Post-wildfire surface deformation at Batagay, Eastern Siberia, detected by L-band and C-band InSAR

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Abstract

Thawing of ice-rich permafrost can form a characteristic landform called a thermokarst. The impact of wildfire on thermokarst development remains uncertain. Here we report on the post-wildfire ground deformation associated with the 2014 wildfire near Batagay, Sakha Republic, Eastern Siberia. We used Interferometric Synthetic Aperture Radar (InSAR) to generate both long-term and short-term deformation maps, and examine the temporal evolution of the post-wildfire ground deformation over the permafrost area. Based on two independent satellite-based microwave sensors, we could validate the measurement uncertainties without relying on in-situ data. The inferred time-series based on L-band ALOS2 InSAR data indicated that cumulative subsidence has been greater than 30 cm since October 2015 at the area of greatest deformation, and that the rate of subsidence is slowed in 2018. Meanwhile, C-band Sentinel-1 InSAR data showed that the temporal evolution was not simply linear but rather include episodic changes. Moreover, we could unambiguously detect frost heave signals that were clearly enhanced inside the burned area during the early freezing season but were absent in the mid-winter. We could reasonably interpret the InSAR-based frost heave signals within a framework of premelting dynamics.

- 1 Post-wildfire surface deformation at Batagay, Eastern Siberia, detected by L-band and C-
- 2 band InSAR
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10 Key Points:

- Post-wildfire surface deformation on the northwest of Batagay, Eastern Siberia, was
 detected by two independent Interferometric Synthetic Aperture Radar systems.
- L-band long-term and C-band short-term interferograms indicate the spatial and temporal complexity of the deformation in terms of both subsidence and uplift.
- Consistency between L-band HH- and C-band VV-interferograms from distinct orbits
 validates a dominance of vertical displacement by more than 30 cm at maximum
 without in-situ measurement.
- Unambiguous detection of a frost heave signal; herein is its interpretation based on premelting dynamics.

20 Abstract

Thawing of ice-rich permafrost can form a characteristic landform called a thermokarst. The 21 impact of wildfire on thermokarst development remains uncertain. Here we report on the 22 post-wildfire ground deformation associated with the 2014 wildfire near Batagay, Sakha 23 Republic, Eastern Siberia. We used Interferometric Synthetic Aperture Radar (InSAR) to 24 generate both long-term and short-term deformation maps, and examine the temporal 25 evolution of the post-wildfire ground deformation over the permafrost area. Based on two 26 independent satellite-based microwave sensors, we could validate the measurement 27 uncertainties without relying on in-situ data. The inferred time-series based on L-band 28 ALOS2 InSAR data indicated that cumulative subsidence has been greater than 30 cm since 29 October 2015 at the area of greatest deformation, and that the rate of subsidence is slowed in 30 2018. Meanwhile, C-band Sentinel-1 InSAR data showed that the temporal evolution was not 31 32 simply linear but rather include episodic changes. Moreover, we could unambiguously detect frost heave signals that were clearly enhanced inside the burned area during the early freezing 33 season but were absent in the mid-winter. We could reasonably interpret the InSAR-based 34

35 frost heave signals within a framework of premelting dynamics.

36 Plain Language Summary

- 37 Wildfires in arctic regions not only show an immediate impact on nearby residents but also
- 38 long-lasting effects on both regional ecosystems and landforms of the burned area via
- 39 permafrost degradation and subsequent surface deformation. However, the observations of
- 40 post-wildfire ground deformations have been limited. Using satellite-based imaging
- 41 technique called Interferometric Synthetic Aperture Radar (InSAR), we detected the detailed

42 spatial-temporal evolution of post-wildfire surface deformation in Eastern Siberia, which

43 helps in understanding permafrost degradation processes over remote areas. Post-wildfire

44 areas are likely to be focal points of permafrost degradation in the Arctic that can last many

45 years.

46 **1 Introduction**

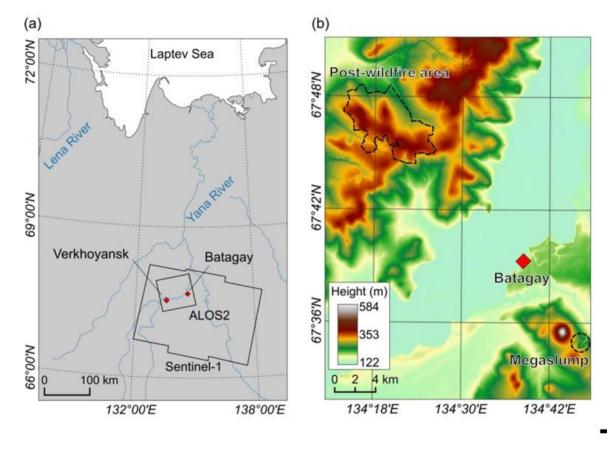
47 Wildfires in boreal and arctic regions are known to have increased over recent decades in terms of both frequency and areal coverage (e.g., Kasischke & Turetsky, 2006; Hu et al., 48 2010), and have had significant impacts on permafrost degradation (e.g., Jafarov et al., 2013; 49 Zhang et al., 2015; Gibson et al., 2018). Although fires do not directly heat up the subsurface 50 space, severe burning decreases surface albedo, and removes vegetation and surface organic 51 soil layer that had previously acted as insulators preventing permafrost from thawing. 52 Subsequent increases in both soil temperature and thickness of the active layer, a near-surface 53 layer that undergoes a seasonal freeze-thaw cycle, have been documented even years after the 54 fire (e.g., Yoshikawa et al., 2002). Moreover, in ice-rich permafrost regions, the thawing of 55 56 permafrost or the melting of massive ice can lead to formation of characteristic landforms such as depressions, swamps, and slumps. While there are a variety of classifications in terms 57 of morphological and hydrological characteristics (Jorgenson, 2013), we collectively term 58 59 those thaw-related landforms as "thermokarst". However, the role of wildfires in developing thermokarst remains quantitatively uncertain. Only a few studies have reported on subsidence 60 signal as a development of thermokarst associated with Alaskan wildfires (Liu et al., 2014; 61 Jones et al., 2015; Iwahana et al., 2016; Molan et al., 2018), and no such reports have been 62 found on Siberian fires, to our knowledge. Moreover, in comparison to the controlled 63 warming experiments in Alaska (Hinkel and Hurd Jr, 2006; Wagner et al., 2018), wildfires in 64 arctic regions may also be viewed as uncontrolled warming experiments, which will aid in 65 66 understanding the permafrost degradation processes.

Ice-rich permafrost deposits, known as the Yedoma Ice Complex (Yedoma), are widely 67 distributed in the lowland of Alaska and Eastern Siberia (Schirrmeister et al., 2013). The 68 greatest subsidence after the 2007 Anaktuvuk River tundra fire was, indeed, identified in the 69 area of the Yedoma upland by LiDAR (Jones et al., 2015). Yedoma is a unique permafrost 70 deposit in terms of its extraordinarily high volume of ice (50-90 %) and organic-rich 71 sediments. While the organic carbon trapped in permafrost regions is estimated to be twice 72 that in the current atmosphere, permafrost thawing and related thermokarst processes may 73 release the carbon as greenhouse gasses (CO₂ and CH₄) via microbial breakdown, which may 74 further promote global warming (Mack et al., 2011; Schuur et al., 2015). Antonova et al 75 (2018), Strozzi et al (2018) and Chen et al (2018) reported subsidence signals near Yedoma-76 77 rich Lena River Delta, which are, however, not associated with wildfires.

We should note that Yedoma deposits are also found further inland. Near the village of 78 79 Batagay, Sakha Republic, Eastern Siberia (Figure 1), there exists the Batagaika megaslump, known as the world's largest retrogressive thaw slump, exposing roughly 50-90 m thick 80 permafrost deposits (e.g., Kunitsky et al., 2013; Murton et al., 2017). Thaw slumps are 81 characterized by a steep headwall surrounding a slump floor and develop as a result of rapid 82 permafrost thawing. The Batagaika megaslump was initiated at the end of 1970s but still 83 appears to be growing (Günther et al., 2016). Hence, the question arises a question as to 84 whether nearby areas will also undergo similar thermokarst processes from any disturbances. 85

The objective of this study was to demonstrate the spatial and temporal changes of not only inter-annual subsidence, but also seasonal subsidence-uplift cycles associated with the

wildfire of July 2014 near the village of Batagay. We used satellite Interferometric Synthetic 88 Aperture Radar (InSAR), as in previous reports, to ascertain thermokarst development. In 89 contrast to previous studies, we employed two independent SAR imageries with distinct 90 carrier frequencies and polarizations, L-band (1.2 GHz) HH- and C-band (5.4 GHz) VV-91 polarized microwave. Moreover, the imaging geometries were different and had different 92 sensitivities to the 3D displacement vector. Thus, we could not only take advantage of each 93 sensor's performance in mapping deformation signals, but also could evaluate measurement 94 95 accuracy without in-situ data.



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Figure 1. (a) Study area in Eastern Siberia. Black boxes indicate the imaging areas taken by
each satellite. Batagay and Verkhoyansk (red diamonds) located in the imaging area. (b)
Elevation map around Batagay based on a TanDEM-X DEM (12m mesh). The Batagaika
megaslump is 15 km southeast of Batagay. Deformation signals due to the wildfire in July
2014 were detected in the black dashed area.

Surface deformation signals over permafrost areas have been interpreted as being caused by 102 two major processes: (1) irreversible subsidence due to thawing of ice-rich permafrost or 103 excess ice and (2) seasonally cyclic subsidence and uplift (Liu et al., 2014, 2015; Molan et 104 al., 2018). In these previous reports, however, quality interferograms were limited in terms of 105 both the temporal coverage and resolution because of the infrequent image acquisitions and 106 the long spatial baseline problem in the Japanese Advanced Land Observation Satellite 107 (ALOS) operated from 2006 to 2011 by the Japan Aerospace Exploration Agency (JAXA). 108 109 For instance, Liu et al (2015) assumed a simple linear subsidence trend in their inversion, probably because of the limitation in temporal coverage. Moreover, the 1.5-year temporal 110 111 coverage in Molan et al (2018) would be not long enough to resolve the detailed temporal evolution. Hence, the total thawed ice volume estimates were uncertain. Furthermore, no 112

clear uplift signals have been shown in previous studies as interferometric coherence was lost during the freezing season in analyzed areas. In contrast, this study provides the first unambiguous detection of upheaval signals in the early freezing season.

Given the clear frost heave signals, we were led to interpret more physically the observed 116 117 data. This was because it has been widely accepted that frost heave is unrelated to volume expansion of pre-existing pore water into ice, but caused, instead, by ice lens formation due 118 to the migration of water (Taber, 1929, 1930). However, a physical understanding of frost 119 120 heave mechanisms has been established only during recent decades (e.g., Dash, 1989; Worster and Wettlaufer, 1999; Rempel et al., 2004, Wettlaufer and Worster, 2006; Dash et 121 al., 2006; Rempel, 2007). Here, we apply the simple but physics-based 1D theory of Rempel 122 et al (2004) to the observed frost heave signal to physically interpret and explain the observed 123 signals using reasonable parameters. 124

- 125 2 Study Site and Data Analysis
- 126 2.1 Study Site: the July 2014 wildfire on the NW Batagay

127 The wildfire occurred in July 2014 over a 36 km² area to the northwest of Batagay, Sakha

Republic, Eastern Siberia (Figure 1). We could identify the occurrence of wildfire in the

Landsat and MODIS optical images taken between July 17 and August 2, 2014. While

130 wildfires in northeastern Siberia are often attributed to human activity (Cherosov et al.,

131 2010), the onset of the July 2014 wildfire is uncertain. It is true, however, that the number of

132 days with high flammability has noticeably increased over large portions of Russia, including

the Far East (Roshydromet, 2008). We should also note that even larger nearby areas have

experienced wildfires in 2019 (Siberian Times, 2019).

135 We have no in-situ observation data from the unburned period. However, the site is

approximately 25 km to the northwest of the Batagaika megaslump (Figure 1); thus, we refer

to Murton *et al* (2017)'s summary as a proxy for basic information on the burned area and

138 permafrost. The open forest is dominated by larch with shrubs and lichen moss ground cover.

139The permafrost in the Yana River valley is continuous with the mean annual ground

temperature at the top of permafrost, ranging from -5.5 °C to -8.0 °C, with the active layer

thicknesses (ALT) beneath the forest/moss cover and open sites being 20-40 cm and 40-120

142 cm, respectively.

143 The regional climate is highly continental with a mean annual temperature of -15.4 °C and

mean annual precipitation of 170 - 220 mm (Murton et al., 2017). As proxy meteorological

data, we used the data at Verkhoyansk, 55 km west of Batagay. The temperature and

146 precipitation in July/December 2017 were 12/-44 °C and 30/6 mm, respectively.

147 2.2 InSAR Data Sets and Analysis

148 InSAR can map surface displacements over wide areas with high spatial resolution on the

order of 10 m or larger, by taking the differences between the phase values of SAR images at

150 two acquisition epochs. InSAR has been used to detect secular and seasonal displacements

over some thaw-related landforms in permafrost areas (e.g., Liu et al., 2010, 2014, 2015;

152 Short et al., 2011; Iwahana et al., 2016; Molan et al., 2018; Antonova et al., 2018; Strozzi et

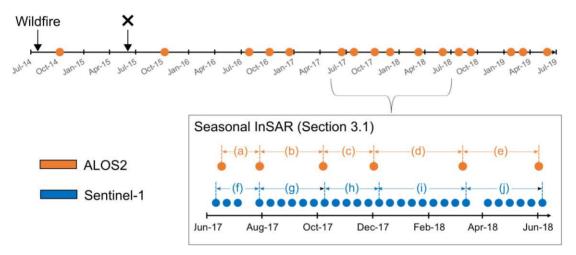
al., 2018). In this study, we used L-band HH-polarized SAR images derived from the

154 PALSAR-2 acquired by the Japanese Advanced Land Observing Satellite 2 (ALOS2) from

155 2015 to 2019 together with C-band VV-polarized SAR images taken during 2017-2018

- derived from Sentinel-1 (Figure 2; see also Tables 1 and 2 for details). InSAR data
- 157 processing was performed with the GAMMA software package (Wegmüller & Werner,
- 158 1997). To correct for topographic phases, we used TanDEM-X DEM (12m mesh).
- 159 Compared to the former ALOS-1/PALSAR-1 InSAR, the ALOS2 orbit is well controlled,
- and the spatial baseline is much shorter, which allowed us to ignore DEM errors in the
- interferograms. The frequent data acquisition of Sentinel-1 since 2017 allowed us to examine
 the detailed seasonal changes in the surface deformation. The actual InSAR deformation map
- indicates the radar line-of-sight (LOS) changes that are derived by a projection of the surface
- 164 3D displacements onto the LOS direction, whereas the LOS is usually most sensitive to the
- vertical displacement as the incidence angles at the center of images are 36° and 39° for
- 166 ALOS2 and Sentinel-1, respectively.

Long-term InSAR & Time series analysis (Section 3.2)



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Figure 2. Schematic diagram of data time series. (Top) Long-term changes are derived from ALOS2 acquired on orange dots. Wildfire occurred from July to August 2014, and JAXA modified the center frequency of PALSAR-2 Beam No. F2-6 data in June 2015 shown with the cross. (Bottom) Short-term deformation during 2017-2018 as examined by Sentinel-1 images. We compare the ALOS2 and Sentinel-1 deformation maps during the five periods,

173 (a)—(e) and (f)—(j).

Although L-band SAR is known to have better interferometric coherence than C-band SAR 174 (e.g., Rosen et al., 1996), our results below indicated that the Sentinel-1 could maintain a 175 comparable interferometric coherence with L-band ALOS2 even during winter season, 176 probably owing to the short acquisition period of 12 days as well as the somewhat drier snow 177 in the area. Some Sentinel-1 InSAR pairs in earlier summer, however, did not have good 178 coherence. Moreover, ALOS2 has only imaged the area since 2014, but its data acquisition 179 180 interval is much longer than that of Sentinel-1 (Figure 2). On the other hand, the frequent data acquisition in Sentinel-1 just started in 2017. In the following analysis, we used ALOS2 181 InSAR data to examine long-term deformation. As already noted in previous studies (Liu et 182 al., 2014; Molan et al., 2018), it is not possible to infer the total subsidence using pre- and 183 post-wildfire SAR images, as the dramatic changes in the land cover caused low 184 interferometric coherence. Also, JAXA changed the carrier frequency of PALSAR-2 in June 185 2015. Thus, long-term deformation monitoring has been possible only since October 2015. 186

Meanwhile, we used Sentine-1 InSAR to examine short-term deformation and compared its 187 data with ALOS2 interferograms, stacking Sentinel-1 interferograms to set the temporal 188 coverages to be nearly identical with each other. During the temporal interval of ALOS2 189 images, Sentinel-1 repeated more cycles, so that the number of Sentinel-1 stack varied from 190 three to eight. Because C-band InSAR phase is more sensitive to tropospheric delay errors, 191 stacking allowed us to reduce the spatially random error phases. In contrast, L-band InSAR 192 phase was more prone to ionospheric effect, which could be corrected for by range split-193 spectrum method (Gomba et al., 2016; Furuya et al., 2017). However, the spatial scale of 194 ionospheric effects was much larger than that of the burned area, and the ionospheric signals 195 were apparently non-correlated with the deformation signal. Thus, we simply took out the 196 197 long-wavelength phase trend by fitting a low-order polynomial with clipped InSAR images after masking out the burned area. We also corrected for topography-correlated tropospheric 198 errors when they clearly appeared in the InSAR image. These procedures were somewhat ad-199 200 hoc but allowed us to isolate relative displacements with respect to un-burned areas that were regarded as reference areas. It was also likely, however, that possible long-wavelength 201 permafrost degradation signals, known as "isotropic thaw subsidence" (Shiklomanov et al., 202 203 2013), were eliminated. Yet, it would be challenging to detect isotropic thaw subsidence signal only from InSAR data. For the moment, we simply ignored such possible long-204 wavelength deformation signals. 205

In order to infer long-term temporal changes and cumulative displacements, we performed SBAS (Small Baseline Subset)-type time-series analysis (Berardino et al., 2002; Schmidt and Bürgmann, 2003), using ALOS2 interferograms. We could estimate the average LOS-change rates between each acquisition epoch without assuming any temporal change models. In contrast to the original SBAS approach, we did not estimate DEM errors because the wellcontrolled orbit as well as the precision TanDEM-X DEM have no sensitivities to those errors.

213 **3 Results**

214 3.1 Seasonal deformation and comparison of ALOS2/Sentinel-1 interferograms

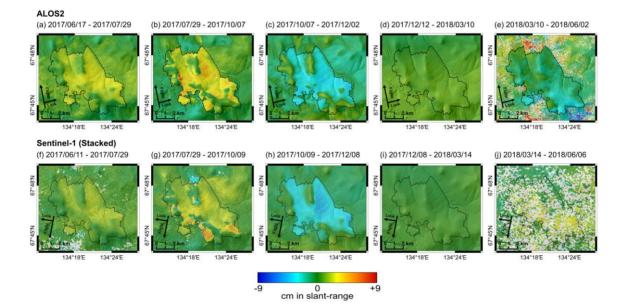
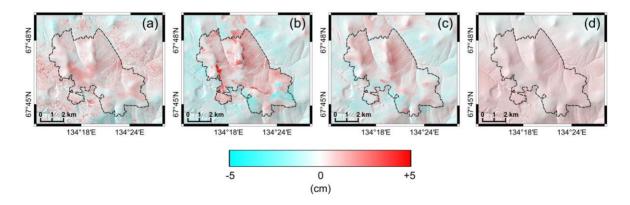


Figure 3. (Top) ALOS2 interferograms during the five periods, (a—e). (Bottom) Sentinel-1 stacked interferograms during the five periods, (f)—(j), derived so that the temporal coverage could nearly match those from (a) to (e); all the interferograms are overlaid on hill-shade maps. Warm and cold colors indicate LOS changes away from and toward the satellite, respectively. ALOS2 and Sentinel-1 imaging were performed by ascending and descending orbit, respectively, and both sensors were right-looking; details of each image are described in Tables 1 and 2.

223 In Figures 3, we compared the ALOS2 and stacked Sentinel-1 interferograms in the five periods, (a—e) (Figure 2); their differences are shown in Figures 4. Despite the differences in 224 look directions, both ALOS2 and Sentinel-1 similarly indicate extensions in the LOS in the 225 periods (a) and (b), and their deformation areas and amplitude were mostly consistent, 226 suggesting that LOS changes were largely due to summer subsidence. In terms of the spatial 227 distribution of deformation signals, we notice that the LOS changes over higher-elevation 228 areas such as ridge and peak were insignificant, whereas the boundaries between the burned 229 and un-burned areas were clear. During the period (c), both ALOS2 and Sentinel-1 indicated 230 shortening in the LOS by an approximate 5 cm maximum, and the deformation areas and 231 amplitude were quite similar. This observation presumably indicated frost heave in the early 232 freezing period. In view of the previous two periods, both subsiding and uplifting areas were 233 nearly the same. The following period (d) also included the winter season with much colder 234 air temperatures, but we did not observe any significant deformation signals, indicating that 235 frost-heave virtually stopped in early December. 236

- While the good interferometric coherence during mid-winter was an unexpected result, we speculate that it could have been due to drier, lower amounts of snowfall, which would have
- $\label{eq:allowed} allowed microwaves to reach the ground surface. In the periods (e) and (j), both ALOS2 and$
- 240 Sentinel-1 suffered from decorrelation, and we could not identify clear deformation signals.
- However, in light of Figures 5 below, each of the Sentinel-1 interferograms had overall good
- coherence with the exception of the data acquired in the middle of May. These observations suggested that the decorrelation may be attributable to the rapid changes on the ground
- suggested that the decorrelation may be attributable to the rapid changes on the ground surface during the initiation of thawing season, when the air temperature rises above the
- 245 freezing point.



246

247 Figure 4. Differences in LOS-change detected by ALOS2 and Sentinel-1 seasonal

interferograms (Figures 3a-d and 3f-i). In the last term of seasonal analysis (Figure 3e and 3j),

249 we could not estimate differences due to coherence loss.

Figure 4 shows the differences between ALOS2 and Sentinel-1 InSAR data with nearly

identical periods, which may help in evaluating the measurement errors and uncertainties.

Although the view directions of ALOS2 and Sentinel-1 were different, the similar spatial distribution of deformation signals in Figures 2 allowed us to assume that the deformation

distribution of deformation signals in Figures 3 allowed us to assume that the deformation signals were largely due to vertical displacement. The estimated differences and 2σ errors

were 0.5 ± 1.2 cm (Fig 4a), 0.7 ± 2.3 cm (Fig 4b), 0.3 ± 1.3 cm (Fig 4c), and 0.6 ± 0.3 cm (Fig 4d),

with an average of 0.5 ± 1.5 cm.

257 The differences and errors were variable over time, probably because interferometric

coherence depended on the season of image acquisitions. Specific maximum differences are

found in Figure 4b. Those differences would be mainly attributable to the Sentinel-1

interferogram (Figure 3g) as unwrapping errors were found during the three interferograms,
 (4, 5, 9), shown later in Figures 5. However, those unwrapping errors were notably

261 (4, 5, 9), shown later in Figures 5. However, mose unwrapping errors were hotably 262 concentrated at specific locations near the ridge and the boundaries between the burned and

unburned areas during the "deforming" seasons. We have confirmed the presence of low

coherence bands along the unwrapping errors, which might suggest large phase jumps due to

²⁶⁵ rapid displacements during the 12 days.

Original Sentinel-1 interferograms in 2017 are shown sequentially in Figures 5, which

267 demonstrate that the progress of deformation was not at a constant rate. The most rapid

deformation took place in June (periods 1 and 2) with no substantial deformation in July

269 (period 3) and started to subside again in August (periods 4-6). We found that the subsidence

occurred sporadically over time and space, and that the burned area did not uniformly
 subside. Moreover, Figure 5 demonstrates that the frost heave started in late September,

which was missed in the periods (b) and (g) of Figure 3, and that the absence of any

deformation signals lasted from early December to May of the following year. We will

physically interpret the absence of deformation signals during the coldest season in a

275 subsequent section.

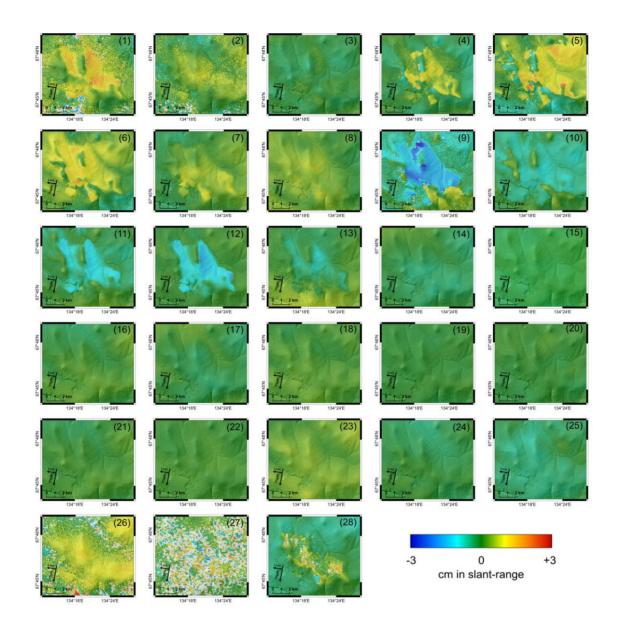


Figure 5. Sentinel-1 interferograms during the 27 periods from June 2017 through June 2018 overlaid on hill-shade map. Details of each image are described in Table 2.

279 3.2 Long-term deformation inferred from time-series analysis of ALOS2 interferograms

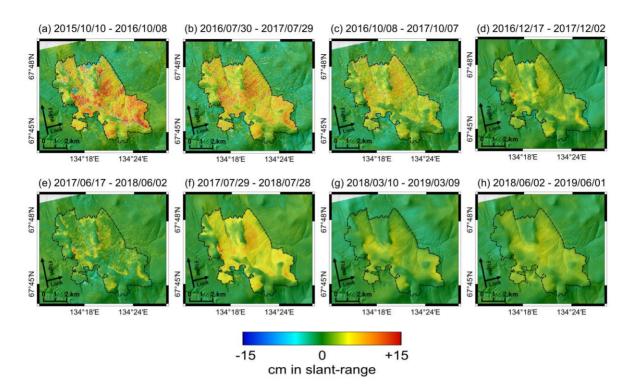


Figure 6. LOS-changes of ALOS2 interferograms overlaid on hill-shade map. Details of each image are described in Table 1; imaging was performed by ascending, right-looking orbit.

Warm and cold colors indicate LOS changes away from and toward the satellite, respectively.

Black dashed line indicates the boundary between the burned and unburned area confirmed

with Landsat optical images.

Figures 6a—6h show ALOS2 interferograms, each of which covers nearly one-year after October 2015 with some overlaps in its temporal coverages. Figure 6a, derived at the earliest period after the fire, indicates the maximum one-year subsidence to be as much as 10 cm or more.

If the amplitude and timing of seasonal subsidence/uplift cycle are invariable over time, a one-year interferogram will tell us only the irreversible displacements regardless of the acquisition times of master/slave images, which corresponds to the "pure ice" model in Liu et al (2015). Figure 6 sequentially shows the periods from October 2015 to June 2019, and indicates that the yearly subsidence rate slowed down. However, the variations of the oneyear LOS changes in Figures 6 suggest that the actual deformation processes were more complex.

In order to infer long-term temporal changes and cumulative displacements, we applied 297 SBAS-type time series analysis, using 50 quality ALOS2 interferograms that included not 298 only one-year interferograms but also short-term interferograms (Figures 7). Figure 8 shows 299 the cumulative LOS changes from October 2015 to June 2019, and that the maximum LOS 300 extension reached as much as 25 cm. Considering that the LOS changes during the first year 301 after the 2014 fire were not included, the total LOS changes were presumably much greater 302 than 25 cm, which meant that the subsidence was greater than 30 cm on account of the 36° 303 incidence angle. As mentioned earlier, however, the higher-elevation areas such as the ridge 304 did not undergo significant deformation, which probably would have been the case even 305 during the first year after the fire. 306

- 307 We show the estimated time-series data at four representative sites (Figures 9a-9d), whose
- locations are indicated in the Figure 8. The sites (a) and (b) underwent nearly the same
- cumulative LOS changes by roughly 20 cm but were located at different slopes that are 4.3
 km apart. On the other hand, the cumulative LOS changes at the site (d) were relatively small
- km apart. On the other hand, the cumulative LOS changes at the site (d) were relatively sma (approximately 10 cm). While there may have been actual differences in the deformation
- signals, it is possible that the west-facing slope may have contributed to the reduction of total
- 313 LOS changes because of the present right-looking observation geometry, canceling the
- 314 westward and downward displacements in the total LOS changes. The site (c) located in the
- ridge did not show either significant seasonal or long-term deformation.
- Time series data in Figures 9a and 9b clearly indicate that the largest subsidence took place
- from 2015 and 2016. We believe, however, that the most significant subsidence probably
- occurred only during the thaw season in 2016, as we have observed, in previous section, that
- no deformation occurred from December to March. Thus, the actual subsidence rate in 2016
- 320 was likely to be much faster than that expected from the linear trend in Figures 9a and 9b.
- 321 In order to estimate the errors of the estimated time series, we assumed each original SAR
- scene contained 0.2 cm errors, and made InSAR data covariance matrix, following the
- method of Biggs et al. (2007). The errors are relatively smaller than those in previous studies
- of SBAS analysis (e.g. 0.4 cm in Schmidt et al., 2003; 0.75 cm in Biggs et al., 2007), because,
- as noted earlier, we took out the long-wavelength phase trend form each InSAR image, and
- the analysis area is smaller $(12 \times 12 \text{ km})$ than previous studies. The error bars in Figures
- 327 9a-9d indicate estimated standard deviation with 2σ , and reach ± 1.5 cm at the last period.

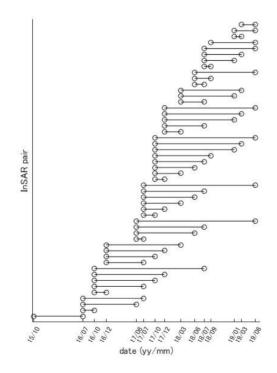


Figure 7. Temporal distribution of interferograms for the time-series analysis. 50

interferograms were generated from 15 ALOS2 SAR images.

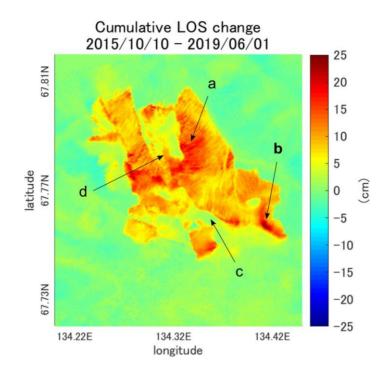
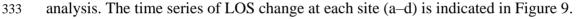




Figure 8. Cumulative LOS changes from 2015 to 2019 estimated by InSAR time-series



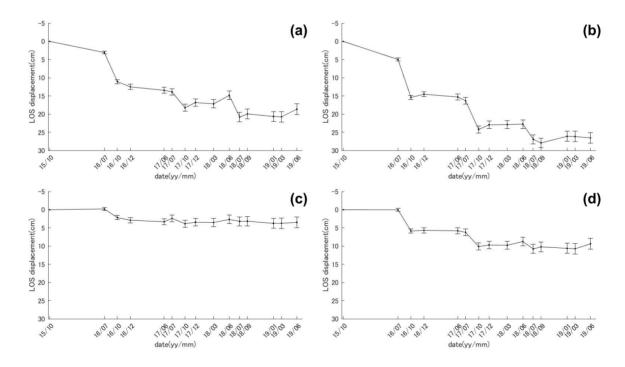


Figure 9. Panels (a–d) indicate the time series of LOS changes at each point indicated in Figure 8. Sites (a) and (b) are located at the east facing slope. Site (c) is located at the ridge,

where no deformation signal was detected by original interferograms. Site (d) is at the westfacing slope.

339 4 Discussion

340 4.1 Estimating the total volume of thawed excess ice

341 Post-wildfire deformation over a permafrost area presumably consists of two contributions:

- (1) irreversible subsidence due to melting of massive ice below the active layer, and (2)
 seasonally cyclic subsidence and uplift due to freeze-thaw of the active layer (Liu et al.,
- seasonally cyclic subsidence and uplift due to freeze-thaw of the active layer (Liu et al.,
 2014, 2015; Molan et al., 2018). In order to separate the two processes from the observed
- deformation data, Liu et al (2014) used independent ground-measured ALT data to predict
- the ALT contribution to total subsidence. Ground-measured pre-fire ALT data were not
- 347 available at this study site. Given the temporal evolution of post-wildfire deformation data
- 348 (Figures 9a-9d), however, we may regard the cumulative deformation in Figure 8 as being
- $\frac{349}{1000}$ due to irreversible subsidence during the period between October 2015 and June 2019, and
- estimate the total thawed volume as $3.56 \pm 2.24 \times 10^6$ m³; the error bar is based on the
- root mean square of the no-deformation signals outside the burned area, which is multiplied
 by the burned area. However, in view of the temporal evolution in Figure 9, we could
- size by the burned area. However, in view of the temporal evolution in Figure 9, we could speculate that a much larger deformation was taking place immediately after the 2014 fire
- until October 2015, during which, unfortunately, no deformation data are available. Thus, this
- stimate should be viewed as a lower estimate, with the actual volume of thawed excess ice
- 356 possibly being much greater.

357 Meanwhile, the volume of thawed excess ice depended on many factors such as burn severity,

local vegetation, local ice content, and topography. Our results of the 2014 wildfire could

become a reference for future works on the impact of the nearby 2019 wildfires around

360 Batagay.

361 4.2 Interpretation of frost-heave signals based on premelting dynamics

362 We begin with a brief review of the microscopic physics of frost heave. Taking a soil particle

inside a unit of ice, and owing to both the depression of freezing temperature due to the

364 Gibbs-Thomson effect and the repulsive thermomolecular pressure between ice and soil

365 particles (inter-molecular force), there exists an unfrozen (premelted) water film between the

ice and soil particle, even below the bulk-melting temperature of 0 °C (e.g., Dash, 1989;

Worster and Wettlaufer, 1999). In the presence of a temperature gradient, the repulsive
 thermomolecular pressure on the colder side is greater than that on the warmer side, and thus

the net thermo-molecular force on the soil particle tends to move it toward the warmer side, a phenomenon known as thermal regelation (e.g., Worster and Wettlaufer, 1999; Rempel et al.,

phenomenon known as thermal regelation (e.g., Worster and Wettlaufer, 1999; Rempel et al.,
 2004). Meanwhile, the premelted water migrates toward lower temperature, where ice lenses

will be formed. These processes are responsible for frost heave and continue as long as the

temperature gradient is maintained, or until significant overburden pressure is applied (e.g.,

Dash, 1989; Worster and Wettlaufer, 1999; Rempel et al., 2004).

Inspired by one-way frost heave experiments (Mutou et al., 1998; Watanabe and Mizoguchi,

2000), Worster and Wettlaufer (1999) and Rempel et al (2004) derived a steady-state heave

377 rate V_l of an ice lens, taking into account the force balance among the thermo-molecular

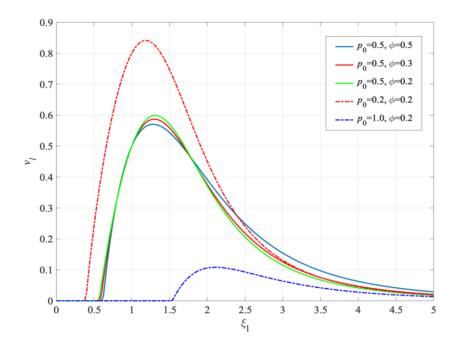
378 force F_T , hydrodynamic force F_{μ} , and overburden force F_O (pressure P_0). Below is a non-

dimensional heave rate v_i of an ice lens as a function of its boundary position ξ_i given by

380 Rempel et al (2004):

$$v_l \equiv \frac{\mu V_l}{k_0 \rho G} = \left[\int_0^{\xi_l} (1 - \phi S_s) d\xi - p_o \right] \left[\int_{\xi_h}^{\xi_l} \frac{(1 - \phi S_s)^2}{\widetilde{k}} d\xi \right]^{-1},$$

where μ , k_0 , and ρ are the viscosity of water, the permeability of ice-free soil, density of 381 water, respectively. The quantity $G \equiv (L/T_m) \langle \nabla T \rangle$ has the same dimension as gravity and is 382 responsible for thermo-molecular force when multiplied by the mass of displaced ice; L is the 383 latent heat of fusion and T_m is the bulk melting temperature. The first and second term in the 384 385 bracketed numerator are proportional to F_T and F_O , respectively, while the bracketed denominator is proportional to F_{μ} . The integral is performed along $\xi \equiv z/z_f$, where z_f is the 386 position above (below) where ice saturation S_s becomes non-zero (zero); z_h indicates the 387 position where hydrostatic pressure is achieved, and ϕ is the porosity of soil. The normalized 388 overburden pressure and permeability are defined as $p_0 \equiv P_0 / \rho G z_f$ and $\tilde{k} \equiv k/k_0 \ge 1$, 389 390 respectively.



- 391 Figure 10. Non-dimensional heave rate profiles of an ice lens as a function of its boundary
- 392 position, based on the analytical model by Rempel et al (2004). Five cases of non-
- dimensional overburden pressure p_0 and porosity ϕ are shown.

Figure 10 shows five cases of non-dimensional heave rate profiles as a function of the ice lens boundary position ξ_{l} , indicating that the maximum heave rate is mainly controlled by the normalized overburden pressure p_0 and is somewhat insensitive to the porosity ϕ . Details of the heave rate profiles will depend on the assumed models of permeability and ice saturation, but the qualitative characteristics are not altered (Rempel et al., 2004). There exist two positions that give the same heave rate, but only the branch with smaller ξ_{l} is stable (Worster and Wettlaufer, 1999; Rempel et al., 2004).

- 401 We can attribute the clear contrast in the frost heave signals inside and outside the burned
- 402 area to the differences in the normalized overburden pressure p_0 . Because the mechanical
- 403 overburden pressure P_0 will not significantly differ from the inside to the outside of the
- 404 burned area, the larger frost heave rate in the burned area would be caused by larger
- 405 temperature gradient **G** and/or deeper frozen depth \mathbf{z}_{f} . Owing to the significant reduction in
- albedo over the burned area, the larger temperature gradient \boldsymbol{G} than that of the unburned area
- 407 is likely more marked in the early freezing season and may generate a greater

- 408 thermomolecular force that will effectively reduce the overburden pressure. We may also
- interpret the absence of frost heave signals in mid-winter as due, probably, to the smaller
- 410 temperature gradient \boldsymbol{G} than that in late fall/early winter; if frost heave were controlled by
- 411 temperature instead of temperature gradient, we would expect even more significant signals
- 412 during the much colder part of the season. The deeper frozen depth z_f is also likely due to the
- 413 loss of surface vegetation, and should supply more water for frost heave.
- 414 From the end of September to the middle of November 2017, Figure 5 shows LOS changes
- 415 by approximately 1.5 cm over 12 days toward the satellite that corresponds to an approximate
- 416 1.9 cm uplift. Assuming a constant-rate frost heave, this corresponds to a heave rate of 1.9×10^{-8} (1.0×10^{-8} (1.0×10^{-8}) m s s 10^{-8} (1.0×10^{-8}) m s 10^{-8} ($1.0 \times 10^$
- 417 **1.8** × 10⁻⁸ (m/s). The most critical parameter controlling heave rate is the permeability for 418 ice-free soil $\mathbb{D}_{\mathbb{R}}$, which can vary by orders-of-magnitude, while other parameters are well-
- 419 constrained. We may fit our observed heave rate with the ice-free permeability,
- 420 $k_0 \sim 10^{-17} \text{ (m}^2)$, which is a likely value in view of the three cases in Rempel (2007).

421 **5 Conclusions**

- 422 We used L-band and C-band InSAR to detect post-wildfire ground deformation at Batagay in
- 423 Sakha Republic, showing not only subsidence signal during the thawing season, but also
- 424 uplift during the early freezing season and virtually no deformation in midwinter without loss
- 425 of coherence. Time series analysis allowed us to estimate cumulative displacements and their
- temporal evolution, as quality interferograms could be obtained even in winter season. We
- found that the thawing of permafrost in the burned area lasted three years after the fire, but
- 428 apparently slowed down after five years. Short-term interferograms (2017–2018) indicated
- that the subsidence and uplift was clearly enhanced compared with the unburned site. We
- 430 have thus interpreted the frost heave signals within a framework of premelting dynamics.
- 431 Post-wildfire areas are a focus of permafrost degradation in the Arctic region.

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- 437 contracts with the JAXA. TanDEM-X DEM copyrighted by DLR and were provided under
- TSX proposal DEM_GLAC1864. Sentinel-1 SLC data are freely available. We downloaded
- the raw data from Copernicus Open Access Hub (https://scihub.copernicus.eu/). Both ALOS2
- 440 and TanDEM-X raw data are commercially available through the websites of PASCO
- 441 CORPORATION (http://en.alos-pasco.com/) and WorldDEM Database (https://worlddem 442 database.terrasar.com/), respectively. We thank Go Iwahana for discussing our preliminary
- 442 database.terrasar.com/), respectively. we thank Go Iwanana for discussing our preliminary
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593	

Table 1. Data list of ALOS2 for interferograms in Figures 3a-3e and Figure 6.

Interferogram	Dates (YYYYMMDD)	Perpendicular Baseline (m)	Temporal Baseline (days)
Short-term imag	es <mark>(Figure 3</mark>)		
(a)	20170617-20170729	11	48
(b)	20170729-20171009	-104	72
(c)	20171009-20171202	-46	54
(d)	20171202-20180310	283	98
(e)	20180310-20180602	-259	84
Long-term imag	es (Figure 6)		
(a)	20151010-20161008	98	364
(b)	20160730-20170729	97	364
(c)	20161008-20171007	-104	364
(d)	20161217-20171202	-146	350
(e)	20170617-20180602	-118	350
(f)	20170729-20180728	-200	364
(g)	20180310-20190309	-191	364
(h)	20180602-20190601	41	364

595 596

Table 2. Data list of Sentinel-1 for Stacked images in Figures 3f-3j and interferograms in

598 Figure 5.

Stack	Interferogram	Dates (YYYYMMDD)	Perpendicular Baseline (m)	Temporal Baseline (day)
	(1)	20170611-20170623	23	12
(f)	(2)	20170623-20170705	-74	12
	(3)	20170705-20170729	-15	24
	(4)	20170729-20170810	43	12
	(5)	20170810-20170822	-30	12
(-)	(6)	20170822-20170903	36	12
(g)	(7)	20170903-20170915	-15	12
	(8)	20170915-20170927	-54	12
	(9)	20170927-20171009	35	12
	(10)	20171009-20171021	80	12
	(11)	20171021-20171102	32	12
(h)	(12)	20171102-20171114	-46	12
	(13)	20171114-20171126	-89	12
	(14)	20171126-20171208	26	12
	(15)	20171208-20171220	114	12
	(16)	20171220-20180101	43	12
	(17)	20180101-20180113	-66	12
(2)	(18)	20180113-20180125	-143	12
(i)	(19)	20180125-20180206	34	12
	(20)	20180206-20180218	59	12
	(21)	20180218-20180302	26	12
	(22)	20180302-20180314	-25	12
	(23)	20180314-20180407	-91	24
	(24)	20180407-20180419	-43	12
(7)	(25)	20180419-20180501	155	12
(j)	(26)	20180501-20180513	-29	12
	(27)	20180513-20180525	-74	12
	(28)	20180525-20180606	-73	12