The initial stage of cloud lightning imaged in high-resolution

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Abstract

With LOFAR we have been able to image the development of lightning flashes with meter-scale accuracy and unprecedented detail. We discuss the primary steps behind our most recent lightning mapping method. To demonstrate the capabilities of our technique we show and interpret images of the first few milliseconds of two intra-cloud flashes. In all our flashes the negative leaders propagate in the charge layer below the main negative charge. Among several interesting features we show that in about 2 ms after initiation the Primary Initial Leader triggers the formation of a multitude (more than ten) negative leaders in a rather confined area of the atmosphere. From these only one or two continue to propagate after about 30 ms to extend over kilometers horizontally while another may propagate back to the initiation point. We also show that normal negative leaders can transition into an initial-leader like state, potentially in the presence of strong electric fields. In addition, we show some initial breakdown pulses that occurred during the primary initial leader, and even during two "secondary" initial leaders that developed out of stepped leaders.

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Key Points:

34	•	Our new LOFAR imaging procedure can locate over 200 sources per millisecond
35		of flash with meter-scale accuracy.
36	•	The Primary Initial Leader breaks up into many (more than 10) negative lead-

- The Primary Initial Leader breaks up into many (more than 10) negative leaders of which only one or two continue after 30 ms.
- Some negative leaders propagate from the positive charge layer back to get close
 to the initiation point.

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40 Abstract

With LOFAR we have been able to image the development of lightning flashes with meter-41 scale accuracy and unprecedented detail. We discuss the primary steps behind our most 42 recent lightning mapping method. To demonstrate the capabilities of our technique we 43 show and interpret images of the first few milliseconds of two intra-cloud flashes. In all 44 our flashes the negative leaders propagate in the charge layer below the main negative 45 charge. Among several interesting features we show that in about 2 ms after initiation 46 the Primary Initial Leader triggers the formation of a multitude (more than ten) neg-47 ative leaders in a rather confined area of the atmosphere. From these only one or two 48 continue to propagate after about 30 ms to extend over kilometers horizontally while an-49 other may propagate back to the initiation point. We also show that normal negative 50 leaders can transition into an initial-leader like state, potentially in the presence of strong 51 electric fields. In addition, we show some initial breakdown pulses that occurred during 52 the primary initial leader, and even during two "secondary" initial leaders that devel-53 oped out of stepped leaders. 54

55 1 Introduction

One of the key open questions in lightning science concerns the understanding of 56 the processes that are fundamental to the initiation and early development of a light-57 ning flash. In particular, it is not known what processes lead to the creation of the pri-58 mary initial leader (PIL) channel and how that channel propagates. In recent years, the 59 use of lightning mapping arrays (Rison et al., 1999; Edens et al., 2012) and VHF radio 60 interferometers (Rhodes et al., 1994; Yoshida et al., 2010; Stock et al., 2014) augmented 61 with fast antennas and optical measurements (Hill et al., 2011; Montanyà et al., 2015; 62 Qi et al., 2016; Tran & Rakov, 2016) have led to the general picture that lightning ini-63 tiation begins with an ionization event (Stolzenburg et al., 2020), which could be in the 64 form of a powerful narrow bipolar event (Rison et al., 2016) or much weaker VHF source 65 as seen in (e.g. Marshall et al., 2019; Lyu et al., 2019). This is followed by an initial leader, 66 as imaged very nicely in (Lyu et al., 2016) in VHF. The initial leader propagation usu-67 ally involves a series of large preliminary breakdown pulses (see for example (Kolmašová 68 et al., 2014, 2018)), after which normal negative stepped leader propagation is observed. 69 The transition from the initial leader to a negative stepped leader has been observed in 70 (Stolzenburg et al., 2020) with high-speed video and in electric field change data. Why 71 negative leaders initially propagate in a different mode than the normal leader stepping 72 seen later in the flash is not understood. Furthermore, the positive leader is often not 73 observed during the initiation process and only appears in radio data much later on af-74 ter the negative leader is well developed. 75

With the present work we add very accurate images, obtained using LOFAR, show-76 ing the dynamics of Dutch thunderstorms. LOFAR (van Haarlem et al., 2013) is a software-77 phased array consisting of several thousand simple antennas that is primarily built for 78 radio astronomy, see Section 2.1. Thunderstorms we have observed in the general area 79 of the Dutch LOFAR stations (Dutch thunderstorms) differ from the thunderstorms seen 80 in the US by the fact that all the flashes we have observed initiate at the bottom of the 81 main negative charge layer, and then propagate down into the lower positive charge layer. 82 For many flashes, including the two discussed more extensively in this work, we observe 83 an extensive network of negative leaders which very rarely result in a ground stroke. It 84 thus appears that the negative leaders become "trapped" in the potential well of the lower 85 positive charge layer. To improve the insight in the dynamics of the lightning discharge 86 immediately after initiation we have improved our imaging technique over our earlier pro-87 cedure (B. Hare et al., 2019) by greatly increasing the number of located VHF sources. 88 In Section 2.2 we elucidate on the main improvements of our present imaging procedure. 89

Some detailed images of the initial stage of the lightning discharge are shown in 90 Section 3, partially to demonstrate the capabilities of our present imager. We show im-91 ages for two flashes, one from 2018 and one from 2019. The 2018 flash shows the typ-92 ical initial development we have seen in all our imaged flashes, about ten in number. The 93 flash starts with a very small pulse in VHF, barely recognizable even with our sensitive 94 LOFAR antennas. This develops into a Primary Initial Leader, as also imaged in (Lyu 95 et al., 2016). During its development we detect rapidly increasing VHF (30 - 80 MHz)96 activity reaching a maximum about 2 ms after initiation. For the 2019 flash we also have 97 data recorded from a broadband magnetic-loop antenna during this time, showing sig-98 nificant low-frequency emissions at particular stages of the PIL development. After de-99 scending down from the negative to the positive charge layer the PIL initiates a plethora 100 of negative leaders almost simultaneously in an area of about 1 km^2 . Of the original mul-101 titude of negative leaders, only one or two continue to propagate after about 30 ms to 102 form the main part of the flash which may cover distances of 10 km or more. The 2019 103 flash is interesting since we see there a PIL and even two secondary Initial Leaders (SIL). 104 The Initial Leaders are clearly distinguishable from a negative leader through their prop-105 agation speed, a relatively low density of imaged sources and powerful VHF emission. 106

¹⁰⁷ Some suggestions for a possible interpretation of our observations are presented in ¹⁰⁸ Section 4.

109 2 Methods

Our mapping procedure of pulses detected by LOFAR basically follows the struc-110 ture outlined in (B. Hare et al., 2019). Arrival-time differences for pulses coming from 111 the same source in different antennas are extracted from the data using the maxima in 112 the cross correlations. The present procedure incorporates important improvements that 113 are mainly due to an improved procedure, inspired by that of the Kalman filter, to fol-114 low the pulse from the same source across many different antennas that may be many 115 tens of kilometers apart. For completeness we outline here the procedure we followed (Scholten, 116 2020).117

118 **2.1 LOFAR**

LOFAR (van Haarlem et al., 2013) is a radio telescope consisting of several thou-119 sands antennas. These antennas are spread over a large area with a dense core (a cir-120 cular area with a diameter of 300 m), the Superterp, near Exloo, the Netherlands, and 121 with remote stations spread over Europe, reaching baselines in excess of 1000 km. The 122 signals from these antennas can be added coherently to make this effectively operate as 123 a gigantic radio dish, primarily used for radio astronomy. For our lightning observations 124 we confine ourselves to the LOFAR stations in the Netherlands, reaching baselines of the 125 order of 100 km, see Fig. 1. We use the Low Band Antennas (LBAs) operating in the 126 frequency range from 30 – 80 MHz. The LOFAR antennas are arranged in stations. Each 127 station has 96 dual polarized antennas with an inverted V-shape. The signals are sam-128 pled at 200 MHz (5 ns sampling time). For our observations we use about 12 antennas 129 (6 for each polarization) per station. For the lightning observations the circular mem-130 ory (called Transient Buffer Board, TBB) is used that can store 5 s of data per antenna. 131 Upon an external trigger, taken from (*Blitzortung.org*, n.d.), the data on the TBBs are 132 frozen and read out for later processing. In this read-out process we experience some data 133 loss (due to missed hand-shaking during the download from the antenna field) which, 134 thanks to our large number of antennas, does not affect the image quality. Per 5 s record-135 ing we store close to 1 TB of data for later off-line processing. The antennas have been 136 calibrated on the galactic background radiation (Mulrey et al., 2019). 137



Figure 1: Layout of the Dutch LOFAR stations, adapted from (B. M. Hare et al., 2018). The core of LOFAR is indicated by the red \bigoplus sign, the position of the broadband magnetic loop antenna SLAVIA is indicated by the red \bigcirc , while the green boxes show the general location of the 2018 and 2019 flashes that are discussed in this work.

¹³⁸ 2.2 Lightning Imaging

The basis of our imaging procedure is described in (B. Hare et al., 2019). We choose a reference antenna which usually is located in the core at the Superterp. For each pulse for which we want to search for the source location we select a relatively small section of the time trace in the reference antenna. The arrival times of pulses from this source in other antennas are calculated from the cross correlation of the selected trace with the traces in the other antennas. The source position is determined from a chi-square fit of these arrival times.

Imaging a flash starts with RFI mitigation, see Section 2.2.1. The time calibration of all participating antennas, discussed in Section 2.2.2 is the step that requires most attention since we want to reach an accuracy of 1 ns for all antennas. Finding the source positions is the third step which is through a fully automatized pipeline, see Section 2.2.3. For the final image we select those sources that obey certain quality conditions, see Section 2.2.4, where one has to balance keeping a sufficient number of sources with limiting the scatter. Full details of the new procedure are given in (Scholten, 2020), here we will outline the main aspects.

154 2.2.1 RFI mitigation

Since the LOFAR core is situated in a rather densely populated part of the world
there are many radio and TV transmitters that interfere with our observations. Because
of these our frequency range is limited to 30 – 80 MHz. At lower and higher frequencies
there is too much RFI to excise it. The few narrow-frequency lines in our detection window we mitigate by software notch filters.

160 2.2.2 Timing calibration

Since we want to achieve meter-scale resolution the relative timing of the antennas has to be calibrated at the nanosecond level. To achieve this over distances of 100 km we use a few selected pulses emitted during the flash in a bootstrap procedure. Regularly spread over the duration of the flash a small number (order of five) blocks of data (one block is 32k of 5 nanosecond time samples) are taken for the reference antenna, which is taken in the dense core of LOFAR on the Superterp.

Here, and later in the imaging procedure, we minimize, using a Levenberg-Marquardt algorithm, the root mean square time difference (RMS) between the calculated arrival times and measured arrival times for all antennas to find the position of a source. The calculation uses the travel time of a signal from the source to the antenna. The measured arrival times are obtained from the peak position in the absolute value of the cross correlation between a small part around the pulse in the reference antenna and the spectrum in the antenna.

In each block, up to the four strongest pulses are identified as candidate calibra-174 tion pulses. The pulses from the same candidate sources are selected in the nearby sta-175 tions. A candidate is eliminated from the calibration procedure if there is an ambigu-176 ity in selecting the correct pulse in the adjacent antennas. The known LOFAR timing 177 calibrations are sufficient at this stage which for the core has an accuracy better than 178 5 ns. The RMS is minimized to find the locations of the candidate calibration sources. 179 The Dutch antennas are separated in rings with increasing diameter. In iterations that 180 follow, a larger ring of antennas is included. For each iteration the source locations found 181 in the previous iteration is used to make an educated guess of the pulse timings for all 182 antennas from these calibration sources. In a chi-square fit, the optimal station timing 183 calibrations (the same for all pulses) are determined while at the same time updating 184 the source locations. A station is excluded from the procedure for a particular calibra-185 tion source when the pulses attributed to this source show a large difference with the ac-186 tual arrival times for all antennas in this station. 187

The fitting procedure is repeated increasing the radius of the ring around the reference antenna until all stations are included. Frequent visual inspection of the cross correlation spectra is important to guarantee that the used pulses are correctly assigned to the correct calibration source. When there is doubt, the candidate calibration source is eliminated from the procedure.

In the final stage, the antennas in each station are calibrated (allowing for differences between antennas in the same station) by fitting simultaneously the antenna timings as well as the source locations of the remaining high-quality calibration sources, taking the previously obtained results as an initial guess.

¹⁹⁷ 2.2.3 Source finding

The general source-finding stage is usually run as a standalone process. This is in contrast to the calibration stage, which requires human inspection. The time trace for the whole flash is divided into overlapping blocks (32k of 5 nanosecond time samples) for each antenna and further processing is done on each block. The overlap is chosen such that the pulses from sources anywhere in the general area of the flash can be recovered in all antennas.

The block of the reference antenna is searched for candidate pulses to be imaged. The overlap regions are excluded so that the same source is not imaged twice. Candidate pulses are the strongest ones that differ in peak position by more than about 100 ns (the exact time difference depends on the width of the pulse) in the reference antenna and are seen in the two antenna polarizations (dual polarization). Within each block the candidate pulses are ordered in decreasing peak amplitude.

For each candidate pulse in the reference antenna, a section of the time trace around the pulse is taken for the calculation of the cross correlation with other antennas. Imaging (source finding) starts by performing a grid search over source locations for minimizing the RMS for antennas on the Superterp. The thus obtained source location is taken as the initial guess for a chi-square search to find the optimal source location by minimizing the RMS for all antennas within a certain distance from the core.

This fitting is repeated for an increasing number of antennas by increasing the cir-216 cle of included antennas. Peaks in the cross correlations are searched for within a tim-217 ing window that is calculated from the covariance matrix that was obtained from the pre-218 vious chi-square fit. If the peak in the cross correlation deviates by more than two stan-219 220 dard deviations the antenna is flagged as excluded from the fit. An antenna is also excluded when the width of the cross correlation, defined as the integral divided by the peak 221 value, differs by more than 60% from that of the self correlation in the reference antenna. 222 The reason for excluding antennas is that it may happen that two pulses are close, or 223 even interfere, for a particular antenna. It may also happen that the pulse is 'hidden' 224 in the noise. Not capturing this may detail the search for the source location. 225

The procedure of finding the source by gradually including more antennas while limiting the search window is inspired by that of the Kalman filter however is more accurate than even the extended Kalman filter, see (Pel, 2019) for an implementation of the Kalman filter for lightning imaging.

After finding the source location the corresponding locations in the trace of each antenna is set to zero and the following candidate pulse is taken.

The inverted V-shaped LOFAR antennas have two possible orientations, SW-NE and SE-NW, and are thus sensitive to different polarizations of the incoming radiation. We notice that the pulse-shape may differ for the two polarizations, see Section 3.1.3 for an example. For this reason we have organized our imaging algorithm such that only one of the antenna polarizations or both can be used in imaging where dual (both) is the default.

2.2.4 Source quality

We observe that the imaging accuracy of a source is poorly reflected by the covariance matrix that is obtained from minimizing the RMS. The reason is that selecting a wrong pulse in a series of antennas may still yield a reasonable fit but will result is a source that is mislocated. We have observed that the obtained value for the RMS combined with the number of excluded antennas, N_{ex} , appear to be good additional indicators of the image quality supplementing the diagonal element of the covariance matrix corresponding to the error on the height, $\sigma(h)^2$, which is usually the largest.

Antennas are excluded from the fitting procedure when there is no clear peak in 246 the part of the spectrum that was searched. This could be due to the fact that the pulse 247 is simply too weak to be seen but it could also be that the peak lies outside the search 248 window. The latter is obviously problematic and should have contributed to the RMS. 249 The setting of the imaging quality indicators $(\sigma(h), RMS, \text{ and } N_{ex})$ is dependent on the 250 location of the flash with respect to the core. Additionally the criteria tend to be sub-251 jective, balancing a large number of sources with a minimum of mislocated sources. In 252 many cases the mislocated sources appear to be displaced by 50 meters or more along 253 the radial direction with the core of LOFAR at the center. 254

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2.3 Broadband measurements with a magnetic loop antenna

In September 2018, the broadband magnetic loop antenna SLAVIA (Shielded Loop
Antenna with a Versatile Integrated Amplifier) has been installed by the Department
of Space Physis, Institute of Atmospheric Physics of the Czech Academy of Sciences at
a site about 10 km east from the LOFAR core near the village Ter Wisch, marked with

the red \bigcirc in Fig. 1. The antenna has a surface area of 0.23 m² and measures the time 260 derivative of the magnetic field. The obtained waveforms are then numerically integrated. 261 The sampling frequency is 200 MHz, the frequency band is limited by a first order high-262 pass filter at 4.8 kHz and by a 13^{th} order low-pass filter at 90 MHz. The sensitivity of 263 the recording system is 6 nT/s/ $\sqrt{\text{Hz}}$ corresponding to 1 fT/ $\sqrt{\text{Hz}}$ at 1 MHz. At the Ter 264 Wisch site, the signal is unfortunately affected by strong man-made interferences, some 265 of which cut through our high pass filter, and the waveform had to be cleaned by ten 266 narrow-band filters at interference frequencies around 2, 2.5, 2.9, 4.2, 5, 5.5, 6.1, 7, 8, and 267 10 kHz. 268

The initial stages of Dutch lightning flashes 269

In this work we concentrate on imaging the initial development of two lightning 270 flashes, where we almost randomly selected one from 2018 and another one from 2019. 271 The 2018 flash shows features we see in all our imaged flashes (about 10 in total). A PIL 272 is initiated at the lower side of a negative charge layer. This Initial Leader propagates 273 with a velocity of about 10^6 m/s downward to the positive charge layer where it simul-274 taneously initiates many negative leaders of which one or two continue to propagate over 275 large distances. The 2019 flash shows a more complicated pattern which can be under-276 stood as a PIL initiating in the usual way several negative leaders of which two convert 277 into an initial leader again after a few milliseconds. This second generation of initial lead-278 ers we have named Secondary Initial Leaders. In Section 3.2 we will also present data 279 for the 2019 flash from a broadband magnetic loop antenna (Kolmašová et al., 2018). 280

3.1 The 2018 flash

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The complete 2018 flash, shown in Fig. 2, is a typical example of a flash imaged 282 with our techniques. The lightning flash (D20180813T153001) occurred on August 13, 283 2018 at 15:30 at a distance of about 50 km from the core of LOFAR, see Fig. 1. To ob-284 tain this image we have used antennas for both polarizations and set the limits on the 285 source quality as $\sigma(h) < 3.5$ m, RMS < 3 ns, and $N_{ex} < 10$ from a total of about 286 265 antennas. This leaves 14267 imaged sources over the whole duration of the flash of 287 0.3 s. To give some idea of the effect of these limits we have relaxed the limit on the RMS288 to RMS < 4 ns which yields an image with an estimated 100 sources that are mislo-289 cated by about 100 m out of a total of 23606. Since the first imaged pulse of a flash is 290 dependent on the applied source quality criteria we have not performed any fine-tuning 291 in determining the time offset for Fig. 2. 292

The flash shown in Fig. 2, has a typical structure of flashes we have seen over the 293 LOFAR area. The flash initiates at an altitude of about 5 km at the bottom part of the 294 negative charge layer to develop first a Primary Initial Leader that triggers a number 295 of negative leaders in the lower lying positive charge cloud. Only after about 20 ms the 296 positive leader becomes visible in the form of increasing twinkle activity as we have re-297 ported in (B. Hare et al., 2019) for a different flash. The Primary Initial Leader forms 298 a plasma channel that continues to serve as the link between the upper negative and lower 299 positive charge layers and called the "neck" is this work. The Initial Leader we observe 300 is reminiscent of what is reported on in (e.g. Lyu et al., 2016) to occur at the initial phase 301 of lightning storms in the US with the main difference that there the positive charge layer 302 is positioned above the negative one. 303

3.1.1 The initial development 304

To visualize the dynamics of the leader development after initiation, Fig. 3 shows 305 the time development in chronological frames. Time frame A shows the Primary Initial 306 Leader starting at an initial height of 5.25 km developing downward. For the first 0.5 ms 307



Figure 2: Image of the 2018 flash showing sources with $\sigma(h) < 3.5$ m, RMS < 3 ns and $N_{ex} < 10$ resulting in 14267 imaged sources. The top panel shows height v.s. time of the sources where we have applied an off-set to put initiation close to t = 0. The same sources, with the same coloring, are shown in the other panels giving height and distances north and east from the LOFAR core.

no progression is observed but then it accelerates and progresses downward along a slanted 308 path at about 1.2×10^6 m/s, somewhat faster than observed in (Lyu et al., 2016). Af-309 ter a kink in the path at 5 km altitude, (visible most clearly in the north v.s. altitude 310 plot) the Primary Initial Leader fans out, initiating a multitude of negative leaders at 311 an altitude of about 4.5 - 4.0 km where the downward motion stops (see time frames B 312 and C). Then distinct, almost horizontal, leaders develop in the same fashion as nega-313 tive leaders do with a speed of about 10^5 m/s, i.e. ten times slower than the initial leader. 314 It is impressive that the formation of negative leaders starts simultaneously at multiple 315 places over an area in excess of 1 km^2 (B). Each of the new leaders appears to develop 316 in seemingly arbitrary directions, some inward, some outward. Close inspection shows 317 that they rather seem to cover the surface of a spatial structure. One also notices that 318 there is some VHF activity along the path of the initial leader at 6 and 8 ms and 5.2 – 319



Figure 3: The early part of the 2018 flash is imaged for three subsequent time periods to emphasize the dynamics in the initial stage. Shown are sources with $\sigma(h) < 3.5$ m, RMS < 4 ns and $N_{ex} < 20$ resulting in 113, 2849, 2814 imaged sources respectively for the three different time frames. After initiation at an height of 5.2 km one observes a fast downward progression (A). At an height of about 4 km, the downward motion stops and negative leaders develop in seemingly arbitrary directions at multiple places over an area in excess of 1 km² (B). At the end only one continues to grow (C). 3 time frames, not panels; figures need some work, we could show also subsequent time frames.

5.3 km height. Since this particular region in space is observed to play a rather special 320 role in the evolution of the flash we named it the neck. The observed VHF emission in-321 dicates that current is flowing through the neck, even though there is no visible activ-322 ity of a positive leader yet. Only after 13 ms the positive leader starts to show at an an-323 gle w.r.t. the Primary Initial Leader. The neck will serve as the connection point between 324 the upper negative and the lower positive charge for the whole duration of the flash. Time 325 frame C shows a pronounced positive leader with ample twinkling activity along several 326 needles (B. Hare et al., 2019; Pu & Cummer, 2019). The neck itself shows no needle ac-327 tivity. It is interesting to see that one negative leader propagated to within 500 m hor-328 izontal distance and at the same altitude of the place where the neck showed a kink and 329 started to fan out. Eventually there is only a single negative leader that continues to spread 330 away from the initiation point, all others appear to have stalled. 331

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3.1.2 The Primary Initial Leader

Fig. 4 shows the Primary Initial Leader with the located sources together and the recorded power. The power is calculated as the square of the measured VHF-signal (the same as used in imaging) from on one of LOFAR's core antennas and averaged over 4 μ s. It is interesting to see that the VHF activity increases rapidly after initiation, reaching a peak at the time the Initial Leader creates negative leaders. This takes place 2 ms after initiation. At later times the VHF activity decreases again.

Making use of our high resolution, Fig. 5 shows a zoom in of the initial leader to provide a better look at its properties. From the very first source, marked with a star, the initial leader appears to fan out reaching a diameter of about 100 m in height and less in horizontal directions. In the first part of the Initial Leader development a few different stages can be distinguished. The first stage ranges from initiation, t = 0.55 ms, till t = 0.94 ms. The VHF trace shows almost no power above background. The imager finds several good quality sources towards the end of this period. At this first stage



Figure 4: The VHF power in arbitrary units in 4 μ s bins, is compared to imaged sources for the first 6 ms of the 2018 flash.

the leader moves over a distance of 20 m horizontally and 50 m downward at a speed of 346 1.3×10^5 m/s. In the subsequent second stage, lasting from t = 0.94 - 0.97 ms an in-347 creased VHF activity is visible. During this stage the leader moves over a distance of 80 m 348 horizontally and 90 m downward with a speed of 4×10^6 m/s, considerably faster than 349 in the first stage. The third phase lasts till about 1.1 ms where one sees a clear first burst 350 of VHF intensity. The few sources (6) that are located at this stage are lying on a con-351 tinuation of the leader seen at the second stage or around the previous leader. In the fourth 352 stage from t = 1.1 - 1.8 ms the Initial Leader continues to propagate down and con-353 tinues to fan-out. The few sources we image in the interval from 1.5 - 1.8 ms are spread 354 over a slanted disk with a size of about 300 m in north-south as well as east-west. At 355 this stage the VHF emission reaches a maximum and the high density of pulses prevents 356 us from performing efficient mapping. In this stage the speed is about 2×10^6 m/s. At 357 later stages the general downward motion stops, the propagation speed decreases, the 358 VHF intensity continues to drop, and we are able to map an increasing number of sources. 359 From the located sources we observe that a multitude of negative leaders branch off from 360 the PIL, and then propagate at the typical speed of a negative leader, 10^5 m/s. 361

3.1.3 Initiation pulse

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To show that the flash activity already started at 0.55 ms we show in Fig. 6 a part 363 of time trace in the vicinity of this first imaged source. Here the pulse stands out clearly 364 and, because the spectrum is relatively clean, we can observe it in all antennas. Also some 365 even smaller pulses can be imaged, but these do not pass the quality criteria used in mak-366 ing Fig. 3 and Fig. 5. It should be noted that the trace in the two polarization directions 367 is rather different, signalling that this first imaged pulse is due to a complicated current 368 pattern, with currents in multiple directions. In addition this pulse is considerably longer 369 than the pulse response of our system (which is 50 ns FWHM) which is additional ev-370 idence that its source is composite, not just a single short pulse. We observe that the 371 sub-structure of the pulse does not change significantly for antennas at different orien-372 tations w.r.t. the source (taking into account the polarization). Based on the pulse re-373 sponse of the system of 50 ns (15 m length at the speed of light) we thus conclude that 374 the spatial extent of the source must be small, of the order or less than $(10m)^3$. 375



Figure 5: A zoom-in on the sources observed in the initial 1.1 ms of the 2018 flash. The first located source, the initiation point, is marked with a black star.

3.2 The 2019 flash

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The complete image of the 2019 flash we discuss in this paper, D20190424T213055, 377 occurring on April 24, 2019 at 21:30 is shown in Fig. 7. The time is shifted such that the 378 flash starts close to t = 0. Likely due to the close proximity to the core of LOFAR, we 379 could image for this flash a larger density of sources per ms of the flash. The flash shows 380 the same charge-layer structure as was seen for the 2018 flash discussed in the previous 381 section. For this flash the positive charge layer extends from about 4 km almost to the 382 ground. The negative charge layer does not extend above 7 km height. The currents from 383 the lower positive to the upper negative layer all appear to flow through the neck that 384 was formed at initiation. In Section 3.2.2 we will show that within 100 m from the neck 385 we observed a negative leader propagating to the positive charge layer. 386



Figure 6: The Hilbert-envelope of the trace for each of the two polarization directions as measured at the core of LOFAR. The vertical axis gives pulse amplitude in arbitrary units, the horizontal axis time (in μ s) centered at the first located source (at 0.55 ms in Fig. 5).

3.2.1 Secondary Initial Leaders

387

The initiation phase of the 2019 event is shown in detail in Fig. 8. It has been ver-388 ified that there is no distinct peak visible in the time traces before the time of the first 389 imaged source at t = 0.1 ms. For Fig. 8, we have relaxed the condition on the source 390 quality a little to increase the number of imaged sources, and made sure that the cuts 391 were such that there were no obviously mislocated sources. Time frame A in Fig. 8 shows 392 that after initiation the Primary Initial Leader propagates downward in an accelerated 393 motion reaching a speed of about 2×10^6 m/s rather straight down this time. At an al-394 titude of about 4 km it starts to fan out, producing a multitude of negative leaders over 395 an area of about 1 km^2 . This is qualitatively the same as was observed for the 2018 event. 396 Time frame B shows that after 3 ms most of the negative leaders stop propagating, while 397 two of them show a fast motion covering a distance of 2 km in 2 ms, ten times faster than 398 the propagation speed of the negative leaders (10^5 m/s) and the same as that of the Pri-399 mary Initial Leader. Another resemblance is that the number of imaged sources on this 400 leader is relatively low. For this reason we call them Secondary Initial Leaders. The bottom-401 left panel shows that when they reach a height of 3 km one of the Secondary Initial Lead-402 ers repeats the process of generating a multitude of negative leaders over an area in ex-403 cess of 1 km^2 , i.e. in the same positive charge layer situated a kilometer below and 2 km404 eastward than from the positive layer seen in time frame A. The bottom-right panel shows 405 that most of the negative leaders in the second phase have stopped propagating, and that 406 some of the initial group are re-activated. 407

At the time of initiation of this flash the magnetic loop antenna recorded data. The 408 magnetic loop antenna is situated at a site some 10 km east from the LOFAR core, see 409 Fig. 1. Fig. 9 shows the recorded magnetic loop antenna spectrum aligned with the im-410 aged sources and the VHF power (averaged over 2 μ s) as recorded by LOFAR. The fig-411 ure shows that during the evolution of the Primary Initial Leader, in the first 1.5 ms, 412 the VHF power increases in steps, identical to what was observed in Fig. 5 for the 2018 413 flash. During this time the magnetic loop antenna recorded a dozen strong initial break-414 down pulses. The VHF power shows another strong increase (by a factor 2 or 3) at t =415 3 ms when the Secondary Initial Leaders start to emerge. Around the same time the mag-416 netic loop antenna also measures an enhanced density of initial breakdown pulses. There 417 is another phase of enhanced activity seen in the ML antenna when the secondary ini-418 tial leaders start to ignite the negative leaders around t = 4.5 ms. At later times there 419 is a gradual drop in the VHF power, at about the same rate as seen for the 2018 flash, 420 of about one order of magnitude over 2 ms. 421



Figure 7: Image of the 2019 flash showing sources with $\sigma(h) < 3.5$ m, RMS < 3 ns and $N_{ex} < 25$ (out of a total of close to 400) are shown, resulting in 46523 imaged sources.

Fig. 9 also suggests that while the PIL is more gradually accelerated, the SIL moves immediately at the top speed, one straight down, the other initially horizontal.

⁴²⁴ Zooming in on the first millisecond flash Fig. 10 shows many interesting aspects ⁴²⁵ of the primary initial leader of which we mention here only a few.

The broadband spectrum shows several pulses, each of which appears to be followed 426 by a burst in VHF emission. This VHF emission is in the form of a enormous number 427 of small pulses that combine in a strong increase in the emitted power. The full width 428 at half maximum of such a burst, as can be determined from the first one, is about 0.01429 -0.02 ms. This is very close to the burst duration seen in (B. M. Hare et al., 2020) where 430 it is associated with negative leader stepping. However, the time between bursts of VHF 431 power is about 0.1 - 0.15 ms which is longer than the 0.05 ms observed in (B. M. Hare 432 et al., 2020) for normal negative leader propagation. 433

From Fig. 8 it can be seen that the initial leader propagates almost vertically downward and the propagation speed can thus be deduced directly from Fig. 10. This indicates a constant acceleration of the PIL after it starts propagating, very similar to what was observed for the 2018 flash.



Figure 8: The initiation of the 2019 flash. Setting the image quality at $\sigma(h) < 3.5$ m, RMS < 5 ns and $N_{ex} < 35$ leaves 323,99,413, and 2332 sources for the different sequential time frames. The black star in time frames B – D marks the position of the point of initiation.

In a follow-up paper, (Scholten et al., 2020), the correspondence between the broad
band signal and the LOFAR image will be discussed in more detail. There we will address beside the pulses seen in the broad band spectrum close to initiation also the pulses
seen later during the flash.

3.2.2 The Neck region

442

In the vicinity of the neck region, we have observed some interesting leader propagation that should be taken into account when reconstructing the structure of the charge layers in the vicinity of the initiation point labeled with a \bigcirc in Fig. 11. At about t =10 ms a negative leader propagates up to the point (a) in the figure, a mere 500 m away from the initiation point horizontally. At t = 35 ms the same negative leader activates



Figure 9: The power recorded at a core station of LOFAR (re-binned over 2 μ s, middle panel) is compared to the recording in the magnetic loop antenna (top panel, units of [nT]) and the height of the imaged sources shown for the first 7 ms in Fig. 8. All panels have been aligned in time.

a second time and approaches even to a distance of 300 m. At about the same time from 448 point (b) at an altitude of 5 km a negative leader starts to propagate down, with no pre-449 vious activity seen at its initiation point, towards the neck, to turn parallel to it at a dis-450 tance of a mere 100 m where it stops propagating at the top of the charge layer where 451 the first negative leaders were observed. At about the same time some VHF sources are 452 observed along the positive leader. At t = 55 ms at (c) a bit higher than 5 km another 453 negative leader starts propagating towards point (b) where it appears to connect to the 454 channel of the earlier negative leader that started from here. This very complicated leader 455 structure seems to imply that around the initiation point a fair amount of charge was 456 present in a complicated charge structure. In the 2018 flash we have also observed that 457 a negative leader propagated up from the lower positive charge layer to the vicinity of 458 the neck, but the other features appear to be unique for the 2019 flash. 459

460 4 Discussion and conclusions

We have improved the procedure used in (B. Hare et al., 2019) for imaging lightning flashes using data from the LOFAR radio telescope. Our new imaging procedure has a proven capability to locate over 200 sources per millisecond of flash with meterscale accuracy.

We investigated the fist few ms of some flashes over the Netherlands and observe interesting leader structures, for example pointing to dense and relatively small charge clouds (see presentation).



Figure 10: The power recorded at a core station of LOFAR (re-binned over 0.5 μ s, middle panel) is compared to the recording in the magnetic loop antenna (top panel) and the height of the imaged sources shown for the first 0.5 ms in Fig. 8. All panels have been aligned in time.

All images of flashes we have made with LOFAR show a negative charge layer around 5 km and below this a positive charge layer which is consistent with the atmospheric electric fields over the LOFAR core as were determined in (Trinh et al., 2020). We also observe an extensive structure of negative leaders in the lower positive charge layer. Very rarely do we see negative leader activity propagating up into the upper positive layer. This general structure is opposite to what is generally observed in US thunderstorms.

In all our imaged flashes we observe a very similar initial development of the discharge where after initiation the discharge slowly grows (in fractions of a millisecond), picks up intensity (in the form of strong increase in emitted VHF power) as is typical for an initial leader. When the initial leader reaches the charge cloud which attracted it, the fast forward propagation stops and instead we observe the creation of many more normal negative leaders that propagate at about one-tenth of the speed of the initial leader.

This naturally leads to the picture that the initiation at the bottom side of a neg-480 ative charge layer was driven by a relatively small (order 1 km^3) pocket of rather dense 481 positive charge. This created the strong electric field that is needed to cause lightning 482 initiation. In this strong field the elongating leader rapidly acquires charge by induction 483 leading to increased currents and heating which could explain the initial acceleration we 484 observe in the PIL. An acceleration of the initial leader was also observed in (Cummer 485 et al., 2015), although the acceleration was much smaller (about a factor 2 in velocity) 486 than what we observe (close to an order of magnitude). Since the ambient electric field 487 extends up to the positive charge pocket, the fast propagation of the PIL stops as it reaches 488 it and we start to observe the usual negative leaders that propagate along potential ex-489 tremals (Coleman et al., 2008) and thus in a much weaker ambient field. 490



Figure 11: An expanded view of the neck region for the 2019 flash. The letters are used in the text to refer to particular parts of this image. The initiation point is labeled by the \bigcirc .

Our observations suggests that a relatively small positive charge pocket may be the driver for the strong electric field in which the initiation of the lightning happens. The presence of large hydro meteors, see (e.g. Dubinova et al., 2015), or a large number of small droplets, see (Kostinskiy et al., 2019), will be required as initiation sites. The initiation process is greatly facilitated by a high density of free electrons as created by cosmic rays as suggested in (?, ?). It is not clear if any of these mechanisms can explain the initial accelerating propagation of the initial leader that we have observed.

The large number of negative leaders in a very confined space, as initiated by the PIL, are a strong indication that there must have been a pocket with a rather high charge density. Once the charge in this confined charge cloud is collected the majority of the leaders stop propagating and only few remain. For the 2019 flash there may have been another positive charge cloud in the vicinity that attracts a secondary Initial Leader. As this is initiated from the tip of a propagating negative leader there is sufficient charge available to immediately have a fast-propagating discharge.

It has been suggested that turbulence is a very efficient mechanism in especially thunderclouds for creating regions with large fields that even grow exponentially with time (Mareev & Dementyeva, 2017). This could thus be the mechanism that created the relatively small pocket with high charge density. Another indication of a dense and complicated charge layer structure in the vicinity of the initiation point is the fact that in our images we see a negative leader turning back towards the Neck, the initiation point of the flash. This happened for the 2018 as well as for the 2019 flash.

We observe a strong correlation between the signal of the broadband antenna and the emitted VHF power, as was already suggested in (Kolmašová et al., 2018, 2019). The relation between emitted VHF power, the signal of a broadband antenna and interferometry images for the initial leader has been investigated in (Krehbiel, 2017) for New Mexico lightning flashes.

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The data are available from the LOFAR Long Term Archive (lta.lofar.eu), under the following locations:

545 L664246_D20180813T153001.413Z_stat_R000_tbb.h5

546 L703974_D20190424T213055.202Z_stat_R000_tbb.h5

all of them with the same prefix

srm.grid.sara.nl/pnfs/grid.sara.nl/data/lofar/ops/TBB/lightning/ and where

- ⁵⁴⁹ "stat" should be replaced by the name of the station, CS001, CS002, CS003, CS004, CS005,
- ⁵⁵⁰ CS006, CS007, CS011, CS013, CS017, CS021, CS024, CS026, CS030, CS031, CS032, CS101,
- ⁵⁵¹ CS103, RS106, CS201, RS205, RS208, RS210, CS301, CS302, RS305, RS306, RS307, RS310,
- ⁵⁵² CS401, RS406, RS407, RS409, CS501, RS503, or RS508.

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Figure1.



Figure 11.



Figure 10.



Figure 9.



Figure 8.





Figure 7.



EventsMap-../D20190424T213055/2019D-all Sun May 03 2020 12:22:54

Figure 6.



Figure 5.



Figure 4.



Figure 3.



Figure 2.



EventsMap-../D20180813T153001/2018dbl-full Tue Apr 28 2020 09:19:52