Asperity interaction and dependence of frequency-size distribution of earthquakes in brittle-ductile transition zone in Central Himalaya

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Abstract

We explore the hypothesis that b-value act as stress meter which varies inversely with differential stress and decreases until it reached the depth of brittle-ductile transition. Correlation of seismogenic asperity and brittle-ductile contact (BDC) with b-value is of special interest. Lowest b- and Dc-values coincides with transition depth and interpreted as seismogenic asperities. Moderate ruptures (ML[?]5.0) nucleate in vicinity of transition zone where stress concentration is highest. We illustrate behavior of mid-crustal detachment and dependence of topographic elevation above Mid-Crustal Ramp (MCR). The depth 10-15 km is marked as MCR which coincides with fluids, seismogenic asperity and shows seismic clustering. The composite trend in abrupt escalation of b-value is observed at depth [?]12 km and [?]7 km for Garhwal and Kumaun region respectively. These depth ranges is demarcated as BDC which coincides with proposed MCR and exhibiting as an alarming asperity zone (12-15 km) for future great earthquake in Garhwal-Himalaya.

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10	Key Points
13	Key rollits
14	• Three-dimensional spatio-temporal distribution of b-values along D _c -values is observed
15	to identify seismogenic potential zone
16	• The BDC and associated seismogenic asperity is identified which coincides with MCR
17	and considered as primary asperity for great earthquake
18	• Rheology transformation and seismogenic asperity governs the MCR geometry and
19	tectonic-topographic control on the active fault zone
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28 Abstract

29 We explore the hypothesis that b-value act as stress meter which varies inversely with 30 differential stress and decreases until it reached the depth of brittle-ductile transition. Correlation of seismogenic asperity and brittle-ductile contact (BDC) with b-value is of special interest. 31 Lowest b- and D_c-values coincides with transition depth and interpreted as seismogenic 32 asperities. Moderate ruptures ($M_1 \ge 5.0$) nucleate in vicinity of transition zone where stress 33 34 concentration is highest. We illustrate behavior of mid-crustal detachment and dependence of topographic elevation above Mid-Crustal Ramp (MCR). The depth 10-15 km is marked as MCR 35 36 which coincides with fluids, seismogenic asperity and shows seismic clustering. The composite trend in abrupt escalation of b-value is observed at depth ≈ 12 km and ≈ 7 km for Garhwal and 37 38 Kumaun region respectively. These depth ranges is demarcated as BDC which coincides with proposed MCR and exhibiting as an alarming asperity zone (12-15 km) for future great 39 40 earthquake in Garhwal-Himalaya.

41 Plain Language Summary

The continuous seismic monitoring indicates increase in stress accumulation in Garhwal-42 43 Kumaun, Central (NW) Himalaya. We observed more pronounced clustering of events and associated high stress accumulation in Chamoli-Rudraprayag region along the strike of 44 45 Himalaya. The monitoring of this region is important because a strong earthquake Mb 6.3 (1999) was occurred in Chamoli zone of Garhwal region and several hundred people died with 46 47 approximately 50,000 houses were damaged and over 2,000 villages were affected. Recently, after 1999 this periphery was triggered by moderate magnitude of M_L 5.7 earthquake. We find 48 49 consistent results for various zones and observed that stress values vary inversely with the differential stress in the upper continental crust. The depth region 10-15 km indicates the 50 51 presence of fluid, high stress accumulation and shows clustering in the association of 52 surrounding faults and deformations. A well demarcation of Brittle-Ductile contact and 53 associated asperity for future great earthquake is plotted which shows significant match of this depth ranges with moderate size of earthquake. We propose the location and building processes 54 of MCR and associated superimposed layer which controls topography elevation in the region. 55

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58 **1. Introduction**

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The fundamental statistical analysis of frequency-magnitude distribution (Ishimoto and
Iida, 1939; Gutenberg and Richter, 1944, 1954) in any region satisfies the relationship

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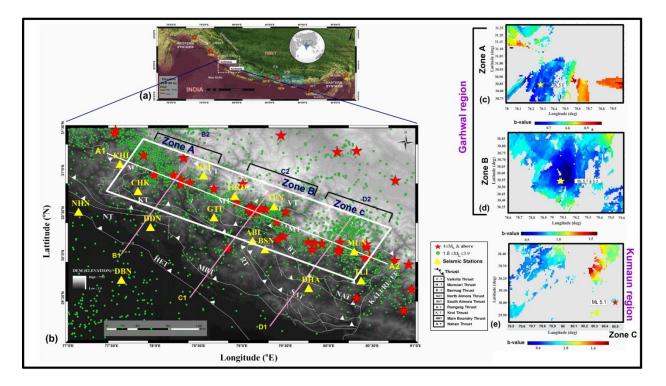
$$\log_{10} N(M) = a - bM \tag{1}$$

where 'a' and 'b' are constant and describes productivity parameter and relative size distribution 63 64 slope respectively and N denotes total number of earthquakes with magnitude $\geq M$. The b-value is 65 used for determine frequency of earthquake-size distribution and variation within the slope of power law i.e. low b-value means higher magnitude earthquake is predominant over low 66 magnitude earthquake and vice-versa. The distribution of continuous stress accumulation along 67 68 the fault-plane affects time of occurrence of next earthquake. Therefore it is necessary to know 69 the spatio-temporal behavior of stress level in tectonic regime. It has been understood that 70 different tectonic regime and associated structural characteristics yields variations in stress 71 release: normal faults is associated with highest b-values, whereas reverse faults corresponds to 72 lowest (Amelung and King, 1997; Schorlemmer et al., 2004; Kulhanek, 2005). Temporal 73 variation in b-value appears to be associated with changes in local and regional stresses. In this 74 study, the inverse relation between b-value and differential stress for continental crust has been verified. The relationship is carried out on regional scale to delineate different associated 75 76 asperities.

77 Since 1944, depth-dependent b-value has been studied by many researchers 78 (Guttenberg and Richter, 1944; Evernden, 1970; Wyss, 1973; Curtis, 1973; Mori and Abercrombie, 1997; Spada et al., 2013). The b-value is significantly termed as stress-meter for 79 80 any region and interpreted as an indicator of heterogeneity in medium (Mogi, 1962; Kawamura 81 and Chen, 2017). The pattern of depth distribution of local seismicity demarcates interface between brittle and ductile contact in seismogenic crust (Sibson, 1984; Amitrano, 2003; Spada et 82 al., 2013). On a broad perspective, ratio of V_p/V_s also defines the behavior of brittle-ductile 83 contact (BDC) and scale of rigidity within the crust. The stress gradient inferred by b-values 84 85 controls seismicity and associated fracture density at brittle-ductile transition zone (Scholz, 1988; Doglioni et al., 2015). This paper defines the brittle-ductile transition zone in three different 86 zones and associated seismogenic asperity along the strike of central-Himalaya. The thickness of 87

brittle upper-crust is not uniform along the Main Central Thrust (MCT) and controls hypocenters
of moderate size earthquakes within the transition zone.

A barrier along the fault plane which has significant resistance to slip in a highly 90 stressed local area is termed as an asperity (Lay et al., 1982; Tormann et al., 2012). It has been 91 plotted that highly stressed asperities coincides with zones of low b-value (Wiemer and Wyss, 92 1997). The earthquake rupture usually begins at an asperity and these barriers have significant 93 94 amount of stress drop (Bouchon, 1997). Finding of seismogenic asperity zone and its interaction with surroundings is very important because it not only governs the significant aspects of 95 96 earthquake ruptures but it may also be possible region where stress accumulates until it ruptures during a major earthquake. In this paper author demonstrates that asperities in fault zone 97 98 corresponds to significantly low b- and D_c-values.



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Figure 1. (a)The significant earthquakes of Himalayan Arc along major structures and tectonics (modified after Gansser, 1964; Valdiya, 1980b; Armijo et al., 1986; Bilham and Ambraseys, 2005; Kumar et al., 2010; Zhang et al., 2016). The study area (Garhwal-Kumaun Himalaya) located in CSG with no great earthquake, since last 20 decades.
(b) Epicentral location map of local earthquakes (2007-2018) drawn on tectonic map of Garhwal-Kumaun region, with four profiles selected across and along the strike for seismic (A-A1, B-B1, C-C1 and D-D1), b-value crosssection and swath profile. (c-e) shows zone wise distribution (Zone A, B & C) and mapping of b-values on the tectonic map.

Study of stress level asperities in locked zone of Garhwal-Kumaun 109 compressive regime of Central Seismic Gap (CSG) indicates observed variation in b-values with 110 time, which shows significant decreasing trend for the period 2007-2013 (Negi and Paul, 2015). 111 The Chamoli-Rudraprayag in Garhwal Himalaya and its adjoining region has experienced 112 113 various earthquake swarms which may be precursor and preparation zone for moderate to great earthquake (Paul and Sharma, 2011; Singh et al., 2018). Due to this significant variation in 114 115 seismically active region, it is necessary for continuous monitoring to study seismic parameters 116 i.e. a-value, b-value and D_c (fractal dimension) to mitigate the probable seismic risk.

117 In this paper we describe the pattern of earthquake distribution, b-value and fractal analysis to understand the dynamics and stress condition of region in regional and local scale. 118 We achieved a significant spatio-temporal behavior and depth dependence of b-value in the 119 120 region. We have plotted b-value cross-section map for three different seismically active zones 121 and identify seismogenic asperities and delineates its seismic characteristics. The location of 122 low b-values coincides with low D_c-value reflects and interpreted as high-stressed asperities. Correlation of asperity zone and brittle-ductile transition with b-value has been achieved. We 123 have illustrated the behavior of mid-crustal detachment beneath the Himalayan Seismic Belt 124 125 (HSB) and dependence of topographic elevation due to change in depth of BDC.

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2. Seismo-tectonics of Garhwal-Kumaun Himalaya

The Garhwal-Kumaun Himalaya lies in the western part of Central Seismic 128 129 Gap (CSG) which is in between two major rupture zone i.e. 1905 kangra earthquake and 1934 130 Bihar-Nepal earthquake (Figure 1). The CSG is continuously acquiring strain and yields low to 131 moderate magnitude of earthquakes with low stress drop, as a result large amount of internal 132 stress is continuously being built up in this region to produce great earthquake(s) (Kayal, 2001; Gitis et al., 2008; Kumar et al., 2012; Singh et al., 2018; Paul et al., 2017, 2019). Khatri [1999] 133 described that region has a potential of generating great earthquake and it is the most probable 134 zone for future big earthquake with 52 % probability in a time window of 100 years. Since last 135 200 years CSG have not witnessed any major or great earthquake (>M 8) and the continuous 136 137 process of acquiring strain energy marked the region as potential zone for next great earthquake

(Khatri, 1987). The region has experienced several major earthquakes in past, viz. 1803-138 Badrinath, 1816-Gangotri and 1867-Mussoori earthquakes (Oldham, 1883). In the last 3-4 139 140 decades region is visited by several moderate to strong earthquakes, (1991) Uttarkashi earthquake Mb 6.5, (1999) Chamoli-Garhwal earthquake Mb 6.3 (Kayal et al., 2003), (2007) 141 Kharsali earthquake M 4.9 (Kumar et al., 2012), (6th Feb 2017) Rudraprayag earthquake M 5.7 (142 present study) and (6th Dec 2017) Rudraprayag earthquake M 5.3 (present study). The 6th 143 February 5.7 magnitude Rudraprayag earthquake (Mb 6.3) is the maximum recorded magnitude 144 till now in our network since 1999 Chamoli-Garhwal earthquake which was followed by next 145 moderate size of earthquake on 6th December 5.3 magnitude in Rudraprayag province. 146

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3. Data Analysis 148

The data used in present work studied for seismicity analysis and other 149 seismic parameters which have been acquired by using fourteen broad band seismometers: ten 150 stations from Garhwal network and four station of Kumaun network in Central Himalaya. The 151 broad band stations which is deployed in Garhwal region are equipped with Trillium-240 152 seismometer whereas Kumaun network stations are equipped with Trillium-120 seismometer 153 with high dynamic range (>138 db) with Centaur and Taurus Data acquisition system (DAS) 154 respectively. Each station is consisting of standard frequency bandwidth range i.e.0.004-50 Hz, 155 where three component data has been acquired in continuous mode at 100 samples per second at 156 each station. High accuracy GPS synchronies the DAS clock every minute. The well located 157 local-events are plotted on tectonic map of Garhwal Himalaya justified within the acceptable 158 159 error bars (ERZ, ERH <5.0). The fresh data (2015-2018) is used and added in this study which 160 was analyzed and processed using HYPO71 Program incorporated in SEISAN software (Lee and Lahr, 1975) at earthquake processing centre of the Wadia Institute of Himalayan Geology 161 (WIHG), Dehradun, India. 162

163 This is for first time that the present seismicity, b- and D_c-value of region has been looked for more than 10 years continuous dataset which includes significant moderate size 164 $M_{\rm L}$ 5.7, 2017 earthquake (since 1999) in the region. The data analysis for more than 3500 local 165 events (2007 to 2018) indicate that majority of earthquakes are occurring in a narrow zone, south 166 167 of MCT with magnitude range between 1.8 to 5.7. Current Status of the seismic network and

previous study also suggests that, there is no major and strong event (Mag >6) in this region since 1999 till date.

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171 **4. Methodology**

172 **4.1 b-value Analysis and mapping**

The spatial mapping of frequency-distributions of b-value has become a worldwide popular earthquake statistical analysis. The power-law for statistical distribution between magnitude of earthquakes and frequency of occurrence with the group of earthquakes is expressed in terms of Gutenberg–Richter relation (Gutenberg & Richter, 1944). The size distribution of earthquakes in the Earth's crust generally obeys this power law.

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$$\log_{10} N(M) = a - bM$$
 (1)

The terms and constants of equation are defined in introduction. By mapping the spatial variation
of b-value in entire region (29°-31.5°N; 77°-81°E), three zones has been identified on the basis
of seismic clustering and low b-value characteristics (Figure 1 and 2 b-c).

The maximum-likelihood is most appropriate method as compared to least-square method
(Hitara, 1989) and is applied in study to estimate b-value of the region (Aki, 1965). This method
follows the mathematical relation and defined as

Where M_a is the average magnitude and M_c is threshold magnitude above which the distribution 186 of data is complete. We calculate b-values by giving sample size greater than 50 events 187 (Nmin=50) above Mc. The M_c has been determined by applying goodness-of-fit method which is 188 also called as maximum-curvature method (Wyss et al., 1999; Wiemer and Wyss, 2000). Mc is 189 190 defined in present work as first magnitude bin at which residual falls below horizontal of 95% to 90% fit. The observed goodness-of-fit level of 95% to 90% (magnitude range, 1.8-5.7) defined 191 Mc value of 1.8 for Zone A&B and Mc value of 2.4 for Zone-C for present seismicity catalogue. 192 The aftershocks have been removed using declustering method given by Reasenberg [1985] 193 194 before calculating the b-value. Further, b-values and standard-deviation have been achieved individually for all three zones by applying bootstrap method for computation, using softwarepackage ZMAP (Wiemer, 2001).

197 4.2 Fractal dimension

The scaling parameter for spatial distribution of earthquakes is termed as fractal dimension (D_c) (Mandlbrot, 1983). This power-law works as statistical tool and estimates the two-point spatial correlation for earthquake epicenters. The D_c -value describes earthquake's spatial randomness and clusterisation which helps in interpretation of seismic heterogeneity along the tectonic regime. The D_c -value for spatial distribution of earthquake is calculated using correlation integral method given by Grassberger and procaccia [1983] as:

$$D_{wr} = \lim_{r \to 0} \text{Log}(C_r) / \text{Log}_r$$
(3)

205 Where (C_r) is correlation function

$$C(\mathbf{r}) = \frac{2}{N(N-1)}N(R < r) \tag{4}$$

Where N(R < *r*) is the number of pair (X_i, X_j) with a smaller distance than angular distance (r).
Kagan and Knopoff [1980] had described the correlation integral is related to standard
correlation function given as:

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$$C(r) \sim r^D$$
 (5)

Here, D is typically termed as D_c-value. Author made a comparable work and carried out fractal
dimension outline for whole region, zone-wise, year-wise and depth-wise also (Table S2-S4).

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214 **5. Results and Discussion**

215 **5.1 Spatial-temporal variation and depth correlation**

216 A significant 3-D spatio-temporal distribution of b- and D_c-values is observed for entire 12 years continuous dataset. The results are well constrained at 5-20 Km with less 217 uncertainties. The b-value is found to be 0.835 ± 0.02 , while a-value is calculated 5.01 for whole 218 219 region (Table S2). In a tectonically active region, observed b-value is commonly close to 1.0 but varies between 0.5 and 1.5. Earlier workers have also estimated b-values in Himalaya that ranges 220 between 0.60-1.05 (Pacheco et al. 1992; Wiemer and Wyss, 1997; Pandey et al., 1999; Kayal, 221 222 2001; Ghosal et al., 2012; Prasath et al., 2019). The D_c -value is estimated 1.53 \pm 0.03 and fractal scaling range found between 11.49 and 56.86 km for whole region. 223

224 By mapping the spatial variation of b value in entire region, three zones (Zone-A, Zone-B and Zone-C) has been identified on the basis of events clustering and b-value 225 226 characteristics (Table S2). The obtained b-values for Zone-A (Uttarkashi region), Zone-B 227 (Chamoli-Rudraprayag region) and Zone-C (Kumaun region) are 0.737 ± 0.04 , 0.702 ± 0.03 and 0.97 ± 0.07 respectively. We have plotted cross-section of stress map across and along the strike, 228 which clearly depicts Zone-B accumulates more stress and highly clustered by seismicity with 229 recently occurred M 5.7 earthquake (Figure 2 & S2). The relative increase in stress and recent 230 seismic activity with this moderate size of earthquake in Zone-B probably indicates 231 232 accumulation of high stress as compared to other Zone A & C in entire region.

We have estimated b- and D_c-value separately for MCT zone and south of MCT 233 234 (Figure S3, S4 and table S2). The b- and D_c-values are found 0.755 \pm 0.03 and 1.46 \pm 0.04 respectively for MCT zone, 0.705 ± 0.03 and 1.36 ± 0.01 respectively for south of MCT. The 235 inferences based on the spatial variation indicate that south of MCT (inner Lesser Himalaya) is 236 237 more pronounced by homogenous seismic distribution and accumulates high stress than MCT 238 zone along the strike. The thrust system of lesser Himalaya (south of MCT) is more seismically active and accommodates most of the stress due to convergence along the strike of Himalaya 239 240 (Kayal et al., 2003a,b; Bai et al., 2016). We observed that low D_c -value always coincides with high stress field (Table S2-S4) and suggests the pattern of seismic distribution. The highest D_c-241 value in Zone-A suggests the heterogeneity of earthquake density and zone-B illustrates lowest 242 D_c-value which indicates homogeneity of earthquake density with clusterisation (figure 2b and 243 244 Table S2).

The b-value varies inversely with differential stress and effective stress in the 245 continental crust (Scholz, 2015). It is acknowledged that differential stress in upper crust 246 247 increases until it reached the depth of brittle-ductile transition zone at which ductile mechanisms begin to operate, thereafter differential stress decays (Connolly and Podladchikov, 2004). The 248 brittle fracture dominantly distributed in the upper crust while ductile flow is pronounced in the 249 Earth's lower crust (13km depth), rupture activity in the crust is widely affected by this scale 250 251 (Lei and Kusunose, 1999). We individually investigated and mapped 1D b-value with depth cross-section for each block of active region (Figure 2 and S4). The obtained numerical values 252 253 are in agreement of decrease in b-value with increase in depth, which is controlled by differential 254 stress. The region in between 10-15 km depth shows computed result of relatively low b-value

255 0.647 ± 0.03 for entire region (Table S3) which is an indication of high strain accumulation. We 256 have obtained depth-wise as well as spatio-temporal D_c-value (0.83 to 1.89) for entire region. 257 (Table S3, S4). It has been observed that low D_c-value suggests high permeability and presence 258 of fluids in fault plane as well as in its surrounding area which contributes in decaying of 259 effective stress (Barton et al., 1999; Monsalve et al., 2008; Singh et al., 2008). The low D_c-value 260 of 0.83 ± 0.01 at 10-15 km depth for entire region (Table S3) indicates the presence of fluid and 261 showing seismic clustering in association of surrounding faults and deformations.

A high conductivity, low velocity layer along low coefficient fiction value is 262 observed beneath the Garhwal Himalaya which was predicted as a free fluid source (Rawat et al., 263 2014; Prasath et al., 2017). It has been interpreted as 1999 Chamoli earthquake influenced by 264 free fluids and previous study also reported the presence of fluid evident by high Vp/Vs values 265 266 beneath the Chamoli region in Garhwal-Himalaya (Mahesh et al., 2012; Caldwell et al., 2013; Rawat et al., 2014). We suggest the presence of such trapped fluids along the detachment plane 267 268 around MCR structure which alters vertical distribution and may lead in decay of differential 269 stress with increase in b-value. Decrease in D_c -value with b-value may be the indicator for high 270 stress developer along the fault to produce large size earthquake. The temporal range of 2015-2018 shows low b-and Dc-value (Table S4). This period observed large frequency of moderate 271 272 size earthquakes, hence shows high stress in the region.

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5.2 Mapping brittle-ductile transition and associated asperities along the thrust zone

Seismogenic asperity is the area of relatively high accumulation of shear and 275 276 normal stresses along the fault zone. Elucidating the position of seismogenic asperity is 277 important to understand significant aspects of earthquake ruptures and its nucleation for future 278 large earthquake. We have examined the asperities in fault zone and are mapped anomalously by low b-value distribution. The hypocenters of majority of moderate size earthquake since 2007 as 279 280 well as foci of 1991 Uttarkashi and 1999 Chamoli strong earthquakes coincide with these asperities and zone of low b-value, indicates release of continuously accumulating stress. The 281 282 lowest spatial as well as depth wise b-value is found in Zone-B and marked this area as a 283 seismogenic asperity zone for future large earthquake.

In Figure 2, depth estimation of b-value suggests that Zone-B shows high degree of variation as compared to Zone-A and Zone-C. The Zone-B depicts minimum b-value <

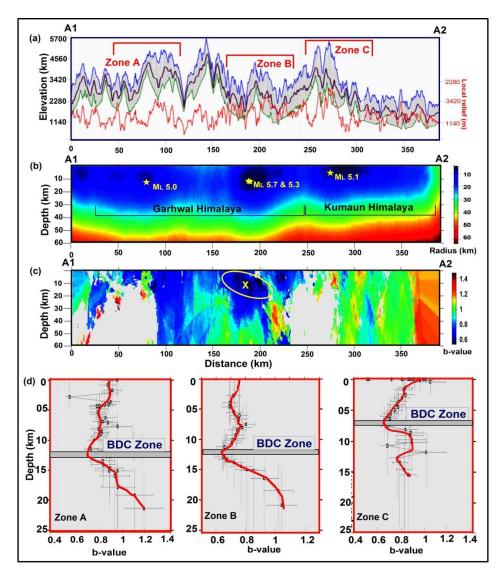
0.7 at depth \approx 12-14 km. Interestingly two moderate size earthquakes of M_I 5.7 (6th Feb 2017) and 286 M_I 5.3 (6th Dec 2017) magnitudes occurred in this region with focal depth 14.6 km and 13.5 km 287 respectively. In addition, majority of moderate size of earthquakes were occurred in a narrow 288 zone of this region with depth range 12-15 km (Table S5). The trend in sudden escalation of b-289 290 value at depth ≈12 km indicates that Zone-B is behaving as BDC and 12-15 km as asperity zone for significant ruptures, whereas Zone-A shows high stress gradient of b-value with 291 approximately similar depth asperities as of Zone-B (Figure 2). The zone-C shows anomalous 292 behavior and depicts \approx 7 km depth as BDC which coincides with low b-value and below it b-293 value increases progressively. The majority of small to moderate size earthquake with depth 294 range 7-10 km occurred within asperity zone in Zone-C (Table S5). The shallow crustal depth 295 indicates highly heterogeneous medium with high differential stress above ≈ 12 km for Zone 296 A&B and \approx 7 km for Zone-C. 297

We suggest that fractures are not closely packed in heterogeneous medium because of low stress 298 condition. Even if rupture initiates in heterogeneous medium, fracture or weak zone do not easily 299 propagate from one fracture to another because of loosely packed density, hence no moderate 300 301 and strong earthquake occurred in shallow depth. On the other hand, ductile-zone is dominantly distributed by closely packed fracture density because of high stress and plastic behavior of 302 303 medium. If rupture initiates in ductile-zone it propagates to a far extent because of high density of fractures and homogenous distribution of stress which may lead other fractures to trigger in 304 305 medium. Hence, rupture propagation in ductile zone develops numerous moderate size of earthquake with low b- and D_c-value in lower crustal-depth because of uniform stress 306 307 accumulation. Scholz [1990] observed that 10-15 Km depth is widely accepted and generally corresponds to transition zone from unstable friction to stable friction of the crust. Manning & 308

309 Ingebritsen [1999] also pointed that 12-13 Km is a characteristic scale and significant boundary

in geothermics and crustal permeability. Hence, Major rupture ceases in heterogeneous medium

above BDC which coincides with low lithospheric pressure.



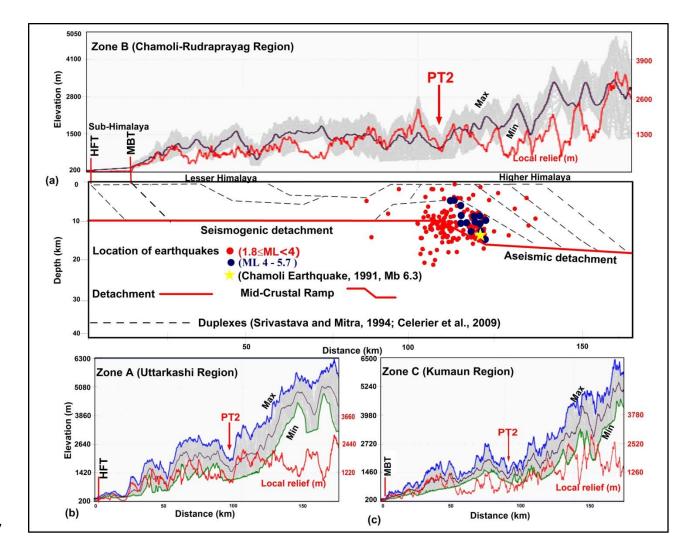
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Figure 2. (a) The swath profile depicts Maximum, Minimum and Mean elevation with local relief along the strike of Himalaya which shows low elevation in Zone-B and highest at Zone-C. (b) It shows clusterization of local events which is dominantly present at Zone-B. (C) Shows b-value mapping depth cross section along the strike, which depicts Zone-B accumulates more stress and recently triggered by M 5.7 earthquake. Yellow elliptical circle (X) indicates dip direction of stress zone towards Zone-C i.e Kumaun Himalaya. (d) The swath profile along the strike shows topographic elevation varies with change in brittle-ductile contact (BDC) depicts tectonic control on topography.

324 5.3 Behavior of mid-crustal detachment beneath the HSB

The Geodetic and microseismic observation shows that MCR accumulates strain and stress beneath the higher Himalaya (Pandey et al., 1995: Arora et al., 2012). We 327 suggest that seismicity and stress level distribution in brittle-ductile transition zone is a good proxy for presence of MCR and associated structures along HSB. Based on previous studies, 328 329 decollement layer in Sub-Himalaya is present beneath 5-6 km thick Siwalik sediments (Delcaillau, 1986; Shelling and Arita, 1991). The MFT and MBT are rooted into decollement 330 which indicates continuation of detachment beneath the Sub-Himalaya. The focal mechanisms of 331 332 great to moderate-size earthquakes reveal that northward flattening of detachment plane (dip 2^o-4⁰) beneath the lesser Himalaya. Further towards north in the vicinity of MCT beneath inner 333 lesser Himalaya, plane of detachment steepens with dip of 16^o and develops a ramp structure (Ni 334 and Barazangi, 1984, Caldwell et al., 2013). 335

Seismic cross-section provides the evidence of seismic clustering with high stress 336 337 accumulation in the vicinity of MCR but detachment with flat decollement does not correspond to same. The structural cross sections are shown (Figure 3) with depth variation in geometry of 338 339 detachment plane. Author suggests the high stress asperity and associated ductile behavior of rock beneath the HSB controls and changes the geometry of detachment plane which steepens it 340 341 and develops a ramp. Geometrical changes along the plane of detachment build locked patches which get influenced in high stress zone along the MCR. This locked portion and associated zone 342 343 further superimpose by incompetent ductile layer during inter-seismic and aseismic slip. The superimposition of layers associated with ramp develops a duplex structure and the roof thrust 344 which may get influenced in the proximity of brittle-ductile transition. We have compared the 345 association of MCR in three different adjacent zones. Based on section 5.1 and table S2 we 346 observed low D_c -value of 0.83 \pm 0.01 at 10-15 km depth for whole region may indicates the 347 presence of fluid and showing clustering in association of surrounding faults and deformations. 348 We suggest the depth of MCR is 10-15 km from crustal surface in Garhwal region. The position 349 of ramp shows correspondence with brittle ductile contact, stress variation, seismogenic asperity 350 351 and hypocenters of moderate size earthquakes. Whereas inferences based on magneto-telluric and other studies on Garhwal region states that depth of ramp is 8-13 km (Rawat et al., 2014), 352 15-20 km (Srivastava and Mitra, 1994) and 10-20 km (Caldwell et al., 2013). Based on earlier 353 354 studies, the associated duplex structure is traced in high stress asperity zone within the ductile medium (Figure 3). We suggest area and location of MCR and associated duplex are controlled 355 356 by rheology transformation from ductile to brittle along the MHT.



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Figure 3. The Physiographic Transition (PT2) on swath profiles are observed for three different zones along with the MHT, MCR and duplex structure.

361 5.4 Tectonic and topographic control on active fault zone along the Himalayan strike

The orogenic belts of Himalaya are probably governed by northward 362 movement of Indian plate towards Eurasian plate and controls the lateral changes along the strike 363 in fold-and-thrust belts that affects elevation and basement decollement layers. The pattern of 364 micro-seismicity and associated cluster along the HSB coincide with high elevation topography. 365 The elevation difference corresponds to higher erosion rate with rock uplift (Lave and Avouac, 366 367 2001; Herman et al., 2010). The possibilities of anomalous behavior of topography could be the superimposition and growth of duplex above mid-crutal ramp (DeCelles et al., 2001; Avouac, 368 2003; Gao et al., 2016), thrusting above steep detachment plain (Lave and Avouac, 2001) and 369

occurrence of out-of-sequence thrusting (Hodges et al., 2004). The study of tectonic andtopography anomaly on the active fault zone is important for earthquake related hazards.

372 Plotting of swath profiles along the Himalayan strike states that depth variation in transition zone controls local topographic elevation and a locus of crustal thickening 373 and shortening. The swath profile in three different zone shows a similar trend of PT2 feature 374 with abrupt escalation in elevation along the Himalayan strike (Figure 3). The consistency of 375 376 PT2 path at the foot of HHC supports the presence of MCR along HSB beneath the NW Himalaya. The inferences based on low b- and D_c-value depicts that Zone-B shows low elevation 377 topography as compared to Zone A and C (Figure 2). The BDC is deeper in Zone-B and depth 378 variation of b-value also shows low angle slope which indicate low stress gradient as compared 379 380 to zone A and C. The Zone-C shows highest elevation topography and depicts shallowest brittle ductile transition zone with high stress gradient. Hence, we can observe that BDC is located 381 382 significantly deeper in Garhwal region of Zone-B and shallower at Kumaun region of Zone-C. The possibilities of these anomalies are due to thrusting and growth of duplex in brittle ductile 383 384 transition zone along the MCR. We suggest that location of transition zone coincide with low band D_c-values and associated seismogenic asperities govern the tectonic and topographic control 385 386 on the active fault zone along the strike of Himalaya.

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388 6 Conclusions

The continuous seismic monitoring provides the opportunity to study and analyze spatiotemporal b-value, fractal dimension and its dependence with depth in CSG. The analysis leads to following conclusions:

- 1. The b-value varies inversely with differential stress until it reached the depth of BDC.
- 393 2. The high stress asperity zone is found in Zone-B and verified its possible association with394 shallow depth inferred ruptured areas in Garhwal Himalaya.
- 395 3. The inner lesser Himalaya (south of MCT) is more seismically active which
 accommodates most of the stress with low D_c-value than MCT zone.

397 4. Significant change is observed in stress gradient at ≈12 Km and ≈7 km for Garhwal and
 398 Kumaun respectively, which we interpret as brittle ductile-transition and coincides with
 399 seismogenic asperity.

5. Stronger to great earthquake can occur at shallower depth below BDC in CSG.

- 401 6. Seismogenic asperity within brittle-ductile transition controls the rupture and amount of402 interseismic strain against the locked portion.
- 403 7. We predict that rheology transformation from ductile to brittle governs the position of
 404 MCR (10-15 km) and associated duplex along the MHT.
- 8. The swath profile confirms the consistency of PT2 path and supports the presence of
 MCR along the HSB. The associated BDC and seismogenic asperity at MCR controls the
 tectonic-topographic development on active thrust zone along the strike of Himalaya.

408

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Data related to this paper is generated and processed by Author (AT): The data July 2007 to May
2015 has been reprocessed and used in this study. The data 2015 to 2018 is newly generated and
processed by Author at WIHG, Dehradun.

- 424 Data related to this paper is available and can be downloaded from the following link
- 425 (http://dx.doi.org/10.17632/257pzrpdzd.3).

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[Geophysical Research Letters]

Supporting information for

[Asperity interaction and dependence of frequency-size distribution of earthquakes in brittle-ductile transition zone in Central Himalaya]

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Additional Supporting Information (Files uploaded separately)

• Captions for dataset S1 to S3

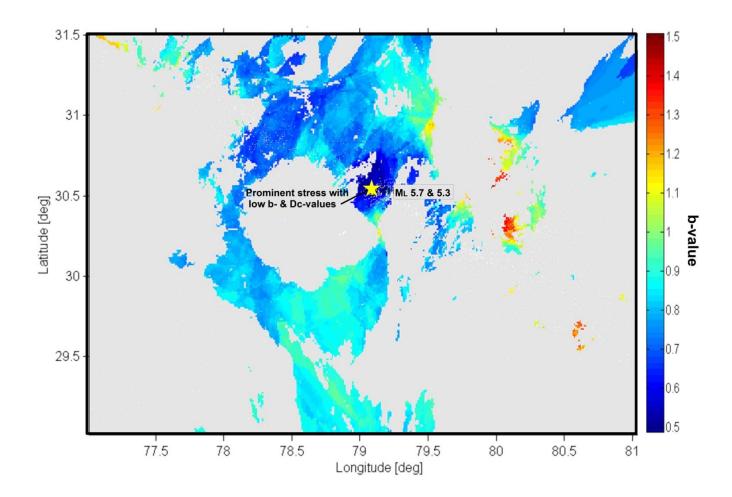


Figure S1. Figure shows b-value mapping for entire region. Yellow star depicts moderate size of earthquake in Chamoli-Rudraprayag proximity in Garhwal-Himalaya.

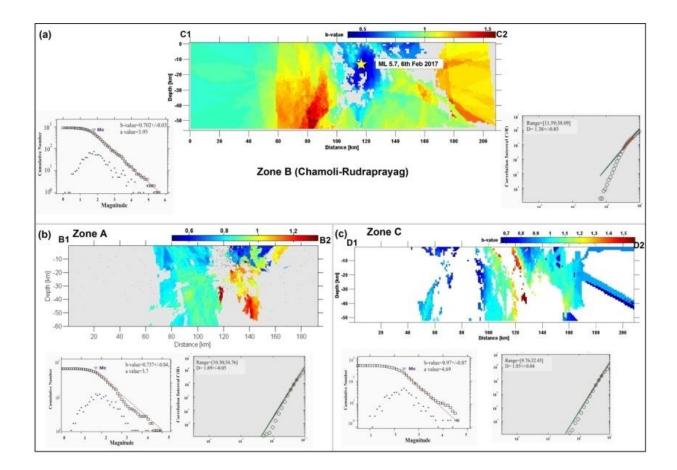


Figure S2. Figure shows (a-c) depth cross-sections of b-value (mapping across the strike) and fractal analysis in three different zones (A, B and C). The maximum magnitude recorded earthquake (M_L 5.7), since 1999, is represented by Yellow star in Zone B. The lowest b- and D_c -value in Zone B indicates high stress accumulation and significant clustering of seismic event, respectively.

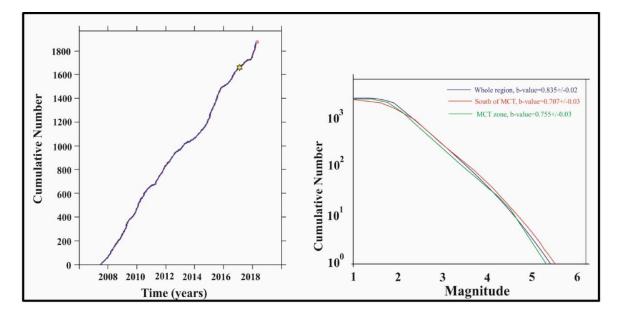


Figure S3. Spatio-temporal analysis plot: (a) cumulative frequency plot of declustered dataset of whole region. (b) shows b- value plot for whole region, MCT zone and South of MCT zone.

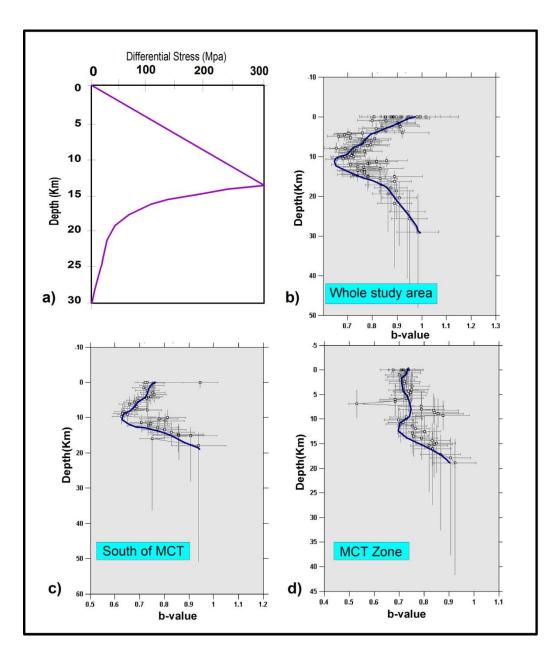


Figure S4. (a) Plot depicts depth variation of differential stress in upper crust modified after Scholz [2002]. (b-d) figures shows b- value depth variations and gradient for whole region, south of MCT zone and MCT zone, respectively.

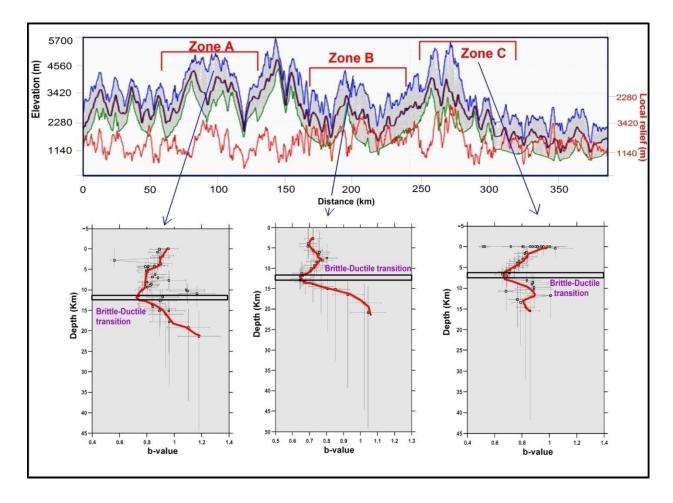


Figure S5. The swath profile along the strike shows topographic elevation varies with change in brittle-ductile contact (BDC) of zone A, B & C, depicts tectonic control on topography. Zone B shows deeper contact of BDC and low elevation above it, where as zone C shows shallow contact of BDC and highest topographic elevation is observed above zone C.

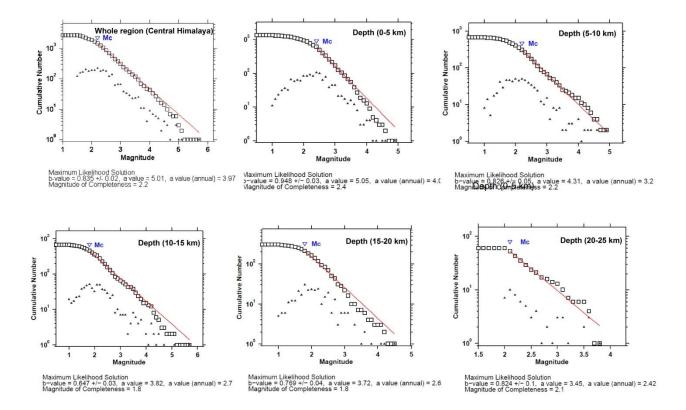


Figure S6. Output of b-values for whole region and depth wise (0-5km, 5-10 km, 10-15 km, 15-20 km and 20-25km).

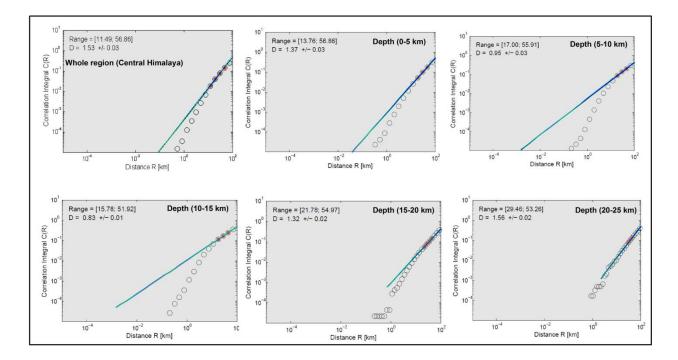


Figure S7. Output of Fractal analysis (D_c -values) for whole region and depth wise (0-5km, 5-10 km, 10-15 km, 15-20 km and 20-25km).

S. no.	Station	Seismic Station	Lat (degree)	Long (degree)	Elevation (m)	Tectonic Zone	Sensor	Digitizer
01	Adibadri	ABI	30.15	79.21	1595	Inner Lesser Himalaya	Trillium- 240	Centaur
02	Bhararisain	BSN	30.09	79.27	2258	Inner Lesser Himalaya	Trillium- 120	Taurus
03	Chakrata	СКА	30.72	77.87	1990	Inner Lesser Himalaya	Trillium- 240	Centaur
04	Deoband	DBN	29.72	77.73	214	Sediments of Indo- Gangetic Plain	Trillium- 240	Centaur
05	Dehradun	DDN	30.33	78.01	657	Siwalik, Sub- Himalaya	Trillium- 240	Centaur
06	Dhaulchina	DHA	29.67	79.79	1857	MCT zone (HHC)	Trillium- 120	Taurus
07	Gaurikund	GKD	30.62	79	1760	MCT zone (HHC)	Trillium- 240	Centaur
08	Guttu	GTU	30.53	78.75	1970	Inner Lesser Himalaya	Trillium- 240	Centaur
09	Kotkhai	KHI	31.1	77.58	2261	ННС	Trillium- 240	Centaur
10	Kharsali	KSI	30.97	78.44	2559	MCT zone (HHC)	Trillium- 240	Centaur
11	Nahan	NHN	30.53	77.27	610	Siwalik, Sub- Himalaya	Trillium- 240	Centaur
12	Tapovan	TPN	30.5	79.61	2110	MCT zone (HHC)	Trillium- 240	Centaur
13	Munsiyari	MUN	30.07	80.26	2050	MCT zone (HHC) Trillium- 120		Taurus
14	Toli	TLI	29.81	80.37	710	Inner Lesser Himalaya	Trillium- 120	Taurus

Table S1. Detail of seismic stations used for analysis (location, local tectonic setting and instrumentations).

Sr.	Area	bmL-value	amL-value	Fractal	Mc
no				Dimension (D _c)	
01	Garhwal-kumaun	0.835 ± 0.02	5.01	1.53 ± 0.03	2.2
	Himalaya (entire				
	study area)				
02	MCT Zone (along the	0.755 ± 0.03	4.38	1.46 ± 0.04	2.1
	strike)				
03	South of MCT (along	0.705 ± 0.03	3.98	1.36 ± 0.01	1.8
	the strike)				
04	Zone A	0.737 ±0.04	3.7	1.89 ± 0.05	1.8
05	Zone B	0.702 ± 0.03	3.95	1.38 ± 0.03	1.8
06	Zone C	0.97 ± 0.05	4.69	1.85 ± 0.04	2.4

Table S2. Table shows estimated b- and D_c -values for different region by clipping the declustered dataset (according to tectonic framework and zone wise).

Depth Range (Km)	Fractal Dimension (D)	b _{ML} -Value	a _{ML} -Value	Мс
0-5	1.37 ± 0.03	0.948 ± 0.03	5.05	2.2
5-10	0.95 ± 0.03	0.826 ± 0.05	4.31	2.2
10-15	0.83 ± 0.01	0.647 ± 0.03	3.82	1.8
15-20	1.32 ± 0.02	0.769 ± 0.04	3.72	1.8
20-25	1.56 ± 0.02	0.824 ± 0.1	3.45	2.1

Table S3. Depth wise b- and D_c-values estimated in the present study for entire region in central Himalaya.

Table S4. Temporal stress level variation (2007 - 2008) for entire region. Year wise plot indicates continuous increase in stress accumulation.

Sr. no	Years	Dc	b _{ML}	Mc
01	2007-2010	1.55 ± 0.02	1.12 ± 0.05	2.2
02	2011-2014	1.39 ± 0.03	0.649 ± 0.02	1.8
03	2015-2018	1.29 ± 0.01	0.61 ± 0.02	2.0

S. no	Date	Origin Time (UTC:	Longitude (°E)	Latitude (°N)	Depth (km)	Magnitude (ML)
		hh:mm)	(E)			(IVIL)
		1111,11111)	ZONE A			
01	2007-07-22	23:02	78.3	30.83	14	5
02	2012-02-09	19:17	78.30	30.89	14.6	4.5
03	2012-11-27	12:15	78.40	30.85	14.6	4.3
04	2013-12-25	02:57	78.34	31.04	35.6	4.2
05	2013-02-11	10:48	78.18	30.81	15	4.1
06	2016-08-18	20:05	78.19	30.89	15.9	4
07	2017-12-27	04:45	78.33	31.12	14.1	4
08	2018-02-28	05:47	78.69	30.77	13.3	4.2
Uttarkashi						
Eqk	1991-02-20	02:53	78.86	30.75	12	6.8 (Mw)
I			ZONE B			
01	2011-06-20	06:27	79.28	30.53	7	4.9
02	2013-04-06	22:29	79.03	30.61	15	4.2
03	2015-04-01	21:23	79.42	30.38	13.8	4.9
04	2015-07-18	23:48	79.11	30.49	9	4.2
05	2015-06-03	11:28	79.13	30.51	7.8	4
06	2017-12-06	15:19	79.13	30.56	13.5	5.3
07	2017-02-06	17:03	79.09	30.53	14.6	5.7
08	2017-12-28	11:17	79.12	30.53	12.5	4.7
19	2017-02-06	17:04	79.09	30.55	14.7	4.4
10	2017-02-06	17:07	79.08	30.54	10.5	4
11	2017-04-07	13:03	79.24	30.54	11.5	4
12	2017-08-22	12:02	79.53	30.50	12.1	4
13	2018-01-05	20:55	79.12	30.54	11.7	4.5
14	2018-01-08	04:04	79.19	30.50	11.8	4.2
Chamoli						
Eqk	1999-03-28	05:18	79.21	30.38	15	6.6 (Mw)
ZONE C						
01	2010-05-01	22:36	80.15	30.146	10.8	4.6
02	2010-07-06	19:08	80.46	30.081	8.1	5.1
03	2010-06-22	23:14	80.44	30.112	6.2	4.3
04	2010-07-04	02:35	80.45	30.12	5.5	4.3
05	2010-02-22	17:23	80.12	30.123	10.1	4.2
06	2015-09-29	09:27	80.15	30.141	17.3	4.5
07	2016-12-01	16:52	80.53	29.965	8.8	5.0
08	2016-06-07	20:10	80.14	30.056	8.6	4.4
09	2018-04-01	15:11	80.13	30.029	7.9	4.2
10	2018-03-16	15:41	80.16	30.132	9	4

Table S5. Detail of earthquakes $M_L \ge 4$ occurred in asperity zone coincides with low b-value zones.

- Dataset S1. Original data magnitude ranges from M_L 1 to 5.7.
 (Dataset format: Longitude, Latitude, Year, Month, Day, Magnitude, Depth, Hour and Minute)
- Dataset S2. Declustered earthquake dataset (M_L 1.8 -5.7), aftershock removed using the Reasenberg [1985] algorithm in csv format.
 (Same format as dataset S1)
- Dataset S3. Declustered earthquake dataset (M_L 1.8 -5.7), aftershock removed using the Gardner and Knopoff [1974] algorithm in csv format.
 (Same format as dataset S1)