may be mechanically coupled; numerical modeling indicates that stress transfer following the 1811-1812 New Madrid earthquakes may be loading faults in the Wabash zone (Li et al., 2005, 2007; Mueller et al., 2004). However, the mechanism of stress coupling between seismic zones remains enigmatic. Several models have been proposed for the existence of the NMSZ (e.g. Kenner and Segall, 2000; Thybo et al., 2000; Pollitz et al., 2001), but none has reached wide acceptance. Even less is known about the origins of the WVSZ and the SGSZ.

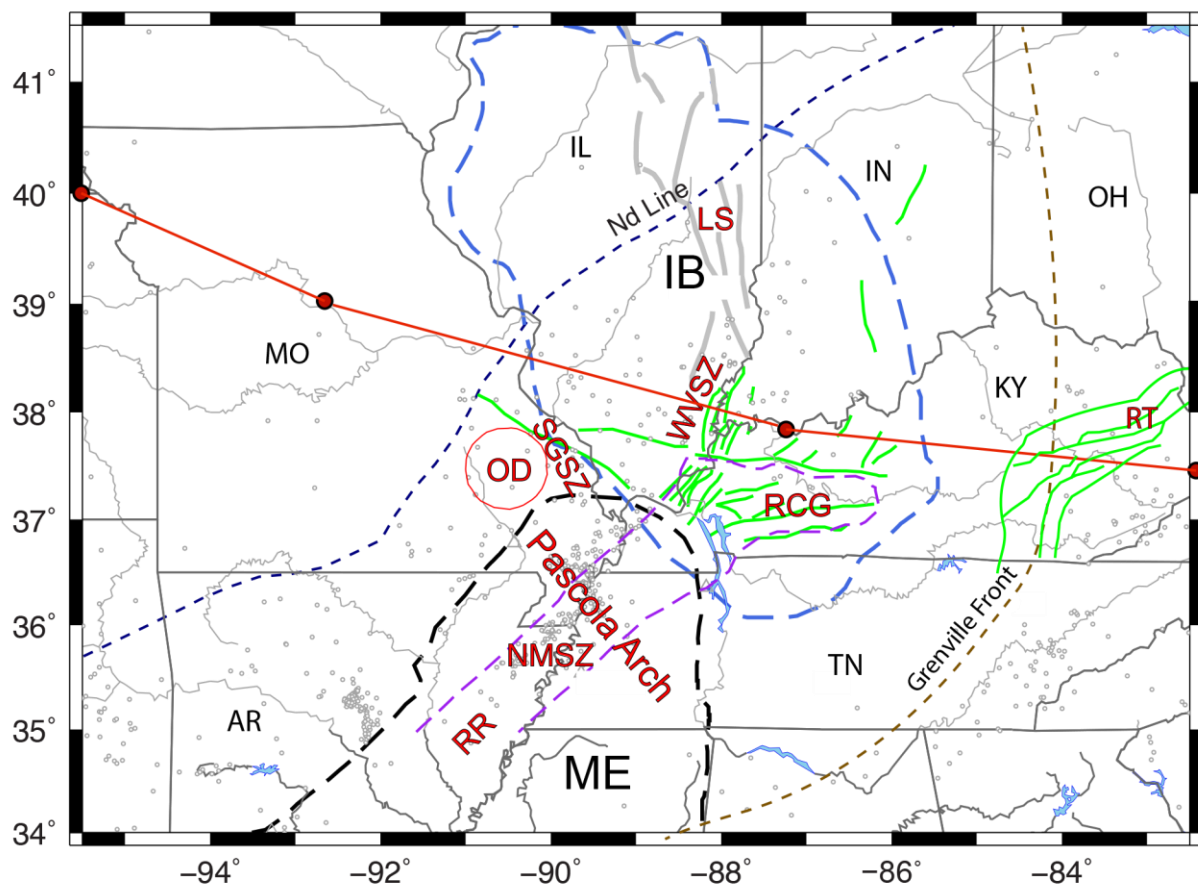


Figure 1. Major features in the study area. Solid green lines and solid gray lines delineate fault traces and fold systems, respectively. Purple dashed line marks the boundary of the Reelfoot Rift (RR). Dashed blue line and dashed black line delineate the boundaries of the Illinois Basin (IB) and the Mississippi Embayment (ME), respectively. Red line marks the path of a Cretaceous-age hotspot proposed by Chu et al. (2013). Ozark Dome (OD); Rome Trough (RT); Rough Creek Graben (RCG), New Madrid Seismic Zone (NMSZ); Ste. Genevieve Seismic Zone (SGSZ); Wabash Valley Seismic Zone (WVSZ); La Salle fold system (LS). Gray dots are epicenters of $M \geq 2.0$ earthquakes that occurred between January 1974 and December 2014 from the International Seismological Centre (ISC) catalog. AR: Arkansas; MO: Missouri; IL: Illinois; IN: Indiana; OH: Ohio; KY: Kentucky; TN: Tennessee.

Discoveries of anomalous mantle velocity structure in the central United States (CUS) made possible by deployment of the Earthscope Transportable Array, the Northern Embayment Lithosphere Experiment (NELE) FlexArray and the Ozarks-Illinois-INdiana-Kentucky (OIINK) FlexArray (Figure 2) suggest a causative link between mantle velocity heterogeneity and intraplate seismic zones. Several studies have indicated the presence of a low velocity zone (LVZ) below the Reelfoot Rift (Chen et al., 2014; Pollitz and Mooney, 2014; Chen et al., 2016; Nyamwandha et al., 2016). This LVZ is unique to the Reelfoot Rift; similar LVZs are not associated with other ancient CUS rift structures such as the Mid-Continent Rift (Pollitz and Mooney, 2014).

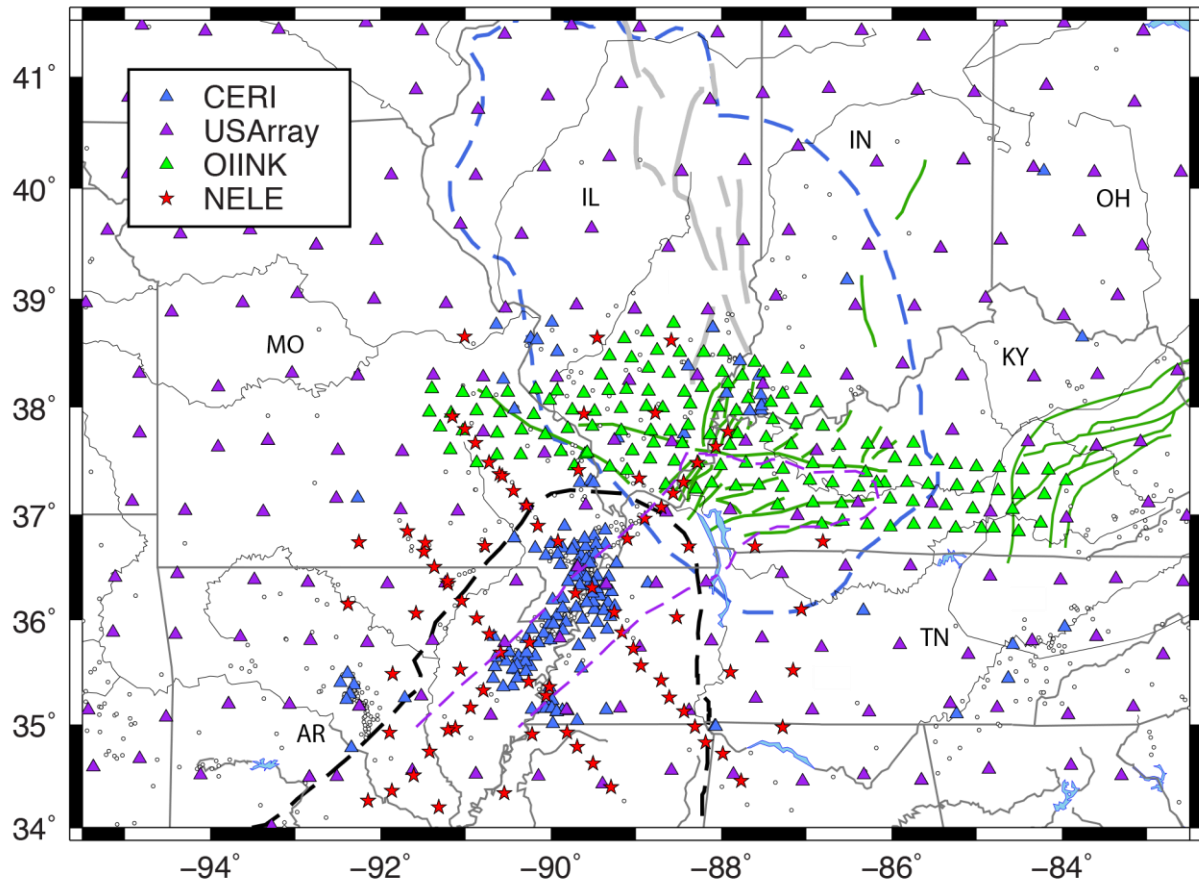


Figure 2. The 594 stations from the NELE, CERI, OIINK, and TA seismic networks used in our study. Stations were operational in all or part of the period January 2011 to December 2015.

The highest resolution upper mantle velocity models below the Reelfoot Rift are found in Nyamwandha et al. (2016) and are based on local and teleseismic earthquakes recorded by TA stations and the NELE broadband station deployment. P- and S-wave velocity models (V_p and V_s models) are determined in this study and indicate that the LVZ dips to the SW and extends from about 100 km below the NMSZ to a depth of at least 250 km below Arkansas. An unusual result of this study is that the V_p and V_s anomalies within the LVZ have similar magnitudes; normally, V_s is more strongly affected by variations in temperature, composition, and fluid

content than V_p (Cammarano et al., 2003; Lee, 2003; Jacobsen et al., 2004). A possible explanation for the similar magnitude anomalies is orthopyroxene enrichment which is usually attributed to the presence of a subducted slab (e.g. Wagner et al., 2008). Nyamwandha et al. (2016) attributed the LVZ to the metasomatic alteration of mantle rocks due to the ascent of hot, hydrous fluids from a segment of the Farallon slab trapped in the transition zone. An analogous situation exists below the North China Craton where fluids rising from the trapped Pacific slab are eroding the sub continental lithosphere (e.g. Kusky et al., 2007). Zhan et al. (2016) discussed the importance of the LVZ below the Reelfoot Rift for the generation of earthquakes; the weak, low viscosity zone will transfer stress to the upper crust, making the NMSZ a favorable location for earthquake activity in the presence of additional triggering events such as deep erosion by glacial melting. The concept of stress amplification in the upper crust due to the presence of a weak lower crust or upper mantle is the basis of a model for the NMSZ that successfully reproduces important observations such as the recurrence interval for large earthquakes and minimal surface strain rates (Kenner and Segall, 2000). Investigating the upper mantle below the Illinois Basin may reveal a connection between mantle velocity structure and the generation of earthquakes in the SGSZ and the WVSZ.

In this study, we present 3-D V_p and V_s models for the upper mantle that extend the results of Nyamwandha et al. (2016) northward into the Illinois Basin. Our models include the mantle below the WVSZ and the SGSZ. We use the same stations as Nyamwandha et al. (2016) and stations deployed by the OIINK FlexArray experiment (Yang et al., 2014). The accessibility of high-resolution seismic data from both FlexArray experiments offers an opportunity to address long-standing scientific questions such as: What is the relationship between the Reelfoot Rift and the Illinois Basin? Is the mantle below the two regions distinctly different? What is the

possible influence of mantle velocity structure on the seismic zones? Our study will provide insights into the connections between mantle velocity structure and the seismic zones, and between the Illinois Basin and the Reelfoot Rift.

2 Geologic and tectonic setting

2.1 The North American Midcontinent

Much of the CUS is located within the ancient North American craton. The Precambrian basement was developed by accretion of exotic terranes to the southeastern margin of the Archean lithosphere during growth of the continent. A 1.55 to 1.35 Ga episode of felsic intrusion and eruption produced the Eastern Granite-Rhyolite Province (EGRP) (Bickford et al., 2015). The EGRP lies below our entire study area. The origin of the EGRP is enigmatic but possibly involved basaltic underplating and crustal melting along an active plate margin extending from eastern Canada, across the CUS, and into the current southwestern US (Bickford et al., 2015). Additional lithosphere was added to the eastern and southern portions of the continent during the ~ 1.1 Ga Grenville orogeny. The Grenville orogeny is associated with the formation of supercontinent Rodinia. The location of the Grenville front in the CUS probably lies to the east and south of our study area (Figure 1), but Grenville flat slab subduction may have extended below the Illinois Basin (Bedle and van der Lee, 2006). Extension occurred in the CUS during the Grenville orogeny, producing the Midcontinent Rift and other extensional structures (Whitmeyer and Karlstrom, 2007). The breakup of Rodinia in the Late Precambrian and Early Cambrian fragmented the midcontinent, leading to the formation of rift and transform basement structures, including the Reelfoot Rift, Rough Creek Graben, and the Rome Trough (Thomas et al., 2006) (Figure 1). Subsequently, intracratonic-scale domes, arches, and basins formed in the region as a

consequence of the Appalachian and Ouachita orogenies. Uplift of the Pascola Arch at the end of the Permian effectively isolated the Illinois Basin from the Reelfoot Rift and the Mississippi Embayment.

2.2 Cratonic basins

The formation of cratonic basins represents an example of long-term intraplate deformation within the North American plate. There is no generally accepted mechanism for the formation of the basins although several hypotheses have been proposed to explain their origin. Much debate focuses on the origin of the Illinois Basin. Most of the basin fill is Cambrian through Pennsylvanian strata deposited as the basin subsided in the Paleozoic. A NE trending boundary (the Nd line, Figure 1) cuts across the basin, separating pre-1.6 Ga crust to the NW from younger, post-1.6 Ga crust to the SE. The line may separate older crust accreted during the Mazatzal orogeny from younger crust to the SE (Bickford et al., 2015). The initial formation of the Illinois Basin may have been linked to the same deformational processes that formed the Reelfoot Rift and the Rough Creek Graben (e.g. Marshak and Paulsen, 1996). Yang et al. (2017) found very thick crust (up to ~ 60 km) below the basin to the SE of the Nd line in a receiver function study, suggesting that the presence of the older thick crust may have influenced the subsidence of the basin. An abrupt change in crustal thickness occurs at the border between the Illinois Basin and the Ozark Dome. Yang et al. (2017) argued that the crustal thickness variations occurred prior to the formation of the basin and presented several hypotheses for the thick crust in the central and southern portions of the basin. These models involve processes at an active convergent margin, such as underthrusting, delamination, and magmatic underplating. Seismic reflectors have been observed in the basement and have been interpreted as the remnants of a

Proterozoic rhyolitic caldera complex or rift complex related to the thermal event that created the EGRP (McBride et al., 2003; Okure and McBride, 2006).

A prominent anticlinal structure, the La Salle deformation belt, trends northwest through the central Illinois Basin (delineated with solid gray lines in Figure 1). The La Salle belt is geologically complex, consisting of domes, synclines, anticlines, and monoclines (McBride, 1997). The folds are underlain by reverse faults that disrupt and offset intrabasement structures and offset the top of the Precambrian basement. McBride (1997) suggests that the deformation is similar to Rocky Mountain basement thrust generated folds but on a smaller scale. The southeastern end of the La Salle belt is connected to the northern boundary of the WVSZ (Figure 1). Moderate, upper crustal earthquakes located west of the La Salle belt have reverse and strike-slip mechanisms, suggesting reactivation of deep basement faults (McBride, 1997).

Previous studies provide insight into the mantle velocity structure below the Illinois Basin. A study using reprocessed seismic reflection data (Okure and McBride, 2006) reported mantle reflectivity beneath the Illinois basin, which indicates significant uppermost mantle heterogeneity relative to other parts of the United States. The mantle reflectivity defines an anomalous area that rests beneath the depocenter of the early Paleozoic Illinois Basin. A 3-D shear velocity model of the Illinois Basin (Bedle and van der Lee, 2006) contains a low velocity region extending from the base of the crust to about 90 km depth. Bedle and van der Lee (2006) attributed the slow velocity to the presence of a mantle wedge with hydrous minerals related to a Grenville age, fossilized flat slab. High crustal velocities beneath the southern Illinois Basin identified by Chen et al. (2016) support the interpretation that basin formation was influenced by the same rifting event that formed the Reelfoot Rift.

3 Data and methods

This study incorporates local and teleseismic earthquakes recorded by NELE, the New Madrid Seismic Network operated by the Center for Earthquake Research and Information (CERI), the Earthscope USArray (TA), and OIINK (Figure 2). The average spacing of the NELE stations is ~ 20 km. The NELE stations were deployed in two phases. The first phase was from September 2011 to October 2013 and consisted of four separate six-month deployments; the second phase began in July 2013 and finished in June 2015 and involved deployment of an additional 51 broadband stations. The OIINK Array has an average station spacing of ~ 25 km. It was divided into three phases that migrated from west to east. The first phase (July 2011 to June 2012) included 14 short-period and 9 broadband stations and went across the Mississippi River between Missouri and Illinois. The array expanded to 70 broadband seismic stations in the second phase and was deployed across southeastern Missouri and southern Illinois from June 2012 to late 2013. The final phase started in August 2013 and ended in October 2015 with stations deployed in southern Indiana and western Kentucky. Compared to the average spacing of TA stations, ~ 70 km, the involvement of NELE and OIINK stations dramatically increases the lateral resolution of the tomographic model in our study.

The dataset for the inversion consists of arrival times from local earthquakes and relative travel time residuals from teleseismic earthquakes recorded over five years (2011 to 2015). The first part of our dataset consists of 292 teleseismic events with $M \geq 5.5$ from 30° to 90° , selected to achieve as even an azimuthal coverage around the study area as possible. Arrival times were picked with the Automated and Interactive Measurement of Body-wave Arrival Times (AIMBAT) tool by Lou et al. (2013). The tool is based on multi-channel cross-correlation

(MCCC), and the initial phase marking part of the MCCC procedure is replaced with an iterative cross-correlation and stack (ICCS) algorithm.

The second part of the dataset is from Nyamwandha et al. (2016) and consists of 519 local and regional events with $M \geq 2.0$ and 282 teleseismic events (30° to 90° from the study area) with $M \geq 5.5$. Arrival times were recorded by the TA, CERI, and NELE networks. To fill in the gaps in the azimuth coverage of the above earthquakes, we also examined events within 160° to 180° from the study area for PKP arrivals. Three additional events that have 153 clear PKP arrivals in total were added after careful inspection. They are the Mw 6.4 Mid-Indian Ridge earthquake on October 4, 2013, the Mw 5.9 Southeast Indian Ridge earthquake on May 29, 2015, and the Mw 7.1 Southeast Indian Ridge earthquake on December 4, 2015 (Figure 3).

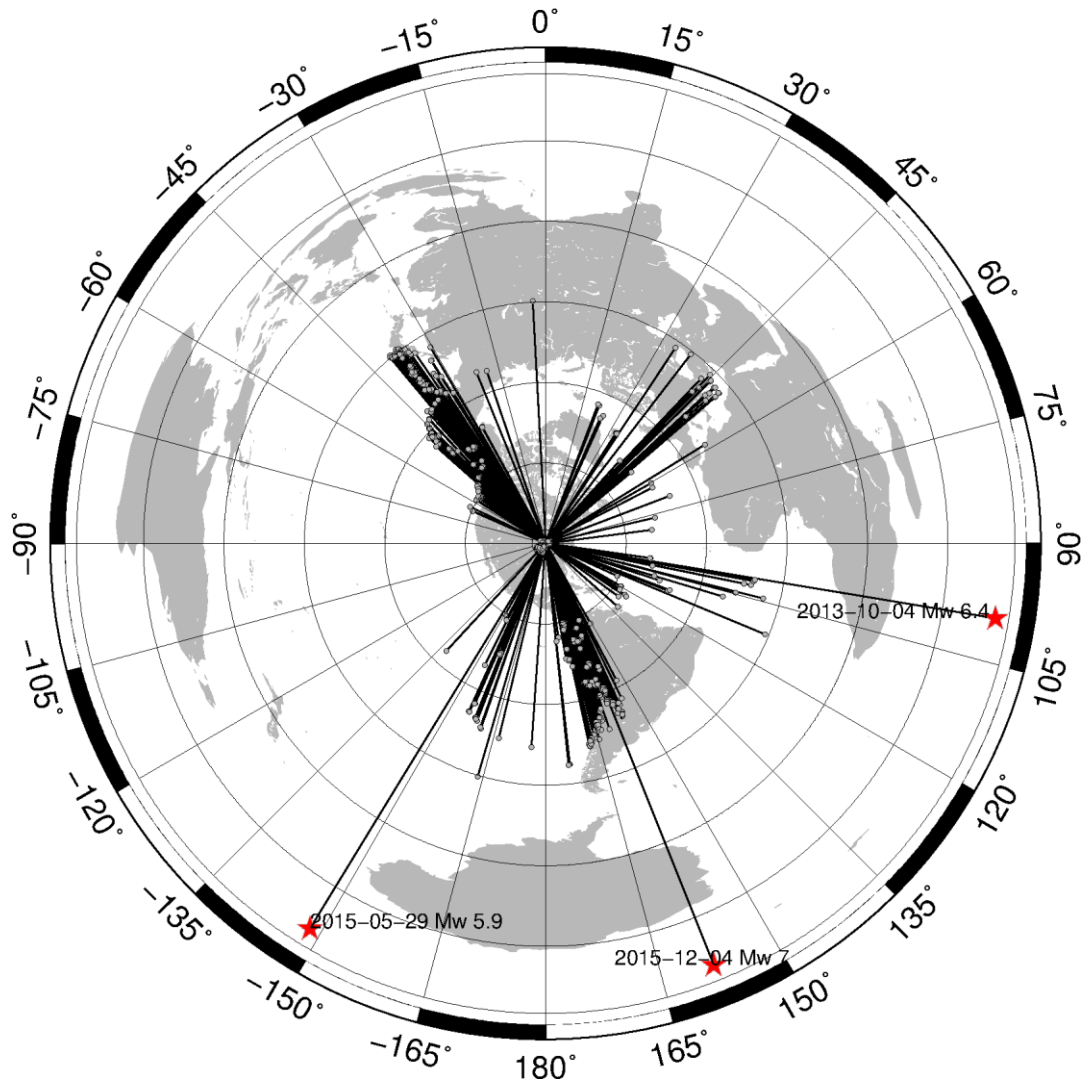


Figure 3. Azimuth coverage of the 1096 events used in the tomographic inversion. The dataset consists of 519 local and regional events with $M \geq 2.0$ and 577 teleseismic events with $M \geq 5.5$. Solid gray circles denote events with epicentral distances $< 90^\circ$. Three events at epicentral distances of 160° to 180° are marked with red stars. They were acquired from the Incorporated Research Institutions for Seismology (IRIS).

The joint inversion method of Zhao et al. (1994), TOMOG3D, is used to obtain the 3-D V_p and V_s models of the crust and upper mantle. This technique uses a 3-D ray-tracing

algorithm for calculation of theoretical travel times and ray paths (Zhao et al., 1992, 1996). The code was developed to study detailed three-dimensional velocity structures (Zhao et al., 1992) and allows the introduction of discontinuities in the starting model. The depths to a discontinuity are expressed as 2-D grid nodes; at any location within the study area, the depth of the discontinuity can be calculated by linearly interpolating the depths at the surrounding four grid points.

For teleseismic events, relative traveltimes residuals are calculated using the technique described in Zhao et al. (1994): (1) for each record, the travel time is converted into a residual by subtracting the theoretical travel time computed using the IASP91 model (Kennett and Engdahl, 1991). (2) for each earthquake, the mean residual value is removed from all records. Removal of average residuals effectively reduces the uncertainties introduced by hypocentral mislocations, origin times, and path effects outside of the modeling space (Zhao et al., 1994). The above dataset and processing methods produced a total of 16200 arrival times from local earthquakes and 53680 relative residuals from teleseismic earthquakes.

Following Chen et al. (2016), the starting Vp and Vs models are constructed by concatenating the Catchings (1999) crustal velocity model and the upper mantle portion of the IASP91 model (Figure 4a). The starting Vp/Vs model is obtained by dividing the starting Vp model by the starting Vs model (Figure 4b). The optimum damping and smoothing values were determined using the technique by Eberhart-Phillips (1986) and were presented in Supporting Information **Text S1**.

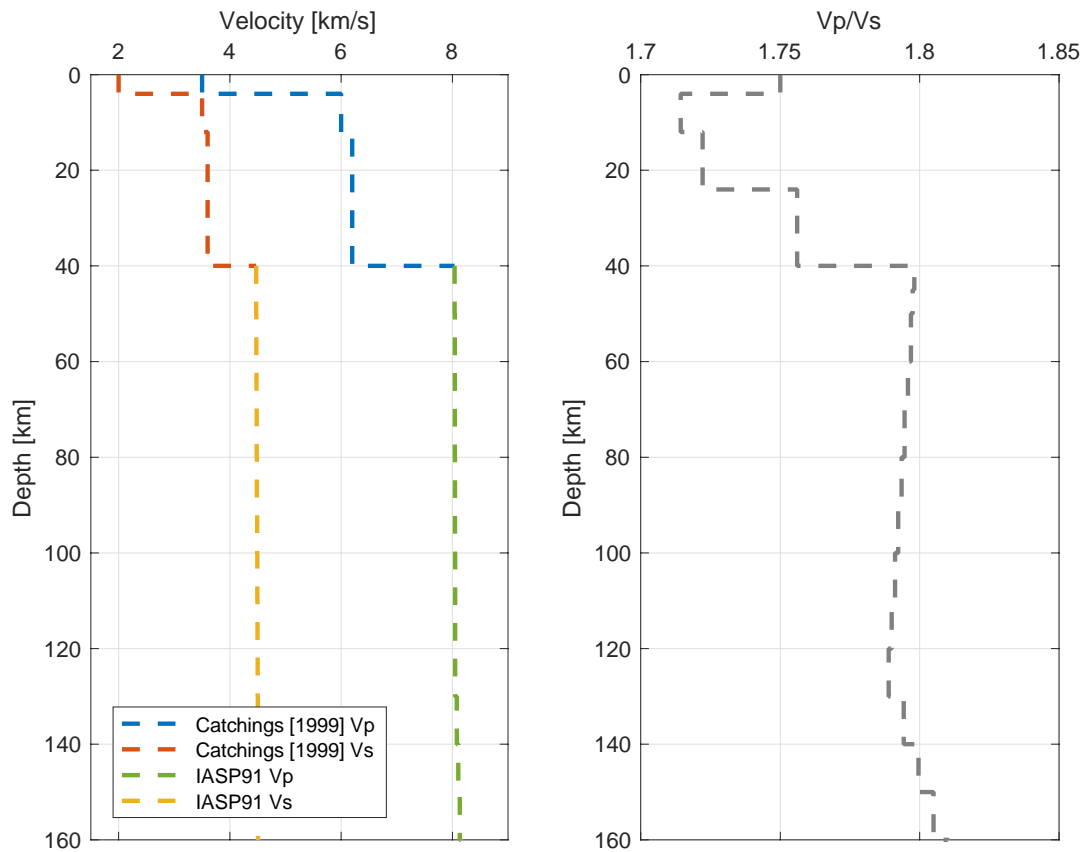


Figure 4. 1-D starting Vp and Vs models (a) and Vp /Vs model (b). The upper 40 km is the Catchings (1999) model obtained from a seismic refraction experiment in the Mississippi Embayment. The portion below 40 km is the IASP91 model (Kennett and Engdahl, 1991). The Vp /Vs model is obtained by dividing Vp by Vs at each depth.

4 Results

4.1 Checkerboard resolution test

The study area was parameterized with 50 km \times 50 km grids horizontally and 16 vertical layers from the surface to 600 km depth. The optimum horizontal grid spacing was found by

testing a range of node separations and determining the minimum RMS traveltimes residual. In the vertical direction, five layers were set up for the crust, and 11 layers were set up for the mantle. The separation between these layers gradually increases with depth. The minimum number of observations at a grid point for it to be included in the inversion was set to 10.

Synthetic checkerboard models were created to investigate spatial resolution. Each checkerboard box consists of 2×2 grids horizontally and is therefore $100 \text{ km} \times 100 \text{ km}$ in size. Across the boundary between adjacent boxes, a velocity contrast of 10% ($\pm 5\%$) was introduced. The above configuration divided the study area into ~ 13 checkerboard boxes in longitude and ~ 8 checkerboard boxes in latitude. Synthetic travel time data were computed for the checkerboard model using the ray-tracer in TOMOG3D. Random errors that lie between -0.5 sec and $+0.5 \text{ sec}$ were superimposed on the synthetic data in order to simulate picking errors in the real data. The synthetic data were inverted to recover the patterns in the input checkerboard model, using the same technique and the same source and station distributions as the real data inversion. In the output checkerboard models, all depth slices from 40 km to 400 km show well-recovered patterns (Figures 5 and 6). The recovered patterns diminish beneath 400 km because ray paths coming from teleseismic events become sparser below this depth. Patterns are not well-resolved in the upper 20 km because ray paths are nearly parallel to each other and at near-vertical incidence.

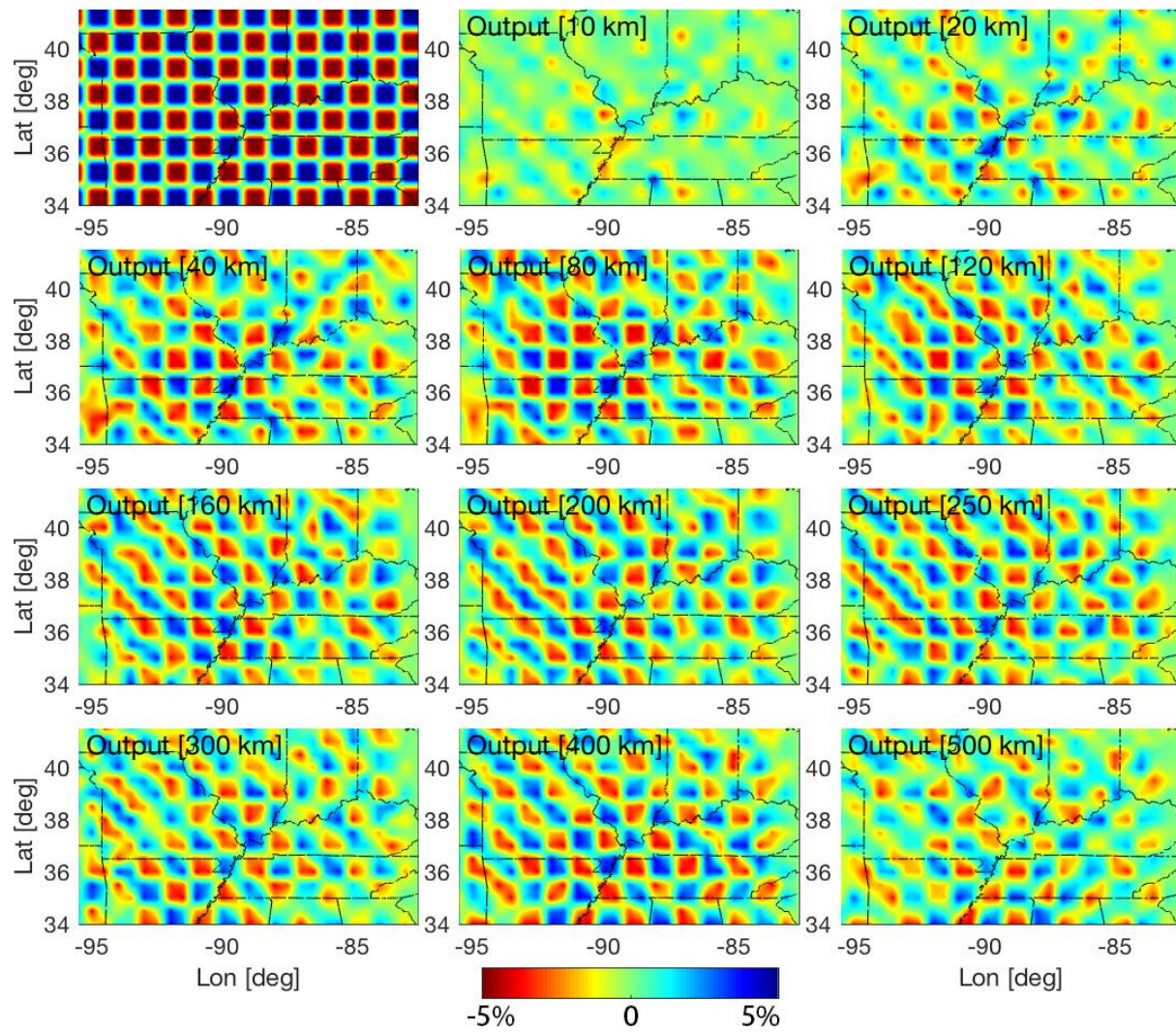


Figure 5. Input and output P-wave checkerboard models. Patterns at depths between 40 km to 400 km are well-recovered.

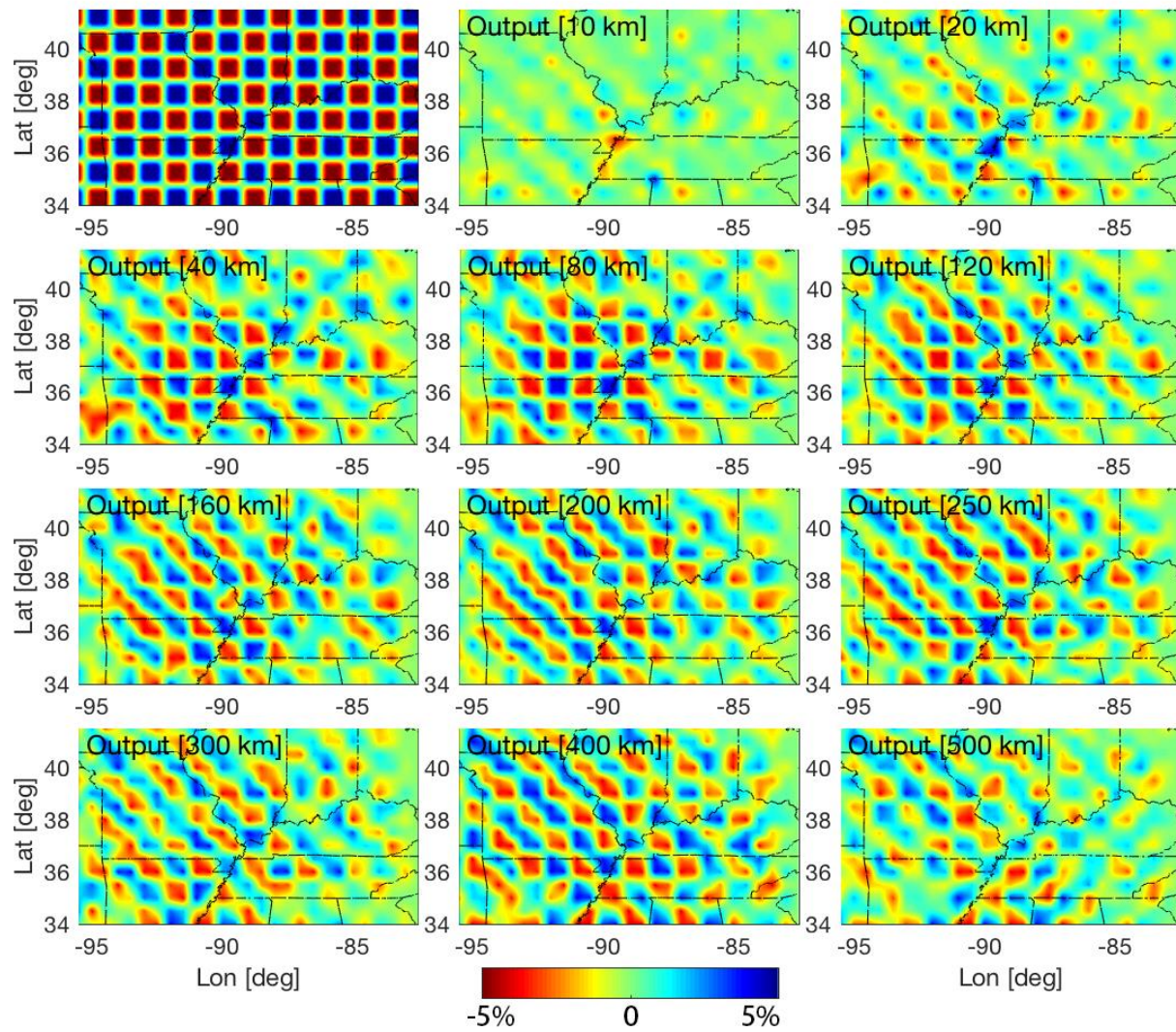


Figure 6. Input and output S-wave checkerboard models. The checkerboard recovery is comparable to the recovery found for the P-wave velocity solution.

4.2 Real data inversion

4.2.1 a priori data

For the real data inversion, we incorporated several datasets from previous studies as *a priori* constraints on major discontinuities in the Earth: sediment thickness, Moho depth, and depth to the 410 km discontinuity. The geometry of the sediment (Figure 7a) was extracted from the Central U.S. Velocity Model (CUSVM) (Ramírez-Guzmán et al., 2012). The sediment of the ME consists mainly of post-Paleozoic deposits. Sediment thickness varies from < 1 km in the northern ME to 2 km in the southern ME. There is a high impedance contrast between the sediment and the bedrock, which makes it crucial to characterize the geometry of the sediment throughout the region (Ramírez-Guzmán et al., 2012). The dataset for the 410 km discontinuity (Figure 7c) was obtained from Gao and Liu (2014). These authors used receiver functions to map depths to the 410 km and the 660 km discontinuities beneath the contiguous United States and adjacent areas. There are several datasets representing depths to the Moho (Ramírez-Guzmán et al., 2012; Laske et al., 2013; McGlannan and Gilbert, 2016; Yang et al., 2017). After comparing the results using different crustal thickness models, the OIINK CUS Moho 2017 (Figure 7b) was included in our starting model to obtain the final tomographic images. Details of the comparison are presented in Supporting Information **Text S2**.

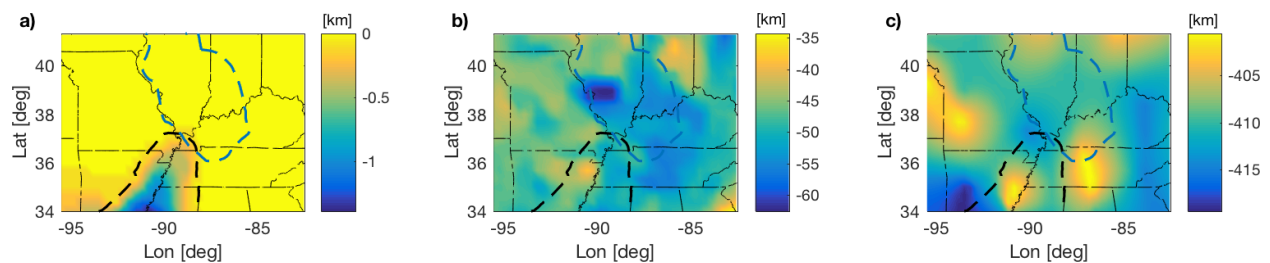


Figure 7. Datasets representing depths to the major discontinuities that have been included in the starting model: **(a)** thickness of the sediment from the Central U.S. Velocity Model (Ramírez-Guzmán et al., 2012); **(b)** depths to the Moho from the OIINK CUS Moho 2017 (Yang et al., 2017); **(c)** depths to the 410 km discontinuity (Gao and Liu, 2014). The boundaries of the Illinois Basin and the Mississippi Embayment are delineated with a dashed blue line and a dashed black line, respectively.

4.2.2 Vp and Vs models

The Vp and Vs models are presented in Figures 8 and 9 and were determined using the optimum damping and smoothing parameters and selected *a priori* datasets. Four cross-sectional views of the velocity models are presented in Figures 10 and 11: AA' and BB' are roughly perpendicular to the Reelfoot Rift; CC' goes through the NMSZ and extends into the SGSZ; DD' is parallel to the Reelfoot Rift and extends into the WVSZ. The Vp and Vs solutions are similar at all depths. Vs anomaly magnitudes are larger than the Vp anomaly magnitudes for most of the major mantle features resulting in positive Vp/Vs ratio perturbations (Figure 12).

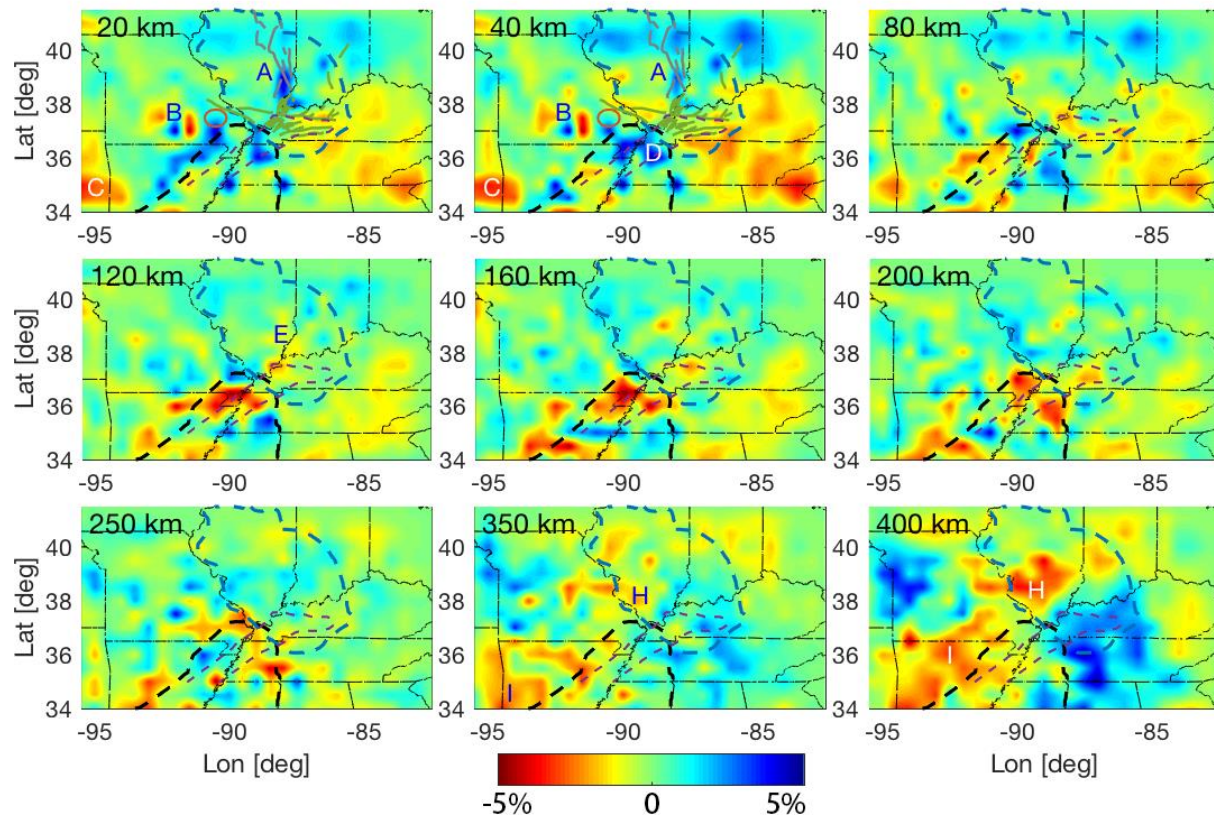


Figure 8. Horizontal slices through the Vp model. Fault traces and fold systems are delineated with solid green lines and solid gray lines, respectively. The Ozark Dome is delineated with an orange circle. Dashed purple line marks the boundary of the Reelfoot Rift. The boundaries of the Illinois Basin and the Mississippi Embayment are delineated with a dashed blue line and a dashed black line, respectively.

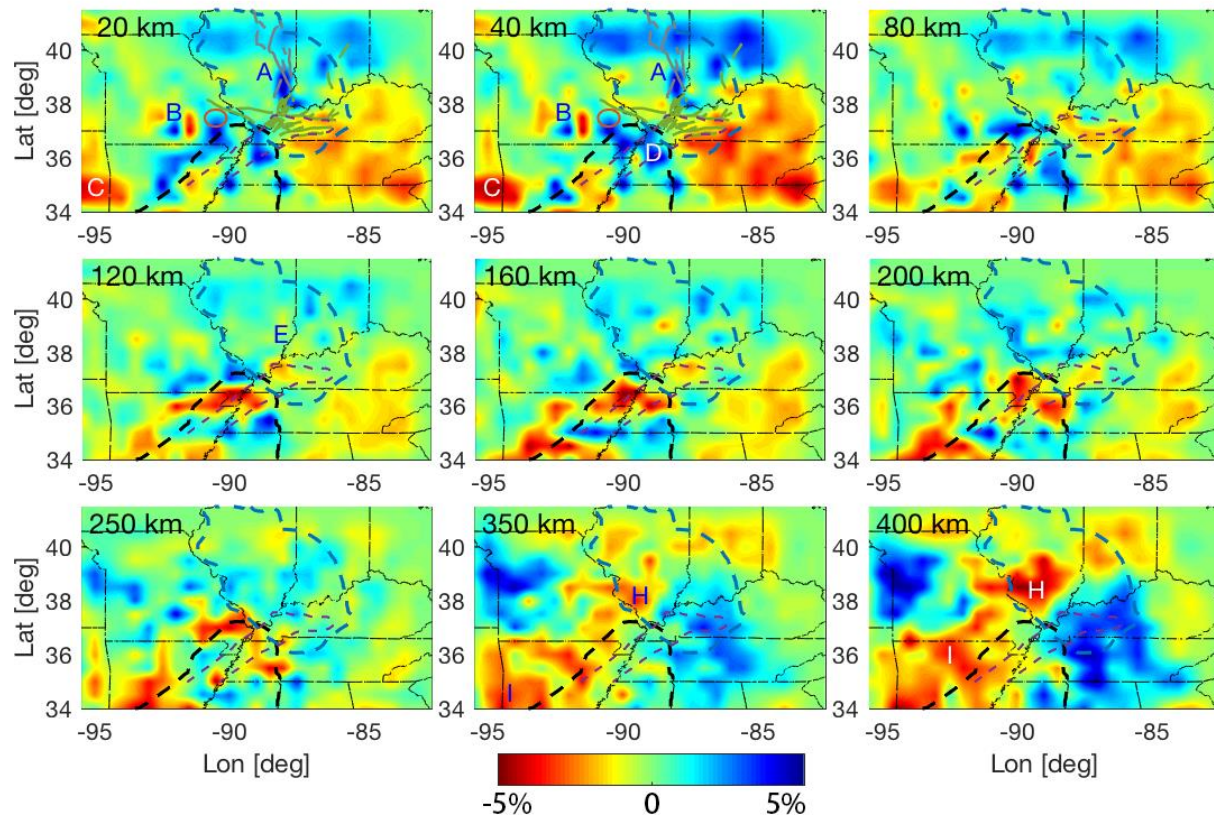


Figure 9. Horizontal slices of the output Vs model. Notations for major geology features are the same as those in Figure 8. Vs and Vp anomalies have a similar spatial distribution but, in most cases, the Vs anomalies have larger magnitudes.

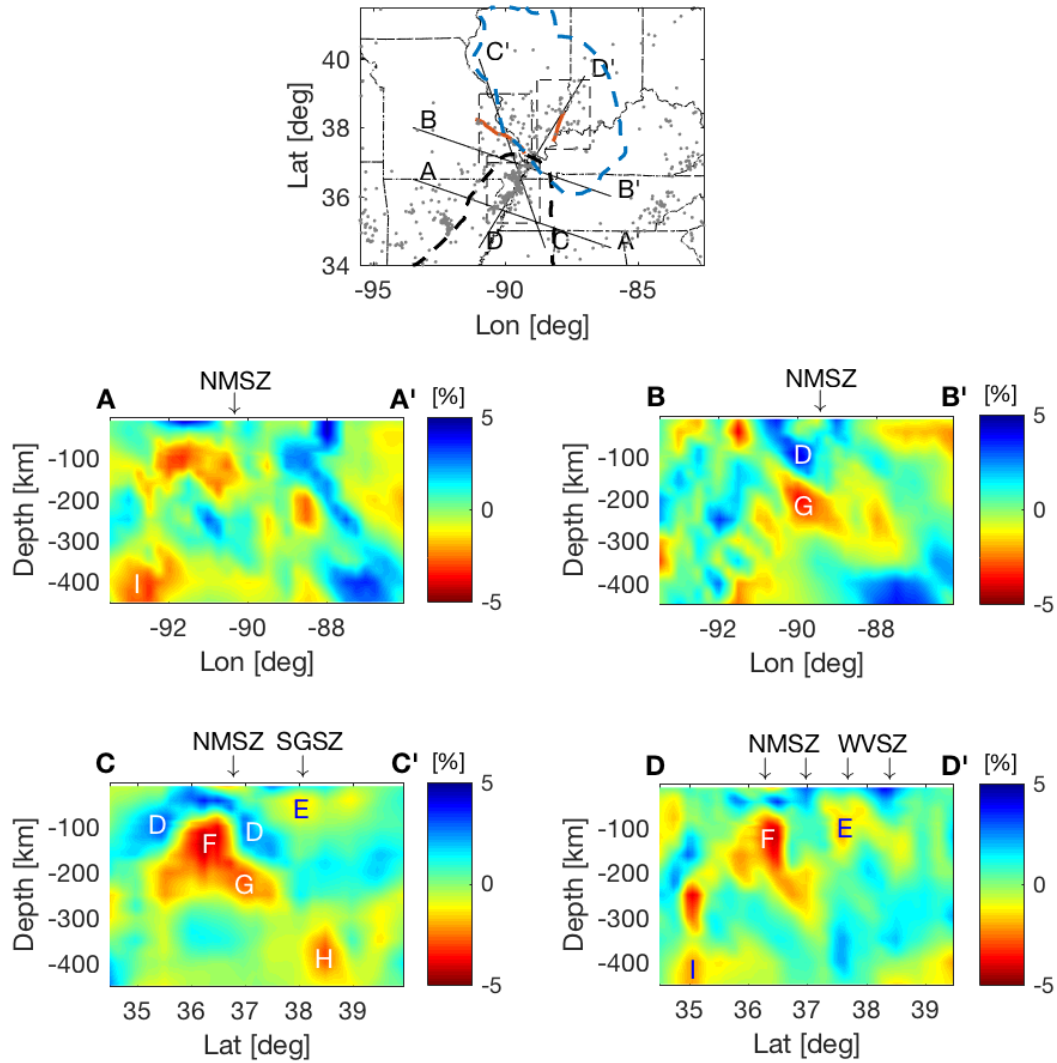


Figure 10. Vertical slices through the Vp model. On the map view, thick, orange lines indicate the locations of the SGSZ (crossed by CC') and the WVSZ (crossed by DD'). AA', BB' and DD' pass through the NMSZ.

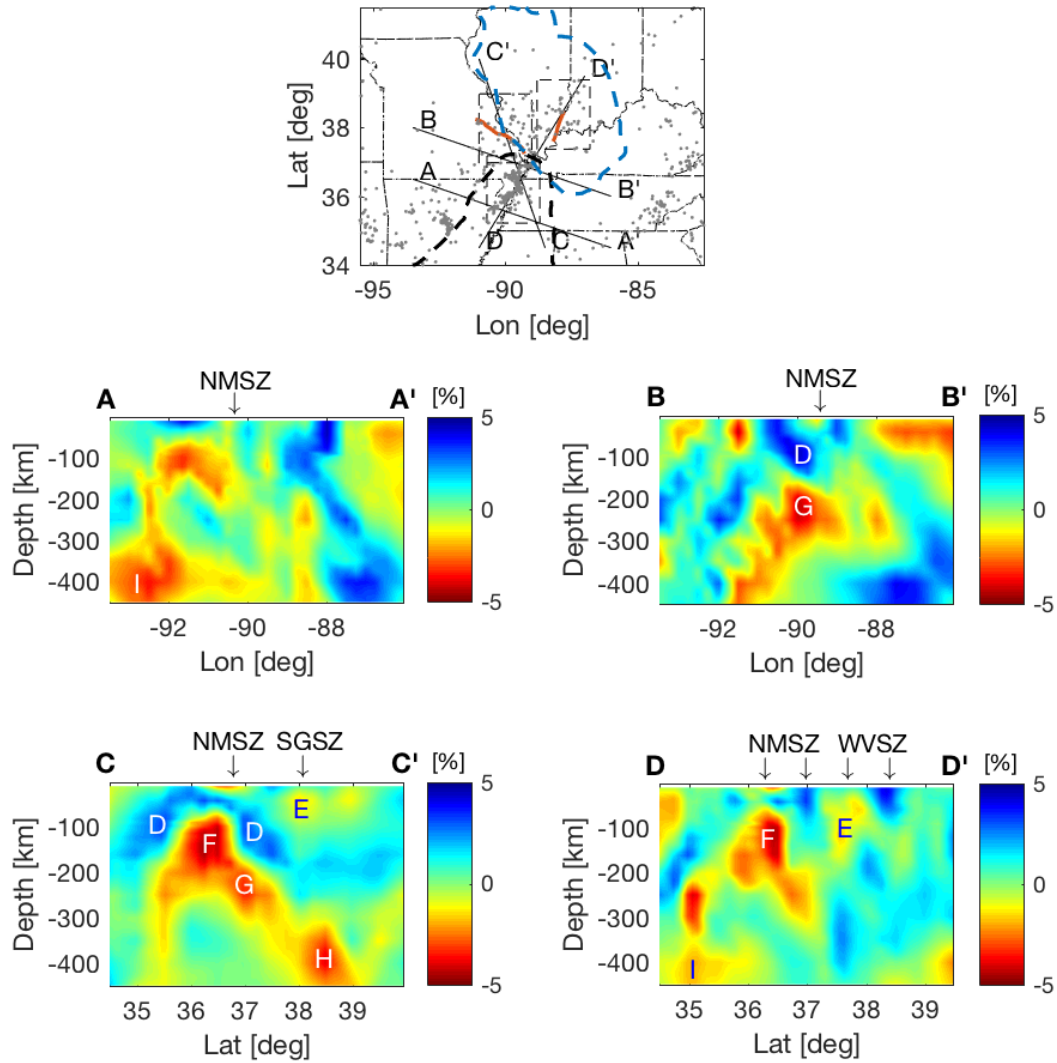


Figure 11. Vertical slices through the output Vs model. Vs and Vp anomalies have a similar spatial distribution but, in most cases, the Vs anomalies have larger magnitudes.

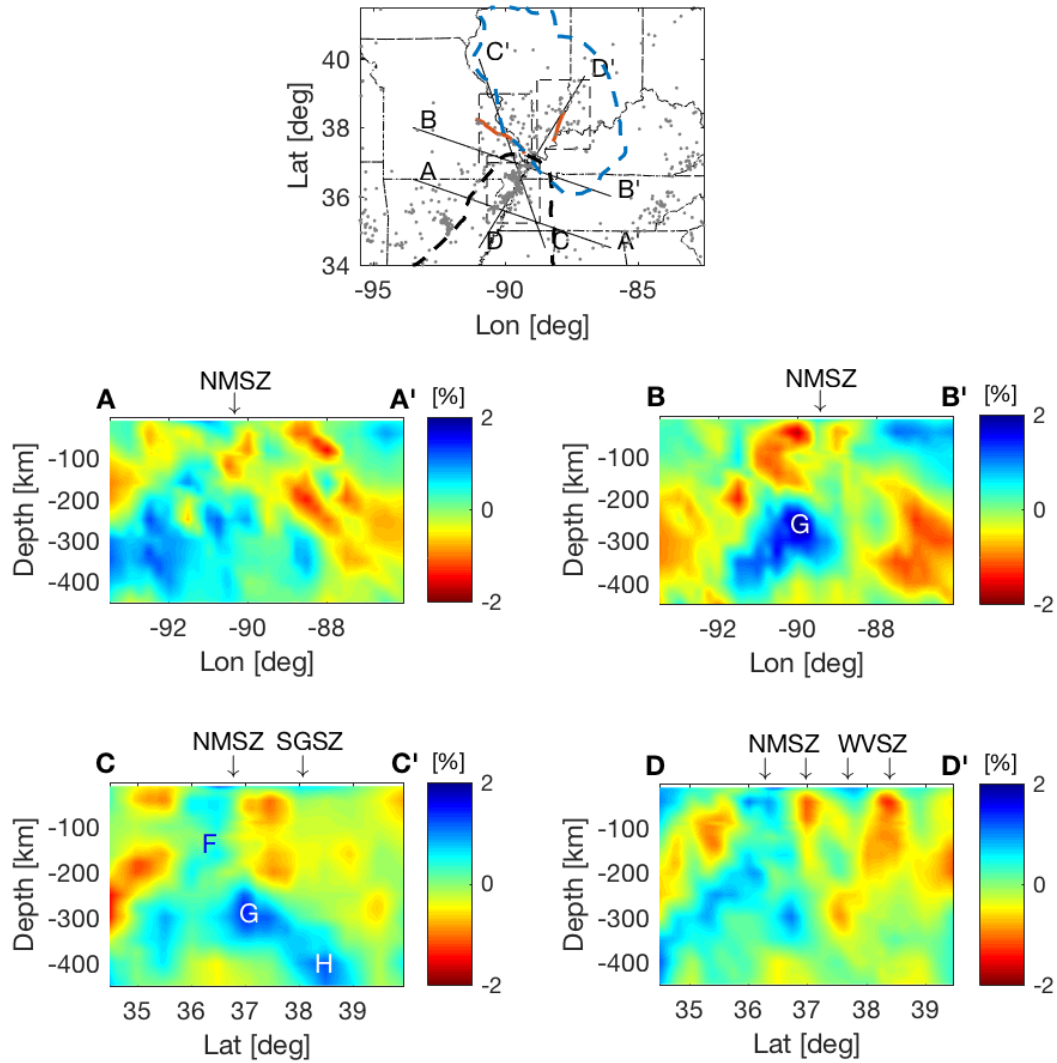


Figure 12. Vertical slices through the V_p/V_s ratio solution given as perturbation ratios with respect to the initial model. Intraplate seismic zones are labeled as in Figure 11. Low V_p/V_s ratios characterize low velocity anomalies G and H. No V_p/V_s variation is observed in the low-velocity zone beneath the NMSZ in the upper mantle.

5 Interpretation

5.1 Crustal velocity structure

Anomalously high velocities in the lower crust characterize the upper Reelfoot Rift region and high velocities extend into the lowermost part of the Illinois Basin in the Vs solution. (labeled D in Figures 8–11). The spatial distribution of high crustal velocities resembles the patterns of anomalies resolved using ambient noise tomography (Liang and Langston, 2009) and shear velocity inversion (Chen et al., 2016). The pattern of high velocity at 40 km depth is also very similar to the location of uppermost mantle high velocity determined in a recent Pn velocity inversion (Basu and Powell, 2019). A linear, high-velocity anomaly (labeled A) is present in the crust beneath the central area of the Illinois Basin and coincides with the La Salle deformation belt. High velocity also extends in an EW direction across the northern Illinois basin. Prominent low velocity anomalies (labeled B in Figures 8 and 9) in southern Missouri coincide with a Bouguer gravity low interpreted as a batholith (the Missouri batholith of Hildenbrand and Hendricks, 1995). A prominent low velocity anomaly on the Arkansas-Oklahoma border (labeled C in Figures 8 and 9) corresponds to the Arkoma basin. Low velocity characterizes the lower crust below Kentucky and central and eastern Tennessee. This large low velocity region is also present in the Vs model determined by Chen et al. (2016).

5.2 Mantle velocity structure

Several interesting features are found in the mantle portions of the models. At 80 km depth, low velocity is present below the Reelfoot Rift and the Rough Creek graben. As indicated in cross sections CC' and DD', small regions of low velocity (labeled E in Figures 10 and 11) are present below the SGSZ and the WVSZ. These low velocity regions were also imaged in the Vs

model determined by Chen et al. (2016) at depths shallower than 75 km and may be better resolved equivalents of the more extensive low velocity region below the Illinois basin detected by Bedle and van der Lee (2006) using a limited number of stations.

The presence of prominent low-velocity anomaly F in cross sections CC' and DD' is consistent with the results of previous studies that identified reduced velocities in the mantle below the Reelfoot Rift and the Mississippi Embayment (e.g. Bedle and van der Lee, 2006; Pollitz and Mooney, 2014; Chen et al., 2016; Nyamwandha et al., 2016). Anomaly F extends to the SW at about a 45° angle below the Embayment in cross section DD', in agreement with the velocity models determined by Nyamwandha et al. (2016). An interesting new discovery is that low-velocity anomaly F also extends to the northwest at an apparent dip of ~45° along the boundary between Missouri and Illinois (anomaly G in cross section CC'). Anomaly G terminates at about 250 km depth but another, larger low velocity anomaly (H in cross section CC') continues along the same dip direction beginning at a depth of about 350 km. Anomaly H extends to the base of the model.

Low velocity is associated with the Mississippi Embayment mantle throughout the model. At a depth of about 350 km, the low velocity region broadens to the west (labeled I in Figures 8 and 9 and in cross section DD) and extends to the northeast below the Illinois basin (labeled H in Figures 8 and 9 and in cross section CC'). Low velocity region I is likely a continuation of the low-velocity anomaly that dips toward SW imaged below the Mississippi Embayment by Nyamwandha et al. (2016), considering that the orientation of anomaly I is the same as the dip direction of the low-velocity feature found in the earlier study. The depth slices at 350 and 400 km indicate the presence of a confined region of low velocity at the top of the transition zone and lends support to the interpretation by Nyamwandha et al. (2016) and Chen et

al. (2014) that the low velocities are associated with hot fluids upwelling from the transition zone.

The Vp/Vs model is presented in perturbation ratios with respect to the starting Vp/Vs model (Figure 12). On cross-section CC' of the Vp/Vs model, positive anomalies are associated with anomalies G and H, while no Vp/Vs anomaly is associated with anomaly F. In both our study and the study by Nyamwandha et al. (2016), the magnitudes of the Vp and Vs anomalies for anomaly F are very similar, leading to near zero Vp/Vs perturbations. In our study, Vs anomaly magnitude is higher than the associated Vp anomaly magnitude for G and H, producing positive Vp/Vs perturbations. This implies that the source of anomaly F may be different from that of anomalies G and H.

5.3 Synthetic tests

Synthetic models are constructed for anomalies F, G and H to investigate whether these anomalies form one continuous low velocity region or separate regions. The Vp and Vs input models are shown in Figures 13c and 13d, respectively. Synthetic arrival times are computed for all hypocenter-station pairs in the dataset used for the real tomographic inversion. The arrival times are randomly perturbed according to real data uncertainty and then inverted for recovered models using the same technique as the checkerboard resolution test described previously. Anomalous blocks F and G are separated by ~ 140 km (measured from the centers of the blocks) to represent two different regions in the initial model. The block edges are separated by ~40 km. Smearing in the recovered models connects the two blocks but does not reproduce the anomaly amplitude between the two blocks observed in the real solutions (Figures 13a and b). This suggests that anomalies F and G form a continuous region of low velocity in the real velocity models. Anomalies G and H are clearly separated from one another in the recovered synthetic

419 model for Vp. The result for Vs is less obvious but suggests that the weak Vs anomaly
 420 connecting G and H in the real solution may not be due to smearing; G and H could be a
 421 continuous region of low Vs. Continuity of the low Vs region is illustrated in the 3D view
 422 generated for the real data shown in Figure 14.

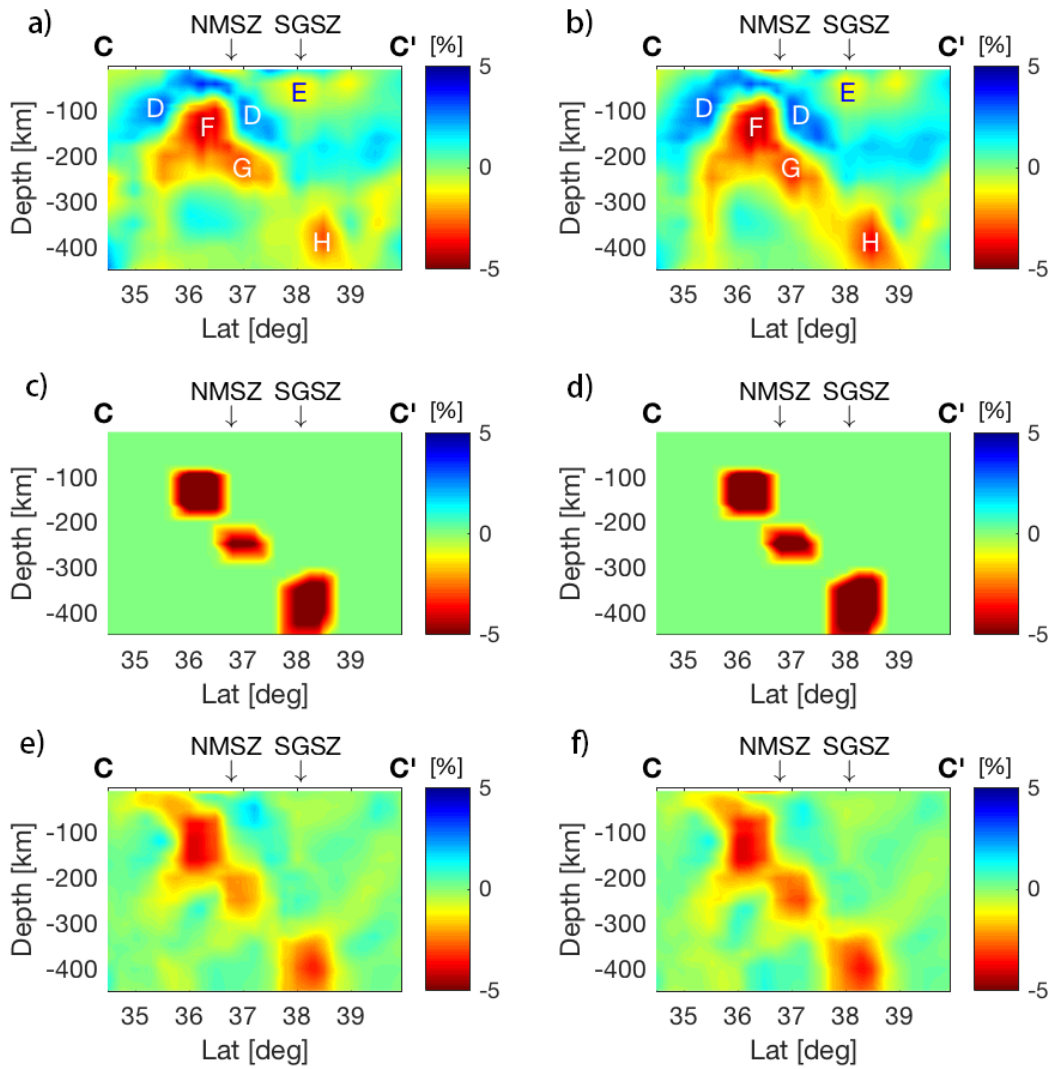


Figure 13. A synthetic test investigating the effect of artifacts on the resultant tomographic images: (a) cross-section CC' of the Vp tomographic model; (b) cross-section CC' of the Vs tomographic model; (c) cross section view of the input Vp synthetic model; (d) cross-section view of the Vs synthetic input model; (e) recovered Vp model in the synthetic test; (f) recovered Vs model in the synthetic test.

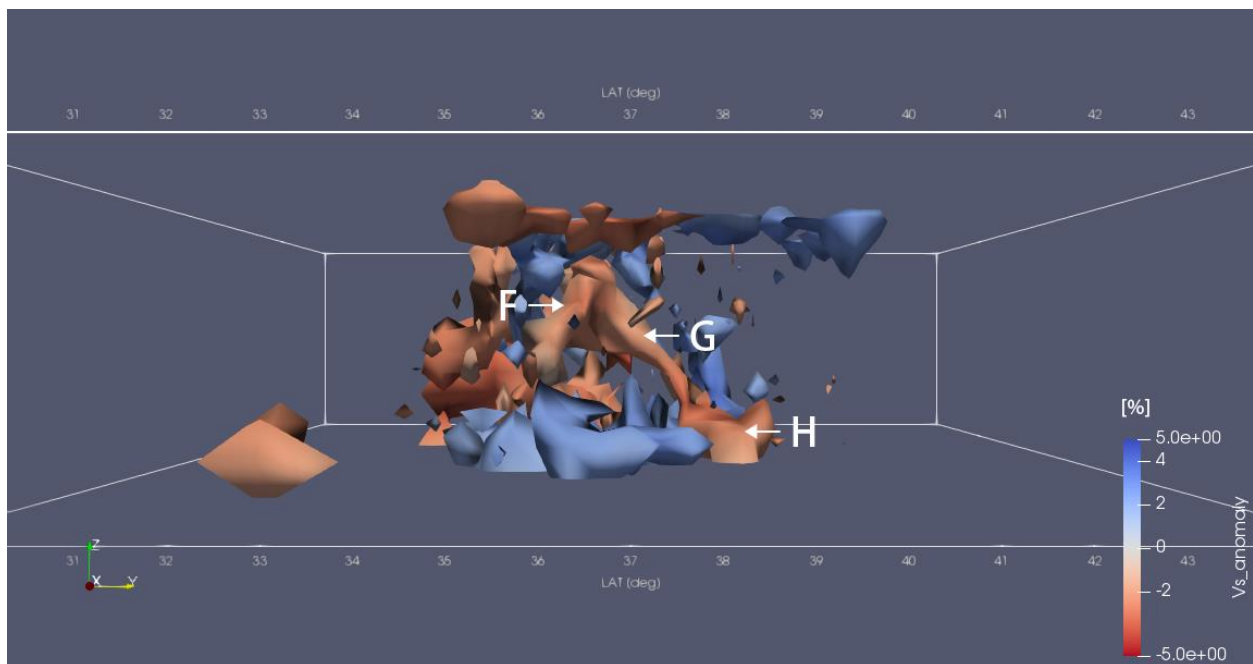


Figure 14. Three-dimensional view of the real Vs solution from the east. From this perspective, the low Vs region (anomalies G and H) extends as a continuous feature from about 50 km depth to the transition zone. The merger of the low velocity regions below the Illinois Basin and the Mississippi Embayment is also visible.

6 Discussion

Our velocity models display some features that agree and some that disagree with previous velocity models for the crust, most notably the V_s model developed by Chen et al. (2016). The Chen et al. (2016) model was derived using surface waves recorded by TA and OIINK stations and covers most of the area investigated in our study. Velocity features in the crust that are in common to both studies include the presence of high velocity in the mid to lower crust below the Reelfoot Rift and southernmost Illinois Basin, and low velocity below Kentucky and parts of Tennessee. The two studies differ in the velocity associated with the La Salle fold belt; in the Chen et al. (2016) model, the fold belt is associated with low V_s while high V_s is found in our study. The presence of high velocity at mid to lower crustal depths would tend to support a rift origin for the deformational belt as rifting usually produces zones of high crustal velocity (Mooney et al., 1983). Marshak and Paulsen (1996) suggest that the La Salle belt formed as a result of extensional tectonism during formation of the Granite-Rhyolite province. The rift could have been subjected to compressional tectonics during the Appalachian Ouachita orogeny, producing the complex anticlinal structure (McBride, 1997). Another possibility is that the high velocity rocks were emplaced during an episode of magmatic underplating associated with the formation of the Granite-Rhyolite province (Yang et al., 2017).

The origin of slow velocity lower crust throughout Kentucky and central and eastern Tennessee is enigmatic. The slow velocity region does not correlate with low Bouguer or isostatic gravity anomalies or with obvious terrane boundaries such as the Grenville front. Most of the region is underlain with thinned lithosphere attributed to lithospheric foundering in the teleseismic V_p study by Biryol et al. (2016). Possibly, the proposed foundering event caused heating and chemical differentiation in the lower crust, resulting in the generation of low

velocity, silica rich magmas, as hot asthenosphere replaced normal cool lithosphere. The upper crust was not affected as age dating indicates the presence of Granite-Rhyolite as far east as central Kentucky and Tennessee (Fisher, 2010).

Our velocity models reveal the presence of low-velocity anomalies at depths exceeding 150 km beneath the central part of the Illinois Basin. Anomalies G and H form a northwest dipping low velocity zone that is a northern extension of the well documented LVZ below the Mississippi Embayment (anomaly F). The northwest dipping LVZ extends from roughly 200 km to the transition zone. Our synthetic tests indicate that anomaly G is probably continuous with anomaly F. Anomaly H is an isolated feature in the V_p model but the larger V_s anomalies suggest that the feature is continuous with anomaly G in the V_s model. In the study by Nyamwandha et al. (2016), anomaly F is most evident at depths of 100 ~ 200 km where it is approximately 100 km wide. Regions of high velocity are imaged at depths of 80 ~ 160 km along the sides and above the low-velocity anomaly. The high velocity regions are also found in our study and are labeled D in cross-sections BB' and CC' (Figures 10 and 11).

The presence of a dipping low velocity zone in the upper mantle bounded over part of its length by regions with high V_p and V_s anomalies is strikingly similar to upper mantle velocity models determined for the North China Craton. The velocity anomalies below China are attributed to upwelling, silica-rich fluids from the stalled Pacific slab (e.g. Santosh et al., 2010). Nyamwandha et al. (2016) suggest that hot, hydrous, upwelling fluids from the stalled Laramide slab in the transition zone (Sigloch et al., 2008; Sigloch, 2011) produce the low V_p and V_s anomalies below the Mississippi Embayment (anomaly F). Observed high-velocity regions above and flanking the low-velocity region are interpreted as depleted, lowermost lithosphere, in agreement with the interpretation of similar high-velocity regions below the North China Craton

(Santosh et al., 2010; Tian and Zhao, 2011). The results of our study, indicating that the LVZ below the ME extends to the transition zone, supports the interpretation by Nyamwandha et al. (2016).

The new discovery of a second LVZ (anomalies G and H) extending to the transition zone below the Illinois Basin supports the concept that rising, hot fluids are critical factors in the generation of the velocity anomalies. Seismic velocities in the mantle are mainly affected by three factors: temperature variations, the existence of fluids, and compositional changes. In general, Vp and Vs anomaly magnitudes are dissimilar because Vs is more sensitive to changes in these factors than Vp (Schmandt and Lin, 2014). This is the case for anomalies G and H in our model. Anomaly F is unusual in that the Vp and Vs magnitudes are very similar. We investigate the relative importance of the factors that affect velocity for anomalies G and H at the midpoint of each anomaly, 240 km for G and 370 km for H. Maximum Vp and Vs anomalies are -4% and -5%, respectively, at 240 km and -3.8% and -5%, respectively, at 370 km.

6.1 The influence of temperature

Mantle rheology can be significantly affected by temperature. Cammarano et al. (2003) demonstrated that the sensitivity of velocity to temperature decreases with increasing depth. We derive the equations for estimating temperature elevations from the observed low velocity anomalies G and H at 240 km and 370 km, as presented in supporting information **Text S3**. Our calculations show that temperature increases of $830 \pm 150^\circ$ and $470 \pm 120^\circ$ are required to produce the Vp and Vs anomalies at location G, respectively; and temperature increases of $740 \pm 140^\circ$ and $550 \pm 120^\circ$ are required to produce the Vp and Vs anomalies at location H, respectively. Temperature increases by these amounts are too high for mantle materials to remain solid (Hammond and Humphreys, 2000) as can be determined by comparing the geotherm with the

solidus at 240 km and 370 km depths. The magnitudes of our observed V_p and V_s anomalies do not support the presence of extensive melting. Some other factors must be taken into consideration to help explain the reduced mantle velocities in our models.

6.2 Compositional changes

Many calculations in previous seismic tomographic studies based on experimentally derived temperature derivatives of seismic velocities tend to require unrealistically large thermal anomalies (e.g. Frederiksen et al., 1998). Our case is not an exception. The overly large temperature variations suggest that other sources of velocity reduction that produce changes in mantle rocks over long time periods, such as mineral reactions, should be taken into consideration (e.g. Sobolev and Babeyko, 1994).

Several studies address the sensitivity of seismic velocities to composition (e.g. Lee, 2003; Schutt and Leshner, 2006; Wagner et al., 2008). Lee [2003] investigated the effects of mantle compositional variations on density and seismic velocities for a suite of upper mantle peridotites spanning the primary compositional range of fertile (magnesium number: $Mg\# = 100 \times Mg/(Mg + Fe)$ of 86–88) to highly residual compositions ($Mg\#$ of 93–94). Using the method in Lee (2003), our calculation indicates that the maximum V_s anomalies that can be produced from the reduction of magnesium number are $-2.3\% \sim -2.7\%$ for anomaly G and $-2.2\% \sim -2.5\%$ for anomaly H. However, V_p is relatively insensitive to the variation of magnesium number (Lee, 2003). Therefore, the velocity reductions in the mantle beneath the Illinois Basin cannot be explained by the variation of $Mg\#$ alone. Details of the calculation are presented in supporting information **Text S4**.

We consider the possibility that the velocity anomalies could be due to changes in rock type only. We calculate Vp and Vs anomalies with respect to hartzburgite for various rock types that may be expected in the upper mantle using the Burman mineral physics toolbox (Cottaar et al., 2014). The result indicates that purely compositional changes cannot account for the observed Vp and Vs anomaly values. In addition, invoking a sudden compositional change in a localized region to explain the velocity anomalies is improbable. Details of the calculation are presented in supporting information **Text S5**. Our calculation utilizes the data from Duffy and Anderson (1989), McDonough and Rudnick (1998), Lowrie (2007), van der Lee et al. (2008), and Irifune et al. (2008).

6.3 Water enrichment

Water enrichment in the mantle can reduce both Vp and Vs (Jacobsen et al., 2004; Bastow et al., 2008; Eaton et al., 2009; Mao et al., 2011). Water reduces mantle velocities by saturating minerals such as olivine, resulting in increased anelasticity and attenuation (Dixon et al., 2004). Water can also reduce Vp and Vs by metasomatic alteration of mantle peridotite or eclogite (Sobolev and Babeyko, 1994; Goes and van der Lee, 2002; Mainprice et al., 2008; Pollitz and Mooney, 2014). Elevated water levels could be the result of rising, hydrated asthenosphere at hotspot locations (Pollitz and Mooney, 2014) and dehydration of descending slabs (Dixon et al., 2004; Santosh et al., 2010; Chen et al., 2014; Nyamwandha et al., 2016).

We calculated Vp and Vs reductions that would result from water contents of 900 ppm and 1500 ppm H/Si in olivine for depths of 240 and 370 km, respectively, following the procedures in Karato (2003) and using the data provided by Minster and Anderson (1981), Anderson and Given (1982), Goes et al. (2000), Dixon et al. (2004), and Dalton et al. (2008) (see supporting information **Text S6** for details). With these solubilities, the Vp reductions are ~

1.2% and $\sim 1.6\%$ at 240 and 370 km, respectively and the V_s reductions are $\sim 1.9\%$ and $\sim 2.5\%$ at 240 km and 370 km, respectively. These reductions account for about one-third of the observed anomaly at 240 km, and less than half of the anomaly at 370 km. We note that introducing water into pyroxene and garnet would further reduce upper mantle shear velocities (Smith et al., 1999; Grant et al., 2007; Yang et al., 2008).

6.4 Other factors

Our calculations so far for V_p and V_s reduction due to increased water content and compositional variations are summarized in Table 1. To account for the observed anomalies, additional velocity reductions of $\Delta V_p = -269$ m/s and $\Delta V_s = -22 \sim -37$ m/s are required at 240 km and $\Delta V_p = -189$ m/s and $\Delta V_s = 0 \sim -13$ m/s are needed at 370 km. As discussed above, these additional velocity reductions could be attributed to temperature variations. However, temperature variations alone cannot account for the differences in the additional velocity reductions because the magnitude of ΔV_p is about ten times higher than the magnitude of ΔV_s . Some other factors, in addition to temperature elevation, should be considered to help explain the reduced V_p .

Schutt and Leshner (2010) investigated the effects of several dominant compositional trends on velocity and density and showed that at cratonic mantle (< 200 km) temperatures and pressures, orthopyroxene (opx) enrichment results in little change in V_s but decreases V_p and the V_p/V_s ratio. Another conclusion drawn by Schutt and Leshner (2010) is that melt depletion has little effect on V_p but leads to increased V_s and decreased V_p/V_s ratio. The signs and magnitudes of the ΔV_p and ΔV_s required to satisfy our observations are not compatible with melt depletion but suggest that a missing factor that is needed to explain the reduced V_p might be an increase of the opx volume fraction.

We investigate the possibility of opx enrichment using a simple approach. Assuming mantle rocks consist of opx and olivine, simultaneous inversion for ΔV_p and ΔV_s using the sensitivities of seismic velocities to opx (Schutt and Leshner, 2010) and to elastic moduli (Cammarano et al., 2003) indicates that a temperature elevation of $170 \pm 10^\circ\text{C}$ and an opx volume fraction of $\sim 60\%$ are needed to produce the ΔV_p and ΔV_s values at 240 km. Similarly, a temperature elevation of $100 \pm 10^\circ\text{C}$ and an opx volume fraction of $\sim 50\%$ are needed to produce the ΔV_p and ΔV_s values at 370 km. Temperature elevations of these magnitudes would not result in melt. A detailed description of the inversion is given in Saxena (2020).

The magnitudes of the inverted opx contents computed above are higher than those determined in previous studies on opx enrichment. The maximum opx content found by Wagner et al. (2008) for the Kaapvaal southern Africa craton is 45%. Li et al. (2008) find a maximum opx content of 40% for the Colorado Plateau. Cratonic xenolith suits from Kaapvaal and Udachnaya, Siberia, range between 20 and 50% modal opx (Bernstein et al., 2007). Our results are not entirely unreasonable, and we suggest that opx enrichment is probably a factor in the reduction of V_p relative to V_s . Smaller amounts of opx might be required by modifying the assumptions made in our calculations of the effects of Mg#, compositional variations and water content. Possible sources of error in our calculations are discussed in supporting information

Text S7.

6.5 Tectonic implications

Our analysis of the possible factors that are producing the observed LVZ below the Illinois basin is compatible with the results for the LVZ below the ME; in both cases, opx enrichment can account for the large magnitude, negative V_p anomalies (Nyamwandha et al., 2016; Saxena et al., 2017; Saxena, 2020). A likely source for the opx enrichment is

metasomatism of olivine-rich mantle rocks by silica rich fluids ascending from flat slab remnants trapped in the transition zone. The most likely source for silica in a slab remnant is subducted ocean crust and sediment. Silica is transported into the overlying mantle during slab dehydration and subsequent water-rock reactions (Kelemen et al., 1998; Wagner et al., 2008). Much of the released water is concentrated in and above the mantle transition zone and rising hydrated fluids produce metasomatic reactions in the overlying mantle (Niu, 2005; Kusky et al., 2007; Windley et al., 2010; Pollitz and Mooney, 2014), eventually converting olivine into opx (Bell et al., 2005).

The likelihood that hydrous phases will survive to transition zone depths increases if the slab is old (>50 Ma), subducts at a high rate and contains thick ocean crust (e.g. Kusky et al., 2014; Maruyama and Okamoto, 2007). These conditions were met for the Farallon slab during the late Cretaceous Laramide orogeny with the arrival of the buoyant Hess and Shatsky oceanic plateaus. Subduction of the plateaus is regarded as a primary factor in the flattening of the Farallon slab (e.g. Liu and Currie, 2016). Liu et al. (2008; 2010) developed a reverse convection model for the locations of the plateaus over time. The reconstructed location of the Hess plateau places the top just below the transition zone in the central US, coincident with the prominent LVZ at 400 km depth in our model. We suggest that the LVZ is directly related to the presence of the Hess plateau.

A question arises as to why the LVZ would be so prominent below the Mississippi Embayment and the Illinois Basin and not in other locations that also passed over the Hess plateau. The answer lies in the tectonic history of the region. We suggest that the lithosphere below the Mississippi Embayment and the Illinois Basin is thinned by prior tectonic activity and forms a natural conduit for the ascension of hot, hydrated fluids from the trapped plateau (e.g. Burov et al., 2007). The Reelfoot Rift and Rough Creek graben are part of a late stage

intracratonic fault system associated with the breakup of supercontinent Rodinia (e.g. Thomas, 2006; 2014). Rifted crust probably extends below the entire ME (Johnson et al, 1994; Cox and van Arsdale, 1997; 2002). Although crustal extension was not extensive, the rifting probably thinned the lithosphere and may have introduced fertile mantle material. The origin of the Illinois Basin remains enigmatic but there is mounting evidence that the southern portion of the basin was affected by the same rifting episode that produced the Reelfoot Rift (e.g. Chen et al., 2016). Our velocity models support the possibility that the crust was rifted along the La Salle fold belt prior to development of the basin.

In addition to rifting, the passage of hotspots played an important role in thinning the lithosphere. The Mississippi Embayment was affected by passage of the Bermuda hotspot in mid Cretaceous time as evidenced by the intrusion of a suite of ultramafic and alkali rocks along and within the Reelfoot Rift; the suite of intrusions suggests widespread, thermal modification of the mantle below the embayment (Cox and van Arsdale, 1997; 2002). The Illinois Basin may have passed over another hotspot in the Cretaceous. Chu et al. (2013) suggest that a hotspot affected the southern and central part of the basin (Figure 1) based on variations in the amplitudes of regional seismic waveforms. Associated geodynamic modeling to determine the predicted seismic signal of an evolving hotspot indicated substantial thinning of the lithosphere. The path of the hotspot crosses the basin in a WNW direction and passes over the location of anomaly H in our velocity models.

6.6 Implications for the origin of intraplate seismicity

Considerable effort has been made to understand the effect of the low velocity zone below the Reelfoot Rift (anomaly F) on the generation of earthquakes in the NMSZ. Pollitz and Mooney (2014) hypothesized that the low-velocity mantle volume is weaker than its

surroundings, and consequently, the Reelfoot Rift has relatively low elastic plate thickness. As a result, tectonic stress would tend to concentrate within this zone (Kenner and Segall, 2000). Chen et al. (2014) and Nyamwandha et al. (2016) interpreted the low velocities as a viscously weak zone with low shear strength embedded in the stronger lithosphere. Tectonic stress will concentrate in the weak zone and transfer to seismogenic faults in the upper crust. This hypothesis is supported by geodynamic modeling (Zhan et al., 2016). The presence of low velocity anomaly E (Figures 10 and 11) in our study is located below the SGSZ and may play an important role in stress concentration in the SGSZ. Similarly, the presence of low velocity anomaly E below the WVSZ (profile CC' in Figures 10 and 11) may be responsible for elevated stress in this location. As is also suggested by Chen et al. (2016), additional numerical modeling is needed to assess the impact of the low velocity regions on earthquake generation.

7 Conclusions

Detailed Vp and Vs models are developed for the upper mantle below the northern Mississippi Embayment and Illinois Basin. Good resolution is obtained to a depth of 400 km. We image the LVZ below the embayment found in several previous studies and best resolved in the joint Vp and Vs inversion by Nyamwandha et al. (2016). Our results extend the low velocity zone determined by Nyamwandha et al. (2016) to transition zone depths, supporting the conclusion that the low velocities are produced by hydrous fluids ascending from a trapped portion of the Farallon slab. We detect another LVZ dipping to the northwest below the Illinois Basin. This LVZ consists of two segments; the upper segment is present in the depth range 200 to 300 km and appears to be an extension of the LVZ below the Mississippi Embayment. The second segment is offset to the northwest and extends from ~ 300 km to the transition zone. We calculate the possible contributions of elevated temperature, compositional variations and

elevated fluid content to explain the presence of the LVZ and conclude that a reasonable contribution from all three cannot explain the low V_p anomalies without the presence of elevated orthopyroxene concentrations. This result adds additional support to the conclusion that the LVZs below the Mississippi Embayment and the Illinois Basin are linked to the presence of a slab fragment located below the region. We suggest that the fragment is the portion of the Farallon slab that contains the Hess plateau.

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Table 1. Velocity anomalies observed at 240 km and 370 km and the anomalies produced by elevated water and compositional variations. Anomalies required from other factors (ΔV_p and ΔV_s) are calculated by subtracting the contributions of water and changing magnesium number from the total anomalies.

		Total	Water	Mg#	Remainder
Anomaly G (240 km)	ΔV_p [m/s]	-372	-103	0	-269
	ΔV_s [m/s]	-229	-85	-107 ~ -122	-22 ~ -37
Anomaly H (370 km)	ΔV_p [m/s]	-336	-147	0	-189
	ΔV_s [m/s]	-240	-120	-107 ~ -122	0 ~ -13

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