Noise Characteristics of Operational Real-Time High-Rate GNSS Positions in a Large Aperture Network

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Abstract

Large earthquakes are difficult to model in real-time with traditional inertial seismic measurements. Several algorithms that leverage high-rate RT-GNSS positions have been proposed and it has been shown that they can supplement the earthquake monitoring effort. However, analyses of the long-term noise behavior of high-rate RT-GNSS positions, which are important to understand how the data can be used operationally by monitoring agencies, have been limited to just a few sites and to short time spans. Here we show results from an analysis of the noise characteristics of one year of positions at 213 RT-GNSS sites spanning a large geographic region from Southern California to Alaska. We characterize the behavior of noise and propose several references noise models which can be used as baselines to compare against as technological improvements allow for higher precision solutions. We also show how to use the reference noise models to generate realistic synthetic noise that can be used in simulations of HR-GNSS waveforms. We discuss spatiotemporal variations in the noise and their potential sources and significance. We also detail how noise analysis can be used in a dynamic quality control to determine which sites should or should not contribute positions to an earthquake modeling algorithm at a particular moment in time. We posit that while there remain important improvements yet to be made, such as reducing the number of outliers in the time series, the present quality of real-time HR-GNSS waveforms is more than sufficient for monitoring large earthquakes.

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14	Key Points
15	• We study the noise behavior of 213 HR-GNSS sites from California to Alaska
16	We characterize the spatiotemporal noise behavior and propose reference noise
17	models
18	 The present real-time noise is low enough that GNSS can be used for monitoring
19	earthquakes
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21 Abstract

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23 Large earthquakes are difficult to model in real-time with traditional inertial seismic 24 measurements. Several algorithms that leverage high-rate RT-GNSS positions have been 25 proposed and it has been shown that they can supplement the earthquake monitoring 26 effort. However, analyses of the long-term noise behavior of high-rate RT-GNSS positions, 27 which are important to understand how the data can be used operationally by monitoring 28 agencies, have been limited to just a few sites and to short time spans. Here we show 29 results from an analysis of the noise characteristics of one year of positions at 213 RT-30 GNSS sites spanning a large geographic region from Southern California to Alaska. We 31 characterize the behavior of noise and propose several references noise models which can 32 be used as baselines to compare against as technological improvements allow for higher 33 precision solutions. We also show how to use the reference noise models to generate 34 realistic synthetic noise that can be used in simulations of HR-GNSS waveforms. We 35 discuss spatiotemporal variations in the noise and their potential sources and significance. 36 We also detail how noise analysis can be used in a dynamic quality control to determine 37 which sites should or should not contribute positions to an earthquake modeling algorithm 38 at a particular moment in time. We posit that while there remain important improvements 39 yet to be made, such as reducing the number of outliers in the time series, the present 40 quality of real-time HR-GNSS waveforms is more than sufficient for monitoring large 41 earthquakes.

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43 **1. Motivation**

45 There is broad interest in the international earthquake monitoring community in high rate 46 (HR, epoch length <= 1sps) real-time position estimation from Global Navigation Satellite 47 Systems (GNSS) such as the Global Positioning System (GPS) and others. It has been shown that HR-GNSS displacement waveforms can supplement measurements from 48 49 traditional seismic networks based on inertial sensors and can be leveraged to characterize 50 moderate to large earthquakes in seconds to minutes. This interest arises because 51 algorithms that rely on inertial sensors "saturate" for large events, particularly at local and 52 regional distances (e.g. Hoshiba and Ozaki, 2014). Saturation means that large and very 53 large events look similar in inertial recordings and cannot be distinguished from one 54 another in the first minutes following a significant event. The exact causes for this are still a 55 matter of some debate but are most likely that the long period band of ground motion 56 (period >10s) is not faithfully recorded by strong motion sensors in the near-field. In contrast, this low frequency energy which distinguishes large events is recorded with fidelity 57 58 by HR-GNSS from the Nyquist frequency out to and including static, or permanent, offsets. 59 As a result, many researchers have studied and proposed algorithms based on HR-GNSS 60 that compute magnitude [Melgar et al., 2015], focal mechanisms (faulting style) [Crowell et 61 al. 2016, Riguelme et al. 2016], and slip distribution [Grapenthin et al. 2014, Minson et al., 62 2014; Kawamoto et al. 2016] in real- or near real-time. Several of these algorithms have 63 been systematically evaluated with both real and simulated events and are being used to 64 complement traditional seismic approaches in earthquake and tsunami early warning 65 systems. Thorough reviews of these issues can be found in Bock & Melgar [2016] and 66 Larson [2019].

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68 Measurements of ground motion from HR-GNSS differ from those obtained by inertial 69 seismic sensors in fundamental ways. In the electro-mechanical systems used in 70 seismometry the digitized acceleration or velocity of a proof mass inside the instrument 71 correlates directly, through a known transfer function, to the actual ground motion. HR-72 GNSS positions are a wholly different kind of derived product. As a space-based geodetic 73 approach, calculation of HR-GNSS positions relies on measurement of the time of flight of 74 a microwave transmission between a satellite and a ground based antenna and receiver as 75 well as the phase with which the signal arrives. These measurements coupled with 76 knowledge of ancillary variables such as transmission delays through the troposphere and 77 ionosphere, knowledge of the satellite clocks and orbits, and others, are used by a 78 positioning algorithm to solve a least squares problem and produce epoch by epoch 79 solutions of the station coordinates in a particular reference frame. The most common 80 reference frame is the International Terrestrial Reference Frame (ITRF) which satellite 81 orbits are generally computed in [Altamimi et al., 2016]. If the GNSS antenna is firmly 82 coupled to the ground through a geodetic monument and it experiences a sudden motion, 83 such as the one produced by an earthquake, the position solutions can be used to obtain 84 displacement waveforms in local topocentric north, east, and vertical components of that 85 particular point of the surface of the Earth. While the concept behind GNSS positioning is in 86 essence simple, the estimation of the position of the antenna phase center using satellite 87 signals, the practice is complex, especially for high sample rates and in real-time. Satellite orbits and clocks which determine the spatial and temporal origin of the microwave signal
used to solve for the position are not as well known in real-time as is necessary for precise
positioning. As a result, a number of external corrections must be calculated using a
reference regional network (e.g. Geng et al., 2013) and applied in real-time for the positions
to achieve the cm-level precision needed for earthquake monitoring.

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94 In spite of this seemingly added complexity when compared to inertial sensors, real-time 95 HR-GNSS networks have proliferated to almost every tectonically active region (e.g. 96 Barrientos & Perez-Campos, 2018) and a variety of methods are employed to calculate the 97 GNSS positions. There exist proprietary software for positioning from a number of vendors 98 as well as open source academic codes (e.g. Geng et al., 2019). However, in spite of the 99 significant progress in positioning and in understanding how HR-GNSS can contribute to 100 real-time earthquake monitoring as well the rapid expansion of real-time networks one 101 important outstanding issue remains. What are the noise characteristics and long-term 102 behavior and performance of the position solutions in a real-world setting across a network 103 with large geographic aperture? Characterization of the actual real-time performance of 104 HR-GNSS has only been performed in small scale controlled settings such as shaketables 105 and on individual station to station baselines (e.g. Bock et al., 2000; Langbein & Bock, 106 2004; Genrich & Bock, 2006; Bock et al., 2011). Tests of real-time performance have also 107 been carried out in a simulated mode post-hoc for large events [e.g. Fang et al., 2013]. 108 Recently Melgar et al., [2019] studied the performance of 9 HR-GNSS stations that were 109 recorded and positioned in real-time and broadcast to end users for the 2019 M6.4 and 110 M7.1 Ridgecrest, California earthquakes. When compared to post-processed solutions it 111 was found that the main features of the waveforms used for rapid source characterization, 112 the peak ground displacement (PGD) and the coseismic offsets compared favorably 113 between real-time and post-processed data. However, differences between real-time and 114 post-processed positions were also apparent. Post-processed solutions are able to 115 leverage final orbit and clock products as well as use iterative approaches and full time-116 series filtering to compute positions whereas RT-GNSS positions can only utilize recursive 117 filters and rely heavily on phase ambiguity stability.

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119 In this work we explore this issue further. We will study the long term noise characteristics 120 of real-time 1Hz point position time series computed in the ITRF global reference frame by 121 the Geodesy Lab at Central Washington University (CWU) for a network of 213 stations 122 (Figure 1) spanning from southern California to Alaska. These data are streamed from the 123 field site to CWU where positions are computed on the fly. The solutions are re-broadcast 124 to a number of users including the U.S. Geological Survey and the National Oceanographic 125 and Atmospheric Administration (NOAA). The data are streamed as well to the Universities 126 of Oregon and Washington where they are analyzed and archived. Here we will discuss the 127 temporal and spatial behavior of noise in these HR-GNSS solutions. We emphasize that 128 the performance we aim to characterized here is by definition a snapshot in time. GNSS 129 positioning technology is improving constantly and it is our hope that the noise models we will demonstrate can be used by others to benchmark improvements and progress. These 130 131 proposed reference noise models can potentially be used to determine the quality of the positioning solutions from a particular positioning algorithm or at a particular station of interest. Finally, we will demonstrate how to use the reference models to generate synthetic time series of noise which can be added to simulations of earthquake ground motions to more accurately represent a real-world scenario and to test rapid source estimation methods.

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2. Data and Analysis Method

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140 2.1 Network and Positioning

142 Many continuous GNSS networks operate in the region spanned by this study (Figure 1, 143 the U.S West Coast, Canada, and Alaska) and, while an exact figure on the number of 144 available sites is hard to come by and changes frequently, it is likely on the order of ~1000 stations (e.g. Blewitt et al., 2018). Of these, a subset of 213 was chosen for a 145 146 demonstration project for NOAA. This agency is interested in using GNSS to supplement its 147 local tsunami warning effort and so, starting in 2017, positions for this subset of sites began 148 to be streamed in real-time to the Tsunami Warning Centers in Hawaii and Alaska 149 [Melbourne et al., 2018]. In order to analyze the performance of the data, starting in 150 October 2018 the positions are also being streamed to the University of Oregon where they 151 archived as individual daily station files in miniSEED format for later analysis.

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Figure 1. Distribution of real-time stations analyzed in this study. The inset histogram
shows how many days of real-time data are available for each station.

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The positions themselves are produced by Central Washington University's *FastLane* algorithm. The raw GNSS data are telemetered from the field to the central location for a particular network operator such as Boulder CO for UNAVCO Inc. sites or Berkeley CA, for UC Berkeley stations. From there the individual network operators streams the data to 161 CWU in Ellensburg, WA where Fastlane computes the epoch by epoch position solutions 162 and in turn serves them to other users such as NOAA and the UO.

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The Fastlane positioning system [Santillan et al., 2013] produces precise point position 164 (PPP, Zumberge et al., 1997) estimates based primarily on GNSS carrier phase 165 166 observables (currently only from the GPS constellation) and satellite clock corrections 167 provided by the Real-Time Service (RTS) of the International GNSS Service (IGS). The 168 GPS carrier phase data is internally continuously calibrated using geometry free 169 combinations of the L1 and L2 pseudorange and phase observables. This calibration step 170 is a Kalman filter based algorithm that simultaneously estimates the best floating point 171 ambiguities while monitoring and correcting for possible cycle slips. Fastlane uses GPS 172 carrier phase based only, unlike other PPP algorithms (e.g. Kouba & Heroux 2001) that rely 173 on both phase and pseudorange. This approach to PPP relies on the fact that the 174 calibration procedure greatly mitigates the influence of code multipath that may affect the 175 estimation of the floating point ambiguities. By using well calibrated data Fastlane uses only 176 half the number of input observations therefore reducing the overall computation of the 177 position estimates which also translates into smaller latencies. This approach is far less 178 by multipath error, one of the largest sources of noise in high-rate contaminated 179 positioning. Fastlane uses a highly efficient algorithm for the resolution of carrier phase 180 initial ambiguities, which for most stations can be initially resolved in 20-30 seconds. After 181 this the positions can be efficiently determined. Positions are computed in SI units (meters) 182 in Earth Centered Earth Fixed reference frame (XYZ coordinates). Prior to streaming out 183 the solutions to users these are rotated to a more familiar topocentric local north, east, and 184 vertical reference frame. An example year-long waveform is shown in Figure 2.

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186 <u>2.2 Noise Analysis</u>

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188 First we study simple time domain features of the real-time waveforms such as the number 189 and amplitude of outliers. For every station we count how frequently displacement levels of 190 certain thresholds are exceeded in order to quantify the frequency of occurrence of the 191 large displacement excursions seen in Figure 2. However, the bulk of our analysis focuses 192 on the frequency domain. We employ the probabilistic power spectra (PPSD) technique of 193 McNamara & Buland (2004) for all sites. The PPSD method is common in seismology to 194 characterize the long term noise behavior of broadband sites. We take 20 min windows at 195 each site and for each of the three components of motions and calculate the power spectra. 196 This is repeated for every time window available for each site and an empirical probability 197 density function (PDF) of the distribution of power at each frequency is obtained for every 198 station. An example of the PPSD calculation for the same station in Figure 2 is shown in 199 Figure 3. The PPSD approach is desirable because it minimizes the need to "fix" issues 200 with the time series prior to calculating the spectra. As shown in Figure 2 there are outliers, 201 steps, and spikes, as well as gaps in the data. The PPSD will naturally deal with these. A 202 window with one of these behaviors will simply plot at a higher power. Meanwhile windows without these issues, which are more frequent, will eventually illuminate the median 203 204 behavior as well as the lowest possible expected noise.



Figure 2. Example time series for the east-west component of station BILS. Plotted are
 successive closeups of the data starting from the entire span and finishing with a three
 hour period.



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Figure 3. Example PPSD for the east-west component of displacement of station BILS (Figure 2). The black lines are used as a reference and denote the power of a Gaussian white noise time series with the specified standard deviation. The bar at the bottom denotes the time-spans covered by the data.

After we obtain PPSDs for each of the 213 sites in this study and for each component of motion we aggregate all of them to obtain the overall behavior of the HR-GNSS noise. From this regional PPSD we can extract reference noise models, for example, we select the 1th percentile from the regional PPSD and term this the "low-noise" model. Similarly the 50th and 90th percentiles of the PPSD are use to define the "median" and "high-noise" models.

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224 <u>2.3 Generation of synthetic noise time series</u>

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226 Using the regional reference noise models we demonstrate a simple method for generating 227 synthetic time series of noise that recreate the behavior observed in the real data. We 228 follow the approach first proposed by Boore [1983] and further detailed in Graves & Pitarka 229 [2010] for generating stochastic time series in seismology. The approach has three simple 230 steps, first, we create a Gaussian white noise time series with a specified sample rate (e.g. 231 1Hz) and duration. Second, we apply the Fourier transform to the white noise time series 232 and keep the random phase spectrum but replace the white noise PSD with the reference 233 noise model PSD. Finally we inverse Fourier transform to the time domain and recover a 234 time series. While we have proposed three reference models at the 1th, 50th, and 90th 235 percentiles, we have also extracted noise models for every 10th percentile. In the 236 acknowledgments we provide links to code and a tutorial that demonstrates how to 237 generate the synthetic time series.

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3. Results

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241 <u>3.1 Overall noise characteristics</u>

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243 The time series in Figure 2 show seemingly meter-level accuracies in the positions, this is 244 far too high to satisfy the cm- to decimeter requirement needed to monitor large events 245 (e.g. Melgar et al., 2015; Ruhl et al, 2018), however if the data are plotted over shorter time 246 scales we can see that this is the result of outliers and that in reality over time scales of minutes the data show cm-level precision. To further demonstrate this we take every 20min 247 248 segment, remove its mean and count how frequently it exceeds displacement thresholds of 249 certain levels. We do this for all sites and all epochs. The distribution of positions in the 250 east direction and the cumulative density function are in Figure 4 and show that in spite of the outliers 90% of the data have noise smaller than 20cm. 251



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Figure 4. Number of outliers in the position waveforms in the east direction for different thresholds and for all sites and all epochs. The black dashed line in the cumulative density function is the 90% level.

Figure 5 shows the aggregate PPSD plot for all three components of motion for all stations. 257 258 We note that as first described by Genrich & Bock [2006] the real time positions have 259 roughly red noise with a plateau at periods longer than ~100s and decreasing noise levels 260 at shorter periods. The noise is generally lowest for the east component, followed by the 261 north component, with the highest noise levels in the vertical direction. This is consistent 262 with what is seen in post-processed data (e.g. Bock et al., 2011, Melgar et al., 2019) and is usually attributed to the geometry of the constellation of satellites. This is more clearly seen 263 264 in Figure 6 where the 1th, 50th, and 90th percentiles of the PPSDs for each component of 265 motion are plotted together. The average difference in noise between each component of 266 motion is about 3dB. We note that while the time domain analysis of the outliers in Figure 4 267 suggests that noise levels in the 10-20cm range are not uncommon, the frequency domain 268 analysis shows a more nuanced perspective. At shorter periods, shorter than 100s, which 269 are comparable with the duration of large earthquake, noise is much closer to the ~5cm 270 level. Meanwhile at shorter periods than that (e.g. 10s) 1cm or even sub-cm level noise is 271 prevalent.



Figure 5. Aggregate PPSDs for the three components of motion for all stations in this study. The continuous black lines denote the 1th, 50th, and 90th percentiles. The dashed

275 lines are used as a reference and denote the power of a time series of Gaussian white

276 noise with specified standard deviation.

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Figure 6. Comparison plot of the 1th, 50th, and 90th percentiles of the PPSD noise
 distribution for all sites from Figure 5. The horizontal thin black reference lines are used as

a reference and denote the power of a time series of Gaussian white noise with specifiedstandard deviation

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284 <u>3.2 Spatiotemporal characteristics of noise</u>

286 We are also interested in the variations of the noise distribution over long periods of time. 287 Figure 7 shows the spectrogram for the nearly year-long time series at station BILS and for a period of 1 week. We find that, while there can be short periods of higher or lower noise 288 289 overall, the general spectral shape and the behavior of the noise is somewhat stable. At a 290 particular period the average standard deviation of the PSD throughout the year is only 5-291 6dB with larger excursion from this baseline behavior occurring only over short periods of 292 time (e.g. P1 in Figures 7 and 8). The time series of power at selected periods, also shown 293 in Figure 7, hint at regular variations in the noise behavior and also suggest that the 294 temporal changes to the noise covary between periods. This is especially obvious in the 295 week long time series. In Figure 9 we explore this further, we extract the time series of 296 power spectral density at these 3 periods (2s, 60s, and 300s) for each site and calculate 297 the spectra for each. We then stack them across all the sites to see if there are any spectral 298 peaks that are systematically present at all sites. There are several (Figure 9), of particular 299 prominence we note peaks at 1.96hrs, 11.38hrs and 21-23hr periods. This "spectra of 300 spectra" should not be interpreted to suggest position signals at these periods, rather they 301 show that with a periodicity of, for example ~2hrs, the entire spectra of the positions at all 302 frequencies shift wholesale to higher or lower noise levels. The spectra explains some of 303 the temporal variability in the noise behavior but we note, and will discuss further on, that 304 the time series are punctuated by short periods of very high noise (e.g. P1 in Figures 7 and 305 8) that occur at irregular intervals.

307 We also explore the spatial distribution of noise across the sites. Figure 10 is a map of the 308 amplitude of the noise at a period of 60s across the entire network. We do not observe any 309 strong spatial pattern with respect to preferential locations or environments for low or high 310 noise sites. For example in the Southern California cluster there are many low noise sites 311 (~-26dB) however, in between many of them are interspersed high noise sites with power 312 closer to -22dB or -21dB. The same is true in the other three clusters in the Bay area, the 313 Pacific Northwest, and Alaska. Additionally we do not observe systematically higher noise 314 in any of the regions shown in Figure 10.

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316 <u>3.3 Synthetic noise time series</u>

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318 Figure 6 exemplifies three potential reference noise models from which to choose. We 319 define the 1th percentile model as the "low" noise model, the 50th percentile as the "median" model, and the 90th percentile as the high noise model. These can be used to 320 321 generate arbitrarily long synthetic time series of noise to be injected into simulations of 322 earthquakes or any other potential application where high-rate positions are used or 323 required [e.g. Melgar et al., 2016]. Figure 11 shows by way of an example a three component 20 minute time series of median synthetic noise compared to a twenty minute 324 325 window from station BILS. The figure illustrates that the two are, as designed, very similar 326 to each other.



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Figure 7. Top, year long spectrogram of positions for the east component of station
BILS (Figure 2). For ease of interpretation we also plot the time series of power for 3
selected periods, 2s, 60s, and 300s. Bottom, same as the top but for a shorter time
span of only 1 week. The time periods labeled P1, P2, P3 enclosed in the rectangles

332 correspond to periods of high, medium, and low noise. Time series for these periods333 are in Figure 8.



Figure 8. Left: Example 10 minute long time series for periods of high, medium, and low noise for the east component of station BILS. The time periods are highlighted as P1, P2, and P3 in the spectrogram on Figure 7. Right: PPSD for station BILS, (same as Figure 3) with spectra for noise at time periods P1, P2, and P3. The dashed lines are used as a reference and denote the power of a time series of Gaussian white noise with specified standard deviation



Figure 9. Stacked spectra of the time series of power of the noise at 2s, 60s, and
300s periods (see Figure 7). The individual spectra for each site are calculated for the
entire time span and then all the sites are averaged together to create the stack.

- 346 347
- 4. Discussion
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- 349 <u>4.1 On the characteristics of the noise</u>
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351 Langbein & Bock [2004] and Genrich & Bock [2006] first analyzed the noise behavior of 352 HR-GNSS positions obtained from relative positioning (where the positions are with respect to a reference site). Those studies found that noise has a characteristic "dam" profile, with 353 354 approximately flat power at long periods and linearly decaying (in a log-log sense) power at higher frequencies. In this study we find that positions obtained from PPP are consistent 355 356 with these earlier findings. At periods longer than ~200s power is mostly flat, suggesting 357 mostly uncorrelated positions, with power decaying with a slope of -2 at shorter periods 358 (e.g. Figures 5 and 6). This power of 2 decay is characteristic of a random walk process 359 (e.g. Agnew 1992). Both the slope and the location of the spectral "corner" are consistent 360 with earlier findings from Genrich & Bock [2006] who analyzed instantaneous relative positions for three baselines in Southern California. It suggests that the primary source in 361 the short period band up to ~200s is a combination of the troposphere and multipath. At 362 363 very short periods (<5s) there is an indication that the spectra are beginning to flatten, this too would be consistent with Genrich & Bock [2006] and Bock et al. [2011] who observed 364 mostly white noise in 50Hz sampled GNSS at periods shorter than 1-2Hz. This white noise 365 366 behavior is indicative that the noise sources at these higher frequencies are uncorrelated.



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Figure 10. Distribution of noise at 60s period in the California, Pacific Northwest and Alaska regions.

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The long term behavior of the noise in Figure 7 is interesting. The spectrograms show a periodic variability in the noise levels which is punctuated by irregularly spaced short intervals of time where there is a wholesale increases or decrease of noise. The time series of power in Figure 7 were collected at 2s, 60s, and 300s, which alternatively correspond to the short period somewhat flattened part of the spectrum, the linearly decaying part of the spectrum, and the long period approximately flat part of the spectrum. The changes in power at all of these periods co-vary, even during periods (such as P1 in Figure 7) when there are large increases in noise. This is perhaps unsurprising, Figure 8 shows that the noise increase is manifested as several step-like jumps, likely from errors in the ambiguity resolution procedure. We also see a gradual decay after each step offset which is characteristic of "re-convergence" after a cycle slip (e.g. Geng et al., 2013).



Figure 11, 20 minutes of noise observed at station BILS (Figure 2) and 20 minutes of synthetic noise generated using the median noise model from Figure 5. The time series for each direction of motion are offset for clarity.

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The irregular distribution of station noise in Figure 10 is somewhat surprising and will 389 390 warrant further study. A priori one would expect a geographic correlation between the noise 391 levels and a number of potential candidate parameters. For example it is well known that 392 the geometry of the constellation of GPS satellites is less favorable for positioning at higher latitudes. Similarly the ionosphere should be more active as one approaches the poles. Yet 393 394 we do not observe a systematic degradation of the northern sites. Similarly, one would expect that multipath would correlate strongly with the noise performance of the stations. 395 We also do not see a correlation between noise power and the signal to noise ratio in the 396 397 L1 and L2 frequencies. We explore this further in Figure 12. Here we plot the time series of 398 power at 60s period for a 4 day period for all sites in the San Francisco Bay Area cluster. 399 We see clearly that in this limited geographic region over length scales of ~100km the noise 400 at many sites is highly correlated. Both episodes of elevated and reduced noise occur close 401 together in time between many sites. We calculate the correlation coefficient of all the time 402 series to an arbitrary site in the middle of the cluster (P223) and indeed we find high 403 correlation (>0.75) between many of the stations. This is true even for station CMBB which 404 is 175km from P223 and still exhibits a high (0.7) correlation coefficient. In Figure 12 we 405 also show the median power at 60s period for the same 4 day period. We see clearly that 406 the stations that do not follow the same regional variation in noise are those with highest 407 power. These noisy sites have a completely different evolution of noise with time. 408

409 Figures 10 and 12 show that a likely explanation for the noise behavior at a particular site is 410 a weighted sum of many factors The strong correlations at ~100km length scales are evidence of regional effects. This includes period of disturbances in both the ionospheric 411 412 and troposphere which would affect stations over regions of this size. Similarly drifts in 413 clocks and orbits will have a strong regional correlation. However, that the absolute level of 414 the noise at stations analyzed in this study exhibits poor correlation with obvious 415 geographic features strongly suggests that this is an effect local to each site. The quality of 416 the monument, and the environment (vegetation, snow, buildings, other microwave 417 equipment) surrounding each site is highly heterogeneous and can have an outsized effect 418 in the positioning quality raising the noise floor substantially.





Figure 12. (a) Time series of power at 60s period for the 4 day time span from
June 26th to June 30th 2019 for station in the San Francisco Bay Area cluster.
The time series are colored by the correlation coefficient to a reference site
inside the cluster (station P223) (b) Locations of the stations in the cluster and
median power at 60s period for the selected time span. (c) Correlation between
all sites to reference station P223.

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Finally we note that there are other noise sources which will be specific to the positioning algorithm being used. For example the ~2hr peak (Figure 9) is likely due to the frequency with which the orbital parameters in the broadcast ephemeris are updated by IGS. These updated values are used in the FastLane processing scheme and introduce a regular
periodic behavior. Indeed an important point we stress is that the overall noise behavior
and shape of the spectra should roughly follow the "dam" profile irrespective of the
positioning algorithm being used. However the details of the noise behavior will be strongly
influenced by not just the traditional sources of noise but also by the processing strategy.
Deviations from the noise behavior we detail here should not be unexpected.

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437 <u>4.2 Implications for positioning algorithms</u>

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The noise models we propose are useful signposts which can be used to compare against as improvements to GNSS positioning technologies are developed. For example broadcast of new frequencies by GNSS satellites [Geng & Bock, 2013; Zhao et al., 2015], and positioning strategies that harness multiple constellations ("true GNSS") promise to provide substantial improvements and reductions in noise [Odolinski et al., 2015; Geng et al., 2016; Geng et al., 2019b]. As this technology is incorporated into permanent monitoring networks it can be evaluated by comparison to established baselines of noise behavior.

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447 <u>4.3 Comparison to seismological noise</u>

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449 The concepts behind this study were inspired by the techniques proposed by McNamara & 450 Buland [2004] who carried out a similar analysis for noise at seismic sites in the continental 451 United States and established its systematic behavior. Because use of HR-GNSS is 452 becoming widespread in monitoring efforts in seismology we have attempted to establish a 453 similar baseline of behavior here. However, some critical conceptual differences warrant a 454 few comments. The background seismic noise observed at broadband sites on-land are 455 actual vibrations of the ground whose source is the interactions of the oceans with the 456 near-shore solid Earth (e.g. Longuet Higgins, 1950). This noise is of comparatively very 457 small amplitude, at periods of 1s, for example, it is expected to have a power of -170 to -458 130 dB [Peterson, 1993]. This is several orders of magnitude below what we measure from 459 GNSS (-55dB, Figure 5). We emphasize that the source of noise in GNSS positions have 460 nothing to do with actual high-frequency motions of the ground. It is true that longer period 461 deformation of the Earth such as that induced by tides can have mm to sub-mm amplitudes 462 (e.g. Agnew, 2010) however this is outside the frequency band of interest to monitoring 463 large earthquakes. Rather, most of the noise comes from the variable delays to the microwave satellite signals introduced by the troposphere and ionosphere and spurious 464 465 reflections (multipath) of the microwaves off of the surrounding terrain which occlude the main arrival to the GNSS antenna. Another large source of noise is imperfect knowledge in 466 467 real-time of the satellite clocks and orbits. Long period noise induced by constellation 468 geometry is highly repeatable and can be reduced through sidereal filtering [Larson et al., 469 2007]. Assuming that technical improvements in mitigating these noise sources are 470 possible this large gap (~70dB) between background seismic noise and current GNSS 471 noise suggests that the lower bound of what could be observed lies far beyond what is 472 possible now. There is essentially unbounded room for improvement.

474 <u>4.4 Implications for seismic monitoring</u>

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476 Previous studies of the relative amplitudes of ground displacements at regional distances from medium to large events [Crowell et al., 2013, Melgar et al., 2015, Ruhl et al., 2018] 477 478 suggest that, in order for GNSS time series to be of use for monitoring, precision of a few 479 centimeters is necessary. For example, if the precision were relatively poor, say 10cm, the 480 peak ground displacement scaling laws of Melgar et al. [2015] predict that an M7 481 earthquake would be visible to any site within 91km. That distance grows to 462km and 482 1628km for M8 and M9 earthquakes respectively. The aggregate PPSDs in Figures 5 and 6 483 then suggest that the current precision achieved by the real-time GNSS solutions is 484 sufficient for monitoring large events.

485

Figure 13 shows an example of the potential performance. There we plot the three 486 component HR-GNSS displacements for station CCCC which was processed in real-time 487 with Fastlane and recorded both the M6.4 and M7.1 Ridgecrest CA earthquakes at 35 and 488 489 50km from the source [Melgar et al., 2019; Goldberg et al, 2019]. We also plot the spectra 490 for the waveforms and the median noise models. This shows clearly that the waveforms are 491 reliable. Melgar et al. [2019] showed that while there were some small but appreciable 492 differences between the real-time and post-processed high-rate solutions the features of 493 the waveforms most used in monitoring remained consistent in both sets of solutions. 494



495 496

Figure 13. Real-time three-component displacements at station CCCC during the 2019 M6.4 and M7.1 Ridgecrest CA earthquakes plotted as seconds since the

498 earthquake origin time (OT). Also shown are the spectra of the waveforms499 compared to the median noise model

500

501 Another important use of the work discussed here for monitoring is in making objective and 502 automated assessments of the station positioning quality. Figure 8 exemplifies how an 503 otherwise well-behaved station can, for limited periods of time, have elevated noise levels 504 which can have detrimental effects on any algorithm using it to model an earthquake 505 source. Monitoring agencies can use either the global noise model, or a station by station 506 noise model, and set percentile cutoffs, perhaps at a few selected periods. If the noise rises 507 above that threshold for some period of time the station can be quarantined or "black listed" 508 so that it doesn't contribute solutions to a source modeling algorithm should an earthquake 509 occur in that time. Later as the station noise drops to an acceptable level it can be removed 510 from the black list. Similarly sites that are routinely above some threshold level will likely 511 need to be serviced or altogether removed from contribution to any real-time monitoring 512 effort.

513

514 For the Fastlane algorithm in particular one important challenge remains as it continues to contribute solutions to monitoring agencies. The large outliers seen in Figure 2 are not the 515 516 norm (e.g. Figure 4) but they are large enough that should they occur during an earthquake 517 they could introduce significant errors into the modeling. This is problem has been noted in 518 real-time monitoring efforts elsewhere [Kawamoto et al., 2017]. This in general will not be an issue for the computation of coseismic offsets, as a moving average or median filters 519 520 can be employed (i.e., Crowell et al. [2016]), however, for PGD scaling, significant outliers 521 or cycle slips can influence the derived magnitude estimates. During the Ridgecrest 522 earthquakes there were no occurrences of this in any of the real-time waveforms. However 523 continued effort in making the positioning strategy more robust is ongoing.

524 525

5. Conclusions

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527 Large earthquakes are difficult to model in real-time with traditional inertial seismic 528 measurements. Several algorithms that leverage high-rate RT-GNSS positions have been 529 proposed and it has been shown that they can supplement the earthquake monitoring 530 effort. However, analyses of the long-term noise behavior of high-rate RT-GNSS positions, 531 which are important to understand how the data can be used operationally by monitoring 532 agencies, have been limited to just a few sites and to short time spans. Here we have 533 shown results from an analysis of the noise characteristics of one year of positions at 213 534 RT-GNSS sites spanning a large geographic region from Southern California to Alaska. We 535 have characterized the noise and proposed several references noise models which can be 536 used as baselines to compare against as technological improvements allow for higher 537 precision solutions. We have also shown how to use the reference noise models to 538 generate realistic synthetic noise that can be used in simulations of HR-GNSS waveforms. 539 Additionally, we find that while variations in the noise have a strong spatial correlation the 540 absolute level of noise at a site does not. This is evidence that local effects 541 (monumentation, station conditions, multipath etc.) likely dominate the noise behavior.

- 542 Further, we have shown how this noise analysis can be used in a dynamic quality control to 543 determine which sites should or should not contribute positions to an earthquake modeling 544 algorithm at a particular moment in time. Overall, while there remain important 545 improvements yet to be made, such as reducing the number of outliers, we find that the 546 present quality of real-time HR-GNSS waveforms is more than sufficient for monitoring 547 large earthquakes.
- 548

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