# On the Thermal Signature of the Residual Foam in Breaking Waves

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#### Abstract

Quantifying energy dissipation due to wave breaking remains an essential but elusive goal for studying and modeling air-sea fluxes of heat, gas, and momentum. Previous observations have shown that lifetimes of bubble plumes and surface foam are directly related to the dissipated energy. Specifically, the foam decay time can be used to estimate the timescale of the subsurface bubble plume and the energy dissipated in the breaking process. A mitigating factor is that the foam decay time can be significantly affected by the surfactant concentration. Here we present an experimental investigation of a new technique that exploits the thermal signature of cooling foam to infer wave breaking dynamics. The experiments were conducted in a laboratory wave tank using artificial seawater with and without the addition of a surfactant. We show that the time from the start of the breaking process to the onset of cooling scales with the bubble plume decay time and the dissipated energy, and is not significantly affected by the presence of additional surfactants. We confirm observations from the field of the spatial variability of the temperature of foam generated by an individual breaking event, which has implications for inferring the spatial variability of bubble plume depth.

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### 5 Key Points:

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6	•	The thermal signature of cooling residual foam can be used to infer breaking wave
7		dynamics.
8	•	The time from the start of the breaking process to when the residual foam begins to
9		cool scales with the bubble plume decay time.

• The cooling time of the foam is not significantly affected by the presence of surfactants.

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#### 27 Plain Language Summary

Breaking waves cause mixing and are important for redistributing heat, transporting 28 gases between the air and the water, and generating currents. Bubbles from breaking waves 29 eventually rise and stay at the surface where they can be visually seen as foam. Scientists 30 have found that the time it takes for the foam to disappear is related to the strength of 31 the breaking waves. However, natural chemicals in the seawater can cause the bubbles to 32 disappear more slowly, increasing the time they are seen at the surface. We present a new 33 method to estimate when the bubble plume has decayed based on the foam temperature. 34 We generate breaking waves in a laboratory and use an infrared camera to measure the 35 temperature of the foam and find that the foam cools when bubbles stop rising. We varied 36 the strength of the breaking waves and measured the cooling time for the foam to show that 37 larger, stronger breaking waves cause a longer time before the foam begins to cool. When 38 we added chemicals to increase the time foam stays at the surface, the cooling time remains 39 about the same, even though the foam is still seen at the surface for a longer time. 40

#### 41 **1** Introduction

Wave breaking plays a critical role in air-sea interaction processes in both the open 42 ocean and the surf zone. The energy transferred from the atmosphere to the ocean through 43 wind-wave generation is ultimately dissipated by wave breaking. Therefore, quantifying the 44 energy dissipation due to wave breaking is directly relevant to wave prediction models used 45 for operational sea-state forecasting and the impact of waves on coastal regions. At high 46 wind speeds, bubbles generated by large scale breaking waves are the primary mechanism 47 for gas transfer and dominate the energy dissipation due to breaking (Lamarre & Melville, 48 1991). Bubbles generated by breaking waves also contribute to marine aerosol formation 49 through spray droplets produced when foam bubbles burst at the surface (Veron, 2015; 50 Erinin et al., 2019, and references therein). Foam generated by wave breaking has increased 51 reflectivity of solar radiation that can affect the earth's albedo (Evans et al., 2010; Gordon 52 & Jacobs, 1977) and the enhanced microwave emissivity of foam impacts space-borne ra-53 diometer measurements of wind speed. In short, wave breaking is an important mechanism 54 for fluxes of momentum, gas, and heat across the air-water interface and for global ocean 55 remote sensing applications. Here we focus on breaking waves that produce visible foam. 56

A wave begins to break when the forward face steepens and the crest becomes unstable. The morphology of an individual breaking wave that generates foam is generally categorized as either spilling or plunging. Plunging breakers occur when a wave crest forms an open curl and rapidly falls forward. Spilling breakers are characterized by a wave crest that spills forward and rolls down the face. For both types, subsurface bubble plumes are generated by the impact of the overturning crest on the water surface. For plunging breakers, bubbles are also injected when the air pocket formed by the curling crest collapses, and by the jet impinging on the surface (Kiger & Duncan, 2012). As the actively breaking crest continues to propagate for the breaker lifetime, a bubbly turbulent wake is left behind. Bubbles rise to the surface and produce patches of residual surface foam as turbulence in the wake subsides.

The term whitecap has been used to describe both the foam generated by the actively 67 breaking crest and the residual foam left behind in the wake. Monahan and Lu (1990) 68 denoted the actively breaking period as stage-A and the period following as stage-B. Stage-69 A also has been referred to as the "acoustically active" period (Deane & Stokes, 2002), 70 because the formation and fragmentation of bubbles generate underwater sound during this 71 period. Stage-A includes the formation of the actively breaking crest and creation of the 72 bubble plume and ends when air is no longer actively entrained. Stage-B includes the 73 expansion and rise of the bubble plume as well as the formation and decay of the resulting 74 residual foam and typically is of longer duration than stage-A (Kleiss & Melville, 2010; 75 Monahan & Lu, 1990). 76

Whitecap coverage, W, is the percentage area of the sea surface covered by foam mea-77 sured from visible imagery. Techniques for measuring W have evolved from labor-intensive 78 analysis of individual photographs (Monahan, 1969) to automated techniques using high-79 resolution digital imagery (Callaghan & White, 2009). Scanlon and Ward (2013) recently 80 reported on a manual technique to separate active and maturing whitecaps, but automated 81 processing of visible imagery remains a challenge. Most visible measurements of W include 82 both stages of whitecap foam because of the difficulty of objectively and automatically dis-83 tinguishing between stage-A and stage-B foam. However, there is strong motivation to be 84 able to separately measure whitecap coverage for stage-A,  $W_A$ , and for stage-B,  $W_B$ , in 85 order to examine the different processes of interest that are associated with the different 86 stages. For instance,  $W_A$  is the appropriate coverage to determine the breaking rate and for 87 correlation with the energy dissipation (Kleiss & Melville, 2010) while  $W_B$  has been related 88 to sea-salt aerosol production due to the preponderance of bursting bubbles as the foam 89 dissipates (Callaghan, 2013; Monahan et al., 1986). 90

Since wave breaking is driven by wind stress, many authors have pursued a fundamental 91 parameterization of W with wind speed. A compilation of historical data sets by Anguelova 92 and Webster (2006) shows scatter of W versus wind speed of over three orders of magnitude, 93 suggesting other factors need to be considered. Recent results by Callaghan et al. (2008, 94 2012, 2013) indicate that potential contributors to the observed scatter of W with wind 95 speed include environmental parameters such as wave field characteristics, breaker type, 96 and surfactant effects as well as differences in image acquisition and analysis techniques. 97 Variations in the decay time of oceanic whitecap foam led Callaghan et al. (2012) to speculate 98 that the two primary mechanisms that cause scatter of whitecap coverage with wind speed 99 are (i) the effect of surfactants on foam stability and (ii) differences between bubble plume 100 characteristics caused by variation in breaker type. 101

In the laboratory, Callaghan et al. (2013) investigated these two mechanisms by compar-102 ing the visible decay times for foam,  $\tau_{foam}$ , and for the bubble plume,  $\tau_{plume}$ , for whitecaps 103 generated by focused wave packets using clean and surfactant-contaminated (Triton X-100 104 at 204  $\mu gr \cdot L^{-1}$ ) seawater. They found that  $\tau_{plume}$  was proportional to the increase in energy 105 dissipated as the scale of breaking ranged from spilling to plunging. However, when surfac-106 tants were present, the scaling between  $\tau_{\rm foam}$  and  $\tau_{\rm plume}$  varied significantly. Surfactants 107 act to stabilize the bubbles, causing them to persist at the surface after the bubble plume 108 109 has decayed and the foam generation process has ceased. For clean conditions, the foam decay time can provide a direct estimate of the plume degassing time. In the presence of 110 surfactants, their effect on increasing the foam decay time needs to be accounted for in order 111 to infer the plume decay time (Callaghan et al., 2017). 112

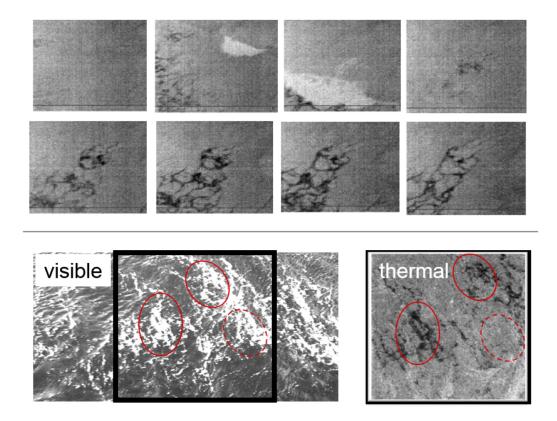


Figure 1. (Top) An examples of infrared image sequences showing the cooling of residual foam in the wake of a breaking wave in the open ocean. Time increases left to right then top to bottom. Lighter shades of gray are warm and darker shades are cold. Image size is approximately  $5 \text{ m} \times 5 \text{ m}$ . (Bottom) Simultaneous visible (left) and infrared (right) images of residual foam in the wake of a breaking wave in the open ocean. Solid ovals indicate locations where visible foam appears cool while foam does not appear cool in the dashed oval (Fogelberg, 2003).

The infrared image sequence in Figure 1 illustrates the rapid cooling of foam left behind 113 after the passage of a breaking wave, similar to observations reported by Fogelberg (2003) 114 and Marmorino and Smith (2005). Recent laboratory findings by Chickadel et al. (2014) that 115 the heat flux from foam is three to four times greater than foam-free water are consistent 116 with the suggestion by Marmorino and Smith (2005) that the cooling is due to enhanced 117 evaporation from bubbles. Chickadel et al. (2014) also reported that the foam cooling begins 118 after the foam-producing bubbles cease rising. The foam cooling phenomenon has been used 119 recently to distinguish between the active and residual foam. In the open ocean, Potter et 120 al. (2015) used infrared imagery to quantify the lifetime stages and characterize properties of 121 the active and residual whitecaps. In the surf zone, Carini et al. (2015) used the difference in 122 the thermal signature of active and residual foam to identify and extract the perpendicular 123 crest length of the aerated breaking region. 124

A consistent observation in the field is that there is a momentary delay of O(1 s) between when the foam appears in the visible and when it appears cool in the infrared. The foam is generated by air entrainment and bubbles at the surface so its initial temperature will be approximately the same as that of the surface water. As the breaking process continues, the surface foam is replenished from below by bubbles rising to the surface from the plume.

Since the heat flux for foam is three to four times greater than that for foam-free water, the 130 delay in the appearance of cool foam implies that the surface of the foam is replenished by 131 near-surface water from below at a rate such that there is not enough time for it to cool. 132 That is, the rate of replenishment of the foam overcomes the cooling rate. As the bubble 133 plume decays and the foam replenishment rate lessens, the foam will begin to cool and its 134 temperature will drop. Thus the time between the onset of breaking and the appearance 135 of cool foam should be related to the timescale of the bubble plume decay. Additionally, 136 field observations show that not all residual foam from a given breaking event begins to cool 137 at the same time. The simultaneous visible and infrared images in Figure 1(bottom) show 138 two regions outlined by solid ovals where foam is cooler than the undisturbed surface while 139 in the dashed oval region the foam temperature is comparable to the undisturbed surface. 140 Since the foam in Figure 1(bottom) was generated at the same time, the delay in the onset 141 of cooling at different locations implies that the bubble plume depth was greater for the 142 location with longer onset times. 143

Here we seek to exploit the thermal signature of the cooling of surface foam in the wake of a breaking wave to infer subsurface plume dynamics. Our long-term goal is to develop a new remote sensing technique that will simultaneously provide (1) a measurement of the bubble plume timescale relevant to estimating dissipation and (2) a map of the spatial variability of the bubble plume depth. Thus our objectives are to test the following two hypotheses:

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1. The time from the start of breaking to the onset of foam cooling scales with the bubble plume decay time.

152 153 2. For an individual breaking event, the spatial variability of the bubble plume depth can be inferred by the spatial variability of the time for the onset of foam cooling.

With regard to Hypothesis (1), we seek to shows that while the foam at the surface 154 is being replenished from below, its temperature remains comparable to that of the water 155 from which it is generated. The cooling begins only when the rate of replenishment by the 156 rising bubbles is less than the foam cooling rate. The start of the cooling is delayed for more 157 energetic breaking waves that generate larger and deeper bubble plumes compared to less 158 energetic breakers that generate smaller and shallower plumes. With regard to Hypothesis 159 (2), we seek to confirm in the laboratory the observations from the field of the spatial 160 variability of the temperature of foam generated at the same time by an individual breaking 161 event. A complete test of Hypothesis (2) requires subsurface measurement of the spatial 162 variability of bubble plume depth and size distribution, which is beyond the scope of this 163 effort. However, the spatial variability of the surface foam temperature can be observed in 164 infrared imagery. 165

We present a new approach for estimating the timescale of the subsurface bubble plume 166 based on the timescale of the cooling foam. The results from our laboratory experiments 167 show that the onset of cooling of the foam scales with a measure of the decay time of the 168 bubble plume and that the the cooling onset time varies spatially for foam simultaneously 169 generated by an individual event. The cooling time is not significantly affected by sur-170 factants, which is in contrast to the finding that foam persist longer when surfactants are 171 present (Callaghan et al., 2013). We demonstrate that infrared imagery can provide the 172 ability to infer the bubble plume decay time and thus provide a measure of wave energy 173 dissipation. We also confirm in the laboratory the observations from the field of the spatial 174 variability of the temperature of foam generated at the same time by an individual breaking 175 event. 176

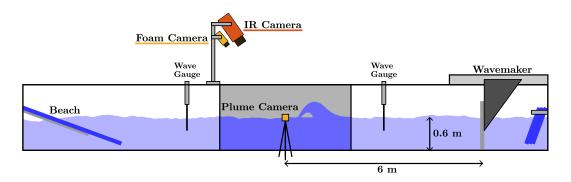


Figure 2. Schematic of the experimental setup. One side wall of the tank is made of glass for optical access. The other side wall is painted black in a 1.6 m long test section. The top of the tank is covered except for the test section. The waves are designed to break at a location approximately 6 m from the wave paddle. The tank width is 0.91 m.

#### **2** Experimental Details

#### 178 **2.1** Setup

The experiments were performed in the Washington Air-Sea Interaction Research Fa-179 cility (WASIRF) wave flume at the University of Washington (Figure 2). The wave flume 180 is 12 m long, 0.91 m wide, and 1.2 m tall, with one side wall made of glass that allows 181 optical access. The top of the tank was covered with removable panels except for the test 182 section that was left open for imaging. The facility includes a water circulation system that 183 is equipped with an inline filter and electric heater. The flume was filled with salt water 184 to a depth of 0.6 m using Instant Ocean and tap water. The salinity was set to 30 ppt 185 and was frequently checked with a refractometer to ensure it remained constant during the 186 experiments. 187

A programmable piston-type wavemaker at one end of the tank was used to generate breaking waves. The wavemaker consists of a flat rectangular paddle that is 0.9 m tall and spans the tank width and extends to the bottom of the tank. The motion of the wavemaker is controlled by an analog signal sent to the controller of the servo motor. Wave absorbing beaches were installed at both ends of the tank to diminish wave reflection from the end walls.

#### <sup>194</sup> 2.2 Wave Generation

Breaking waves are generated using the dispersive focusing wave packet technique used extensively in laboratory experiments (Rapp & Melville, 1990; Duncan et al., 1999; Drazen et al., 2008; Wang et al., 2018). In this technique, a packet is composed of many components and is designed such that all the components have the same phase at a prescribed "breaking" location. In the experiments presented here, the motion of the wavemaker can be described as

$$\eta_0 = \sum_{i=1}^{N} \frac{a_i}{a_i^{corr}} \cos(-k_i x_b - 2\pi f_i (t - t_b) - \phi_i^{corr}) \tag{1}$$

where  $\eta_0$  is the wavemaker horizontal displacement, N = 32 is the total number of components, and for each component,  $a_i$  is the amplitude,  $a_i^{corr}$  is the amplitude correction factor found in the calibration process,  $k_i$  is the wavenumber,  $f_i$  is the frequency, and  $\phi_i^{corr}$  is the phase correction.  $x_b$  and  $t_b$  are the theoretical breaking location and time, respectively. Equation (1) produces a periodic signal and needs to be windowed to provide the proper wavemaker motion. We used a window with hyperbolic tangent edges to taper the signal <sup>207</sup> in a smooth fashion. The parameters that control the shape of the wave packet signal and <sup>208</sup> the breaking location of the waves are the central frequency of the packet,  $f_c = 0.88$  Hz, <sup>209</sup> the frequency bandwidth,  $\Delta f = 0.5f_c$ , and the normalized breaking location,  $x_bk_c = 33$ , <sup>210</sup> where  $k_c$  is the wavenumber corresponding to the central frequency. These parameters were <sup>211</sup> chosen to generate breaking waves with similar shape but with considerable difference in <sup>212</sup> foam generation, plume depth, and energy dissipation. The global slope of a wave packet is <sup>213</sup> used as the control parameter for the scale of the breaking waves and is defined as:

$$S = \sum_{i=1}^{N} a_i k_i \tag{2}$$

Following Loewen (1991), all the components in the wave packet are chosen to have the same slope. Hence, increasing the global slope, S, is essentially equivalent to multiplying the signal by a constant factor without changing the overall shape.

#### 2.3 Measurement Techniques

The bubble plume and the surface foam generated by breaking waves were measured 218 using two identical visible cameras (Point Grey model Blackfly; 4 MP, 15 fps) to visualize 219 the light scattered by the bubbles against the dark background in the test section, which was 220 illuminated by two LED light sources. The bubble plume camera was located outside the 221 glass side wall of the tank and was oriented normal to the wall. The horizontal center-line 222 of the field of view coincided with the calm water surface. The field of view of this camera 223 was approximately  $1.2 \text{ m} \times 1.2 \text{ m}$ . The foam camera was located approximately 2 m above 224 the water surface and viewed the surface at an incidence angle of 30 degrees. The field of 225 view of this camera extended to regions outside of the tank and these regions were masked 226 in the analysis. 227

The surface temperature was measured using an uncooled, longwave (7-14  $\mu$ m) infrared 228 camera (DRS model UC640; 640×480 pixels, 30 fps, NEDT 25 mK) that was mounted ad-229 jacent to the foam camera. The foam and infrared cameras had overlapping fields of view 230 and a transformation map between the two cameras was found in the calibration process. A 231 circular metal target was attached to a float on the water surface and heated before being 232 placed inside the tank so it would be visible to the infrared camera. The target was then 233 moved in different parts of the field of view and imaged by the two cameras simultaneously. 234 The center of the circular target was tracked through the image sequences and the transfor-235 mation between the two cameras was found using a projective transformation between the 236 pairs of target locations (Goshtasby, 1986). A second transformation was found and applied 237 to all infrared and visible images to account for the oblique perspective of these cameras. 238

The two visible cameras and the infrared camera were time-synchronized through the data collection software to record the breaking process simultaneously. Two capacitancetype wire wave gauges were mounted approximately 1.5 m upstream and downstream of the breaking location. The surface elevation data recorded at these locations were used to estimate the total energy dissipated by the breakers (Rapp & Melville, 1990).

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#### 2.4 Experimental Procedures and Conditions

For the experiments presented here, four breakers with slope values of S = 0.34, 0.35, 0.36, and 0.37 were used. This range of slopes corresponds to plunging breakers that vary in intensity, amount of air entrained, and energy dissipation. This range of slopes corresponds to a range of E = 74-105 J/m (along the crest of wave) in energy dissipation. Properties of the breakers are listed in Table 1.

The data collection process was automated so that many runs could be carried out unattended. The water in the tank was recirculated and filtered the night before each experiment day for about eight hours. In the morning of each experiment day, the water was

**Table 1.** Properties of the breaking waves used in the experiments where  $d_{\text{max}}$  is the maximum depth of the bubble plume,  $\Delta E$  is the total energy dissipation as estimated by upstream and downstream wave gauges,  $A_{\text{plume}}^{\text{max}}$  is the maximum plume area, and  $A_{\text{foam}}^{\text{max}}$  is the maximum whitecap area.

Slope	0.34	0.35	0.36	0.37
$\Delta E (J/m)$	73.93	85.23	93.69	105.03
$d_{\mathrm{max}}~(\mathrm{cm})$	$15.05\pm1.64$	$18.48 \pm 1.71$	$24.79\pm2.98$	$29.12\pm3.21$
$A_{\rm plume}^{\rm max}~({\rm m}^2)$	$0.085 \pm 0.0066$	$0.113 \pm 0.0079$	$0.141\pm0.0081$	$0.158\pm0.0105$
$A_{\rm foam}^{\rm max}~({\rm m}^2)$	$0.599\pm0.038$	$0.728 \pm 0.045$	$0.808 \pm 0.065$	$0.864 \pm 0.058$

heated to approximately 1 degree Celsius above the ambient air temperature. The air temperature varied slightly during the experiments due to the diurnal cycle. The temperature difference,  $\Delta T = T_{\text{water}} - T_{\text{air}}$ , was in the range of zero to 2 °C for all the experimental runs presented here. The wavemaker and the data collection computers were set up to continuously generate breaking waves and collect data every ten minutes over the course of the day. This time between runs was found to be sufficient for the wave reflections to dissipate.

Two sets of experiments were carried out; in the first set, clean salt water was used (no additional surfactants), and in the second set, Triton X-100 was added to achieve a concentration of 200  $\mu$ g/L. For each wave slope and for a condition with or without additional surfactants (eight total cases), between 50 to 60 runs were recorded and analyzed for a total of 462 individual breaking waves overall.

#### <sup>264</sup> 3 Image Processing

A sequence of visible bubble plume and foam images for a breaker propagating from 265 left to right are shown in Figure 3 (see the supplementary material for movies corresponding 266 to the image sequences). The images are separated by  $\Delta t = 1/3$  s and the first image in 267 the sequence is from t = 1/3 s. The time origin, t = 0, denotes the start of the breaking 268 process and is found by manually inspecting the bubble plume camera images. For the 269 example shown in Figure 3 (top), which is the largest wave slope used, a significant amount 270 of air is entrained and left behind by the active breaker in (a) and (b). Two relatively 271 large and distinct bubble plumes occur for this breaker, as seen in images (c) and (d). The 272 bubbles quickly rise to the surface in (e) and (f), and the residual surface foam left behind 273 is apparent in (g) and (h). 274

The grav-scale visible images shown in Figure 3 were analyzed to obtain foam and 275 plume area time series. First, the background was subtracted from all images in a sequence 276 to enhance the signal and reduce the effect of non-uniformity in the lighting condition. 277 Then, a manually determined intensity threshold was applied to segregate the bright foam 278 and bubbles from the dark background, resulting in black and white (B/W) image masks. 279 The threshold was chosen to include all visible foam and bubbles, regardless of size or 280 brightness. The same fixed threshold was used for all the runs since the lighting conditions 281 were invariant. For the foam images, bright regions smaller than 200 pixels were removed 282 from the images to reduce the speckle noise. Dark areas smaller than 200 pixels that are 283 enclosed by bright foam were converted to white pixels. These regions are typically centers 284 of large bubbles before they burst at the surface. The foam images were then transformed 285 into the coordinate system of the infrared camera. A sequence of the resulting B/W bubble 286 plume and foam masks is shown in Figure 4. This processing was done in the range of 287 t = -1 s to t = 10 s for each run (166 frames per run). 288

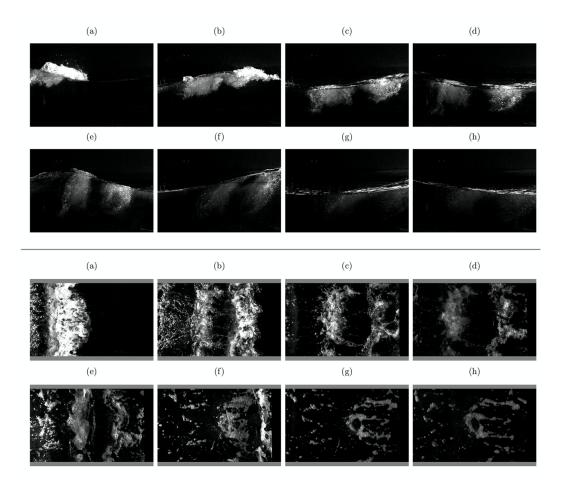


Figure 3. A sequence of visible images of a breaking wave with a slope of S = 0.37. The wave is propagating from left to right. The frames are separated in time by 1/3 s. The wave packets are designed so that the breaking occurs at the edge of the field of view. (Top) bubble plume images taken from the camera that is looking through the glass wall of the tank. (Bottom) visible foam images taken by the camera that is looking down at the water surface. The foam images are shown in the coordinate system of the infrared camera images (see text). Each image is approximately 1.2 m long. This figure corresponds to Movie S2 in the supplementary information.

The sequence of infrared images of the surface in Figures 5 are from the individual run 289 corresponding to the visible imagery in Figures 3 and 4. The blue lines are the boundaries 290 of the regions covered by the visible foam and are derived from the masks in Figure 4. The 291 images show the temperature anomaly, defined as the difference between the instantaneous 292 temperature and the background reference temperature. The background reference temper-293 ature for each individual run was the maximum value of the spatially-averaged temperature 294 of the field in the time span of 0 < t < 10 s. The temperature range is shown in the colorbar 295 with dark corresponding to cold and bright to warm. 296

At the beginning of the breaking process, the cool skin layer is destroyed so that the skin temperature during breaking and in the wake is approximately equal to the bulk temperature (Jessup et al., 1997). As the crest begins breaking in Figure 5(a), the nearly uniform disruption of the cool skin layer produces a front of warm foam over the entire width of the tank that advances with the crest. In frames (a)-(c), the temperature of the surface disrupted by the breaking crest is nearly uniform, regardless of whether it is foam-covered or

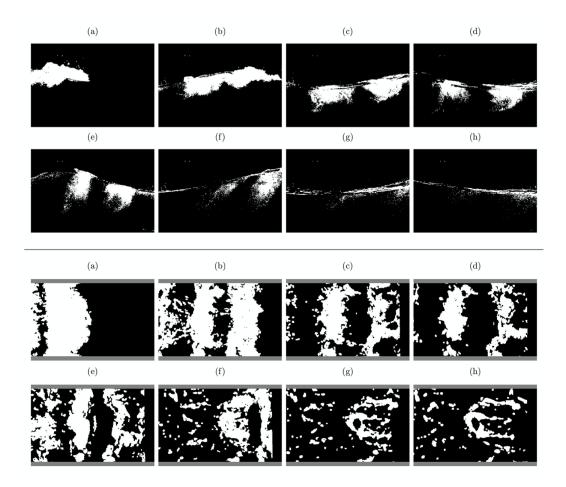
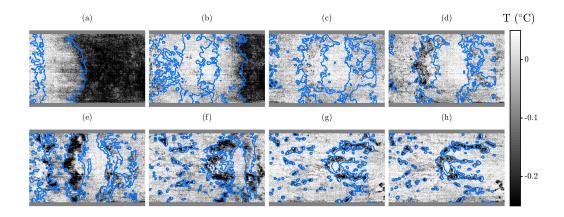


Figure 4. A sequence of thresholded B/W images of a breaking wave with a slope of S = 0.37 corresponding to the images in Figure 3.

foam-free. The foam begins to cool in (d) but the degree of cooling is not spatially uniform over the foam until the end of the sequence in (g)-(h). This spatial variation is apparent between the two main foam regions in (d) and (e). Eventually, the foam dissipates and after several minutes (not shown in the figure), the cool skin layer recovers and the surface temperature drops to its value before the disruption by the breaking wave.

The image sequences of the surface foam and bubble plume in Figures 3 and 4 and 308 the foam temperature anomaly in Figure 5 are consistent with the conceptual relationship 309 presented in the discussion of Figure 1 (bottom). That is, while the surface foam is being 310 renewed by rising bubbles from below during the active breaking process, its temperature 311 is comparable to that of the surrounding foam-free water. As the bubble plume decays and 312 the renewal rate decreases, the enhanced heat flux of the foam causes its temperature to 313 drop. A schematic representation of the conceptual relationship between the foam or bubble 314 plume area and foam temperature anomaly is illustrated in Figure 6. The area exhibits a 315 growth phase, characterized by a rapid increase from when breaking begins at t = 0 to a 316 maximum, followed by a decay phase of varying duration. The foam temperature anomaly 317 also increases rapidly from t = 0 but remains elevated for some finite time until the renewal 318 of the foam from below is reduced to the point where it no longer inhibits the cooling. The 319 primary time variables used in our analysis shown in the figure are:  $t_{\rm cool}$ , the time from 320 t = 0 to the onset of foam cooling;  $t_{\text{max}}$ , the time from t = 0 to the maximum area; and 321



**Figure 5.** A sequence of infrared images of the surface temperature anomaly corresponding to the images in Figure 3. The temperature range is shown in the colorbar with dark meaning cold and bright meaning warm. The blue outlines show the location of the foam extracted from the visible foam images (Figure 4). This figure corresponds to Movie S2 in the supplementary information.

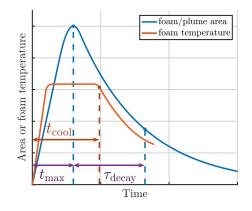


Figure 6. Schematic representation of conceptual relationship between the foam or bubble plume area (blue) and the foam temperature (orange) showing relevant time variables:  $t_{\rm cool}$ , the time from t = 0 to the onset of foam cooling;  $t_{\rm max}$ , the time from t = 0 to the maximum area; and  $\tau_{\rm decay}$ , the area decay timescale equal to the e-folding time of the decay from the maximum area. The total bubble plume timescale (not shown) is defined as  $\tau_{\rm plume}^{\rm total} = t_{\rm plume}^{\rm max} + \tau_{\rm plume}^{\rm decay}$ .

 $\tau_{\text{decay}}$ , the area decay timescale equal to the e-folding time of the decay from the maximum area. The total bubble plume timescale (not shown) is defined as  $\tau_{\text{plume}}^{\text{total}} = t_{\text{plume}}^{\text{max}} + \tau_{\text{plume}}^{\text{decay}}$ .

#### 324 4 Results and Discussion

The B/W masks in Figure 4 were used to calculate the foam and bubble plume areas. The foam coverage is defined as the fraction of the image area that is covered by the foam in each B/W mask. The bubble plume area was similarly extracted from the B/W bubble plume masks. In each plot of foam and bubble plume area time series shown in Figure 7, the thick lines are the ensemble averages for each condition (slope and surfactant) and the shaded areas show one standard deviation of the samples. Both the foam and bubble plume areas exhibit a growth and decay phase as illustrated in Figure 6.

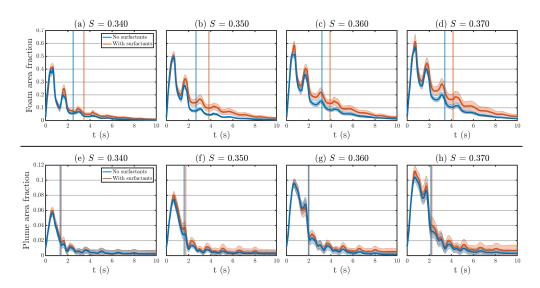
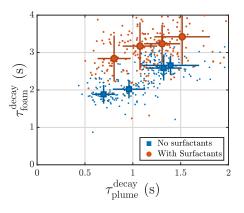


Figure 7. Time series of the foam area (top) and the bubble plume cross-sectional area (bottom). The area is normalized by the image size. The thick lines are the ensemble averages for each condition (slope and surfactant) and the shaded areas show one standard deviations of the samples. The vertical lines indicate the location of the e-folding time from the maximum relative to time t=0.

Figure 7(a-d) shows the time series of the foam coverage for each wave packet. The 332 oscillations in the foam and the bubble plume coverage during the decay phase and the 333 existence of local peaks in the time series are due to the orbital motion of the surface 334 waves which causes the surface foam and the bubble plume to expand and contract, and be 335 advected in and out of the field of view. The amount of foam generated by the breaking 336 waves increases with the slope of the wave packet. Initially, there is little difference between 337 clean water and surfactant-added cases. However, the longevity of the foam is increased for 338 the cases with additional surfactants, as is apparent from the foam coverage values at later 339 times. Furthermore, there is more variation in the amount of foam among individual runs 340 with the same experimental condition for the cases with additional surfactants, especially 341 at later times. 342

The time series of the bubble plume area are shown in Figure 7(e-h). Similar to the 343 foam coverage, the maximum bubble plume area increases with the wave packet slope but 344 there is little difference between the clean water and surfactant-added cases, both in the 345 amount and the persistence of the bubbles. The second peak in these plots (at  $t \approx 2$  s) is 346 primarily caused by the rapid upward motion of the free surface that results in the stretching 347 and dilation of the bubble plume (see Figure 4-e). The variations in the plume area values 348 among different runs for t > 4 s is due to the residual surface foam appearing in the bubble-349 plume camera. This contamination of the plume area was reduced through processing and 350 did not affect the correlation with the cooling time, presented below. 351

The visible foam decay timescale,  $\tau_{\text{foam}}^{\text{decay}}$ , and the bubble plume decay timescale,  $\tau_{\text{plume}}^{\text{decay}}$ , for each experimental run were calculated from their corresponding time series. An exponential function in the form of  $A_* = A_*^{\max} \exp(-t/\tau_*^{\text{decay}})$ , where \*= (foam, plume), was fit to the data between the time of maximum area and the time when the area drops below a threshold. The average values of the maximum foam and bubble plume areas for each slope are listed in Table 1. The fitted curve was constrained to include the maximum area data point. The threshold used for the foam time series was 5% of the maximum area. For the plume area time series, the threshold was varied for different wave packet slopes to



**Figure 8.** Visible foam timescale  $\tau_{\text{foam}}^{\text{decay}}$  versus bubble plume timescale  $\tau_{\text{plume}}^{\text{decay}}$ . Each small symbol represents one experimental run. The large symbols are the mean value for each wave packet slope S. The error bars show one standard deviations of the samples.

reduce the fitting error due to the influence of the residual foam at the surface, which could 360 be detected as bubble plume by the processing algorithm. The threshold values for these 361 cases were between 0.2 and 0.4 of the maximum plume area. The locations correspond-362 ing to the decay of the foam and plume area curves to a value of 1/e from their maxima 363 are indicated in Figure 7 by vertical lines for both the surfactant-free and surfactant-added 364 cases. Thus the magnitude of the timescales is given by the time between the maximum and 365 the corresponding vertical line (Figure 6). The difference between the surfactant-free and 366 surfactant-added cases is readily apparent for the visible foam timescale while practically 367 no difference occurs for the plume timescale. 368

Figure 8 shows that the visible foam timescale  $\tau_{\text{foam}}^{\text{decay}}$  increases approximately linearly with the bubble plume decay timescale  $\tau_{\text{plume}}^{\text{decay}}$ , which is consistent with the results of Callaghan et al. (2012). Then found at al. 369 370 Callaghan et al. (2013). They found a 1:1 correspondence for surfactant-free conditions, 371 whereas the slope of the surfactant-free correlation for our measurements is approximately 372 2. While our bubble plume decay times for surfactant-free conditions are comparable to 373 theirs, our foam decay times are about twice as large. Since the foam lifetime is known 374 to be a function of salinity and seawater composition, the most likely reason for the dif-375 ference in foam decay time and slope is that we used artificial seawater (Instant Ocean) 376 while Callaghan et al. (2013) used filtered natural seawater. We found that adding surfac-377 tants increased the foam area decay time by an average of 32%. Furthermore, the slope of 378 the approximately linear behavior with surfactants is comparable to that for surfactant-free 379 conditions. Although Callaghan et al. (2013) also found an increase in foam decay time 380 with surfactants, they reported a significantly larger slope than we found. In addition to 381 the effects of using different types of sea water, another possible difference that could affect 382 the magnitude of the surfactant effect and resulting slope is the unknown level of surfactants 383 that may have been present for the surfactant-free conditions. 384

The foam temperature anomaly was calculated for each run using the foam mask se-385 quence extracted from the visible foam images (e.g. Figure 4). This mask was then applied 386 to the corresponding frames of the infrared sequence to isolate the regions covered by the 387 foam from the rest of the image. The time series of the mean foam temperature anomaly, 388  $T_{\text{foam}}$ , is plotted in Figure 9(a-d) for each experimental condition. Immediately after the 389 start of the breaking process, the foam temperature increases because of the disruption of 390 the cool skin layer. The foam temperature plateaus for a short but significant time and then 391 starts to cool. These plots show that the duration of the plateau in foam temperature in-392 creases with the slope of the wave packet and the onset of the cooling of the foam is delayed 393

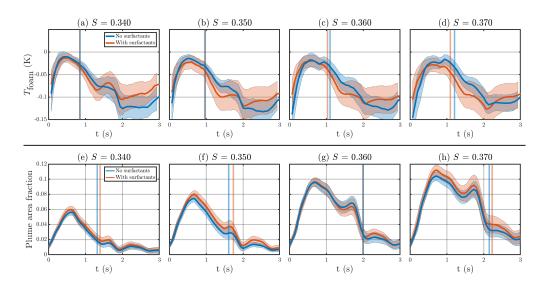


Figure 9. The mean foam temperature anomaly versus time (top) and the bubble plume area (bottom), with and without added surfactants. The thick lines are ensemble averages and the shaded areas denote one standard deviations of the samples. The vertical lines indicate the location  $t_{\rm cool}$  for the foam temperature and the e-folding time from the maximum relative to time t=0 for the plume area, which is equal to  $\tau_{\rm plume}^{\rm total}$  (Figure 6).

for the larger breakers compared to the smaller ones. Furthermore, the surfactant-free and surfactant-added cases follow each other closely in these plots. The time series of the bubble plume area from Figure 7(e-h) are repeated in Figure 9(e-h) with the time axis expanded to correspond to the temperature anomaly plots.

Surface foam and subsurface bubbles are generated immediately after the breaking 398 process begins. As shown in Figure 5, the evolution of the thermal signature of foam also 399 commences immediately after breaking starts, beginning as an increase in temperature due 400 to the disruption of the cool skin. Therefore, we define the time to the onset of cooling, 401  $t_{\rm cool}$ , as the time from the start of breaking to when the mean foam temperature anomaly 402  $T_{\text{foam}}$  falls below its maximum value by a fixed amount. That amount was taken to be the 403 minimum detectable temperature change given by the noise level of the infrared camera 404 (NEDT of 0.025 K). For comparison of  $t_{\text{cool}}$  to the bubble plume timescale, we use the total bubble plume timescale,  $\tau_{\text{plume}}^{\text{total}} = \tau_{\text{plume}}^{\text{decay}} + t_{\text{plume}}^{\text{max}}$ , defined as the bubble plume decay 405 406 timescale plus the time from the start of breaking to  $A_{\text{plume}}^{\text{max}}$ , the time of maximum bubble 407 plume area (Figure 6). The use of these timescales based on the time since the beginning 408 of breaking is consistent with the recent approach by Callaghan (2018), who used the sum 409 of the growth and decay phase timescales as the appropriate timescale for determination of 410 whitecap coverage. The onset of cooling and the total bubble plume timescale are indicated 411 in the corresponding time series in Figure 9 by vertical lines for both surfactant-free and 412 surfactant-added cases. 413

The plot of  $t_{\rm cool}$  versus the total bubble plume timescale  $\tau_{\rm plume}^{\rm total}$  in Figure 10(a) shows 414 an approximately linearly relationship. Small differences in the onset of cooling between 415 the surfactant-free and surfactant-added cases are apparent for the two largest slopes (see 416 also Figure 9(g-h)). However, these differences between the surfactant-free and surfactant-417 added cases are within the experimental variation, indicated by the standard deviation of the 418 ensemble, and are thus not considered statistically significant. The lack of significant effect of 419 surfactants on  $t_{\rm cool}$  is consistent with previous observations that have examined evaporation 420 suppression by surfactant added to water. Some surfactants have the ability to reduce 421

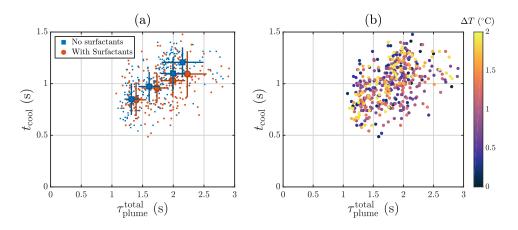


Figure 10.  $t_{\text{cool}}$  versus  $\tau_{\text{plume}}$  (a) The small symbols represent individual runs. The large symbols are the ensemble-averaged values at each condition and the error bars show one standard deviations. (b) Each circle represents one experimental run and is colored based on the water-air temperature difference,  $\Delta T$ , shown in the colorbar.

evaporation through the formation of a monolayer (Barnes, 1986), reducing outward heat flux and suppressing surface cooling. However, this layer is relatively fragile, and mechanical agitation by wind and strong surface turbulence, such as the action of wave breaking and foam generation, will disrupt it and negate any evaporation resistance (Katsaros & Garrett, 1982). The strong and roughly linear correlation between  $t_{\rm cool}$  and  $\tau_{\rm plume}^{\rm total}$  implies that the the time to the onset of cooling can be used as a proxy for the total bubble plume timescale without a significant impact of surfactants.

We also examined the effect of the ambient heat flux by varying the air-water temperature difference for each experimental run, shown in Figure 10(b). Each individual data point from Figure 10(a) is colored by the temperature difference between the water and the air,  $\Delta T = T_{water} - T_{air}$ . The lack of a discernible relationship over the two degree range of  $\Delta T$ , similar to ocean conditions, indicates that the onset of cooling is not strongly affected by the air-water heat flux.

The generated breaking events initially produce a single foam patch and corresponding 435 bubble plume that then quickly separates into two distinct foam patches and bubble plume 436 pairs, as shown in the time sequences from an individual run in Figure 4. The distinct foam 437 patches and associated bubble plumes are generated at different times and with different 438 intensities and thus differ in their spatial and temporal evolution. For instance, the trailing 439 bubble plume in Figure 4(c-e, bottom) is nearly dissipated in Figure 4(f, bottom) when 440 the leading plume is still robust, which is not necessarily reflected in the evolution of the 441 foam patches in the corresponding panels in Figure 4. The occurrence of two separately 442 evolving bubble plumes and corresponding foam patches suggests that separately tracking 443 and measuring the evolution of  $t_{\rm cool}$  and  $\tau_{\rm plume}^{\rm total}$  for one foam-plume pair may improve the 444 correlation. 445

The analysis region for individual foam patches was identified and tracked using ensemble-446 averaged intensity images for each slope and surfactant condition, as illustrated by the se-447 quence in Figure 11 (top) for S = 0.37 without additional surfactants. Each image is the 448 result of averaging the same frame of foam masks relative to the start of breaking, among all 449 the runs with the same conditions. Therefore, the intensity value at each pixel is equal to the 450 fraction of runs in which the pixel was covered by foam or bubbles. The ensemble averaging 451 of the foam images reveals the well defined two-dimensional structure of the foam. The 452 single transverse strip of foam in Figure 11(a) quickly separates into two transverse strips in 453

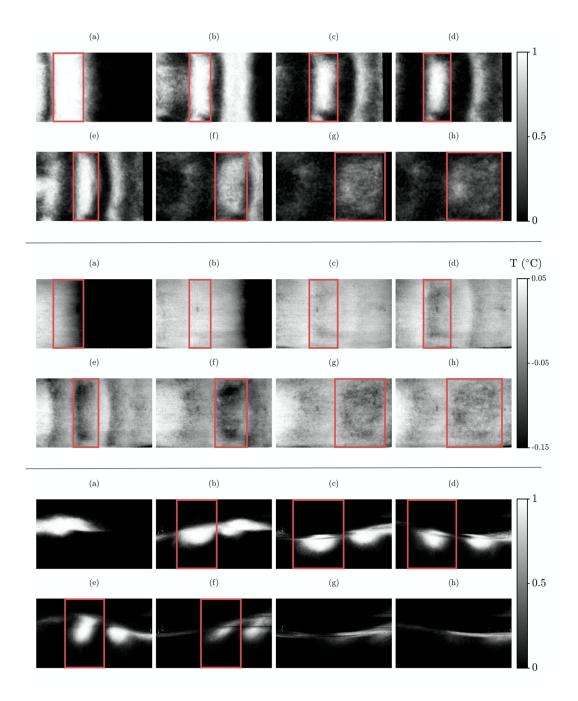


Figure 11. A sequence of ensemble-averaged intensity images of the foam (top), the surface temperature (middle), and the bubble plume (bottom) for the experimental condition with a slope of 0.37 without additional surfactants. The red box follows the most salient foam patch (and the corresponding bubble plume) of the breaker and shows the region that is used in analyzing the foam temperature and the plume area. This figure corresponds to Movie S4 in the supplementary information.

Figure 11(b) that continue to propagate down the tank as separate features. The rectangular box overlaid on each image corresponds to the portion of the image used in analyzing the

foam temperature in the individual run sequences of infrared imagery. The location and the

width of the tracking box were defined manually by inspecting the ensemble-averaged foam 457 images with the goal of containing most of the foam in a strip. Note that the tracking boxes 458 were calculated based on the ensemble-averaged foam data and then applied to infrared 459 images from individual runs with the same conditions. The corresponding bubble plume 460 is similarly tracked using the ensemble-averaged bubble plume images (Figure 11, bottom) 461 and then applied to individual runs. The location and width of the tracking window for the 462 bubble plume were defined independently of the tracking window for the foam data. The 463 reason was that the bubble plume is deformed greatly by the fluid motion at depth due to 464 the waves and is not necessarily located directly beneath the foam strip. 465

The mean temperature of the foam in the tracking box is plotted versus time in Figure 466 12(a-d). Similar to the results of Figure 9 for the whole field of view, the onset of cooling 467 of the foam is delayed with the scale of the breaking strength, given by the wave packet 468 slope, S. The difference between the surfactant-free and surfactant-added cases is once again 469 relatively small. The bubble plume was similarly tracked as shown in Figure 11 (bottom). 470 However, obtaining the time scale for individual bubble plumes proved to be problematic for 471 the cases with the two larger slopes. The reason for this issue can be seen in the plume area 472 time series shown in Figure 12(e-h). The individual bubble plume could only be tracked up 473 to t = 2 s since the separate plumes merged together at that point. Fitting an exponential 474 function to the plume area time series resulted in noisy data due to the lack of data at later 475 stages of decay and the presence of a local peak in the time series caused by the stretching of 476 the bubble plume. However, as can be seen in these plots, the time series for an individual 477 plume follows a trend similar to that for the whole image. Therefore, the maximum depth 478 of the plume,  $d_{\text{max}}$  is used instead of  $\tau_{\text{plume}}^{\text{total}}$  to present the result of tracking an individual 479 plume. The average values of the maximum bubble plume depth for each slope are listed in 480 Table 1. 481

Figure 13(a) and 13(b) show the time to the onset of cooling,  $t_{cool}$ , versus maximum depth of the plume for the whole field of view (i. e., both plumes) and for tracking the trailing plume, respectively. For both cases,  $t_{cool}$  scales with  $d_{max}$  and the difference between the two surfactant conditions is small (approximately 5% on average). Moreover, the scatter of  $t_{cool}$  data is less when an individual foam patch was tracked compared to the whole field of view (approximately 0.12 s compared to 0.16 s, respectively). The reduced scatter when tracking a single plume suggests that the spatial variation in  $T_{foam}$  is related to the spatial variability of the bubble plume depth.

The correlation of the bubble plume depth with the time to the onset of breaking and 490 the observed spatial variability of the foam temperature anomaly suggest that our technique 491 may provide a means of remotely mapping the spatial variability of the plume depth. Our 492 measurement of the bubble plume timescale and depth provide a global measure of the 493 plume characteristics that include a wide range of bubble sizes. However, the delay of the 494 onset of cooling due to the renewal of the foam by rising bubbles is likely associated with 495 a limited range of larger bubble sizes. The onset of cooling may occur because this subset 496 of bubbles responsible for generating the foam are no longer present. Measurements of the 497 distribution of bubble sizes and their subsurface spatial variability combined with infrared 498 temperature maps would be necessary to confirm this implication of our results. 499

#### 500 5 Conclusions

We presented an experimental investigation of the thermal signature of the residual foam left behind by breaking waves. The experiments were conducted in a saltwater wave tank and breaking waves were generated using the dispersive focusing wave packet technique. We used four different wave packets that had a similar shape but varied significantly in breaking intensity, plume depth, and energy dissipation. For each packet, more than a hundred experiment runs were performed in salt water with and without added surfactants. The visible and thermal signatures of the surface foam produced by the breaking waves

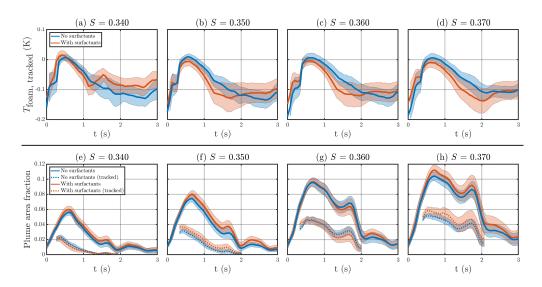


Figure 12. (Top) the mean foam temperature anomaly in the tracking box versus time, with and without additional surfactants. Thick lines are ensemble averages and the shaded areas are one standard deviations of samples. (Bottom) bubble plume area normalized by the image size. Solid lines are for the whole field of view and dotted lines are the data inside the tracking window.

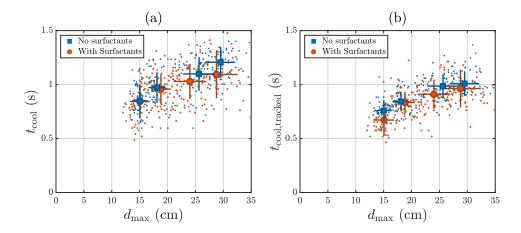


Figure 13.  $t_{\text{cool}}$  versus the maximum depth of the plume,  $d_{\text{max}}$  (a) without tracking, and (b) with tracking. The small symbols represent individual runs. The large symbols are the ensemble-averaged values at each condition and the error bars show one standard deviations.

were measured. The foam area, bubble plume area, and foam temperature time series were calculated from the image sequence data for each experimental run. The visible foam timescale,  $\tau_{\text{foam}}^{\text{decay}}$ , the bubble plume time scales  $\tau_{\text{plume}}^{\text{decay}}$  and  $\tau_{\text{plume}}^{\text{total}}$ , and the time to the onset of cooling,  $t_{\text{cool}}$ , were evaluated from their corresponding time series.

The time to the onset of cooling of the foam,  $t_{cool}$ , was found to scale with the total plume decay time,  $\tau_{plume}^{total}$ , and the maximum plume depth. The cooling timescale was not significantly affected by the environmental conditions of surfactant concentration and air-water temperature difference. Therefore,  $t_{cool}$  can be used to infer sub-surface plume dynamics by quantifying the plume decay time and depth from sea surface temperature observations.

Our results are consistent with the laboratory result that surface foam cools faster 518 than the surrounding clear water due to the enhanced cooling of the bubbles at the surface. 519 Furthermore, they support the notion that the cooling of surface foam is delayed until the 520 rate of renewal of the foam by rising bubbles is less than the foam cooling rate. Our results 521 suggest that the observed spatial variability of  $T_{\text{foam}}$  (Figure 5) may provide information 522 about the spatial variability of the bubble plume depth. Adequate investigation of this idea 523 will require additional measurements with increased dynamic range of breaking intensity 524 and techniques to quantify spatial variability of the bubble plume depth. 525

#### 526 Acknowledgments

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# Supporting Information for "On the Thermal Signature of the Residual Foam in Breaking Waves"

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## Additional Supporting Information (Files uploaded separately)

## Caption for Movies S1 to S4:

Movie S1 and Movie S2. A sequence of images of a breaking wave with a slope of S = 0.35 for Movie S1 and S = 0.37 for Movie S2. Movie S2 corresponds to the images in Figure 3 and Figure 7 in the paper. The movies are captured and played at 15 fps. The wave is propagating from left to right. The wave packets are designed so that the breaking occurs at the edge of the field of view. (Left) Bubble plume images taken from the camera that is looking through the glass wall of the tank. (Top right) Visible foam images taken by the camera that is looking down at the water surface. The foam images are shown in the same coordinate system as of the infrared camera images. (Bottom right): infrared images showing the surface temperature of the foam. The temperature range is 0.3 °C with dark meaning cold and bright meaning warm. The blue outlines show the location of the foam extracted from the visible foam images. Each image is approximately 1.2 m long.

Movie S3 and Movie S4. A sequence of ensemble-averaged intensity images of the bubble plume (left), the foam (top right), and the surface temperature (bottom right), for the experimental condition with a slope of S = 0.35 in Movie S3 and S = 0.37 for Movie S4. Movie S4 corresponds to the images in Figure 10 in the paper. The movies

are captured and played at 15 fps. Each frame in the foam (bubble plume) movies is the result of averaging the same frame of foam masks (bubble plume mask) relative to the start of breaking, among all the runs with the same conditions. Therefore, the intensity value at each pixel is equal to the fraction of runs in which the pixel was covered by foam (bubbles). Similarly, the infrared movie is the result of ensemble averaging of all the runs with the same condition. The temperature range in the infrared movie is  $0.2 \, ^{\circ}C$  with dark meaning cold and bright meaning warm.