On the role of domain aspect ratio in the westward intensification of wind-driven surface ocean circulation

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November 23, 2022

Abstract

The two seminal studies on westward intensification, carried out by Stommel and Munk over 70 years ago, are revisited to elucidate the role of the domain aspect ratio (i.e. meridional to zonal extents of the basin) in determining the width and speed of a western boundary current (WBC). We examine the general mathematical properties of the two models by transforming them to differential problems that contain only two parameters — the domain aspect ratio and the non-dimensional damping (viscous) coefficient. Simple proxies of width and speed (and hence the transport that equals their product) of the WBC are derived from analytical solutions of the non-dimensional vorticity equations in the relevant region of the (damping, aspect ratio) parameter space. These analytically determined proxies are then benchmarked against numerical simulations of the corresponding time-dependent equations. In both models, the three proxies vary as a power law in the domain aspect ratio and in particular, the non-dimensional transport varies linearly with the domain aspect ratio.

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6	Key Points:
7	• Two classical model's of wind-driven ocean circulation, Stommel (1948) and Munk
8	(1950), are generalized in a manner that the spatial structure of the flow is explained
9	by only two non-dimensional parameters — damping and the domain aspect ratio
10	(the ratio of meridional to zonal extent of the basin).
11	• Proxies based only on the two non-dimensional parameters are proposed to estimate
12	the width and speed (and consequently the transport) of the western boundary cur-
13	rent, and their validity is benchmarked against numerical simulations.
14	• The dependence of the proxies on the domain aspect ratio is explicitly identified, in
15	particular, the linear dependence of the non-dimensional transport on the aspect ratio
16	is underscored.

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17 Abstract

The two seminal studies on westward intensification, carried out by Stommel and Munk over 18 70 years ago, are revisited to elucidate the role of the domain aspect ratio (i.e. meridional 19 to zonal extents of the basin) in determining the width and speed of a western boundary 20 current (WBC). We examine the general mathematical properties of the two models by 21 transforming them to differential problems that contain only two parameters — the domain 22 aspect ratio and the non-dimensional damping (viscous) coefficient. Simple proxies of width 23 and speed (and hence the transport that equals their product) of the WBC are derived from 24 analytical solutions of the non-dimensional vorticity equations in the relevant region of the 25 (damping, aspect ratio) parameter space. These analytically determined proxies are then 26 benchmarked against numerical simulations of the corresponding time-dependent equations. 27 In both models, the three proxies vary as a power law in the domain aspect ratio and in 28 particular, the non-dimensional transport varies linearly with the domain aspect ratio. 29

30 1 Introduction

Perhaps the most striking characteristic of the surface circulation in an ocean basin is 31 the east-west asymmetry: strong and narrow pole-ward currents often referred to as the 32 "western boundary currents" (WBC) flow along the western boundary of the oceans while 33 the return equator-ward flow is weak and wide. In the North Atlantic this current is the 34 Gulf Stream, and it was known to oceanographers and explorers for a few centuries – 35 see Stommel (1958) for a historical review. Similar WBCs exist in other basins as well and 36 these include the Kuroshio in the North Pacific and the Brazil current in the South Atlantic. 37 These currents transport large amount of heat from low to high latitudes, thus playing an 38 important role in the climate system. The winds, though, are easterlies along the equator 39 (the Trade winds) and westerlies around 40°N. There are no strong northward winds along 40 the western boundaries of the ocean basins and, as is well understood now, the WBCs are 41 not obviously correlated with the overlying wind patterns. 42

Henry Stommel, apparently in his first paper (Stommel, 1948, hereafter referred to as 43 S48) as an oceanographer [see e.g. Kunzig (1999)] was the first to formulate a simple, yet 44 comprehensive, mathematical model of the WBCs. S48 is now regarded as a seminal paper 45 (e.g. http://empslocal.ex.ac.uk/people/staff/gv219/classics.d/oceanic.html) in theoretical 46 physical oceanography. S48's model probably provided the simplest possible explanation 47 for the existence of WBCs: in this linear and frictional model on the β -plane the ocean was 48 taken to be a rectangular box with a flat bottom forced by a cos(latitude)-dependent zonal 49 wind pattern. (Munk, 1950, hereafter referred to as M50) further extended this work to a 50 different frictional (viscous) parameterization and a more general form of the wind stress. 51

In the last 70 years, both models have been modified and extended to further explore the phenomenon of westward intensification in different settings or to evaluate the importance of different specific processes and terms in the governing equations (Munk & Carrier, 1950; Veronis, 1966a, 1966b; Pedlosky, 2013; Vallis, 2017, and references therein).

As in S48 and M50, a large number of these subsequent studies employed the dimen-56 sional form of the governing equations which are the time-independent rotating linearized 57 shallow water equations compounded by friction and forcing. These dimensional models 58 include numerous parameters: the zonal and meridional extents of the basin; either the co-59 efficient of linear drag (i.e. the coefficient in the Rayleigh frictional term) or the kinematic 60 eddy viscosity (i.e. the coefficient in parameterization of the viscous term); the amplitude 61 (and possibly meridional structure) of the wind stress; the gradient of Coriolis frequency 62 $(\beta - \text{effect})$. Here we present an alternate, concise, formulation that can be employed to 63 investigate the depth averaged wind-driven ocean circulation is a non-dimensional form of 64 the equations and this formulation involves fewer (non-dimensional) parameters. 65

The aim of this study is to underscore the role of the domain aspect ratio (i.e. the ratio 66 between the basin's meridional and zonal extents) in S48's and M50's models of westward 67 intensification. In our non-dimensional formulation of the models, the domain aspect ratio 68 is one of the two parameters that determines the solutions of the differential equations while the other parameter is the non-dimensional frictional (viscous) coefficient. A few of 70 the previous studies that followed S48 and M50 [e.g. Pedlosky (2013); Vallis (2017)] have 71 also employed the non-dimensional form of the equations but none of these addressed the 72 effect of the domain aspect ratio on the solutions. For instance, in the most succinct model 73 proposed by Vallis (2017) the number of non-dimensional parameters is reduced to one 74 [i.e. the frictional (viscous) coefficient] but this model studies the dynamics of the flow in 75 a square basin where the meridional and zonal extents are identical. Our approach yields 76 explicit expressions for the proxies of the transport, width and speed of the WBC in terms 77 of the two non-dimensional model parameters. The results demonstrate that all of these 78 proxies depend sensitively on the domain aspect ratio. 79

The paper is organized as follows. Section 2 sketches the derivation of the twoparameter, non-dimensional, vorticity equations corresponding to S48's and M50's models and outlines the solution for the stream function ψ in the two cases. Explicit expressions for the width, speed and transport of the WBC are derived from these solutions for ψ . The applicability of these analytical expressions for relevant values of the model parameters is validated in Section 3 by simulating the time-dependent equations and we discuss the results and conclude in Section 4.

2 The two-parameter differential problems, their solutions and associ ated proxies

2.1 S48's non-dimensional counterpart

We begin by scaling S48's dimensional vorticity equation for the spatial structure of the stream function as follows: x (the zonal coordinate) on L_x ; y (the meridional coordinate) on L_y and ψ (the stream function) on $\gamma\beta L_y^3$ where L_x and L_y are the zonal and meridional dimensions of the barotropic ocean, respectively, β is the meridional gradient of the Coriolis frequency and $\gamma = \tau_0 \left(\frac{\pi}{\rho_0 H_0 \beta L_y^2}\right) \left(\frac{L_x}{\beta L_y^2}\right)$ is the non-dimensional amplitude of the wind stress, τ_0 , with H_0 — the depth of the basin and ρ_0 — water density in the barotropic ocean. With this scaling the non-dimensional form of S48's vorticity equation is:

$$\alpha \nabla^2 \psi + \frac{\partial \psi}{\partial x} = \sin(\pi y) \tag{1}$$

$$\alpha = r \left(\frac{L_x}{\beta L_y^2}\right), \qquad \nabla^2 = \delta^2 \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}.$$
 (2)

⁹⁷ Here ∇^2 is the Laplacian, $\delta = \frac{L_y}{L_x}$ is the ratio of meridional and zonal extents of the ⁹⁸ basin (referred to as the domain aspect ratio) and α is the non-dimensional parameter ⁹⁹ (referred to as the damping) proportional to r — the Rayleigh friction coefficient. Variants ¹⁰⁰ of (1) appear in many textbooks e.g. Vallis (2017). It is evident from (1) and (2) that the ¹⁰¹ two parameters, α and δ , govern the structure of the flow in the basin. The no normal ¹⁰² flow conditions at the basin's boundaries mandate that the stream function ψ satisfies the ¹⁰³ boundary conditions: $\psi(x, 0) = \psi(1, y) = \psi(x, 1) = \psi(0, y) = 0$.

It should be stressed that due to the different scaling employed in the two works, α in (1) differs from the same coefficient that appears in S48's dimensional equation: in the present study the boundary conditions are imposed at x = 0; 1 and y = 0; 1 whereas in S48's study the basin's width and length appear separately in the boundary conditions in addition to α (that appears in the differential equation itself).

Our particular choice of scaling enables us to lump the five dimensional parameters in S48's model — zonal and meridional extent of the basin, gradient of Coriolis frequency, wind stress amplitude and Rayleigh friction coefficient — into just two non-dimensional ones: α and δ (that appears in the first term of the Laplacian). The two-parameter vorticity equation, (1), succinctly brings out some general and salient features of the depth averaged wind-driven circulation in a rectangular basin which will be highlighted below.

For sufficiently smooth solutions the flow in the interior of the basin is expected to be frictionless in which case $\alpha \nabla^2 \psi$ can be neglected there and the vorticity equation, (1), reduces to $\frac{\partial \psi}{\partial x} = \sin(\pi y)$ i.e. the equivalent of Sverdrup balance (Sverdrup, 1947). On the other hand, neglecting the planetary vorticity gradient term $\left(\frac{\partial \psi}{\partial x}\right)$ yields the dominant balance in a non-rotating basin in which case the vorticity equation (1) reduces to $\alpha \nabla^2 \psi = \sin(\pi y)$, i.e. Poisson's equation that can be solved using conventional techniques.

The interplay between the three terms in the inhomogeneous partial differential vorticity equation, (1), can be easily interpreted by repeating the procedure employed in S48 to obtain the general form of solutions of (1). An explicit expression for the solution ψ is given by

$$\psi(x,y) = \frac{1}{\alpha \pi^2} \sin(\pi y) (p e^{Ax} + q e^{Bx} - 1)$$
(3)

124 where

$$\begin{array}{rcl} p & = & \displaystyle \frac{1-e^B}{e^A-e^B} \\ q & = & \displaystyle 1-p \end{array}$$

125 and

$$A = -\frac{1}{2\alpha\delta^2} + \frac{\pi}{\delta}\sqrt{1 + \frac{1}{4\pi^2\alpha^2\delta^2}},$$

$$B = -\frac{1}{2\alpha\delta^2} - \frac{\pi}{\delta}\sqrt{1 + \frac{1}{4\pi^2\alpha^2\delta^2}}.$$

The corresponding explicit expressions for u and v are:

$$u(x,y) = \frac{\partial \psi}{\partial y} = \frac{1}{\alpha \pi} \cos(\pi y) (p e^{Ax} + q e^{Bx} - 1)$$
(4)

$$v(x,y) = -\delta \frac{\partial \psi}{\partial x} = -\frac{\delta}{\alpha \pi^2} \sin(\pi y) (pAe^{Ax} + qBe^{Bx})$$
(5)

For completeness, we list in the Supporting Information some typos in S48's expressions for u and h (but not ψ itself). With the scaling adopted in the present study the dimensional counterparts of u and v (denoted by u^* and v^* , respectively) are: $u^* = u\gamma\beta L_y^2$ and $v^* = v\gamma\beta L_u^2$.

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2.1.1 Analytic approximations in limiting cases for S48's model

For a given value of δ , the spatial distribution of the streamlines in the basin is determined by the value of α , the only other free parameter in (1). Figure 1(a),(c) depicts the stream function for two α -regimes of S48:

- (i) $\alpha \leq O(1)$ (i.e. weak damping)
- (ii) $\alpha > O(1)$ (i.e. strong damping).

In these two regimes of α the complicated expressions in (3), (4) and (5) can be greatly reduced as is shown below.

For $\alpha \leq O(1)$, the solution of ψ in (3) becomes linear in x and thus can satisfy only one boundary condition out of two. This solution is commonly assumed to approximate the

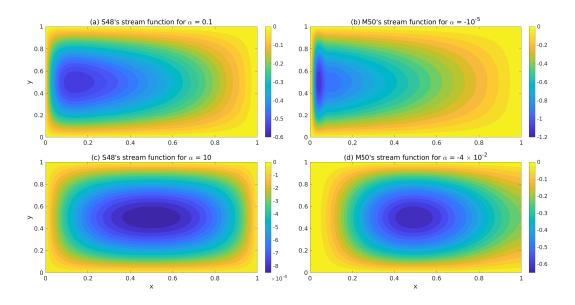


Figure 1. The stream functions in different regimes of the α parameter-space in the two models for $\delta = 2\pi/10$: (a),(b) weak damping [$\alpha \leq O(1)$ in S48's model and $|\alpha| \leq O(10^{-3})$ in M50's model] — there exists a narrow fast flowing current along the western edge of the basin; (c),(d) strong damping [$\alpha > O(1)$ in S48's model and $|\alpha| > O(10^{-3})$ in M50's model] — the stream function is (nearly) symmetric about x = 0.5 which indicates that there is no westward intensification.

exact solution for ψ in the frictionless interior of the basin while a different approximation applies in the narrow, frictional, boundary layer adjacent to x = 0. Figure 1(a) depicts this narrow boundary layer for $\alpha = 0.1$ where the stream function first decreases fast with x at small x and then increases slowly with x for large x. In the range of $\alpha \ge O(1)$, the solution ψ is symmetric about $x = \frac{1}{2}$ and can satisfy the two boundary conditions, $\psi(0, y) = 0\psi(1, y)$. This is demonstrated in the symmetric stream function depicted in Figure 1(c) for $\alpha = 10$. The explicit expressions of ψ in the two ranges of α are given in the Supporting Information.

From the expression given by (3) and the two examples of ψ shown in Figure 1(a),(c) 148 one can infer that the width of the boundary layer depends on α , but the details of this 149 dependence are not obvious since the coefficient A in equation (3) also depends on α . To 150 determine the details of the dependence, we integrate the vorticity equation (1) w.r.t. x151 from x = 0 (i.e. the western boundary) to $x = \epsilon_{bc}$, where $\epsilon_{bc} \ll 1$ is the width of the WBC 152 defined as the smallest value of x where $\frac{u}{v}$ is not small (i.e. the magnitude of u is similar to 153 that of v). We further assume that inside the boundary layer, (i) $u \ll v$, so the Laplacian 154 term can be approximated by $\nabla^2 \psi \approx -\delta \frac{\partial v}{\partial x}$ and (ii) the meridional speed at $x = \epsilon_{bc}$ is 155 negligible compared to its value at x = 0 i.e. $v(\epsilon_{bc}, y) \ll v(0, y)$. With these assumptions 156 the integration of (1) yields the following simple expression for ϵ_{bc} : 157

$$\epsilon_{bc}(y) = \alpha \delta \frac{1}{\left(\frac{1}{v(0,y)} + \frac{1}{\delta}\right)} \approx \alpha \delta^2, \tag{6}$$

The second equality that makes ϵ_{bc} independent of y holds provided $v^* \sim 2 \text{ m s}^{-1}$ which for $\gamma \sim 5 \times 10^{-5}$ and $L_y \sim 6000 \text{ km}$ translates to $\frac{1}{v(0,y)} \sim 10^{-2}$ which is negligible compared to $\frac{1}{\delta} > 1$ (for $L_x \sim 10000 \text{ km}$). For realistic parameter values where this estimate of ϵ_{bc} holds, it is linear with both α and δ^2 . For typical values of α of 0.02, and for the above values of L_y and L_x , the width of the boundary layer is $\epsilon_{bc} \sim 0.007$ i.e. about 70 km.

To quantify the speed of the WBC we propose another proxy — the maximum speed 163 of the WBC i.e. $v_{bc} = v\left(0, \frac{1}{2}\right)$ [it can be easily verified that v_{bc} attains its maximal value 164 at (x = 0, y = 1/2)]. The expression for v_{bc} , obtained by substituting x = 0 and y = 1/2 in 165 (5), is given by: 166

$$v_{bc} = -\frac{\delta}{\alpha \pi^2} (pA + qB). \tag{7}$$

Following this expression, the corresponding dimensional maximal speed of the WBC 167 is given by: $v_{bc}^* = v_{bc} \gamma \beta L_y^2$. Numerical computation show that the term pA + qB decreases with α hence (7) implies that $v_{bc} \propto \frac{1}{\alpha^n}$ where n > 1 (see also Section 3 below). 168 169

Having identified proxies for the width and maximal speed of the current we are now 170 in position to formulate an expression for the transport of the WBC. The non-dimensional 171 transport, Tr, is given as $Tr = \epsilon_{bc} \left(\frac{1}{2}\right) v \left(0, \frac{1}{2}\right)$ which, under the assumption $\frac{1}{v\left(0, \frac{1}{2}\right)} \ll \frac{1}{\delta}$ 172 simplifies to: 173

$$Tr \approx \alpha \delta^2 v \left(0, \frac{1}{2}\right) = -\frac{\delta^3}{\pi^2} (pA + qB) \tag{8}$$

The dimensional depth integrated transport is given by $Tr^* = Tr \times \gamma H_0 L_x \beta L_y^2$ 174

2.2 M50's non-dimensional counterpart 175

The non-dimensional counterpart of M50's vorticity equation, obtained by employing 176 the scaling proposed in this study, is given by: 177

$$\alpha \nabla^4 \psi + \frac{\partial \psi}{\partial x} = \sin(\pi y) \tag{9}$$

where $\alpha = -\mu \frac{L_x}{\beta L_y^4}$ is the damping, $\mu ~(\sim 10^4 \text{ m}^2 \text{ s}^{-1})$ is the (dimensional) horizontal eddy 178 viscosity and $\nabla^{4} = \delta^{4} \frac{\partial^{4}}{\partial x^{4}} + 2\delta^{2} \frac{\partial^{4}}{\partial x^{2} \partial y^{2}} + \frac{\partial}{\partial y^{4}}$. We note that contrary to (2), α here is negative. This dissimilarity arises because, unlike the parametrization in S48, in M50's 179 180 model the damping is parametrized by a biharmonic function. Also, in addition to stream 181 function vanishing at the edges of the basin, another set of boundary condition needs to be 182 specified in order to solve the 4^{th} order equation (9) — there should be no flow tangential to 183 the basin's edges i.e. $\frac{\partial \psi}{\partial x}\Big|_{x=0,1} = \frac{\partial \psi}{\partial y}\Big|_{y=0,1} = 0$. Following the mathematical steps in Munk's original paper yields the following solution of (9): 184 185

$$\psi = -\sin(\pi y) \left(1 - x + \frac{1}{\lambda} e^{\lambda(x-1)} - e^{-\lambda(x/2)} \left[\cos\left(\frac{\sqrt{3}\lambda x}{2}\right) + \frac{1 - 2/\lambda}{\sqrt{3}} \sin\left(\frac{\sqrt{3}\lambda x}{2}\right) \right] \right)$$
(10)
here $\lambda = \left(\frac{1}{-\alpha\delta^4}\right)^{1/3}$.

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2.2.1 Analytic approximations in limiting cases for M50's model

Figure 1(b),(d) depicts the stream function for small and large damping in M50's model. 188 For large damping the stream function shown in Figure 1(d) is not entirely symmetric about 189 $x = \frac{1}{2}$. Also, unlike the behavior of the stream function in S48's model, the stream function 190 in M50's model skews more towards the eastern boundary with the increase in damping. 191 This, less than optimal, behavior of the stream function in M50's model occurs because the 192 stream function does not vanish identically along the eastern boundary and is, instead, a 193 function of α itself. 194

We now proceed to obtain the proxies for the width, speed and transport for M50's 195 non-dimensional counterpart. Integrating the vorticity equation to obtain the width of the 196 WBC (as was done for S48's non-dimensional counterpart in the previous sub-section) is 197 not an effective method because it yields a much too complicated algebraic equation in ϵ_{bc} . 198

Thus, for the non-dimensional equivalent of M50's model we propose that the width of the WBC is defined as the smallest value of x > 0 where $v(x, y = \frac{1}{2})$ vanishes. The velocity of the WBC corresponding to this model is given by:

$$v(x,y) = -\delta \frac{\partial \psi^w}{\partial x}.$$
(11)

Here ψ^w is the stream function close to the western boundary of the basin. The value of x closest to 0 at which $v(x, y = \frac{1}{2})$ vanishes [determined by substituting y = 1/2 in (11) and finding the root using Mathematica] is:

$$\epsilon_{bc} = \frac{2}{\sqrt{3}\lambda} \cos^{-1} \left(-\frac{2\lambda - 1}{4\lambda^2 - 4\lambda - 4} \right) \approx \frac{\pi}{\sqrt{3}} (-\alpha)^{\frac{1}{3}} \delta^{\frac{4}{3}}$$
(12)

The approximation in (12) holds for $\lambda \gg 1$ which is satisfied for typical values of dimensional parameters that correspond to $\lambda > O(50)$. The dependence of the width of WBC on δ in both (6) and (12) highlights the importance of the domain aspect ratio in the dynamics of westward intensification.

We now propose that in M50's model a proxy of the speed of the WBC is the average speed of the current between x = 0 and $x = \epsilon_{bc}$ at $y = \frac{1}{2}$. Using (11), it is straightforward to determine:

$$v_{bc} = \frac{1}{\epsilon} \int_0^{\epsilon} v\left(x, \frac{1}{2}\right) dx = \frac{\delta}{\epsilon} \psi^w\left(x = \epsilon, y = \frac{1}{2}\right) \approx \frac{2}{5} (-\alpha\delta)^{-\frac{1}{3}} + \delta$$
(13)

Under the same assumption, the non-dimensional transport in the boundary current as calculated from $Tr = \epsilon_{bc} v_{bc}$ is given by:

$$Tr = \epsilon_{bc} v_{bc} = \delta \left(\frac{3}{4} + 2(-\alpha\delta^4)^{\frac{1}{3}}\right) \approx \frac{3}{4}\delta + O(\delta^2)$$
(14)

Surprisingly, in both S48's and M50's models the calculated transports of the WBCs are nearly independent of α – the dissipation parameter. This is due to the opposing power law α -dependencies of the width of the WBC and the typical meridional velocity in it. In the next section we numerically validate these analytical results.

3 Numerical validation

The proxies, ϵ_{bc} , v_{bc} and Tr, obtained in the previous section are validated here in two ways — (i) by comparing them with the numerical calculations of the exact expressions and (ii) by benchmarking these proxies against diagnostics that we propose to quantify the width and speed calculated from numerical simulations of the corresponding time-dependent equations.

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3.1 Exact expressions versus approximations for the proxies

Figure 2(a),(b) depict the proxies: ϵ_{bc} , v_{bc} and Tr as a function of α in the two models: 225 the circles denote the values given by the exact expressions and the solid lines — the cor-226 responding approximate expressions derived above. In both models, the width of the WBC 227 increases and its speed decreases with a (near) power law dependence on damping the (α for 228 S48's model and $|\alpha|$ for M50's model). As is apparent from Figure 2(a),(b), the power law 229 dependence of both the width and the speed of the WBC on α is valid for over two decades 230 in both the models. In S48's model, however, the value of ϵ_{bc} shown in Figure 2(a) is not 231 accurate for $\alpha > O(1)$ because the expression for ϵ_{bc} in (6) is not valid in this regime since the approximation $\frac{1}{v(0,y)} \ll \frac{1}{\delta}$ does not apply there. Thus, we conclude that the effect of α 232 233

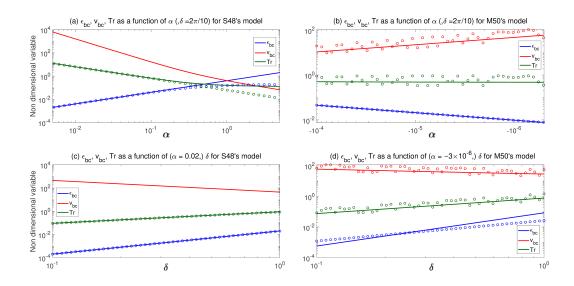


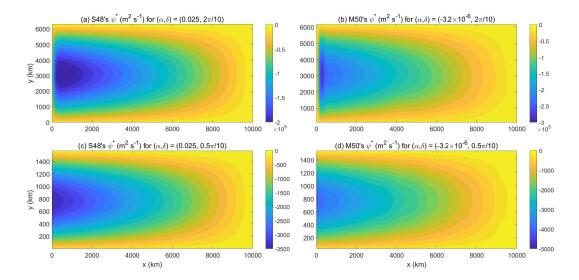
Figure 2. Non-dimensional width (ϵ_{bc}) , speed (v_{bc}) and transport (Tr) of the WBC as a function of α and δ in the two models, in panels (a),(b) the domain aspect ratio is set to $\delta = 2\pi/10$ and in panels (c),(d) the damping is set to $\alpha = 0.02$ for S48's model and $\alpha = -3 \times 10^{-6}$ for M50's model. Circles show the exact value of the proxy and the solid line is the approximation. The 'exact value circles' are not plotted for v_{bc} in S48's model because no approximation is made to calculate the proxy v_{bc} in S48's model.

on the spatial structure of ψ (and hence on the width of the WBC) is limited to $\alpha < O(1)$ in S48's model. Unlike S48's model, α affects the spatial structure of the flow in M50's model over a wider range because $\psi(x = 0)$ varies with α [while in S48 $\psi(x = 0) = 0$]. The limitation on the validity of equation (6) is also reflected in the slight change of the slope of the v_{bc} (red) curve in Figure 2(a).

In M50's model the exact value of v_{bc} oscillates with α , however, the overall increase in v_{bc} with a decrease in damping ($|\alpha|$) is clearly evident and the approximate expression accurately captures the trend. As for the curve of the transport in M50 – it changes in a similar pattern to that of S48 as both shows negligible variation with α . The oscillations in the exact values of v_{bc} and consequently the Tr in M50's model arise because of the combination of cos and sin terms in ψ which have a significant contribution close to the western edge of the basin.

²⁴⁶ Up to this point our analysis focused on the way that α affects the dynamics in the ²⁴⁷ two models and we now turn to the effect of the other non-dimensional parameter — δ . ²⁴⁸ Determining analytically the dependence of v_{bc} and consequently of Tr on δ in S48's model ²⁴⁹ is not straightforward because of the complicated dependence of (pA + qB) in (3) on δ . ²⁵⁰ Nevertheless, Figure 2(c) shows that ϵ_{bc} , v_{bc} and Tr vary as a power law in δ with exponents ²⁵¹ approximated by 2, -1 and 1 respectively, and that the differences between the exact and ²⁵² the approximate curves are negligible.

In contrast to S48's model, the (approximate) dependence of ϵ_{bc} , v_{bc} and Tr on δ in M50's model is straightforward [refer to (12), (13) and (14)]. Figure 2(d) depicts the aforementioned proxies as a function of δ . Each proxy follows a power law in δ and the exponents for ϵ_{bc} , v_{bc} and Tr are given by $\frac{4}{3}$, $\sim -\frac{1}{3}$ and ~ 1 respectively. The second terms in the expressions of v_{bc} and Tr in (13) and (14) are small corrections and do not affect significantly the exponents of the proxies. There are slight deviations between the exact and the approximate curves of ϵ_{bc} as a function of δ . Additionally, the approximations for



3. Dimensional stream functions (a) for S48's model — $(\alpha, \delta) = (0.025, 2\pi/10)$ and Figure (b) for M50's model — $(\alpha, \delta) = (-3 \times 10^{-6}, 2\pi/10)$; panels (c),(d) are same as (a),(b) but for $\delta = 0.5\pi/10$. Note that the meridional extent of the basin in (c),(d) is one-fourth of that in (a),(b).

 v_{bc} and Tr accurately capture the overall trends of the exact curves (but not the highly 260 oscillatory behavior that arises from the sensitivity of these variables to the calculation of 261 the various terms in the boundary layer). 262

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3.2 Numerical simulations

The numerical simulations described below were carried out using the time-dependent 264 shallow water equation (SWE) dimensional solver (Gildor et al., 2016; Shamir et al., 2019). 265 This solver employs the finite difference method to solve SWEs on the β -plane. The 266 simulations are carried out on an Arakawa C grid and the time differencing follows a leapfrog 267 scheme. Though the solver can include nonlinear terms, for the purpose of this study, 268 nonlinear terms were neglected. The reader should refer to Gildor et al. (2016) and Shamir 269 et al. (2019) for a more detailed description of the solver. 270

The simulations presented in the present study were carried out using a barotropic ocean 271 with the same characteristics as in S48 i.e. on an equatorial β -plane (i.e. $f_0 = 0$), forced by 272 a wind stress which varies as $-\tau_0 \cos(\pi y/L_y)$ (where τ_0 is the amplitude of the wind stress 273 and y and L_y denote the same dimensional quantities as in the preceding sections). Two 274 of the dimensional parameters remained fixed in all the simulations presented below — the 275 value of the gradient of Coriolis frequency (given by $\beta = 2 \times 10^{-11} \text{ m}^{-1} \text{ s}^{-1}$) and the zonal 276 extent of the basin given by $(L_x = 10000 \text{ km})$. The other three dimensional parameters in 277 the two WBC models i.e. the damping coefficients [Rayleigh friction coefficient (r) in S48's 278 model and horizontal eddy viscosity (μ) in M50's model], the maximum amplitude of the 279 prescribed forcing (τ_0) , and the meridional extent of the basin (L_y) are varied such that α 280 and γ remain constant and the effect of δ can be examined. The boundary conditions are: u^* 281 = 0 along the basin's meridional boundaries and $v^* = 0$ along the basin's zonal boundaries. 282 The numerical solver is integrated until a steady state is reached. The steady state of the 283 time-dependent simulations is defined as the state at which the dependent variables in the 284 SWEs $(u^*, v^* \text{ and } \eta^*)$ do not evolve any further for a sufficiently long time. 285

Figure 3(a),(c) depicts the dimensional stream function (ψ^*) in a steady state obtained 286 by specifying the dimensional parameters as in S48's model (and the corresponding values 287

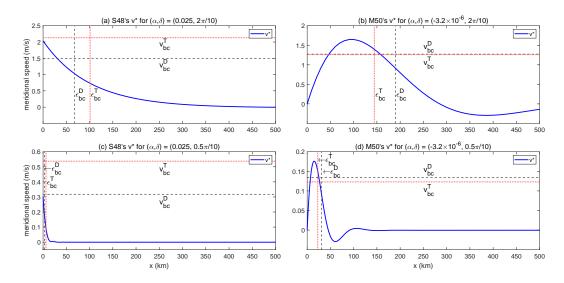


Figure 4. The meridional velocity along $y = \frac{L_y}{2} \left[v^* \left(x, y = \frac{L_y}{2} \right) \right]$ in (a) S48's model for $(\alpha, \delta) = (0.025, 2\pi/10)$ and (b) M50's model for $(\alpha, \delta) = (-3 \times 10^{-6}, 2\pi/10)$; panels (c),(d) are same as (a),(b) but with $\delta = 0.5\pi/10$. The dashed black lines mark the diagnostics for the width (ϵ_{bc}^D) and the speed (v_{bc}^D) of the WBC. The dotted red lines mark the analytical estimate (proxy), $\epsilon_{bc}^T = \epsilon_{bc}L_x$, of the width and the speed, $v_{bc}^T = v_{bc}\gamma\beta L_y^2$, of the WBC. The expressions for ϵ_{bc} and v_{bc} are given by (6) [(12)] and (7) [(13)] respectively for S48's [M50's] model. For each simulation discussed here, the forcing and zonal extent of the basin are set to $\gamma = 5 \times 10^{-5}$ and $L_x = 10000$ km respectively.

of α and δ are noted above these panels) while Figure 3(b),(d) depicts the steady state ψ^* 288 obtained for parameters relevant to M50's model (and here, too, the corresponding values 289 of α and δ are noted above these panels). The reader should note that the meridional 290 extent (L_y) of the basin in Figure 3(a),(b) is $2\pi \times 1000$ km, whereas in Figure 3(c),(d) 291 $L_y = \pi/2 \times 1000$ km. In all four cases the shape of the stream function is very similar to 292 the non-dimensional stream functions (ψ) shown in Figure 1(a),(b). Moreover, for the given 293 values of (α, δ) , the ψ^* s obtained by multiplying the corresponding $\gamma \beta L^3_{\psi}$ with ψ [given by 294 (3) for S48's model and (10) for M50's model agree very well with the stream functions 295 shown in Figure 3. 296

To benchmark our analytical expressions of the width (ϵ_{bc}) and speed (v_{bc}) of the WBC against the values obtained by the numerical simulations, we propose the following (somewhat) arbitrary diagnostics:

a) The width of the WBC (ϵ_{bc}^D) is defined as the largest value of x for which $v^*\left(x, y = \frac{L_y}{2}\right)$ [the meridional velocity along $y = \frac{L_y}{2}$] reaches half its maximum value; and

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b) The speed of the WBC (v_{bc}^D) is defined as the mean of $v^*\left(x, y = \frac{L_y}{2}\right)$ between 0 and ϵ_{bc}^D .

Figure 4(a),(c) shows $v^*\left(x, y = \frac{L_y}{2}\right)$ obtained from simulations carried out for two different sets of dimensional parameters in S48's model. The dimensional parameters are chosen such that the value of $\alpha = r \frac{L_x}{\beta L_y^2} = 0.025$ is the same in the two simulations. In contrast, the value of $\delta = \frac{Ly}{L_x}$ reduces by a factor of 4 between the two simulations. Figure ³⁰⁹ 4(b),(d) are the same as Figure 4(a),(c) except that $v^*\left(x, y = \frac{L_y}{2}\right)$ depicted is obtained from ³¹⁰ simulations carried out for two different sets of dimensional parameters in M50's model. In ³¹¹ these two cases as well, the dimensional parameters are chosen to ensure that $\alpha = -\mu \frac{L_x}{\beta L_y^4} =$ ³¹² -3.2×10^{-6} is the same while the value of δ reduces by a factor of 4 between the two ³¹³ simulations.

As is evident from Figure 4(a),(c), the width of the WBC reduces from ~ 80 km to ~ 5 km when δ reduces from $\frac{2\pi}{10}$ to $\frac{0.5\pi}{10}$. This reduction in the width by a factor of 16 when δ is decreased by a factor of 4 is consistent with (6) which shows that $\epsilon_{bc} \propto \delta^2$ in S48's model. Similarly, Figure4(b),(d) show that the width of the WBC reduces from ~ 150 km to ~ 25 km when δ reduces from $\frac{2\pi}{10}$ to $\frac{0.5\pi}{10}$ in simulations based on M50's model. This reduction in the width by a factor of 6 when δ is reduced by a factor of 4 is consistent with (12) which shows that $\epsilon_{bc} \propto \delta^{\frac{4}{3}}$ in M50's model.

In all four cases shown in Figure 4, the differences between analytical estimate for the width and speed of the WBC (denoted by ϵ_{bc}^T and v_{bc}^T) and their corresponding diagnostics (denoted by ϵ_{bc}^D and v_{bc}^D) is less than a factor of 2. The agreement between our analytical obtained proxies and the proposed diagnostics for the width and the speed of the WBC further substantiates our findings, the implications of which are discussed in the next section.

326 4 Discussion and Summary

Since the introduction of the S48's and M50's models about 70 years ago, numerous 327 theoretical and numerical investigations have been carried out to further explore the char-328 acteristics of westward intensification (Stommel, 1958; Hogg & Johns, 1995; Pedlosky, 2013; 329 Vallis, 2017). Both S48's and M50's dimensional models clearly bring out the contribution 330 of each source of vorticity: damping, planetary gradient and wind forcing in producing the 331 characteristic east-west asymmetry of the flow in a basin. However, the precise contribution 332 of each of the five dimensional parameters corresponding to the models i.e. L_x , L_y , β , τ_0 and 333 r/μ has only been partially analyzed or explained. In particular the effect of the domain 334 aspect ratio $\delta = \frac{L_y}{L_x}$ has received no attention in the past 70 years. 335

The formulation of S48's and M50's models in Vallis (2017) clearly highlights the effect of changes in r/μ and β on the characteristics of the WBC but this formulation assumes a square shaped basin and thus cannot be used to explored the effect that the basin's aspect ratio has on the WBC. The role of the basin aspect ratio is the focus of the present work and our analysis is based on the formulation of the non-dimensional parameter $\delta = \frac{L_y}{L_x}$ as one of the two parameters in the properly scaled non-dimensional model.

The results of the analysis developed here, demonstrate that in both S48's and M50's 342 models, the width, speed and transport of the WBC each varies as a power law in δ for 343 sufficiently small damping — $\alpha \leq O(1)$ in S48's model and $|\alpha| \leq O(10^{-3})$ in M50's model. 344 The exponents of width, speed and transport of the WBC are 2, -1 and 1 respectively in 345 S48's model; and $\frac{4}{3} - \frac{1}{3}$ and 1 respectively in M50's model. A surprising and novel result of 346 our analysis is that to first order the transport of the WBC in both models is linear with δ . 347 For typical values of damping ($\alpha = 0.02$ in S48's and $\alpha = -3 \times 10^{-6}$ in M50's model), forcing 348 $(\gamma = 5 \times 10^{-5})$, $L_x = 10000$ km and $\delta = \frac{2\pi}{10}$, the estimated non-dimensional transport is 0.55 in S48's and 0.48 in M50's model. The non-dimensional transport for identical values 349 350 of α , γ and L_x as previously mentioned, but with $\delta = 0.25$, drops to 0.14 in S48's and 0.12 351 in M50's model. This linear dependence of the non-dimensional transport on the domain 352 aspect ratio has not been quantified before and our study offers a clear appreciation of the 353 role of δ in the S48's and M50's models of westward intensification. 354

Another feature which emphasizes the treatment of δ as an important non-dimensional parameter for westward intensification comes out of the proxy for the width of the WBC in the two models [given by (6) and (12)]. We note that in S48's model the proxy of the ³⁵⁸ WBC's width $\sim \alpha \delta^2$ while in M50's model the same proxy $\sim (-\alpha \delta^4)^{\frac{1}{3}}$. Thus, for a constant ³⁵⁹ α , a decrease in δ by a factor of 4 reduces the width of the WBC by a factor of $4^2 = 16$ in ³⁶⁰ S48's model and by a factor of $4^{\frac{4}{3}} = 6$ in M50's model — this analytic result is verified by ³⁶¹ the numerical simulations described in Section 3.2. Aside from confirming our findings, the ³⁶² agreement between the analytically calculated proxies of the width and speed of the WBC ³⁶³ and the diagnostics of the same proposed for the numerical simulation validates that our ³⁶⁴ steady state analysis is relevant to the time-dependent dynamics of the linearized system of ³⁶⁵ SWEs describing the wind-driven circulation of a barotropic ocean.

It is the proxies of width, speed and transport proposed in this study that enabled us to examine the intricate interplay between damping and domain aspect ratio in the westward intensification of wind-driven surface ocean currents. In particular, the dependence of transport of the WBC on the domain aspect ratio of the basin highlighted in this study cannot be determined using other scale analyses employed in theoretical physical oceanography. Similar proxies can also be developed in other ocean circulation models to better quantify the precise role played by a particular parameter in explaining a given phenomenon.

5 Acknowledgment

This research was supported by the ISF-NSFC joint research program (grant No. 2547/17).

- **6 Data Availability Statement**
- The research presented in this paper is theoretical data were not used, nor created for this research.

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